



IRDAI PUBLIC DISCLOSURES
FOR THE SIX MONTHS ENDED SEPTEMBER 30, 2021

Version No.	Form Upload Date	Particulars of Change
1.0	November 3, 2021	NA

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

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Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Registration No. and Date of Registration with the IRDAI: Regn.No. 105 dated 24.11.2000

Condensed Revenue Account for the quarter ended September 30, 2021
Policyholders' Account (Technical Account)

(₹ Lakhs)

Particulars	Schedule Ref. Form No.	Linked Business					Non-Linked Business												Grand Total
							Participating						Non-Participating						
		Life	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	
Premiums earned – net																			
(a) Premium	L-4	493,473	22,071	1,509	-	517,053	111,155	-	30,703	-	-	141,858	199,536	78,769	6,200	1,026	8,878	294,409	953,320
(b) Reinsurance ceded		(776)	-	(1,396)	-	(2,172)	(122)	-	-	-	(122)	(22,139)	-	-	(234)	-	-	(22,373)	(24,667)
(c) Reinsurance accepted		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Income from Investments																			
(a) Interest, Dividends & Rent – Gross		88,281	9,579	739	-	98,599	36,646	-	2,436	-	-	39,082	48,806	13,069	186	117	678	62,856	200,537
(b) Profit on sale/redemption of investments		340,307	28,905	1,986	-	371,198	7,874	-	434	-	-	8,308	17,329	-	27	-	388	17,744	397,260
(c) (Loss on sale/redemption of investments)		(7,163)	(937)	(50)	-	(8,150)	(549)	-	-	-	(549)	(72)	-	-	-	-	-	(72)	(8,771)
(d) Transfer/Gain on revaluation/change in fair value*		709,004	40,923	6,389	-	756,316	-	-	-	-	-	(1,059)	-	-	-	-	-	(1,059)	755,257
(e) (Amortisation of Premium)/ Discount on investments		7,128	690	53	-	7,871	(365)	-	(11)	-	(376)	2,188	663	(11)	-	(21)	-	2,819	10,314
Other Income																			
(a) Income on unclaimed amount of policyholders		1,035	-	-	-	1,035	-	-	-	-	-	-	-	-	-	-	-	-	1,035
(b) Fees and charges		1	-	-	-	1	823	-	-	-	823	768	-	-	-	-	-	768	1,592
(c) Miscellaneous income		7	-	-	-	7	1	-	1	-	2	3	1	-	-	-	-	4	13
Contribution from Shareholders' A/c																			
(a) Towards Excess Expenses of Management		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(b) Towards deficit funding and others		-	-	-	-	-	-	-	-	-	-	30,270	(3,139)	-	(68)	-	-	27,063	27,063
Total (A)		1,631,297	101,231	9,230	-	1,741,758	155,463	-	33,563	-	-	189,026	275,630	89,363	6,402	841	9,923	382,159	2,312,943
Commission	L-5	17,906	19	5	-	17,930	7,714	-	2	-	7,716	15,454	1,348	-	59	-	-	16,861	42,507
Operating Expenses related to Insurance Business	L-6	22,311	376	95	-	22,782	8,380	-	111	-	8,491	43,393	1,745	19	396	30	-	45,883	76,856
Provision for doubtful debts		(38)	-	-	-	(38)	-	-	-	-	(38)	(8)	(1)	-	(1)	-	-	(10)	(48)
Bad debts written off		100	-	-	-	100	15	-	-	-	15	41	5	-	-	-	-	46	161
Provisions (other than taxation)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(a) For diminution in the value of investments (Net)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(b) For others		16,174	859	464	-	17,497	-	-	-	-	-	-	-	-	-	-	-	-	17,497
Goods and Services Tax on ULIP Charges		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total (B)		56,453	1,254	564	-	58,271	16,109	-	113	-	-	16,222	58,880	3,097	19	454	30	62,480	136,973
Benefits Paid (Net)	L-7	584,382	64,474	1,762	-	650,618	44,106	-	1,189	-	45,295	82,834	11,672	14	58	6,986	-	101,564	797,477
Interim Bonuses Paid		-	-	-	-	-	4,769	-	40	-	4,809	-	-	-	-	-	-	-	4,809
Change in valuation of liability in respect of life policies		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(a) Gross**		415	938	63	-	1,416	85,063	-	30,815	-	115,878	120,039	66,601	6,263	(183)	2,352	-	195,072	312,366
(b) Amount ceded in Reinsurance		-	-	-	-	-	-	-	-	-	-	13,879	-	-	211	-	-	14,090	14,090
(c) Amount accepted in Reinsurance		-	-	-	-	-	-	-	-	-	-	(2)	-	-	-	-	-	(2)	(2)
(d) Fund Reserve for Linked Policies		985,566	31,758	6,843	-	1,024,167	-	-	-	-	-	-	-	-	-	-	-	-	1,024,167
(e) Fund for Discontinued Policies		(27,169)	(609)	-	-	(27,778)	-	-	-	-	-	-	-	-	-	-	-	-	(27,778)
Total (C)		1,543,194	96,561	8,668	-	1,648,423	133,938	-	32,044	-	165,982	216,750	78,273	6,277	86	9,338	310,724	2,125,129	
Surplus/(deficit) (D) = (A)-(B)-(C)		31,650	3,416	(2)	-	35,064	5,416	-	1,406	-	6,822	-	7,993	106	301	555	8,955	50,841	
Provision for taxation		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(a) Current tax credit/(charge)		-	-	-	-	-	(2,604)	-	-	-	(2,604)	-	-	-	-	-	-	-	(2,604)
(b) Deferred tax credit/(charge)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Surplus/(deficit) after tax		31,650	3,416	(2)	-	35,064	2,812	-	1,406	-	4,218	-	7,993	106	301	555	8,955	48,237	
Amount transferred from Shareholders' Account (Non-technical Account)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Amount available for appropriation		31,650	3,416	(2)	-	35,064	2,812	-	1,406	-	4,218	-	7,993	106	301	555	8,955	48,237	
Appropriations																			
Transfer to Shareholders' Account		31,650	3,416	(2)	-	35,064	-	-	-	-	-	-	7,993	106	301	555	-	8,955	44,019
Transfer to Other Reserves		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Balance being Funds for Future Appropriations		-	-	-	-	-	2,812	-	1,406	-	4,218	-	-	-	-	-	-	-	4,218
Total		31,650	3,416	(2)	-	35,064	2,812	-	1,406	-	4,218	-	7,993	106	301	555	8,955	48,237	
Funds for future appropriation																			
Opening balance as at July 1, 2021		-	-	-	-	-	88,959	-	32,834	-	121,893	-	-	-	-	-	-	-	121,893
Add: Current period appropriation		-	-	-	-	-	2,812	-	1,406	-	4,218	-	-	-	-	-	-	-	4,218
Balance carried forward to Balance Sheet		-	-	-	-	-	91,771	-	34,340	-	126,111	-	-	-	-	-	-	-	126,111

* Represents the deemed realised gain as per norms specified by the Authority

** Represents Mathematical Reserves after allocation of bonus

The schedules referred to herein form an integral part of the Condensed Revenue Account.

Name of the Insurer: ICICI Prudential Life Insurance Company Limited
 Registration No. and Date of Registration with the IRDAI: Regn.No. 105 dated 24.11.2000

Condensed Revenue Account for the half year ended September 30, 2021
 Policyholders' Account (Technical Account)

(₹ Lakhs)

Particulars	Schedule Ref. Form No.	Linked Business					Non-Linked Business												Grand Total			
		Life	Pension	Health	Variable Insurance	Total	Participating						Non-Participating									
							Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total				
Premiums earned – net																						
(a) Premium	L-4	882,019	32,526	2,950	-	917,495	185,833	-	30,960	-	-	-	216,793	350,699	134,682	8,200	1,972	10,478	-	506,031	1,640,319	
(b) Reinsurance ceded		(1,621)	-	(2,247)	-	(3,868)	(241)	-	-	-	-	-	(241)	(46,913)	-	-	(459)	-	-	(47,372)	(51,481)	
(c) Reinsurance accepted		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Income from Investments																						
(a) Interest, Dividends & Rent – Gross		181,667	19,760	1,528	-	202,955	71,295	-	4,767	-	-	-	76,062	94,470	25,104	298	233	1,442	-	121,547	400,564	
(b) Profit on sale/redemption of investments		617,398	52,790	3,318	-	673,506	42,535	-	776	-	-	-	43,311	30,910	187	54	-	1,013	-	32,164	748,981	
(c) (Loss on sale/ redemption of investments)		(17,288)	(2,477)	(125)	-	(19,890)	(886)	-	-	-	-	-	(886)	(73)	-	-	-	-	-	(73)	(20,849)	
(d) Transfer/Gain on revaluation/change in fair value*		1,061,556	59,373	9,643	-	1,130,572	-	-	-	-	-	-	-	(3,221)	-	-	-	-	-	(3,221)	1,127,351	
(e) (Amortisation of Premium)/ Discount on investments		14,338	1,406	93	-	15,837	(890)	-	(27)	-	-	-	(917)	3,722	983	(19)	(1)	(42)	-	4,643	19,563	
Other Income																						
(a) Income on unclaimed amount of policyholders		1,889	-	-	-	1,889	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1,889
(b) Fees and charges		1	-	-	-	1	1,580	-	1	-	-	-	1,581	1,448	-	-	1	-	-	1,449	3,031	
(c) Miscellaneous income		92	2	-	-	94	17	-	1	-	-	-	18	29	12	-	-	1	-	42	154	
Contribution from Shareholders' A/c																						
(a) Towards Excess Expenses of Management		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(b) Towards deficit funding and others		-	-	-	-	-	-	-	-	-	-	-	-	115,822	-	-	-	-	-	-	-	115,822
Total (A)		2,740,051	163,380	15,160	-	2,918,591	299,243	-	36,478	-	-	-	335,721	546,893	160,968	8,533	1,746	12,892	731,032	3,985,344		
Commission	L-5	28,589	38	9	-	28,636	12,462	-	4	-	-	-	12,466	26,124	2,213	-	124	-	-	28,461	69,563	
Operating Expenses related to Insurance Business	L-6	41,633	790	195	-	42,618	14,794	-	147	-	-	-	14,941	91,822	3,328	29	865	46	-	96,090	153,649	
Provision for doubtful debts		(29)	-	-	-	(29)	9	-	-	-	-	-	9	5	-	-	1	-	-	6	(14)	
Bad debts written off		134	-	-	-	134	23	-	-	-	-	-	23	60	7	-	-	-	-	67	224	
Provisions (other than taxation)																						
(a) For diminution in the value of investments (Net)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(b) For others		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Goods and Services Tax on ULIP Charges		30,768	1,688	927	-	33,383	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	33,383
Total (B)		101,095	2,516	1,131	-	104,742	27,288	-	151	-	-	-	27,439	118,011	5,948	29	990	46	124,624	255,805		
Benefits Paid (Net)	L-7	978,462	109,474	3,616	-	1,091,552	77,410	-	2,310	-	-	-	79,720	149,713	22,404	366	168	16,787	-	189,438	1,360,710	
Interim Bonuses Paid		-	-	-	-	-	8,257	-	78	-	-	-	8,335	-	-	-	-	-	-	-	8,335	
Change in valuation of liability in respect of life policies																						
(a) Gross**		7,092	1,694	185	-	8,971	195,385	-	31,449	-	-	-	226,834	308,007	125,020	8,027	231	(5,172)	-	436,113	671,918	
(b) Amount ceded in Reinsurance		-	-	-	-	-	-	-	-	-	-	-	-	(28,835)	-	-	57	-	-	(28,778)	(28,778)	
(c) Amount accepted in Reinsurance		-	-	-	-	-	-	-	-	-	-	-	-	(3)	-	-	-	-	-	(3)	(3)	
(d) Fund Reserve for Linked Policies		1,552,785	43,461	9,985	-	1,606,231	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1,606,231	
(e) Fund for Discontinued Policies		44,428	(641)	-	-	43,787	-	-	-	-	-	-	-	-	-	-	-	-	-	-	43,787	
Total (C)		2,582,767	153,988	13,786	-	2,750,541	281,052	-	33,837	-	-	-	314,889	428,882	147,424	8,393	456	11,615	596,770	3,662,200		
Surplus/(deficit) (D) = (A)-(B)-(C)		56,189	6,876	243	-	63,308	(9,097)	-	2,490	-	-	-	(6,607)	-	7,996	111	300	1,231	9,638	66,339		
Provision for taxation																						
(a) Current tax credit/(charge)		-	-	-	-	-	(2,604)	-	-	-	-	-	(2,604)	-	-	-	-	-	-	-	(2,604)	
(b) Deferred tax credit/(charge)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Surplus/(deficit) after tax		56,189	6,876	243	-	63,308	(11,701)	-	2,490	-	-	-	(9,211)	-	7,996	111	300	1,231	9,638	63,735		
Amount transferred from Shareholders' Account (Non-technical Account)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Amount available for appropriation		56,189	6,876	243	-	63,308	(11,701)	-	2,490	-	-	-	(9,211)	-	7,996	111	300	1,231	9,638	63,735		
Appropriations																						
Transfer to Shareholders' Account		56,189	6,876	243	-	63,308	-	-	-	-	-	-	-	-	7,996	111	300	1,231	-	9,638	72,946	
Transfer to Other Reserves		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Balance being Funds for Future Appropriations		-	-	-	-	-	(11,701)	-	2,490	-	-	-	(9,211)	-	-	-	-	-	-	-	(9,211)	
Total		56,189	6,876	243	-	63,308	(11,701)	-	2,490	-	-	-	(9,211)	-	7,996	111	300	1,231	9,638	63,735		
Funds for future appropriation																						
Opening balance as at April 1, 2021		-	-	-	-	-	103,469	-	31,849	-	-	-	135,318	-	-	-	-	-	-	-	135,318	
Add: Funds for future appropriation transferred from Shareholders' (Profit & Loss) account		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Add: Current period appropriation		-	-	-	-	-	(11,701)	-	2,489	-	-	-	(9,212)	-	-	-	-	-	-	-	(9,212)	
Balance carried forward to Balance Sheet		-	-	-	-	-	91,768	-	34,338	-	-	-	126,106	-	-	-	-	-	-	-	126,106	

* Represents the deemed realised gain as per norms specified by the Authority

** Represents Mathematical Reserves after allocation of bonus

The schedules referred to herein form an integral part of the Condensed Revenue Account.

Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Registration No. and Date of Registration with the IRDAI: Regn.No. 105 dated 24.11.2000

Condensed Revenue Account for the quarter ended September 30, 2021
Policyholders' Account (Technical Account)

(₹ Lakhs)

Particulars	Schedule Ref. Form No.	Linked Business					Non-Linked Business													Grand Total
							Participating						Non-Participating							
		Life	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total		
Premiums earned – net	L-4	542,493	11,369	1,736	-	555,598	112,342	-	25,241	-	-	137,583	131,169	47,650	-	1,040	306	180,165	873,346	
(a) Premium																				
(b) Reinsurance ceded		(1,053)	-	(853)	-	(1,906)	(108)	-	-	-	-	(108)	(13,905)	-	-	(209)	-	(14,114)	(16,128)	
(c) Reinsurance accepted		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Income from Investments																				
(a) Interest, Dividends & Rent – Gross		85,719	10,880	800	-	97,399	31,050	-	2,226	-	-	33,276	39,916	8,940	96	92	709	49,753	190,428	
(b) Profit on sale/redemption of investments		267,432	42,342	2,957	-	312,731	17,151	-	1,141	-	-	18,292	25,945	1,522	-	48	47	27,562	358,585	
(c) (Loss on sale/redemption of investments)		(103,438)	(9,080)	(943)	-	(113,461)	(3,598)	-	(44)	-	-	(3,642)	(5,018)	-	-	-	-	(5,018)	(122,121)	
(d) Transfer/Gain on revaluation/change in fair value*		349,399	12,596	2,125	-	364,120	-	-	-	-	-	-	-	-	-	-	-	-	364,120	
(e) (Amortisation of Premium)/ Discount on investments		12,590	1,041	14	-	13,645	(179)	-	(71)	-	-	(250)	558	(14)	(4)	-	(10)	530	13,925	
Other Income																				
(a) Income on unclaimed amount of policyholders		1,182	-	-	-	1,182	-	-	-	-	-	-	-	-	-	-	-	-	1,182	
(b) Fees and charges		1	-	-	-	1	624	-	-	-	-	624	619	-	-	-	-	-	1,244	
(c) Miscellaneous income		6	-	-	-	6	1	-	-	-	-	1	2	1	-	-	-	-	10	
Contribution from Shareholders' A/c																				
(a) Towards Excess Expenses of Management		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(b) Towards deficit funding and others		(60)	-	(424)	-	(484)	-	-	-	-	-	-	29,454	-	28	(53)	-	29,429	28,945	
Total (A)		1,154,271	69,148	5,412	-	1,228,831	157,283	-	28,493	-	-	185,776	208,740	58,099	120	918	1,052	268,929	1,683,536	
Commission	L-5	15,381	21	8	-	15,410	8,496	-	2	-	-	8,498	10,365	625	-	86	1	11,077	34,985	
Operating Expenses related to Insurance Business	L-6	16,963	379	111	-	17,453	7,906	-	134	-	-	8,040	37,213	905	-	378	9	38,505	63,998	
Provision for doubtful debts		13	1	14	-	14	9	-	1	-	-	9	13	1	-	1	-	15	38	
Bad debts written off		32	-	-	-	32	13	-	-	-	-	13	12	1	-	-	-	13	58	
Provisions (other than taxation)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(a) For diminution in the value of investments (Net)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(b) For others		15,009	795	458	-	16,262	-	-	-	-	-	-	-	-	-	-	-	-	16,262	
Goods and Services Tax on ULIP Charges		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Total (B)		47,398	1,196	577	-	49,171	16,424	-	136	-	-	16,560	47,603	1,532	-	465	10	49,610	115,341	
Benefits Paid (Net)	L-7	339,268	82,017	1,211	-	422,496	27,446	-	902	-	-	28,348	28,719	8,226	-	39	567	37,551	488,395	
Interim Bonuses Paid		-	-	-	-	-	2,570	-	6	-	-	2,576	-	-	-	-	-	-	2,576	
Change in valuation of liability in respect of life policies		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(a) Gross**		(1,010)	326	(2,282)	-	(2,966)	102,396	-	23,761	-	-	126,157	187,907	49,044	120	1,323	275	238,669	361,860	
(b) Amount ceded in Reinsurance		-	-	-	-	-	-	-	-	-	-	-	(55,489)	-	-	(909)	-	(56,398)	(56,398)	
(c) Amount accepted in Reinsurance		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(d) Fund Reserve for Linked Policies		616,507	(14,529)	3,332	-	605,310	-	-	-	-	-	-	-	-	-	-	-	-	605,310	
(e) Fund for Discontinued Policies		112,600	(3,594)	-	-	109,006	-	-	-	-	-	-	-	-	-	-	-	-	109,006	
Total (C)		1,067,365	64,220	2,261	-	1,133,846	132,412	-	24,669	-	-	157,081	161,137	57,270	120	453	842	219,822	1,510,749	
Surplus/(deficit) (D) = (A)-(B)-(C)		39,508	3,732	2,574	-	45,814	8,447	-	3,688	-	-	12,135	-	(703)	-	-	200	(503)	57,446	
Provision for taxation		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(a) Current tax credit/(charge)		-	-	-	-	-	(3,532)	-	-	-	-	(3,532)	-	-	-	-	-	-	(3,532)	
(b) Deferred tax credit/(charge)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Surplus/(deficit) after tax		39,508	3,732	2,574	-	45,814	4,915	-	3,688	-	-	8,603	-	(703)	-	-	200	(503)	53,914	
Amount transferred from Shareholders' Account (Non-technical Account)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Amount available for appropriation		39,508	3,732	2,574	-	45,814	4,915	-	3,688	-	-	8,603	-	(703)	-	-	200	(503)	53,914	
Appropriations																				
Transfer to Shareholders' Account		39,508	3,732	2,574	-	45,814	-	-	-	-	-	-	-	(703)	-	-	200	(503)	45,311	
Transfer to Other Reserves		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Balance being Funds for Future Appropriations		-	-	-	-	-	4,915	-	3,688	-	-	8,603	-	-	-	-	-	-	8,603	
Total		39,508	3,732	2,574	-	45,814	4,915	-	3,688	-	-	8,603	-	(703)	-	-	200	(503)	53,914	
Funds for future appropriation																				
Opening balance as at July 1, 2020		-	-	-	-	-	93,753	-	28,013	-	-	121,766	-	-	-	-	-	-	121,766	
Add: Current period appropriation		-	-	-	-	-	4,915	-	3,688	-	-	8,603	-	-	-	-	-	-	8,603	
Balance carried forward to Balance Sheet		-	-	-	-	-	98,668	-	31,701	-	-	130,369	-	-	-	-	-	-	130,369	

* Represents the deemed realised gain as per norms specified by the Authority

** Represents Mathematical Reserves after allocation of bonus

The schedules referred to herein form an integral part of the Condensed Revenue Account.

Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Registration No. and Date of Registration with the IRDAI: Regn.No. 105 dated 24.11.2000

Condensed Revenue Account for the half year ended September 30, 2020
Policyholders' Account (Technical Account)

(₹ Lakhs)

Particulars	Schedule Ref. Form No.	Linked Business					Non-Linked Business													Grand Total
							Participating						Non-Participating							
		Life	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total		
Premiums earned – net	L-4	928,168	29,243	3,282	-	960,693	183,613	-	25,506	-	-	209,119	203,833	69,211	2,500	1,930	732	278,206	1,448,018	
(a) Premium																				
(b) Reinsurance ceded		(2,212)	-	(1,705)	-	(3,917)	(218)	-	-	-	-	(218)	(31,145)	(1)	-	(411)	-	(31,557)	(35,692)	
(c) Reinsurance accepted		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Income from Investments																				
(a) Interest, Dividends & Rent – Gross		145,028	20,324	1,447	-	166,799	58,902	-	4,141	-	-	63,043	76,292	17,339	158	164	1,395	95,348	325,190	
(b) Profit on sale/redemption of investments		365,109	57,148	4,013	-	426,270	35,475	-	1,831	-	-	37,306	50,546	7,313	-	73	49	-	57,981	521,557
(c) (Loss on sale/ redemption of investments)		(387,378)	(37,064)	(4,730)	-	(429,172)	(21,523)	-	(433)	-	-	(21,956)	(23,080)	-	-	-	-	-	(23,080)	(474,208)
(d) Transfer/Gain on revaluation/change in fair value*		1,558,800	125,686	14,191	-	1,698,677	-	-	-	-	-	-	-	-	-	-	-	-	1,698,677	1,698,677
(e) (Amortisation of Premium)/ Discount on investments		28,328	2,325	29	-	30,682	(406)	-	(107)	-	-	(513)	404	(109)	(7)	1	(19)	270	30,439	
Other Income																				
(b) Income on unclaimed amount of policyholders		2,462	-	-	-	2,462	-	-	-	-	-	-	-	-	-	-	-	-	2,462	
(c) Fees and charges		2	-	-	-	2	1,078	-	3	-	-	1,081	1,135	-	-	1	-	1,136	2,219	
(d) Miscellaneous income		26	1	-	-	27	4	-	-	-	-	4	5	2	-	-	-	7	38	
Contribution from Shareholders' A/c																				
(a) Towards Excess Expenses of Management		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(b) Towards deficit funding and others		48	-	-	-	48	-	-	-	-	-	-	70,264	-	-	51	215	70,530	70,578	
Total (A)		2,638,381	197,663	16,527	-	2,852,571	256,925	-	30,941	-	-	287,866	348,254	93,755	2,702	1,973	2,157	448,841	3,589,278	
Commission	L-5	24,128	42	13	-	24,183	13,503	-	4	-	-	13,507	16,892	898	-	178	1	17,969	55,659	
Operating Expenses related to Insurance Business	L-6	31,532	835	218	-	32,585	13,880	-	164	-	-	14,044	64,247	1,549	36	901	26	66,759	113,388	
Provision for doubtful debts		7	1	-	-	8	9	-	1	-	-	9	9	1	-	1	-	11	28	
Bad debts written off		73	-	-	-	73	32	-	-	-	-	32	27	3	-	-	-	30	135	
Provisions (other than taxation)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(a) For diminution in the value of investments (Net)		-	-	-	-	-	2,012	-	-	-	-	2,012	-	-	-	-	-	-	2,012	
(b) For others		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Goods and Services Tax on ULIP Charges		28,131	1,550	908	-	30,589	-	-	-	-	-	-	-	-	-	-	-	-	30,589	
Total (B)		83,871	2,428	1,139	-	87,438	29,436	-	168	-	-	29,604	81,175	2,451	36	1,080	27	84,769	201,811	
Benefits Paid (Net)	L-7	512,041	127,334	1,567	-	640,942	44,647	-	2,046	-	-	46,693	41,117	15,365	-	98	1,980	58,560	746,195	
Interim Bonuses Paid		-	-	-	-	-	4,378	-	10	-	-	4,388	-	-	-	-	-	-	4,388	
Change in valuation of liability in respect of life policies		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(a) Gross**		(11,895)	(84)	(291)	-	(12,260)	170,847	-	23,428	-	-	194,275	338,540	71,961	2,666	2,547	(275)	415,439	597,454	
(b) Amount ceded in Reinsurance		-	-	-	-	-	-	-	-	-	-	-	(112,578)	-	-	(1,752)	-	(114,330)	(114,330)	
(c) Amount accepted in Reinsurance		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(d) Fund Reserve for Linked Policies		1,828,222	63,748	11,543	-	1,903,513	-	-	-	-	-	-	-	-	-	-	-	-	1,903,513	
(e) Fund for Discontinued Policies		131,028	(3,612)	-	-	127,416	-	-	-	-	-	-	-	-	-	-	-	-	127,416	
Total (C)		2,459,406	187,386	12,819	-	2,659,611	219,872	-	25,484	-	-	245,356	267,079	87,326	2,666	893	1,705	359,669	3,264,636	
Surplus/(deficit) (D) = (A)-(B)-(C)		95,104	7,849	2,569	-	105,522	7,617	-	5,289	-	-	12,906	-	3,978	-	-	425	4,403	122,831	
Provision for taxation		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(a) Current tax credit/(charge)		-	-	-	-	-	(5,802)	-	-	-	-	(5,802)	-	-	-	-	-	-	(5,802)	
(b) Deferred tax credit/(charge)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Surplus/(deficit) after tax		95,104	7,849	2,569	-	105,522	1,815	-	5,289	-	-	7,104	-	3,978	-	-	425	4,403	117,029	
Amount transferred from Shareholders' Account (Non-technical Account)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Amount available for appropriation		95,104	7,849	2,569	-	105,522	1,815	-	5,289	-	-	7,104	-	3,978	-	-	425	4,403	117,029	
Appropriations																				
Transfer to Shareholders' Account		95,104	7,849	2,569	-	105,522	-	-	-	-	-	-	-	3,978	-	-	425	4,403	109,925	
Transfer to Other Reserves		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Balance being Funds for Future Appropriations		-	-	-	-	-	1,815	-	5,289	-	-	7,104	-	-	-	-	-	-	7,104	
Total		95,104	7,849	2,569	-	105,522	1,815	-	5,289	-	-	7,104	-	3,978	-	-	425	4,403	117,029	
Funds for future appropriation																				
Opening balance as at April 1, 2020		-	-	-	-	-	96,853	-	26,413	-	-	123,266	-	-	-	-	-	-	123,266	
Add: Current period appropriation		-	-	-	-	-	1,815	-	5,289	-	-	7,104	-	-	-	-	-	-	7,104	
Balance carried forward to Balance Sheet		-	-	-	-	-	98,668	-	31,702	-	-	130,370	-	-	-	-	-	-	130,370	

* Represents the deemed realised gain as per norms specified by the Authority

** Represents Mathematical Reserves after allocation of bonus

The schedules referred to herein form an integral part of the Condensed Revenue Account.

FORM L-2-A-PL

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration No. and Date of Registration with the IRDAI: Regn.No. 105 dated 24.11.2000

Condensed Profit & Loss Account for the quarter and half year ended September 30, 2021

Shareholders' Account (Non-technical Account)

(₹ Lakhs)

Particulars	Schedule Ref. Form No.	Quarter ended September 30, 2021	Half year ended September 30, 2021	Quarter ended September 30, 2020	Half year ended September 30, 2020
Amounts transferred from the Policyholders Account (Technical Account)		44,019	72,946	45,311	109,925
Income From Investments					
(a) Interest, Dividends & Rent – Gross		13,152	25,481	11,272	22,260
(b) Profit on sale/redemption of investments		20,443	48,260	6,644	19,229
(c) (Loss on sale/ redemption of investments)		(472)	(473)	(990)	(11,825)
(d) Amortisation of Premium / Discount on Investments (Net)		(287)	(572)	(17)	(13)
Other Income		129	184	41	57
Total (A)		76,984	145,826	62,261	139,633
Expense other than those directly related to the insurance business	L-6A	149	267	89	156
Contribution to Policyholders' A/c					
(a) Towards Excess Expenses of Management		-	-	-	-
(b) towards deficit funding and others		27,063	115,822	28,946	70,579
Managerial Remuneration*		126	321	122	814
Interest on subordinated debt		2,072	4,121	-	-
Expenses towards CSR activities		107	202	10	1,012
Penalties		-	-	-	-
Bad debts written off		-	-	-	-
Amount Transferred to Policyholders' Account					
Provisions (Other than taxation)					
(a) For diminution in the value of investments (Net)		-	-	-	2,858
(b) Provision for doubtful debts		-	(791)	101	101
(c) Others		-	-	-	-
Total (B)		29,517	119,942	29,268	75,520
Profit/ (Loss) before tax		47,467	25,884	32,993	64,113
Provision for Taxation					
(a) Current tax credit/(charge)		-	-	(2,671)	(5,032)
(b) Deferred tax credit/(charge)		(3,010)	-	-	-
Profit / (Loss) after tax		44,457	25,884	30,322	59,081
Appropriations					
(a) Balance at the beginning of the period		313,775	361,079	293,822	265,063
(b) Interim dividend paid		-	-	-	-
(c) Final dividend paid		-	28,731	-	-
(d) Transfer to reserves/ other accounts		-	-	-	-
Profit/Loss carried forward to Balance Sheet		358,232	358,232	324,144	324,144

*in excess of the allowable limits as prescribed by IRDAI

The Schedules referred to herein form an integral part of the Condensed Profit and Loss Account.

FORM L-3-A-BS

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration No. and Date of Registration with the IRDAI: Regn.No. 105 dated 24.11.2000

Condensed Balance Sheet as at September 30, 2021

(₹ Lakhs)

Particulars	Schedule Ref. Form No.	As at September 30, 2021	As at March 31, 2021	As at September 30, 2020
Sources Of Funds				
Shareholders' Funds:				
Share Capital	L-8, L-9, L-9A	143,712	143,597	143,590
Share Application Money Pending Allotment		5	55	-
Reserves And Surplus	L-10	708,116	706,712	669,763
Credit/(Debit) Fair Value Change Account		23,676	61,577	7,987
Sub-Total		875,509	911,941	821,340
Borrowings				
Policyholders' Funds:				
Credit/(Debit) Fair Value Change Account*		367,594	306,216	180,027
Policy liabilities		6,664,693	6,021,556	5,218,686
Funds for discontinued policies				
(i) Discontinued on account of non-payment of premium		1,115,462	1,071,694	1,027,390
(ii) Others		6,200	6,180	4,849
Insurance Reserves				
Provision for Linked Liabilities		14,383,271	12,777,040	10,707,188
Sub-Total		22,657,220	20,302,686	17,138,140
Funds For Future Appropriations				
Linked		-	-	-
Non-Linked (Non-Par)		-	-	-
Non-Linked (Par)		126,107	135,318	130,370
Deferred Tax Liabilities (Net)		-	-	-
Total		23,658,836	21,349,945	18,089,850
Application Of Funds				
Investments				
Shareholders'	L-12	856,829	1,009,016	815,434
Policyholders'	L-13	7,104,902	6,357,256	5,422,049
Assets Held To Cover Linked Liabilities	L-14, L-14A	15,504,933	13,854,914	11,739,427
Loans	L-15	78,572	66,282	54,038
Fixed Assets - net block	L-16	45,641	45,719	45,910
Deferred Tax Assets (Net)		-	-	-
Current Assets				
Cash And Bank Balances	L-17	91,507	55,740	35,056
Advances And Other Assets	L-18	495,467	333,882	329,405
Sub-Total (A)		586,974	389,622	364,461
Current Liabilities				
Provisions	L-19	516,459	370,541	349,106
Sub-Total (B)	L-20	2,556	2,323	2,363
Net Current Assets (C) = (A-B)		519,015	372,864	351,469
Miscellaneous Expenditure (To The Extent Not Written Off Or Adjusted)	L-21	-	-	-
Debit Balance In Profit & Loss Account (Shareholders' Account)		-	-	-
Deficit In Revenue Account (Policyholders' Account)		-	-	-
Total		23,658,836	21,349,945	18,089,850

*Includes Revaluation reserve on Investment property

The Schedules referred to herein form an integral part of the Condensed Balance Sheet.

Contingent Liabilities

(₹ Lakhs)

Particulars	As at September 30, 2021	As at March 31, 2021	As at September 30, 2020
Partly paid-up investments*	102,747	106,129	116,972
Claims, other than against policies, not acknowledged as debts by the company comprising of:			
-Claims made by vendors for disputed payments	12	12	12
-Claims for damages made by landlords (of premises taken on lease)	414	414	414
-Claims made by employees and advisors for disputed dues and compensation	110	85	85
Underwriting commitments outstanding (in respect of shares and securities)	-	-	-
Guarantees given by or on behalf of the Company by various banks in favour of government authorities, hospital and court	-	-	-
Statutory demands/ liabilities in dispute, not provided for#	15,370	15,370	15,370
Reinsurance obligations to the extent not provided for in accounts	-	-	-
Others			
(a) Policy related claims under litigation in different consumer forums:			
-Claims for service deficiency	794	696	659
-Claims against repudiation	9,813	8,458	5,978
Total	129,260	131,164	139,490

*in respect of partly paid debentures & equity shares

#amount pertains to objections raised by office of the Commissioner of Service tax, Goods and Service tax Mumbai on certain tax positions taken by the Company.

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Schedules forming part of condensed financial statements

FORM L-4-PREMIUM SCHEDULE
PREMIUM

For the quarter ended September 30, 2021

(₹ Lakhs)

Particulars	Linked Business					Non-Linked Business										Grand Total		
	Life	Pension	Health	Variable Insurance	Total	Participating					Non-Participating							
						Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health		Variable Insurance	Total
First year premiums	95,520	493	-	-	96,013	16,509	-	-	-	-	16,509	43,002	-	-	200	-	43,202	155,724
Renewal premiums	361,100	5,671	1,509	-	368,280	94,646	-	30,703	-	-	125,349	62,601	-	-	805	-	63,406	557,035
Single premiums	36,853	15,907	-	-	52,760	-	-	-	-	-	-	93,933	78,769	6,200	21	8,878	187,801	240,561
Total Premium	493,473	22,071	1,509	-	517,053	111,155	-	30,703	-	-	141,858	199,536	78,769	6,200	1,026	8,878	294,409	953,320
Premium Income from business written:																		
in India	493,473	22,071	1,509	-	517,053	111,155	-	30,703	-	-	141,858	199,536	78,769	6,200	1,026	8,878	294,409	953,320
Outside India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Premium	493,473	22,071	1,509	-	517,053	111,155	-	30,703	-	-	141,858	199,536	78,769	6,200	1,026	8,878	294,409	953,320

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Schedules forming part of condensed financial statements

FORM L-4-PREMIUM SCHEDULE
PREMIUM

For the half year ended September 30, 2021

(₹ Lakhs)

Particulars	Linked Business					Non-Linked Business										Grand Total		
	Life	Pension	Health	Variable Insurance	Total	Participating					Non-Participating							
						Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health		Variable Insurance	Total
First year premiums	144,362	697	-	-	145,059	26,765	-	-	-	-	26,765	71,541	-	-	385	-	71,926	243,750
Renewal premiums	656,000	11,915	2,950	-	670,865	159,068	-	30,960	-	-	190,028	108,336	-	-	1,552	-	109,888	970,781
Single premiums	81,657	19,914	-	-	101,571	-	-	-	-	-	-	170,822	134,682	8,200	35	10,478	324,217	425,788
Total Premium	882,019	32,526	2,950	-	917,495	185,833	-	30,960	-	-	216,793	350,699	134,682	8,200	1,972	10,478	506,031	1,640,319
Premium Income from business written:																		
in India	882,019	32,526	2,950	-	917,495	185,833	-	30,960	-	-	216,793	350,699	134,682	8,200	1,972	10,478	506,031	1,640,319
Outside India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Premium	882,019	32,526	2,950	-	917,495	185,833	-	30,960	-	-	216,793	350,699	134,682	8,200	1,972	10,478	506,031	1,640,319

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Schedules forming part of condensed financial statements

FORM L-4-PREMIUM SCHEDULE
PREMIUM

For the quarter ended September 30, 2020

(₹ Lakhs)

Particulars	Linked Business					Non-Linked Business										Grand Total		
	Life	Pension	Health	Variable Insurance	Total	Participating					Non-Participating							
						Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health		Variable Insurance	Total
First year premiums	64,146	172	-	-	64,318	23,374	-	-	-	-	23,374	29,017	-	-	275	-	29,292	116,984
Renewal premiums	411,705	8,015	1,736	-	421,456	88,968	-	25,241	-	-	114,209	41,000	-	-	758	-	41,758	577,423
Single premiums	66,642	3,182	-	-	69,824	-	-	-	-	-	-	61,152	47,650	-	7	306	109,115	178,939
Total Premium	542,493	11,369	1,736	-	555,598	112,342	-	25,241	-	-	137,583	131,169	47,650	-	1,040	306	180,165	873,346
Premium Income from business written:																		
in India	542,493	11,369	1,736	-	555,598	112,342	-	25,241	-	-	137,583	131,169	47,650	-	1,040	306	180,165	873,346
Outside India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Premium	542,493	11,369	1,736	-	555,598	112,342	-	25,241	-	-	137,583	131,169	47,650	-	1,040	306	180,165	873,346

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Schedules forming part of condensed financial statements

FORM L-4-PREMIUM SCHEDULE
PREMIUM

For the half year ended September 30, 2020

(₹ Lakhs)

Particulars	Linked Business					Non-Linked Business										Grand Total		
	Life	Pension	Health	Variable Insurance	Total	Participating					Non-Participating							
						Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health		Variable Insurance	Total
First year premiums	95,088	271	(1)	-	95,358	36,422	-	-	-	-	36,422	47,376	-	-	582	-	47,958	179,738
Renewal premiums	728,418	15,118	3,283	-	746,819	147,191	-	25,506	-	-	172,697	70,548	-	-	1,339	-	71,887	991,403
Single premiums	104,662	13,854	-	-	118,516	-	-	-	-	-	-	85,909	69,211	2,500	9	732	158,361	276,877
Total Premium	928,168	29,243	3,282	-	960,693	183,613	-	25,506	-	-	209,119	203,833	69,211	2,500	1,930	732	278,206	1,448,018
Premium Income from business written:																		
in India	928,168	29,243	3,282	-	960,693	183,613	-	25,506	-	-	209,119	203,833	69,211	2,500	1,930	732	278,206	1,448,018
Outside India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Premium	928,168	29,243	3,282	-	960,693	183,613	-	25,506	-	-	209,119	203,833	69,211	2,500	1,930	732	278,206	1,448,018

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Schedules forming part of condensed financial statements

**FORM L-5-COMMISSION SCHEDULE
COMMISSION EXPENSES**

For the quarter ended September 30, 2021

(₹ Lakhs)

Particulars	Linked Business					Non-Linked Business												Grand Total	
						Participating						Non-Participating							
	Life	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total		
Commission																			
Direct – First year premiums	12,356	4	-	-	12,360	3,857	-	-	-	-	3,857	10,236	-	-	33	-	10,269	26,486	
– Renewal premiums	4,575	12	4	-	4,591	3,525	-	2	-	-	3,527	1,965	-	23	-	-	1,988	10,106	
– Single premiums	82	-	-	-	82	-	-	-	-	-	-	2,227	1,270	-	-	-	-	3,497	3,579
Gross Commission	17,013	16	4	-	17,033	7,382	-	2	-	-	7,384	14,428	1,270	-	56	-	15,754	40,171	
Add: Commission on re-insurance accepted	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Less: Commission on re-insurance ceded	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Net Commission	17,013	16	4	-	17,033	7,382	-	2	-	-	7,384	14,428	1,270	-	56	-	15,754	40,171	
Rewards	893	3	1	-	897	332	-	-	-	-	332	1,026	78	-	3	-	1,107	2,336	
Net Commission including rewards	17,906	19	5	-	17,930	7,714	-	2	-	-	7,716	15,454	1,348	-	59	-	16,861	42,507	
Channel wise break-up of Commission and Rewards (Excluding Reinsurance commission):																			
Individual agents	3,505	17	5	-	3,527	4,282	-	2	-	-	4,284	5,291	513	-	22	-	5,826	13,637	
Corporate Agents -Others	14,373	2	-	-	14,375	2,252	-	-	-	-	2,252	7,629	766	-	36	-	8,431	25,068	
Brokers	25	-	-	-	25	1,053	-	-	-	-	1,053	2,230	46	-	1	-	2,277	3,355	
Micro Agents	-	-	-	-	-	-	-	-	-	-	-	20	-	-	-	-	20	20	
Direct Business - Online	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Direct Business - Others	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Common Service Centre (CSC)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Web Aggregators	2	-	-	-	2	101	-	-	-	-	101	228	-	-	-	-	228	331	
Insurance Marketing Firm	1	-	-	-	1	26	-	-	-	-	26	56	23	-	-	-	79	106	
Others	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Net Commission including rewards	17,906	19	5	-	17,930	7,714	-	2	-	-	7,716	15,454	1,348	-	59	-	16,861	42,507	
Commission and Rewards on (Excluding Reinsurance) Business written :																			
In India	17,906	19	5	-	17,930	7,714	-	2	-	-	7,716	15,454	1,348	-	59	-	16,861	42,507	
Outside India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Net Commission including rewards	17,906	19	5	-	17,930	7,714	-	2	-	-	7,716	15,454	1,348	-	59	-	16,861	42,507	

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Schedules forming part of condensed financial statements

FORM L-5-COMMISSION SCHEDULE
COMMISSION EXPENSES

For the half year ended September 30, 2021

(₹ Lakhs)

Particulars	Linked Business					Non-Linked Business													Grand Total
						Participating					Non-Participating								
	Life	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total		
Commission																			
Direct – First year premiums	18,862	7	-	-	18,869	6,047	-	-	-	-	6,047	17,323	-	-	71	-	-	17,394	
– Renewal premiums	8,285	26	8	-	8,319	5,909	-	4	-	-	5,913	3,277	-	-	48	-	-	3,325	
– Single premiums	128	1	-	-	129	-	-	-	-	-	-	3,796	2,094	-	-	-	-	5,890	
Gross Commission	27,275	34	8	-	27,317	11,956	-	4	-	-	11,960	24,396	2,094	-	119	-	-	26,609	
Add: Commission on re-insurance accepted	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Less: Commission on re-insurance ceded	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Net Commission	27,275	34	8	-	27,317	11,956	-	4	-	-	11,960	24,396	2,094	-	119	-	-	26,609	
Rewards	1,314	4	1	-	1,319	506	-	-	-	-	506	1,728	119	-	5	-	-	1,852	
Net Commission including rewards	28,589	38	9	-	28,636	12,462	-	4	-	-	12,466	26,124	2,213	-	124	-	-	28,461	
Channel wise break-up of Commission and Rewards (Excluding Reinsurance commission):																			
Individual agents	5,734	32	9	-	5,775	7,019	-	3	-	-	7,022	8,859	768	-	41	-	-	9,668	
Corporate Agents -Others	22,802	6	-	-	22,808	3,457	-	1	-	-	3,458	12,626	1,338	-	81	-	-	14,045	
Brokers	47	-	-	-	47	1,848	-	-	-	-	1,848	3,853	63	-	2	-	-	3,922	
Micro Agents	-	-	-	-	-	-	-	-	-	-	-	42	-	-	-	-	-	42	
Direct Business - Online	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Direct Business - Others	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Common Service Centre (CSC)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Web Aggregators	3	-	-	-	3	105	-	-	-	-	105	612	2	-	-	-	-	614	
Insurance Marketing Firm	3	-	-	-	3	33	-	-	-	-	33	122	42	-	-	-	-	164	
Others	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Net Commission including rewards	28,589	38	9	-	28,636	12,462	-	4	-	-	12,466	26,124	2,213	-	124	-	-	28,461	
Commission and Rewards on (Excluding Reinsurance) Business written :																			
In India	28,589	38	9	-	28,636	12,462	-	4	-	-	12,466	26,124	2,213	-	124	-	-	28,461	
Outside India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Net Commission including rewards	28,589	38	9	-	28,636	12,462	-	4	-	-	12,466	26,124	2,213	-	124	-	-	28,461	

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Schedules forming part of condensed financial statements

**FORM L-5-COMMISSION SCHEDULE
COMMISSION EXPENSES**

For the quarter ended September 30, 2020

(₹ Lakhs)

Particulars	Linked Business					Non-Linked Business													Grand Total
						Participating					Non-Participating								
	Life	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total		
Commission																			
Direct – First year premiums	9,538	3	-	-	9,541	4,761	-	-	-	-	4,761	7,027	-	-	62	-	7,089	21,391	
– Renewal premiums	5,290	16	7	-	5,313	3,427	-	2	-	-	3,429	1,296	-	-	21	-	1,317	10,059	
– Single premiums	86	-	-	-	86	-	-	-	-	-	-	1,402	600	-	-	-	1	2,089	
Gross Commission	14,914	19	7	-	14,940	8,188	-	2	-	-	8,190	9,725	600	-	83	-	1	10,409	33,539
Add: Commission on re-insurance accepted	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Less: Commission on re-insurance ceded	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Net Commission	14,914	19	7	-	14,940	8,188	-	2	-	-	8,190	9,725	600	-	83	-	1	10,409	33,539
Rewards	467	2	1	-	470	308	-	-	-	-	308	640	25	-	3	-	-	688	1,446
Net Commission including rewards	15,381	21	8	-	15,410	8,496	-	2	-	-	8,498	10,365	625	-	86	-	1	11,077	34,985
Channel wise break-up of Commission and Rewards (Excluding Reinsurance commission):																			
Individual agents	2,562	18	8	-	2,588	4,885	-	2	-	-	4,887	3,139	143	-	26	-	-	3,308	10,783
Corporate Agents -Others	12,784	3	-	-	12,787	2,356	-	-	-	-	2,356	5,156	447	-	58	-	-	5,661	20,804
Brokers	26	-	-	-	26	1,220	-	-	-	-	1,220	1,455	7	-	2	1	-	1,465	2,711
Micro Agents	-	-	-	-	-	-	-	-	-	-	-	2	-	-	-	-	-	2	2
Direct Business - Online	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Direct Business - Others	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Common Service Centre (CSC)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Web Aggregators	2	-	-	-	2	4	-	-	-	-	4	598	-	-	-	-	-	598	604
Insurance Marketing Firm	7	-	-	-	7	31	-	-	-	-	31	15	28	-	-	-	-	43	81
Others	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Net Commission including rewards	15,381	21	8	-	15,410	8,496	-	2	-	-	8,498	10,365	625	-	86	-	1	11,077	34,985
Commission and Rewards on (Excluding Reinsurance) Business written :																			
In India	15,381	21	8	-	15,410	8,496	-	2	-	-	8,498	10,365	625	-	86	-	1	11,077	34,985
Outside India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Net Commission including rewards	15,381	21	8	-	15,410	8,496	-	2	-	-	8,498	10,365	625	-	86	-	1	11,077	34,985

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Schedules forming part of condensed financial statements

**FORM L-5-COMMISSION SCHEDULE
COMMISSION EXPENSES**

For the half year ended September 30, 2020

(₹ Lakhs)

Particulars	Linked Business					Non-Linked Business													Grand Total
						Participating					Non-Participating								
	Life	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total		
Commission																			
Direct – First year premiums	14,016	5	-	-	14,021	7,450	-	-	-	-	7,450	12,000	-	-	136	-	-	12,136	
– Renewal premiums	9,364	33	12	-	9,409	5,601	-	4	-	-	5,605	2,171	-	-	39	-	-	2,210	
– Single premiums	140	1	-	-	141	-	-	-	-	-	-	1,717	865	-	-	-	1	2,583	
Gross Commission	23,520	39	12	-	23,571	13,051	-	4	-	-	13,055	15,888	865	-	175	-	1	16,929	
Add: Commission on re-insurance accepted	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Less: Commission on re-insurance ceded	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Net Commission	23,520	39	12	-	23,571	13,051	-	4	-	-	13,055	15,888	865	-	175	-	1	16,929	
Rewards	608	3	1	-	612	452	-	-	-	-	452	1,004	33	-	3	-	-	1,040	
Net Commission including rewards	24,128	42	13	-	24,183	13,503	-	4	-	-	13,507	16,892	898	-	178	-	1	17,969	
Channel wise break-up of Commission and Rewards (Excluding Reinsurance commission):																			
Individual agents	4,494	34	12	-	4,540	7,611	-	3	-	-	7,614	5,260	199	-	45	-	-	5,504	
Corporate Agents -Others	19,572	8	1	-	19,581	3,726	-	1	-	-	3,727	7,885	644	-	131	-	-	8,760	
Brokers	50	-	-	-	50	2,109	-	-	-	-	2,109	2,316	9	-	3	1	-	2,329	
Micro Agents	-	-	-	-	-	-	-	-	-	-	-	2	-	-	-	-	-	2	
Direct Business - Online	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Direct Business - Others	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Common Service Centre (CSC)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Web Aggregators	4	-	-	-	4	6	-	-	-	-	6	1,299	-	-	-	-	-	1,299	
Insurance Marketing Firm	8	-	-	-	8	51	-	-	-	-	51	30	46	-	(1)	-	-	75	
Others	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Net Commission including rewards	24,128	42	13	-	24,183	13,503	-	4	-	-	13,507	16,892	898	-	178	-	1	17,969	
Commission and Rewards on (Excluding Reinsurance) Business written :																			
In India	24,128	42	13	-	24,183	13,503	-	4	-	-	13,507	16,892	898	-	178	-	1	17,969	
Outside India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Net Commission including rewards	24,128	42	13	-	24,183	13,503	-	4	-	-	13,507	16,892	898	-	178	-	1	17,969	

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Schedules forming part of condensed financial statements

FORM L-6-OPERATING EXPENSES SCHEDULE
OPERATING EXPENSES RELATED TO INSURANCE BUSINESS

For the quarter ended September 30, 2021

(₹ Lakhs)

Particulars	Linked Business					Non-Linked Business										Grand Total		
						Participating					Non-Participating							
	Life	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health		Variable Insurance	Total
Employees' remuneration and welfare benefits	13,641	216	53	-	13,910	3,502	-	67	-	-	3,569	10,339	953	14	163	18	11,487	28,966
Travel, conveyance and vehicle running expenses	351	5	1	-	357	62	-	2	-	-	64	187	22	-	2	1	212	633
Training expenses	98	1	-	-	99	23	-	-	-	-	23	55	8	-	2	-	65	187
Rents, rates and taxes	888	12	3	-	903	162	-	6	-	-	168	516	54	-	6	1	577	1,648
Repairs	445	6	1	-	452	94	-	7	-	-	101	268	28	-	5	-	301	854
Printing and stationery	58	2	1	-	61	28	-	-	-	-	28	49	4	-	4	-	87	146
Communication expenses	908	32	6	-	946	487	-	3	-	-	490	830	49	-	36	-	915	2,351
Legal and professional charges	904	22	7	-	933	471	-	4	-	-	475	4,890	99	-	26	1	5,016	6,424
Medical fees	82	-	-	-	82	39	-	4	-	-	43	963	-	1	-	1	968	1,090
Auditors' fees, expenses etc.	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(a) as auditor	23	1	-	-	24	11	-	-	-	-	11	20	2	-	2	-	24	59
(b) as advisor or in any other capacity, in respect of	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(i) Taxation matters	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(ii) Insurance matters	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(iii) Management Services; and	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c) in any other capacity (for Certification)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Advertisement and publicity	431	2	-	-	433	1,966	-	-	-	-	1,966	20,306	30	-	1	-	20,337	22,736
Interest and bank charges	419	6	2	-	427	303	-	1	-	-	304	331	41	-	28	-	400	1,131
Depreciation	867	11	2	-	880	155	-	2	-	-	157	504	53	-	6	-	563	1,600
Brand/Trade Mark usage fee/charges	129	6	-	-	135	29	-	7	-	-	36	52	20	2	-	2	76	247
Business Development and Sales Promotion Expenses	2	-	-	-	2	-	-	-	-	-	-	1	-	-	-	-	1	3
Stamp duty on policies	224	2	-	-	226	38	-	-	-	-	38	2,065	68	-	15	-	2,148	2,412
Information Technology Expenses	1,120	22	8	-	1,150	406	-	2	-	-	408	799	89	-	60	-	948	2,506
Goods and Services Tax (GST)	75	2	2	-	79	37	-	-	-	-	37	62	5	-	4	5	76	192
Others																		
Business conferences and meetings	728	2	1	-	731	206	-	-	-	-	206	529	63	-	2	-	594	1,531
Office running expenses	247	3	1	-	251	49	-	1	-	-	50	149	16	-	3	-	168	469
Data entry related expenses	437	20	8	-	465	210	-	5	-	-	215	381	31	2	29	1	444	1,124
Miscellaneous expenses	234	3	(1)	-	236	102	-	-	-	-	102	97	110	-	2	-	209	547
Total	22,311	376	95	-	22,782	8,380	-	111	-	-	8,491	43,393	1,745	19	396	30	45,583	76,856
Operating Expenses Related To Insurance Business																		
In India	22,285	376	95	-	22,756	8,370	-	111	-	-	8,481	43,340	1,743	19	396	30	45,528	76,765
Outside India	26	-	-	-	26	10	-	-	-	-	10	53	2	-	-	-	55	91
Total	22,311	376	95	-	22,782	8,380	-	111	-	-	8,491	43,393	1,745	19	396	30	45,583	76,856

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Schedules forming part of condensed financial statements

FORM L-6-OPERATING EXPENSES SCHEDULE
OPERATING EXPENSES RELATED TO INSURANCE BUSINESS

For the half year ended September 30, 2021

(₹ Lakhs)

Particulars	Linked Business					Non-Linked Business										Grand Total		
	Life	Pension	Health	Variable Insurance	Total	Participating					Non-Participating							
						Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health		Variable Insurance	Total
Employees' remuneration and welfare benefits	26,141	411	108	-	26,660	7,033	-	79	-	-	7,112	21,887	2,000	21	389	27	24,324	58,096
Travel, conveyance and vehicle running expenses	453	6	1	-	460	83	-	2	-	-	85	252	30	-	4	1	287	832
Training expenses	156	1	-	-	157	38	-	-	-	-	38	95	14	-	4	-	113	308
Rents, rates and taxes	1,725	20	6	-	1,751	331	-	10	-	-	341	1,115	121	1	15	1	1,253	3,345
Repairs	831	10	3	-	844	182	-	14	-	-	196	555	59	-	11	-	625	1,665
Printing and stationery	97	3	2	-	102	49	-	-	-	-	49	95	8	-	9	-	112	263
Communication expenses	1,587	61	10	-	1,658	902	-	4	-	-	906	2,338	94	-	79	-	2,511	5,075
Legal and professional charges	1,499	41	13	-	1,553	836	-	6	-	-	842	8,954	164	1	52	2	9,173	11,568
Medical fees	113	1	-	-	114	63	-	4	-	-	67	1,772	-	1	-	1	1,774	1,955
Auditors' fees, expenses etc.	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(a) as auditor	42	2	1	-	45	21	-	-	-	-	21	40	3	-	3	-	46	112
(b) as advisor or in any other capacity, in respect of	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(i) Taxation matters	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(ii) Insurance matters	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(iii) Management Services; and	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c) in any other capacity (for Certification)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Advertisement and publicity	821	5	1	-	827	2,723	-	-	-	-	2,723	44,595	83	-	3	-	44,681	48,231
Interest and bank charges	785	13	3	-	801	347	-	2	-	-	349	349	76	-	20	-	445	1,595
Depreciation	1,625	20	5	-	1,650	304	-	2	-	-	306	1,045	113	1	15	1	1,175	3,131
Brand/Trade Mark usage fee/charges	259	10	1	-	270	54	-	8	-	-	62	104	39	2	1	2	148	480
Business Development and Sales Promotion Expenses	21	-	-	-	21	7	-	-	-	-	7	20	2	-	-	-	22	50
Stamp duty on policies	340	4	-	-	344	62	-	-	-	-	62	4,642	70	-	37	-	4,749	5,155
Information Technology Expenses	2,102	43	16	-	2,161	784	-	4	-	-	788	1,717	182	-	132	-	2,031	4,980
Goods and Services Tax (GST)	173	6	4	-	183	87	-	1	-	-	88	157	12	-	12	10	191	462
Others																		
Business conferences and meetings	875	3	1	-	879	251	-	-	-	-	251	820	80	-	3	-	903	2,033
Office running expenses	441	6	2	-	449	93	-	1	-	-	94	299	32	-	7	-	338	881
Data entry related expenses	800	37	16	-	853	402	-	7	-	-	409	768	58	2	60	1	889	2,151
Miscellaneous expenses	747	87	2	-	836	142	-	3	-	-	145	203	88	-	9	-	300	1,281
Total	41,633	790	195	-	42,618	14,794	-	147	-	-	14,941	91,822	3,328	29	865	46	96,090	153,649
Operating Expenses Related To Insurance Business																		
In India	41,560	789	195	-	42,544	14,768	-	147	-	-	14,915	91,659	3,322	29	863	46	95,919	153,378
Outside India	73	1	-	-	74	26	-	-	-	-	26	163	6	-	2	-	171	271
Total	41,633	790	195	-	42,618	14,794	-	147	-	-	14,941	91,822	3,328	29	865	46	96,090	153,649

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Schedules forming part of condensed financial statements

**FORM L-6-OPERATING EXPENSES SCHEDULE
OPERATING EXPENSES RELATED TO INSURANCE BUSINESS**

For the quarter ended September 30, 2020

(₹ Lakhs)

Particulars	Linked Business					Non-Linked Business										Grand Total		
						Participating					Non-Participating							
	Life	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health		Variable Insurance	Total
Employees' remuneration and welfare benefits	9,728	181	51	-	9,960	4,389	-	57	-	-	4,446	7,313	490	-	141	2	7,946	22,352
Travel, conveyance and vehicle running expenses	68	1	-	-	69	23	-	1	-	-	24	29	4	-	1	-	34	127
Training expenses	64	-	-	-	64	27	-	-	-	-	27	35	4	-	2	-	41	132
Rents, rates and taxes	642	9	3	-	654	209	-	6	-	-	215	369	33	-	6	-	408	1,277
Repairs	445	7	2	-	454	156	-	8	-	-	164	266	23	-	6	-	295	913
Printing and stationery	37	1	1	-	39	24	-	-	-	-	24	28	3	-	3	-	34	97
Communication expenses	1,123	55	25	-	1,203	622	-	6	-	-	628	916	51	-	68	-	1,035	2,866
Legal and professional charges	582	20	7	-	609	474	-	3	-	-	477	2,454	35	-	22	2	2,513	3,599
Medical fees	22	-	-	-	22	36	-	-	-	-	36	819	-	-	-	-	819	877
Auditors' fees, expenses etc.	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(a) as auditor	21	1	-	-	22	13	-	-	-	-	13	18	1	-	2	-	21	56
(b) as advisor or in any other capacity, in respect of	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(i) Taxation matters	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(ii) Insurance matters	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(iii) Management Services; and	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c) in any other capacity (for Certification)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Advertisement and publicity	447	9	-	-	456	497	-	19	-	-	516	21,124	23	-	2	-	21,149	22,121
Interest and bank charges	375	10	1	-	386	44	-	17	-	-	61	41	64	-	(5)	-	100	547
Depreciation	734	11	3	-	748	233	-	2	-	-	235	424	37	-	7	-	468	1,451
Brand/Trade Mark usage fee/charges	168	4	1	-	173	35	-	8	-	-	43	40	15	-	-	-	55	271
Business Development and Sales Promotion Expenses	307	1	-	-	308	116	-	-	-	-	116	199	12	-	2	-	213	637
Stamp duty on policies	164	1	-	-	165	54	-	-	-	-	54	2,068	2	-	29	-	2,099	2,318
Information Technology Expenses	1,017	24	8	-	1,049	534	-	2	-	-	536	731	67	-	63	-	861	2,446
Goods and Services Tax (GST)	11	-	-	-	11	1	-	-	-	-	1	18	-	-	-	5	23	35
Others																		
Business conferences and meetings	258	2	1	-	261	106	-	-	-	-	106	(55)	13	-	1	-	(41)	326
Office running expenses	180	3	1	-	184	63	-	-	-	-	63	109	9	-	3	-	121	368
Data entry related expenses	315	15	7	-	337	182	-	5	-	-	187	259	17	-	23	-	299	823
Miscellaneous expenses	255	24	-	-	279	68	-	-	-	-	68	8	2	-	2	-	12	359
Total	16,963	379	111	-	17,453	7,906	-	134	-	-	8,040	37,213	905	-	378	9	38,505	63,998
Operating Expenses Related To Insurance Business																		
In India	16,941	379	111	-	17,431	7,896	-	134	-	-	8,030	37,162	904	-	378	9	38,453	63,914
Outside India	22	-	-	-	22	10	-	-	-	-	10	51	1	-	-	-	52	84
Total	16,963	379	111	-	17,453	7,906	-	134	-	-	8,040	37,213	905	-	378	9	38,505	63,998

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Schedules forming part of condensed financial statements

**FORM L-6-OPERATING EXPENSES SCHEDULE
OPERATING EXPENSES RELATED TO INSURANCE BUSINESS**

For the half year ended September 30, 2020

(₹ Lakhs)

Particulars	Linked Business					Non-Linked Business										Grand Total		
	Life	Pension	Health	Variable Insurance	Total	Participating					Non-Participating							
	Life	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	
Employees' remuneration and welfare benefits	18,969	393	97	-	19,059	7,881	-	65	-	-	7,946	15,338	817	14	336	8	16,513	43,518
Travel, conveyance and vehicle running expenses	88	2	1	-	91	31	-	1	-	-	32	41	5	-	2	-	48	171
Training expenses	76	-	-	-	76	34	-	-	-	-	34	39	6	-	2	-	47	157
Rents, rates and taxes	1,459	25	6	-	1,490	474	-	10	-	-	484	940	67	1	20	-	1,028	3,002
Repairs	786	14	4	-	804	282	-	14	-	-	296	523	37	-	17	-	577	1,677
Printing and stationery	47	1	1	-	49	31	-	-	-	-	31	41	3	-	5	-	49	129
Communication expenses	2,134	111	48	-	2,293	1,162	-	12	-	-	1,174	1,795	90	-	152	-	2,037	5,504
Legal and professional charges	1,018	38	13	-	1,069	724	-	4	-	-	728	3,472	56	1	46	4	3,579	5,376
Medical fees	28	-	-	-	28	47	-	-	-	-	47	1,260	-	-	-	-	1,260	1,335
Auditors' fees, expenses etc.	47	2	1	-	50	21	-	-	-	-	21	31	2	-	3	-	36	107
(a) as auditor	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(b) as advisor or in any other capacity, in respect of	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(i) Taxation matters	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(ii) Insurance matters	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(iii) Management Services; and	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c) in any other capacity (for Certification)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Advertisement and publicity	495	28	1	-	524	699	-	19	-	-	718	31,413	22	10	2	2	31,449	32,691
Interest and bank charges	795	34	2	-	831	98	-	17	-	-	115	83	121	5	(10)	1	200	1,146
Depreciation	1,516	25	7	-	1,548	486	-	2	-	-	488	958	72	1	20	-	1,061	3,087
Brand/Trade Mark usage fee/charges	8	1	-	-	9	61	-	8	-	-	69	71	32	3	1	-	107	535
Business Development and Sales Promotion Expenses	695	3	1	-	699	239	-	-	-	-	239	351	32	-	5	-	388	1,226
Stamp duty on policies	274	1	1	-	276	93	-	-	-	-	93	4,524	3	-	73	-	4,600	4,969
Information Technology Expenses	1,825	50	15	-	1,890	947	-	5	-	-	952	1,498	110	-	154	1	1,763	4,605
Goods and Services Tax (GST)	22	1	1	-	24	14	-	-	-	-	14	47	19	-	3	10	79	117
Others																		
Business conferences and meetings	140	4	1	-	145	(10)	-	-	-	-	(10)	1,003	7	-	(2)	-	1,008	1,143
Office running expenses	324	6	2	-	332	115	-	1	-	-	116	220	15	-	9	-	244	692
Data entry related expenses	577	29	12	-	618	344	-	6	-	-	350	562	30	1	61	-	654	1,622
Miscellaneous expenses	367	60	3	-	430	107	-	-	-	-	107	37	3	-	2	-	42	579
Total	31,532	835	218	-	32,585	13,880	-	164	-	-	14,044	64,247	1,549	36	901	26	66,799	113,388
Operating Expenses Related To Insurance Business																		
In India	31,492	834	218	-	32,544	13,862	-	164	-	-	14,026	64,164	1,547	36	900	26	66,673	113,243
Outside India	40	1	-	-	41	18	-	-	-	-	18	83	2	-	1	-	86	145
Total	31,532	835	218	-	32,585	13,880	-	164	-	-	14,044	64,247	1,549	36	901	26	66,799	113,388

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Schedules forming part of condensed financial statements

**FORM L-6A-OPERATING EXPENSES SCHEDULE
EXPENSES OTHER THAN THOSE DIRECTLY RELATED TO THE INSURANCE BUSINESS**

For the half year ended September 30, 2021

(₹ Lakhs)

Particulars	For the quarter	For the half year	For the quarter	For the half year
	ended September 30, 2021	ended September 30, 2021	ended September 30, 2020	ended September 30, 2020
Employees' remuneration and welfare benefits	25	50	17	33
Rents, rates and taxes	45	85	38	76
Repairs	15	27	15	30
Legal and professional charges	59	92	10	19
Interest and bank charges	2	4	3	6
Information Technology Expenses	4	9	6	11
Others				
- Miscellaneous expenses	(1)	-	-	(19)
Total	149	267	89	156

FORM L-7-BENEFITS PAID SCHEDULE
BENEFITS PAID [NET]

For the quarter ended September 30, 2021

(₹ Lakhs)

Particulars	Linked Business					Non-Linked Business												Grand Total
						Participating						Non-Participating						
	Life	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	
1 Insurance claims																		
(a) Claims by death	30,239	1,770	188	-	32,197	8,005	-	144	-	-	8,149	136,070	1,752	-	-	-	22	137,844
(b) Claims by maturity	71,646	5,050	-	-	76,696	13,681	-	546	-	-	14,227	191	-	-	-	-	-	191
(c) Annuities/Pension payment	-	-	-	-	-	-	-	-	-	-	-	-	9,891	-	-	-	-	9,891
(d) Periodical Benefit	-	-	-	-	-	-	-	-	-	-	10,569	-	-	-	-	-	-	10,569
(e) Health	-	-	2,868	-	2,868	-	-	-	-	-	-	1,030	-	-	315	-	-	1,345
(f) Surrenders/Withdrawal	483,517	57,654	-	-	541,171	11,788	-	497	-	-	12,285	5,532	29	14	-	6,964	-	12,539
(g) Others	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
- Rider	82	-	2	-	84	52	-	2	-	-	54	52	-	-	-	-	-	52
- Interest on unclaimed amounts	970	-	-	-	970	-	-	-	-	-	-	-	-	-	-	-	-	970
Sub Total (A)	586,454	64,474	3,058	-	653,986	44,115	-	1,189	-	-	45,304	142,875	11,672	14	315	6,986	161,862	861,152
Benefits Paid (Gross)																		
In India	586,454	64,474	3,058	-	653,986	44,115	-	1,189	-	-	45,304	142,875	11,672	14	315	6,986	161,862	861,152
Outside India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Benefits Paid (Gross)	586,454	64,474	3,058	-	653,986	44,115	-	1,189	-	-	45,304	142,875	11,672	14	315	6,986	161,862	861,152
2 (Amount ceded in reinsurance)																		
(a) Claims by death	(2,067)	-	-	-	(2,067)	(9)	-	-	-	-	(9)	(59,337)	-	-	-	-	-	(59,337)
(b) Claims by maturity	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c) Annuities/Pension payment	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d) Periodical Benefit	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e) Health	-	-	(1,296)	-	(1,296)	-	-	-	-	-	-	(704)	-	-	(257)	-	-	(961)
(f) Others	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
- Rider	(5)	-	-	-	(5)	-	-	-	-	-	-	-	-	-	-	-	-	(5)
Sub Total (B)	(2,072)	-	(1,296)	-	(3,368)	(9)	-	-	-	-	(9)	(60,041)	-	-	(257)	-	-	(60,298)
3 Amount accepted in reinsurance																		
(a) Claims by death	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(b) Claims by maturity	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c) Annuities/Pension payment	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d) Periodical Benefit	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e) Health	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(f) Others	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
- Rider	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Sub Total (C)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total (A) ± (B) ± (C)	584,382	64,474	1,762	-	650,618	44,106	-	1,189	-	-	45,295	82,834	11,672	14	58	6,986	101,564	797,477
Benefits paid (Net)																		
In India	584,382	64,474	1,762	-	650,618	44,106	-	1,189	-	-	45,295	82,834	11,672	14	58	6,986	101,564	797,477
Outside India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Benefits paid (Net)	584,382	64,474	1,762	-	650,618	44,106	-	1,189	-	-	45,295	82,834	11,672	14	58	6,986	101,564	797,477

Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Schedules forming part of condensed financial statements

FORM L-7-BENEFITS PAID SCHEDULE
BENEFITS PAID [NET]

For the half year ended September 30, 2020

(₹ Lakhs)

Particulars	Linked Business					Non-Linked Business												Grand Total
						Participating						Non-Participating						
	Life	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	
1 Insurance claims																		
(a) Claims by death	26,538	912	77	-	27,527	6,823	-	41	-	-	6,864	77,017	1,621	-	-	-	6	78,644
(b) Claims by maturity	50,653	47,785	-	-	98,438	15,279	-	1,145	-	-	16,424	163	-	-	-	-	-	163
(c) Annuities/Pension payment	-	-	-	-	-	-	-	-	-	-	-	-	13,744	-	-	-	-	13,744
(d) Periodical Benefit	-	-	-	-	-	-	-	-	-	-	13,641	-	-	-	-	-	-	13,641
(e) Health	-	-	2,816	-	2,816	-	-	-	-	-	-	265	-	-	-	368	-	3,449
(f) Surrenders/Withdrawal	434,916	78,636	-	-	513,552	9,067	-	857	-	-	9,924	4,419	-	-	-	-	1,974	6,393
(g) Others	119	1	2	-	122	78	-	3	-	-	81	5	-	-	-	-	-	5
- Rider	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
- Interest on unclaimed amounts	2,352	-	-	-	2,352	-	-	-	-	-	-	-	-	-	-	-	-	2,352
Sub Total (A)	514,578	127,334	2,895	-	644,807	44,888	-	2,046	-	-	46,934	81,869	15,365	-	368	1,980	99,582	791,323
Benefits Paid (Gross)																		
In India	514,578	127,334	2,895	-	644,807	44,888	-	2,046	-	-	46,934	81,869	15,365	-	368	1,980	-	99,582
Outside India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Benefits Paid (Gross)	514,578	127,334	2,895	-	644,807	44,888	-	2,046	-	-	46,934	81,869	15,365	-	368	1,980	99,582	791,323
2 (Amount ceded in reinsurance)																		
(a) Claims by death	(2,537)	-	-	-	(2,537)	(241)	-	-	-	-	(241)	(40,913)	-	-	(31)	-	-	(40,944)
(b) Claims by maturity	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c) Annuities/Pension payment	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d) Periodical Benefit	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e) Health	-	-	(1,328)	-	(1,328)	-	-	-	-	-	-	161	-	-	(239)	-	-	(78)
(f) Others	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
- Rider	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Sub Total (B)	(2,537)	-	(1,328)	-	(3,865)	(241)	-	-	-	-	(241)	(40,752)	-	-	(270)	-	(41,022)	(45,128)
Amount accepted in reinsurance																		
(a) Claims by death	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(b) Claims by maturity	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c) Annuities/Pension payment	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d) Periodical Benefit	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e) Health	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(f) Others	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
- Rider	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Sub Total (C)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total (A) ± (B) ± (C)	512,041	127,334	1,567	-	640,942	44,647	-	2,046	-	-	46,693	41,117	15,365	-	98	1,980	58,560	746,195
Benefits paid (Net)																		
In India	512,041	127,334	1,567	-	640,942	44,647	-	2,046	-	-	46,693	41,117	15,365	-	98	1,980	-	58,560
Outside India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Benefits paid (Net)	512,041	127,334	1,567	-	640,942	44,647	-	2,046	-	-	46,693	41,117	15,365	-	98	1,980	58,560	746,195

Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Schedules forming part of condensed financial statements

FORM L-8- SHARE CAPITAL SCHEDULE
SHARE CAPITAL

(₹ Lakhs)

Particulars	As at September 30, 2021	As at March 31, 2021	As at September 30, 2020
Authorised capital			
1,500,000,000 Equity shares of ₹ 10/- each	150,000	150,000	150,000
Preference shares	-	-	-
Issued Capital			
1,437,121,151 Equity shares of ₹ 10/- each fully paid up (March 31, 2021: 1,435,974,231; September 30, 2020: 1,435,902,691)	143,712	143,597	143,590
Preference shares	-	-	-
Subscribed Capital			
1,437,121,151 Equity shares of ₹ 10/- each fully paid up (March 31, 2021: 1,435,974,231; September 30, 2020: 1,435,902,691)	143,712	143,597	143,590
Preference shares	-	-	-
Called up Capital			
1,437,121,151 Equity shares of ₹ 10/- each fully paid up (March 31, 2021: 1,435,974,231; September 30, 2020: 1,435,902,691)	143,712	143,597	143,590
Less : Calls unpaid	-	-	-
Add : Shares forfeited (Amount originally paid up)	-	-	-
Less : Par value of Equity Shares bought back	-	-	-
Less : Preliminary Expenses	-	-	-
Less : Expenses including commission or brokerage	-	-	-
Less : Underwriting or subscription of shares	-	-	-
Preference shares	-	-	-
Total	143,712	143,597	143,590

Out of the total equity share capital, 737,605,504 equity shares (March 31, 2021 - 737,605,504 equity shares; September 30, 2021 - 737,605,504) of ₹ 10 each are held by the holding company, ICICI Bank Limited.

Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Schedules forming part of condensed financial statements

FORM L9- PATTERN OF SHAREHOLDING SCHEDULE
PATTERN OF SHAREHOLDING
[As certified by the Management]

Particular	As at September 30, 2021		As at March 31, 2021		As at September 30, 2020	
	Number of Shares	% of Holding	Number of Shares	% of Holding	Number of Shares	% of Holding
Promoters						
Indian (ICICI Bank Limited)	737,605,504	51.33	737,605,504	51.37	737,605,504	51.37
Foreign (Prudential Corporation Holdings Limited)	317,517,279	22.09	317,517,279	22.11	317,517,279	22.11
Investors						
Indian	103,986,505	7.23	112,493,406	7.83	122,844,494	8.56
Foreign	278,011,863	19.35	268,358,042	18.69	257,935,414	17.96
Others	-	-	-	-	-	-
Total	1,437,121,151	100.00	1,435,974,231	100.00	1,435,902,691	100.00

FORM L-9A-SHAREHOLDING PATTERN

DETAILS OF EQUITY HOLDING OF INSURERS

PART A:

PARTICULARS OF THE SHAREHOLDING PATTERN OF ICICI PRUDENTIAL LIFE INSURANCE COMPANY
AS AT QUARTER ENDED SEPTEMBER 30, 2021

Sl. No.	Category	No. of Investors	No. of shares held	% of share-holdings	Paid up equity (Rs. In lakhs)	Shares pledged or otherwise encumbered		Shares under Lock in Period	
						Number of shares (VI)	As a percentage of Total Shares held (VII) = (VI)/(III)*100	Number of shares (VIII)	As a percentage of Total Shares held (IX) = (VIII)/(III)*100
(I)	(II)		(III)	(IV)	(V)				
A	Promoters & Promoters Group								
A.1	Indian Promoters								
i)	Individuals/HUF (Names of major shareholders):								
	(i)	-	-	-	-	-	-	-	-
	(ii)	-	-	-	-	-	-	-	-
	(iii)	-	-	-	-	-	-	-	-
ii)	Bodies Corporate:								
	(i)	-	-	-	-	-	-	-	-
	(ii)	-	-	-	-	-	-	-	-
	(iii)	-	-	-	-	-	-	-	-
iii)	Financial Institutions/ Banks								
	ICICI Bank Limited	1	737,605,504	51.33	73,761	-	-	-	-
iv)	Central Government/ State Government(s) / President of India	-	-	-	-	-	-	-	-
v)	Persons acting in concert (Please specify)	-	-	-	-	-	-	-	-
vi)	Any other (Please specify)	-	-	-	-	-	-	-	-
A.2	Foreign Promoters								
i)	Individuals (Name of major shareholders):								
	(i)	-	-	-	-	-	-	-	-
	(ii)	-	-	-	-	-	-	-	-
	(iii)	-	-	-	-	-	-	-	-
ii)	Bodies Corporate:								
	(i) PRUDENTIAL CORPORATION HOLDINGS LIMITED	1	317,517,279	22.09	31,752	-	-	-	-
	(ii)	-	-	-	-	-	-	-	-
	(iii)	-	-	-	-	-	-	-	-
iii)	Any other (Please specify)	-	-	-	-	-	-	-	-
B.	Non Promoters								
B.1	Public Shareholders								
1.1)	Institutions								
i)	Mutual Funds	26	50,242,833	3.50	5,024	NA	NA	-	-
	SBI FUNDS MANAGEMENT PRIVATE LIMITED AND VARIOUS MUTUAL FUND ACCOUNTS	1	27,648,446	1.92	2,765	NA	NA	-	-
ii)	Foreign Portfolio Investors	515	246,786,313	17.17	24,679	NA	NA	-	-
	TOUCHSTONE STRATEGIC TRUST - TOUCHSTONE SANDS CAPI	1	14,992,768	1.04	1,499	NA	NA	-	-
iii)	Financial Institutions/Banks	4	1,926,836	0.13	193	NA	NA	-	-
iv)	Insurance Companies	17	5,967,301	0.42	597	NA	NA	-	-
v)	FII belonging to Foreign promoter	-	-	-	-	NA	NA	-	-
vi)	FII belonging to Foreign Promoter of Indian Promoter	-	-	-	-	NA	NA	-	-
vii)	Provident Fund/Pension Fund	-	-	-	-	NA	NA	-	-
viii)	Alternative Investment Fund	10	1,361,706	0.09	136	NA	NA	-	-
ix)	Any other (Please specify)	-	-	-	-	NA	NA	-	-
1.2)	Central Government/ State Government(s)/ President of India	-	-	-	-	NA	NA	-	-
1.3)	Non-Institutions								
i)	Individual share capital upto Rs. 2 Lacs	326,711	28,799,705	2.00	2,880	NA	NA	-	-
ii)	Individual share capital in excess of Rs. 2 Lacs	101	6,337,373	0.44	634	NA	NA	-	-
iii)	NBFCs registered with RBI	1	1,500	-	0	NA	NA	-	-
iv)	Others:								
	- Trusts	17	4,406,405	0.31	441	NA	NA	-	-
	- Non Resident Indian	2,954	1,118,373	0.08	112	NA	NA	-	-
	- Clearing Members	104	225,807	0.02	23	NA	NA	-	-
	- Non Resident Indian Non Repartriable	1,668	1,389,429	0.10	139	NA	NA	-	-
	- Bodies Corporate	1,145	3,585,445	0.25	359	NA	NA	-	-
	- IEPF	-	-	-	-	NA	NA	-	-
v)	Any other (Please Specify)								
	HUF	10,910	1,131,594	0.08	113	NA	NA	-	-
	FOREIGN BODIES	1	28,717,748	2.00	2,872	NA	NA	-	-
	COMPASSVALE INVESTMENTS PTE. LTD.	1	28,717,748	2.00	2,872	NA	NA	-	-
B.2	Non Public Shareholders								
2.1)	Custodian/DR Holder	-	-	-	-	NA	NA	-	-
2.2)	Employee Benefit Trust	-	-	-	-	NA	NA	-	-
2.3)	Any other (Please specify)	-	-	-	-	NA	NA	-	-
Total		344,198	1,437,121,151	100.00	143,712	NA	NA	-	-

FORM L-9A-SHAREHOLDING PATTERN

DETAILS OF EQUITY HOLDING OF INSURERS

PARTICULARS OF THE SHAREHOLDING PATTERN IN THE INDIAN PROMOTER COMPANY(S) /INDIAN INVESTOR(S) AS INDICATED AT (A) ABOVE

PART B:

Name of the Indian Promoter / Indian Investor: ICICI Bank Limited

Sl. No.	Category	No. of Investors	No. of shares held	% of share-holdings	Paid up equity (Rs. In lakhs)	Shares pledged or otherwise encumbered		Shares under Lock in Period	
						Number of shares (VI)	As a percentage of Total Shares held (VII) = (VI)/(III)*100	Number of shares (VIII)	As a percentage of Total Shares held (IX) = (VIII)/(III)*100
(I)	(II)		(III)	(IV)	(V)				
A	Promoters & Promoters Group								
A.1	Indian Promoters								
i)	Individuals/HUF (Names of major shareholders):								
	(i)	-	-	-	-	-	-	-	-
	(ii)	-	-	-	-	-	-	-	-
	(iii)	-	-	-	-	-	-	-	-
ii)	Bodies Corporate:								
	(i)	-	-	-	-	-	-	-	-
	(ii)	-	-	-	-	-	-	-	-
	(iii)	-	-	-	-	-	-	-	-
iii)	Financial Institutions/ Banks	-	-	-	-	-	-	-	-
iv)	Central Government/ State Government(s) / President of India	-	-	-	-	-	-	-	-
v)	Persons acting in concert (Please specify)	-	-	-	-	-	-	-	-
vi)	Any other (Please specify)	-	-	-	-	-	-	-	-
A.2	Foreign Promoters								
i)	Individuals (Name of major shareholders):								
	(i)	-	-	-	-	-	-	-	-
	(ii)	-	-	-	-	-	-	-	-
	(iii)	-	-	-	-	-	-	-	-
ii)	Bodies Corporate:								
	(i)	-	-	-	-	-	-	-	-
	(ii)	-	-	-	-	-	-	-	-
	(iii)	-	-	-	-	-	-	-	-
iii)	Any other (Please specify)	-	-	-	-	-	-	-	-
B.	Non Promoters								
B.1	Public Shareholders								
1.1)	Institutions								
i)	Mutual Funds	85	1,478,478,266	21.32	29,570	4,957,980	0.34	-	-
	SBI MUTUAL FUND	1	275,177,502	3.97	5,504	-	-	-	-
	ICICI PRUDENTIAL MUTUAL FUND	1	193,471,532	2.79	3,869	-	-	-	-
	HDFC MUTUAL FUND	1	145,133,661	2.09	2,903	-	-	-	-
	KOTAK MAHINDRA MUTUAL FUND	1	106,026,853	1.53	2,121	-	-	-	-
	ADITYA BIRLA SUN LIFE MUTUAL FUND	1	97,738,354	1.41	1,955	-	-	-	-
	UTI MUTUAL FUND	1	93,941,438	1.35	1,879	-	-	-	-
	NIPPON INDIA MUTUAL FUND	1	92,963,594	1.34	1,859	-	-	-	-
	MIRAE ASSET MUTUAL FUND	1	77,519,503	1.12	1,550	-	-	-	-
	AXIS MUTUAL FUND	1	72,726,673	1.05	1,455	-	-	-	-
ii)	Foreign Portfolio Investors	1,375	2,569,037,927	37.05	51,381	-	-	-	-
	DODGE & COX INTERNATIONAL STOCK FUND	1	152,986,676	2.21	3,060	-	-	-	-
	GOVERNMENT OF SINGAPORE	1	170,149,011	2.45	3,403	-	-	-	-
	EUROPACIFIC GROWTH FUND	1	70,785,328	1.02	1,416	-	-	-	-
iii)	Financial Institutions/Banks	187	3,930,836	0.06	79	-	-	-	-
iv)	Insurance Companies	41	672,738,380	9.70	13,455	-	-	-	-
	LIFE INSURANCE CORPORATION OF INDIA	1	412,441,877	5.95	8,249	-	-	-	-
v)	FI belonging to Foreign promoter	-	-	-	-	-	-	-	-
vi)	FI belonging to Foreign Promoter of Indian Promoter	-	-	-	-	-	-	-	-
vii)	Provident Fund/Pension Fund	1	119,923,539	1.73	2,398	-	-	-	-
	NPS Trust	1	119,923,539	1.73	2,398	-	-	-	-
viii)	Alternative Investment Fund	63	33,388,223	0.48	668	339,459	1.02	-	-
ix)	Any other (Please specify)	7	1,045,546	0.02	21	-	-	-	-
	Foreign banks	7	1,045,546	0.02	21	-	-	-	-
1.2)	Central Government/ State Government(s)/ President of India	7	13,625,707	0.20	273	-	-	-	-
1.3)	Non-Institutions								
i)	Individual share capital upto Rs. 2 Lacs	1,330,149	347,518,074	5.01	6,950	21,335,640	6.14	-	-
ii)	Individual share capital in excess of Rs. 2 Lacs	186	61,607,117	0.89	1,232	3,818,286	6.20	-	-
iii)	NBFCs registered with RBI	28	14,840,166	0.21	297	-	-	-	-
iv)	Others:	53,088	116,808,591	1.68	2,336	15,343,584	14.12	-	-
	- Trusts	79	2,825,092	0.04	57	65,358	2.31	-	-
	- Non Resident Indian	29,224	23,306,565	0.34	466	204,874	1.65	-	-
	- Clearing Members	272	3,548,660	0.05	71	158,375	4.46	-	-
	- Bodies Corporate	5,448	71,382,049	1.03	1,428	14,914,977	20.89	-	-
	- IEPF	1	7,581,557	0.11	152	-	-	-	-
	Foreign Nationals / Foreign Portfolio Investor (Individual)	14	47,191	-	1	-	-	-	-
	Overseas Corporate Bodies	1	3,300	-	0	-	-	-	-
	HUF	18,043	7,876,623	0.11	158	1,034,855	13.14	-	-
	Foreign Companies	3	155,019	-	3	-	-	-	-
	Foreign Bodies - DR	3	82,535	-	2	-	-	-	-
	Any other (Please Specify)	-	-	-	-	-	-	-	-
B.2	Non Public Shareholders								
2.1)	Custodian/DR Holder	1	1,501,467,625	21.65	30,029	-	-	-	-
	Deutsche Bank Trust Company Americas (Depository for ADS holders)	1	1,501,467,625	21.65	30,029	-	-	-	-
2.2)	Employee Benefit Trust	-	-	-	-	-	-	-	-
2.3)	Any other (Please specify)	-	-	-	-	-	-	-	-
Total		1,385,218	6,834,406,997	100.00	138,688	46,829,804			

Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Schedules forming part of condensed financial statements

FORM L-10-RESERVES AND SURPLUS SCHEDULE
RESERVES AND SURPLUS

(₹ Lakhs)

Particulars	As at September 30, 2021	As at March 31, 2021	As at September 30, 2020
Capital reserves	-	-	-
Capital redemption reserve	-	-	-
Share premium :			
- Opening balance	343,299	342,911.00	342,911.00
- Add:- Addition made during the period ended	4,250.00	388.00	123.00
- Less:- Reduction made during the period ended	-	-	-
Closing balance	347,549	343,299	343,034
Revaluation reserve:	2,335.00	2,335.00	2,585.00
General reserve			
Opening balance	-	-	-
Less: Transfer to Profit and Loss	-	-	-
Closing balance	-	-	-
Less: Debit balance in Profit and Loss Account	-	-	-
Less: Amount utilized for buy-back	-	-	-
Less: Amount utilized for issue of Bonus shares	-	-	-
Catastrophe reserve	-	-	-
Other reserves	-	-	-
Balance of profit in Profit and Loss Account	358,232.00	361,078.00	324,144.00
Total	708,116	706,712	669,763

Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Schedules forming part of condensed financial statements

FORM L11-BORROWING SCHEDULE
BORROWINGS

(₹ Lakhs)

Particulars	As at September 30, 2021	As at March 31, 2021	As at September 30, 2020
In the form of Debentures/ Bonds*	120,000	120,000	-
From Banks	-	-	-
From Financial Institutions	-	-	-
From Others	-	-	-
Total	120,000	120,000	-

*Amounts due within 12 months from the date of Balance Sheet: Nil

Unsecured, subordinated, nonconvertible debentures issued as per IRDAI (Other Forms of Capital) Regulations, 2015

Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Schedules forming part of condensed financial statements

FORM L-12-INVESTMENTS SHAREHOLDERS SCHEDULE
INVESTMENTS- SHAREHOLDERS

(₹ Lakhs)

Particulars	September 30, 2021	March 31, 2021	September 30, 2020
LONG TERM INVESTMENT			
Government securities and Government guaranteed bonds including Treasury Bills^{2,3}	328,260	394,977	251,541
(Market value at September 30, 2021: ₹ 322,751 lakhs)			
(Market value at March 31, 2021: ₹ 392,881 lakhs)			
(Market value at September 30, 2020: ₹ 256,493 lakhs)			
Other approved securities	53,454	51,869	31,290
(Market value at September 30, 2021: ₹ 54,913 lakhs)			
(Market value at March 31, 2021: ₹ 53,681 lakhs)			
(Market value at September 30, 2020: ₹ 33,237 lakhs)			
Other investments(approved investments)			
Equity shares	59,515	65,511	88,769
(Historical value at September 30, 2021: ₹ 45,983 lakhs)			
(Historical value at March 31, 2021: ₹ 40,555 lakhs)			
(Historical value at September 30, 2020: ₹ 80,429 lakhs)			
Preference shares	-	-	1,336
(Market value at September 30, 2021: Nil)			
(Market value at March 31, 2021: Nil)			
(Market value at September 30, 2020: ₹ 1,325 lakhs)			
Mutual fund	-	-	-
(Historical value at September 30, 2021: Nil)			
(Historical value at March 31, 2021: Nil)			
(Historical value at September 30, 2020: Nil)			
Derivative Instruments	-	-	-
(Historical value at September 30, 2021: Nil)			
(Historical value at March 31, 2021: Nil)			
(Historical value at September 30, 2020: Nil)			
Debentures/Bonds	26,797	23,633	20,489
(Market value at September 30, 2021: ₹ 27,374 lakhs)			
(Market value at March 31, 2021: ₹ 24,651 lakhs)			
(Market value at September 30, 2020: ₹ 21,342 lakhs)			
CCIL deposit	2,225	2,225	2,225
(Market value at September 30, 2021: ₹ 2,225 lakhs)			
(Market value at March 31, 2021: ₹ 2,225 lakhs)			
(Market value at September 30, 2020: ₹ 2,225 lakhs)			
Fixed deposits	12,425	11,450	10,550
(Market value at September 30, 2021: ₹ 12,425 lakhs)			
(Market value at March 31, 2021: ₹ 11,450 lakhs)			
(Market value at September 30, 2020: ₹ 10,550 lakhs)			
Investments in subsidiary	6,000	3,900	3,900
Property	38,845	38,845	39,095
(Historical value at September 30, 2021: ₹ 36,510 lakhs)			
(Historical value at March 31, 2021: ₹ 36,510 lakhs)			
(Historical value at September 30, 2020: ₹ 36,510 lakhs)			
Investments in infrastructure/housing sector			
Other investments(approved investments)			
Equity shares ⁷	3,139	83	2,092
(Historical value at September 30, 2021: ₹ 2,988 lakhs)			
(Historical value at March 31, 2021: ₹ 52 lakhs)			
(Historical value at September 30, 2020: ₹ 2,256 lakhs)			

Particulars	September 30, 2021	March 31, 2021	September 30, 2020
Debtures/Bonds (Market value at September 30, 2021: ₹ 132,975 lakhs) (Market value at March 31, 2021: ₹ 154,953 lakhs) (Market value at September 30, 2020: ₹ 126,227 lakhs)	125,880	146,118	114,049
Other than approved investments			
Equity shares (Historical value at September 30, 2021: Nil) (Historical value at March 31, 2021: Nil) (Historical value at September 30, 2020: Nil)	-	-	-
Other than approved investments			
Equity shares ⁷ (Historical value at September 30, 2021: ₹ 126,391 lakhs) (Historical value at March 31, 2021: ₹ 93,726 lakhs) (Historical value at September 30, 2020: ₹ 80,429 lakhs)	136,262	130,316	92,111
Preference shares (Market value at September 30, 2021: ₹ 3,500 lakhs) (Market value at March 31, 2021: ₹ 3,500 lakhs) (Market value at September 30, 2020: ₹ 3,500 lakhs)	3,500	3,500	3,500
Mutual fund (Historical value at September 30, 2021: Nil) (Historical value at March 31, 2021: Nil) (Historical value at September 30, 2020: Nil)	-	-	-
Debtures/Bonds (Market value at September 30, 2021: Nil) (Market value at March 31, 2021: ₹ 5,281 lakhs) (Market value at September 30, 2020: ₹ 7,949 lakhs)	-	4,998	7,496
SHORT TERM INVESTMENT			
Government securities and Government guaranteed bonds including Treasury Bills (Market value at September 30, 2021: Nil) (Market value at March 31, 2021: Nil) (Market value at September 30, 2020: Nil)	-	-	-
Other approved securities (Market value at September 30, 2021: ₹ 4,052 lakhs) (Market value at March 31, 2021: Nil) (Market value at September 30, 2020: Nil)	3,972	-	-
Other investments(approved investments)			
Equity shares (Historical value at September 30, 2021: Nil) (Historical value at March 31, 2021: Nil) (Historical value at September 30, 2020: Nil)	-	-	-
Preference shares (Market value at September 30, 2021: ₹ 755 lakhs) (Market value at March 31, 2021: ₹ 699 lakhs) (Market value at September 30, 2020: Nil)	699	656	-
Mutual fund ⁴ (Historical value at September 30, 2021: ₹ 10,001 lakhs) (Historical value at March 31, 2021: Nil) (Historical value at September 30, 2020: Nil)	10,124	-	-
Derivative Instruments (Historical value at September 30, 2021: Nil) (Historical value at March 31, 2021: Nil) (Historical value at September 30, 2020: Nil)	-	-	-
Debtures/Bonds (Market value at September 30, 2021: ₹ 7,657 lakhs) (Market value at March 31, 2021: ₹ 4,106 lakhs) (Market value at September 30, 2020: ₹ 6,634 lakhs)	7,499	3,997	6,497

Particulars	September 30, 2021	March 31, 2021	September 30, 2020
Fixed deposits ⁴ (Market value at September 30, 2021: ₹ 5,055 lakhs) (Market value at March 31, 2021: ₹ 15,055 lakhs) (Market value at September 30, 2020: ₹ 21,960 lakhs)	5,055	15,055	21,960
Triparty Repo (Market value at September 30, 2021: ₹ 24,409 lakhs) (Market value at March 31, 2021: ₹ 89,724 lakhs) (Market value at September 30, 2020: ₹ 76,261 lakhs)	24,409	89,724	76,261
Commercial papers (Market value at September 30, 2021: Nil) (Market value at March 31, 2021: Nil) (Market value at September 30, 2020: Nil)	-	-	-
Investments in subsidiary	-	-	-
Property (Historical value at September 30, 2021: Nil) (Historical value at March 31, 2021: Nil) (Historical value at September 30, 2020: Nil)	-	-	-
Investments in infrastructure/housing sector			
Other investments(approved investments)			
Debentures/Bonds (Market value at September 30, 2021: ₹ 1,288 lakhs) (Market value at March 31, 2021: ₹ 16,618 lakhs) (Market value at September 30, 2020: ₹ 37,413 lakhs)	1,275	16,040	36,155
Commercial papers (Market value at September 30, 2021: Nil) (Market value at March 31, 2021: Nil) (Market value at September 30, 2020: Nil)	-	-	-
Certificate of deposits (Market value at September 30, 2021: Nil) (Market value at March 31, 2021: Nil) (Market value at September 30, 2020: Nil)	-	-	-
Other than approved investments			
Debentures/Bonds (Market value at September 30, 2021: ₹ 7,673 lakhs) (Market value at March 31, 2021: ₹ 6,217 lakhs) (Market value at September 30, 2020: ₹ 6,249 lakhs)	7,493	6,119	6,119
Total	856,829	1,009,016	815,434
In India	856,829	1,009,016	815,434
Total	856,829	1,009,016	815,434

NOTES TO FORM L-12

(₹ Lakhs)

Sr. No.	Particulars	September 30, 2021	March 31, 2021	September 30, 2020
	Aggregate amount of company's investments:			
1	a) Other than equity securities, mutual fund, investments in subsidiary, investment in property and derivative instruments	602,945	770,360	589,468
	b) Market value of above Investments	607,050	781,041	611,366
	c) Aggregate amount of company's investments in mutual fund, equity and investments in subsidiary and investment in property (at cost subject to impairment)	227,873	174,743	215,395
2	Government Securities deposited with the Clearing Corporation of India Ltd (CCIL) as Settlement Guarantee Fund (SGF) deposit and Tri-Party Repo deposit			
	a) Amortised cost	Nil	Nil	2,514
	b) Market Value of above investment	Nil	Nil	2,733
3	Government Securities deposited with the Clearing Corporation of India Ltd (CCIL) as CCIL default fund deposit			
	a) Amortised cost	791	788	786
	b) Market Value of above investment	834	842	848
4	Deposits towards margin requirement for equity trade settlement - Deposited with National Securities Clearing Corporation Limited (NSCCL)	10,124	Nil	10,000
5	Investment in holding company at amortised cost	Nil	Nil	Nil
6	Investment in subsidiary company at acquisition cost	6,000	3,900	3,900
7	Equity shares includes shares transferred under securities lending and borrowing scheme (SLB) where the Company retains all the associated risk and rewards on these securities	Nil	Nil	157
8	Investment made out of catastrophe reserve	Nil	Nil	Nil

Note:

Debt Securities are held to maturity and reduction in market values represent market conditions and not a permanent diminution in value of investments, if any.

Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Schedules forming part of condensed financial statements

FORM L-13-INVESTMENTS POLICYHOLDERS SCHEDULE
INVESTMENTS - POLICYHOLDERS

(₹ Lakhs)

Particulars	September 30, 2021												Grand Total
	Participating						Non-Linked Business						
	Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	
LONG TERM INVESTMENT													
Government securities and Government guaranteed bonds including Treasury Bills ^{2,3} (Market value: ₹ 4,254,759 lakhs)	1,208,519	-	76,514	-	-	1,285,033	2,236,187	644,461	9,740	15,826	-	2,906,214	4,191,247
Other approved securities (Market value: ₹ 364,132 lakhs)	122,369	-	23,090	-	-	145,458	183,085	10,430	4,772	910	7,933	207,130	352,588
Other investments (approved investments)													
Equity shares (Historical value: ₹ 401,240 lakhs)	405,307	-	12,383	-	-	417,690	266,895	-	-	-	-	266,895	684,585
Preference shares (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
Mutual fund (Historical value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
Derivative Instruments (Historical value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
Debentures/Bonds (Market value: ₹ 180,722 lakhs)	42,110	-	4,773	-	-	46,884	103,113	5,160	526	-	8,878	117,677	164,561
Fixed deposits (Market value: ₹ 38,796 lakhs)	7,000	-	-	-	-	7,000	31,796	-	-	-	-	31,796	38,796
Investments in subsidiary Property (Historical value: ₹ 1,855 lakhs)	4,361	-	4,361	-	-	8,722	-	-	-	-	-	-	8,722
Investments in infrastructure/housing sector													
Other investments (approved investments)													
Equity shares ^b (Historical value: ₹ 37,534 lakhs)	35,146	-	1,142	-	-	36,288	23,473	-	-	-	-	23,473	59,761
Debentures/Bonds (Market value: ₹ 1,169,126 lakhs)	509,746	-	45,010	-	-	554,756	366,392	174,242	6,043	506	17,440	564,623	1,119,379
Other than approved investments													
Equity shares (Historical value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
Debentures/Bonds (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
Other than approved investments													
Equity shares (Historical value: ₹ 62,237 lakhs)	59,266	-	-	-	-	59,266	48,032	-	-	-	-	48,032	107,298

(₹ Lakhs)

Particulars	September 30, 2021												Grand Total
	Non-Linked Business												
	Participating						Non-Participating						
	Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	
Other than approved investments													
Debentures/Bonds (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
Venture fund (Market value: ₹ 374 lakhs)	227	-	-	-	-	227	-	-	-	-	-	-	227
Total	2,629,841	-	181,006	-	-	2,810,846	3,377,453	836,985	26,715	17,276	35,628	4,294,056	7,104,902
In India	2,629,841	-	181,006	-	-	2,810,846	3,377,453	836,985	26,715	17,276	35,628	4,294,056	7,104,902
Total	2,629,841	-	181,006	-	-	2,810,846	3,377,453	836,985	26,715	17,276	35,628	4,294,056	7,104,902

Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Schedules forming part of condensed financial statements

FORM L-13-INVESTMENTS POLICYHOLDERS SCHEDULE
INVESTMENTS - POLICYHOLDERS

(₹ Lakhs)

Particulars	March 31, 2021												Grand Total
	Participating						Non-Linked Business						
	Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	
LONG TERM INVESTMENT													
Government securities and Government guaranteed bonds including Treasury Bills ^{2,3} (Market value: ₹ 3,875,833 lakhs)	1,207,217	-	72,970	-	-	1,280,187	1,982,341	490,275	7,509	13,255	-	2,493,380	3,773,567
Other approved securities (Market value: ₹ 285,041 lakhs)	125,037	-	6,912	-	-	131,949	128,199	10,982	-	912	2,519	142,612	274,561
Other investments (approved investments)													
Equity shares (Historical value: ₹ 440,417 lakhs)	405,869	-	11,846	-	-	417,715	261,210	-	-	-	-	261,210	678,925
Preference shares (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
Mutual fund (Historical value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
Derivative Instruments (Historical value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
Debentures/Bonds (Market value: ₹ 176,471 lakhs)	41,172	-	4,777	-	-	45,949	91,653	5,021	999	-	14,515	112,188	158,137
Fixed deposits (Market value: ₹ 34,116 lakhs)	7,000	-	-	-	-	7,000	27,116	-	-	-	-	27,116	34,116
Investments in subsidiary Property (Historical value: ₹ 1,855 lakhs)	4,361	-	4,361	-	-	8,722	-	-	-	-	-	-	8,722
Investments in infrastructure/housing sector													
Other investments (approved investments)													
Equity shares ⁵ (Historical value: ₹ 34,976 lakhs)	26,299	-	983	-	-	27,282	19,081	-	-	-	-	19,081	46,363
Debentures/Bonds (Market value: ₹ 1,035,931 lakhs)	409,737	-	39,410	-	-	449,147	342,147	168,279	4,595	506	20,862	536,389	985,536
Other than approved investments													
Equity shares (Historical value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
Debentures/Bonds (Market value: ₹ 2,138 lakhs)	1,999	-	-	-	-	1,999	-	-	-	-	-	-	1,999
Other than approved investments													
Equity shares (Historical value: ₹ 58,465 lakhs)	55,202	-	-	-	-	55,202	53,352	-	-	-	-	53,352	108,554

(₹ Lakhs)

Particulars	March 31, 2021												Grand Total
	Non-Linked Business												
	Participating						Non-Participating						
	Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	
Other than approved investments													
Debentures/Bonds (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
Venture fund (Market value: ₹ 338 lakhs)	227	-	-	-	-	227	-	-	-	-	-	-	227
Total	2,417,610	-	147,365	-	-	2,564,975	3,029,565	690,360	16,553	16,056	39,747	3,792,281	6,357,256
In India	2,417,610	-	147,365	-	-	2,564,975	3,029,565	690,360	16,553	16,056	39,747	3,792,281	6,357,256
Total	2,417,610	-	147,365	-	-	2,564,975	3,029,565	690,360	16,553	16,056	39,747	3,792,281	6,357,256

Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Schedules forming part of condensed financial statements

FORM L-13-INVESTMENTS POLICYHOLDERS SCHEDULE
INVESTMENTS - POLICYHOLDERS

(₹ Lakhs)

Particulars	September 30, 2020												Grand Total
	Participating						Non-Linked Business						
	Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	
LONG TERM INVESTMENT													
Government securities and Government guaranteed bonds including Treasury Bills ^{2,3} (Market value: ₹ 3,320,412 lakhs)	1,057,100	-	67,988	-	-	1,125,088	1,660,885	359,569	2,622	11,503	-	2,034,579	3,159,667
Other approved securities (Market value: ₹ 256,865 lakhs)	111,529	-	4,339	-	-	115,868	117,350	11,079	-	914	422	129,765	245,633
Other investments (approved investments)													
Equity shares (Historical value: ₹ 557,456 lakhs)	406,642	-	12,379	-	-	419,021	298,905	-	-	-	-	298,905	717,926
Preference shares (Market value: ₹ 441 lakhs)	426	-	-	-	-	426	16	-	-	-	-	16	442
Mutual fund (Historical value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
Derivative Instruments (Historical value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
Debentures/Bonds (Market value: ₹ 161,308 lakhs)	38,189	-	4,782	-	-	42,971	75,745	14,038	999	-	14,484	105,266	148,237
Fixed deposits (Market value: ₹ 29,436 lakhs)	7,000	-	-	-	-	7,000	22,436	-	-	-	-	22,436	29,436
Investments in subsidiary	-	-	-	-	-	-	-	-	-	-	-	-	-
Property (Historical value: ₹ 1,855 lakhs)	4,204	-	4,204	-	-	8,408	-	-	-	-	-	-	8,408
Investments in infrastructure/housing sector													
Other investments (approved investments)													
Equity shares ⁵ (Historical value: ₹ 48,238 lakhs)	24,872	-	643	-	-	25,515	24,080	-	-	-	-	24,080	49,595
Debentures/Bonds (Market value: ₹ 835,059 lakhs)	309,336	-	37,952	-	-	347,288	294,342	121,013	3,907	-	16,541	435,803	783,091
Other than approved investments													
Equity shares (Historical value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
Debentures/Bonds (Market value: ₹ 2,111 lakhs)	2,000	-	-	-	-	2,000	-	-	-	-	-	-	2,000
Other than approved investments													
Equity shares (Historical value: ₹ 44,927 lakhs)	27,410	-	-	-	-	27,410	29,490	-	-	-	-	29,490	56,900

(₹ Lakhs)

Particulars	September 30, 2020												Grand Total
	Non-Linked Business												
	Participating						Non-Participating						
	Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	
Other than approved investments													
Debentures/Bonds (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
Venture fund (Market value: ₹ 488 lakhs)	357	-	-	-	-	-	-	-	-	-	-	-	357
Total	2,091,996	-	142,083	-	-	2,233,722	2,609,753	514,893	12,149	17,401	33,774	3,187,970	5,422,049
In India	2,091,996	-	142,083	-	-	2,233,722	2,609,753	514,893	12,149	17,401	33,774	3,187,970	5,422,049
Total	2,091,996	-	142,083	-	-	2,233,722	2,609,753	514,893	12,149	17,401	33,774	3,187,970	5,422,049

NOTES TO FORM L-13

(₹ Lakhs)

Sr. No.	Particulars	September 30, 2021	March 31, 2021	September 30, 2020
	Aggregate amount of company's investments:			
1	a) Other than equity securities, mutual fund, investments in subsidiary, investment in property and derivative instruments	6,183,715	5,500,831	4,581,737
	b) Market value of above investments	6,325,771	5,684,510	4,820,757
	c) Aggregate amount of company's investments in mutual fund, equity and investments in subsidiary and investment in property (at cost subject to impairment)	555,868	549,664	660,286
2	Government Securities deposited with the Clearing Corporation of India Ltd (CCIL) as Settlement Guarantee Fund (SGF) deposit and Tri-Party Repo deposit			
	a) Amortised cost	49,208	49,036	48,727
	b) Market Value of above investment	51,529	51,568	53,238
3	Investment in holding company at amortised cost	Nil	Nil	Nil
4	Investment in subsidiary company at acquisition cost	Nil	Nil	Nil
5	Equity shares includes shares transferred under securities lending and borrowing scheme (SLB) where the Company retains all the associated risk and rewards on these securities	Nil	2,555	1,074
6	Investment made out of catastrophe reserve	Nil	Nil	Nil

Note:

Debt Securities are held to maturity and reduction in market values represent market conditions and not a permanent diminution in value of investments, if any.

Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Schedules forming part of condensed financial statements

FORM L-14-ASSETS HELD TO COVER LINKED LIABILITIES SCHEDULE
ASSET HELD TO COVER LINKED LIABILITIES

(₹ Lakhs)

Particulars	September 30, 2021				
	Linked Business				
	Life	Pension	Health	Variable Insurance	Total
LONG TERM INVESTMENTS					
Government securities and Government guaranteed bonds including Treasury Bills (Historical value: ₹ 1,661,256 lakhs)	1,442,931	203,368	13,094	-	1,659,394
Other approved securities (Historical value: ₹ 534,009 lakhs)	481,167	48,635	2,479	-	532,280
Other investments (approved investments)					
Equity shares ⁴ (Historical value: ₹ 4,872,838 lakhs)	6,834,039	436,661	55,038	-	7,325,738
Preference shares (Historical value: Nil)	-	-	-	-	-
Mutual fund (Historical value: Nil)	-	-	-	-	-
Derivative Instruments (Historical value: Nil)	-	-	-	-	-
Debentures/Bonds (Historical value: ₹ 321,579 lakhs)	296,729	34,768	2,408	-	333,905
Fixed deposits (Historical value: ₹ 18,000 lakhs)	18,000	-	-	-	18,000
Investments in subsidiary Property (Historical value: Nil)	-	-	-	-	-
Investments in infrastructure/housing sector					
Other investments (approved investments)					
Equity shares ⁴ (Historical value: ₹ 516,364 lakhs)	705,780	41,559	4,827	-	752,166
Debentures/Bonds (Historical value: ₹ 784,888 lakhs)	695,633	114,844	7,362	-	817,839
Other than approved investments					
Equity shares (Historical value: ₹ 6,684 lakhs)	1,136	-	-	-	1,136
Debentures/Bonds (Historical value: Nil)	-	-	-	-	-
Other than approved investments					
Equity shares (Historical value: ₹ 702,531 lakhs)	943,627	56,006	5,420	-	1,005,053
Mutual fund (Historical value: ₹ 877,347 lakhs)	1,082,705	74,168	9,305	-	1,166,178
Debentures/Bonds (Historical value: ₹ 19,245 lakhs)	17,141	2,556	-	-	19,697
SHORT TERM INVESTMENTS					
Government securities and Government guaranteed bonds including Treasury Bills (Historical value: ₹ 601,378 lakhs)	559,205	40,492	447	-	600,143
Other approved securities (Historical value: ₹ 65,079 lakhs)	61,509	1,537	-	-	63,046
Other investments (approved investments)					
Equity shares (Historical value: Nil)	-	-	-	-	-
Preference shares (Historical value: ₹ 1,172 lakhs)	997	499	17	-	1,513
Mutual fund (Historical value: Nil)	-	-	-	-	-
Derivative Instruments (Historical value: Nil)	-	-	-	-	-
Debentures/Bonds (Historical value: ₹ 99,640 lakhs)	82,432	16,882	191	-	99,506
Certificate of deposits (Historical value: ₹ 87,267 lakhs)	78,033	10,501	107	-	88,642
Commercial papers (Historical value: ₹ 114,087 lakhs)	101,867	13,988	88	-	115,943
Fixed deposits (Historical value: Nil)	-	-	-	-	-
Triparty Repo (Historical value: ₹ 500,911 lakhs)	434,491	61,905	4,560	-	500,957
Investments in subsidiary Property (Historical value: Nil)	-	-	-	-	-
Investments in infrastructure/housing sector					
Other investments (approved investments)					
Debentures/Bonds (Historical value: ₹ 147,536 lakhs)	125,031	20,661	124	-	145,816
Certificate of deposits (Historical value: ₹ 21,152 lakhs)	21,680	-	-	-	21,680
Commercial papers (Historical value: ₹ 88,172 lakhs)	89,142	-	-	-	89,142
Other than approved investments					
Debentures/Bonds (Historical value: ₹ 4,920 lakhs)	4,676	191	10	-	4,878
Venture Fund (Historical value: ₹ 47 lakhs)	19	-	-	-	19
Net current asset	124,205	16,952	1,104	-	142,262
Total	14,202,176	1,196,174	106,583	-	15,504,933
In India	14,202,176	1,196,174	106,583	-	15,504,933
Total	14,202,176	1,196,174	106,583	-	15,504,933

FORM L-14-ASSETS HELD TO COVER LINKED LIABILITIES SCHEDULE
ASSET HELD TO COVER LINKED LIABILITIES

(₹ Lakhs)

Particulars	March 31, 2021				
	Linked Business				
	Life	Pension	Health	Variable Insurance	Total
LONG TERM INVESTMENTS					
Government securities and Government guaranteed bonds including Treasury Bills (Historical value: ₹ 1,812,549 lakhs)	1,604,804	189,602	9,441	-	1,803,847
Other approved securities (Historical value: ₹ 401,301 lakhs)	361,632	32,975	1,845	-	396,452
Other investments (approved investments)					
Equity shares ⁴ (Historical value: ₹ 4,599,139 lakhs)	5,828,804	413,724	51,084	-	6,293,612
Preference shares (Historical value: Nil)	-	-	-	-	-
Mutual fund (Historical value: Nil)	-	-	-	-	-
Derivative Instruments (Historical value: Nil)	-	-	-	-	-
Debentures/Bonds (Historical value: ₹ 273,152 lakhs)	247,792	35,679	2,376	-	285,847
Fixed deposits (Historical value: ₹ 18,000 lakhs)	18,000	-	-	-	18,000
Investments in subsidiary Property (Historical value: Nil)	-	-	-	-	-
Investments in infrastructure/housing sector					
Other investments (approved investments)					
Equity shares ⁴ (Historical value: ₹ 532,115 lakhs)	581,085	41,008	4,262	-	626,355
Debentures/Bonds (Historical value: ₹ 801,659 lakhs)	711,060	116,677	6,522	-	834,259
Other than approved investments					
Equity shares (Historical value: Nil)	-	-	-	-	-
Debentures/Bonds (Historical value: Nil)	-	-	-	-	-
Other than approved investments					
Equity shares (Historical value: ₹ 518,842 lakhs)	656,801	39,509	3,791	-	700,101
Mutual fund (Historical value: ₹ 803,072 lakhs)	906,658	62,849	7,668	-	977,175
Debentures/Bonds (Historical value: ₹ 19,172 lakhs)	17,001	2,557	191	-	19,749
SHORT TERM INVESTMENTS					
Government securities and Government guaranteed bonds including Treasury Bills (Historical value: ₹ 351,448 lakhs)	322,373	30,316	342	-	353,031
Other approved securities (Historical value: ₹ 34,458 lakhs)	32,382	1,579	-	-	33,961
Other investments (approved investments)					
Equity shares (Historical value: Nil)	-	-	-	-	-
Preference shares (Historical value: ₹ 1,172 lakhs)	925	463	15	-	1,403
Mutual fund (Historical value: Nil)	-	-	-	-	-
Derivative Instruments (Historical value: Nil)	-	-	-	-	-
Debentures/Bonds (Historical value: ₹ 97,585 lakhs)	84,353	13,951	144	-	98,448
Certificate of deposits (Historical value: ₹ 93,812 lakhs)	80,913	12,694	452	-	94,059
Commercial papers (Historical value: ₹ 144,877 lakhs)	125,300	21,249	139	-	146,688
Fixed deposits (Historical value: ₹ 68 lakhs)	50	18	-	-	68
Triparty Repo (Historical value: ₹ 569,213 lakhs)	489,580	72,697	6,988	-	569,265
Investments in subsidiary Property (Historical value: Nil)	-	-	-	-	-
Investments in infrastructure/housing sector					
Other investments (approved investments)					
Debentures/Bonds (Historical value: ₹ 186,232 lakhs)	161,260	24,216	243	-	185,719
Certificate of deposits (Historical value: ₹ 67,365 lakhs)	58,826	9,915	60	-	68,801
Commercial papers (Historical value: ₹ 178,959 lakhs)	174,004	8,157	89	-	182,250
Other than approved investments					
Debentures/Bonds (Historical value: ₹ 9,935 lakhs)	5,445	4,327	21	-	9,793
Venture Fund (Historical value: ₹ 47 lakhs)	19	-	-	-	19
Net current asset	135,897	19,191	924	-	156,012
Total	12,604,964	1,153,353	96,597	-	13,854,914
In India	12,604,964	1,153,353	96,597	-	13,854,914
Total	12,604,964	1,153,353	96,597	-	13,854,914

Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Schedules forming part of condensed financial statements

FORM L-14-ASSETS HELD TO COVER LINKED LIABILITIES SCHEDULE
ASSET HELD TO COVER LINKED LIABILITIES

(₹ Lakhs)

Particulars	September 30, 2020				
	Linked Business				
	Life	Pension	Health	Variable Insurance	Total
LONG TERM INVESTMENTS					
Government securities and Government guaranteed bonds including Treasury Bills (Historical value: ₹ 1,621,167 lakhs)	1,418,676	222,821	11,689	-	1,653,186
Other approved securities (Historical value: ₹ 293,643 lakhs)	274,022	16,949	819	-	291,790
Other investments (approved investments)					
Equity shares ⁴ (Historical value: ₹ 4,560,398 lakhs)	4,878,595	387,214	45,302	-	5,311,111
Preference shares (Historical value: ₹ 2,344 lakhs)	1,751	877	29	-	2,657
Mutual fund (Historical value: Nil)	-	-	-	-	-
Derivative Instruments (Historical value: Nil)	-	-	-	-	-
Debentures/Bonds (Historical value: ₹ 389,794 lakhs)	346,642	56,969	3,146	-	406,757
Fixed deposits (Historical value: ₹ 18,000 lakhs)	18,000	-	-	-	18,000
Investments in subsidiary Property (Historical value: Nil)	-	-	-	-	-
Investments in infrastructure/housing sector					
Other investments (approved investments)					
Equity shares ⁴ (Historical value: ₹ 576,956 lakhs)	458,418	34,659	3,418	-	496,495
Debentures/Bonds (Historical value: ₹ 620,406 lakhs)	551,238	102,111	5,655	-	659,004
Other than approved investments					
Equity shares (Historical value: ₹ 1,309 lakhs)	835	150	164	-	1,149
Debentures/Bonds (Historical value: Nil)	-	-	-	-	-
Other than approved investments					
Equity shares (Historical value: ₹ 394,555 lakhs)	353,691	23,656	2,328	-	379,675
Mutual fund (Historical value: ₹ 764,350 lakhs)	573,704	48,411	4,461	-	626,576
Debentures/Bonds (Historical value: ₹ 24,042 lakhs)	20,831	3,733	213	-	24,777
SHORT TERM INVESTMENTS					
Government securities and Government guaranteed bonds including Treasury Bills (Historical value: ₹ 428,573 lakhs)	411,141	23,032	39	-	434,212
Other approved securities (Historical value: Nil)	-	-	-	-	-
Other investments (approved investments)					
Equity shares (Historical value: Nil)	-	-	-	-	-
Preference shares (Historical value: Nil)	-	-	-	-	-
Mutual fund (Historical value: Nil)	-	-	-	-	-
Derivative Instruments (Historical value: Nil)	-	-	-	-	-
Debentures/Bonds (Historical value: ₹ 141,152 lakhs)	123,426	19,111	185	-	142,722
Certificate of deposits (Historical value: ₹ 59,253 lakhs)	60,278	976	-	-	61,254
Commercial papers (Historical value: ₹ 161,076 lakhs)	133,332	31,830	294	-	165,456
Fixed deposits (Historical value: ₹ 4,994 lakhs)	4,060	885	49	-	4,994
Triparty Repo (Historical value: ₹ 438,052 lakhs)	399,502	34,777	3,812	-	438,091
Investments in subsidiary Property (Historical value: Nil)	-	-	-	-	-
Investments in infrastructure/housing sector					
Other investments (approved investments)					
Debentures/Bonds (Historical value: ₹ 198,107 lakhs)	173,529	24,393	266	-	198,188
Certificate of deposits (Historical value: ₹ 95,386 lakhs)	86,776	10,822	59	-	97,657
Commercial papers (Historical value: ₹ 175,303 lakhs)	167,840	11,282	88	-	179,210
Other than approved investments					
Debentures/Bonds (Historical value: ₹ 10,080 lakhs)	3,372	6,569	10	-	9,951
Venture Fund (Historical value: ₹ 48 lakhs)	19	-	-	-	19
Net current asset	117,509	18,382	605	-	136,496
Total	10,577,187	1,079,609	82,631	-	11,739,427
In India	10,577,187	1,079,609	82,631	-	11,739,427
Total	10,577,187	1,079,609	82,631	-	11,739,427

NOTES TO FORM L-14

(₹ Lakhs)

Sr. No.	Particulars	September 30, 2021	March 31, 2021	September 30, 2020
	Aggregate amount of company's investments:			
1	a) other than equity securities, mutual fund, investments in subsidiary, investment in property and derivative instruments	5,079,917	5,069,315	4,700,682
	b) Market value of above investments	5,112,400	5,101,656	4,787,927
	c) Aggregate amount of company's investments in mutual fund, equity and investments in subsidiary and investment in property (at Historical cost)	6,975,764	6,453,168	6,297,568
2	Investment in holding company at amortised cost	Nil	Nil	Nil
3	Investment in subsidiary company at acquisition cost	Nil	Nil	Nil
4	Equity shares includes shares transferred under securities lending and borrowing scheme (SLB) where the Company retains all the associated risk and rewards on these securities	23,964	24,828	17,169
5	Investment made out of catastrophe reserve	Nil	Nil	Nil

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

L-14A - Aggregate value of Investments other than Listed Equity Securities and Derivative Instruments

(₹ Lakhs)

Particulars	Shareholders			Policyholders			Assets held to cover Linked Liabilities			Total		
	September 30, 2021	March 31, 2021	September 30, 2020	September 30, 2021	March 31, 2021	September 30, 2020	September 30, 2021	March 31, 2021	September 30, 2020	September 30, 2021	March 31, 2021	September 30, 2020
Long Term Investments:												
Book Value	552,542	638,770	442,476	5,872,105	5,233,466	4,374,078	3,338,976	3,325,832	2,969,396	9,763,623	9,198,068	7,785,950
Market Value	556,161	648,621	462,848	6,013,292	5,415,341	4,611,470	3,381,115	3,358,152	3,056,174	9,950,568	9,422,114	8,130,492
Short Term Investments:												
Book Value	50,403	131,590	146,992	311,609	267,365	207,659	1,740,940	1,743,482	1,731,286	2,102,952	2,142,437	2,085,937
Market Value	50,889	132,420	148,518	312,480	269,169	209,287	1,731,285	1,743,504	1,731,753	2,094,654	2,145,093	2,089,558

Note:

Aggregate amount of company's investments other than equity securities, mutual fund, investments in subsidiary, investment in property and derivative instruments.

Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Schedules forming part of condensed financial statements

FORM L-15-LOANS SCHEDULE
LOANS

(₹ Lakhs)

Particulars	As at September 30, 2021	As at March 31, 2021	As at September 30, 2020
1. Security-wise classifications			
Secured			
(a) On mortgage of property			
(aa) In India	-	-	-
(bb) Outside India	-	-	-
(b) On Shares, Bonds, Govt Securities, etc.	-	-	-
(c) Loans against policies	78,572	66,282	54,038
(d) Others	-	-	-
Unsecured	-	-	-
Total	78,572	66,282	54,038
2. Borrower wise classification			
(a) Central and State Governments	-	-	-
(b) Banks and Financial Institutions	-	-	-
(c) Subsidiaries	-	-	-
(d) Companies	-	-	-
(e) Policyholders - Loans against policies	78,572	66,282	54,038
(f) Others	-	-	-
Total	78,572	66,282	54,038
3. Performance-wise classification			
(a) Loans classified as standard			
(aa) In India	78,572	66,282	54,038
(bb) Outside India	-	-	-
(b) Non-standard loans less provisions			
(aa) In India	-	-	-
(bb) Outside India	-	-	-
Total	78,572	66,282	54,038
4. Maturity-wise classification			
(a) Short-term	1,063	1,075	1,010
(b) Long-term	77,509	65,207	53,028
Total	78,572	66,282	54,038

Note:

(₹ Lakhs)

Provisions against Non-performing Loans		
Non-Performing Loans	Loan Amount	Provision
Sub-standard	-	-
Doubtful	-	-
Loss	-	-
Total	-	-

Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Schedules forming part of condensed financial statements

FORM L-16-FIXED ASSETS SCHEDULE
FIXED ASSETS

(₹ Lakhs)

Particulars	Gross Block				Depreciation				Net Block		
	As at April 1, 2021	Additions	Deductions	As at September 30, 2021	As at April 1, 2021	For the period	On Sales/ Adjustment	As at September 30, 2021	As at September 30, 2021	As at March 31, 2021	As at September 30, 2020
Goodwill	-	-	-	-	-	-	-	-	-	-	-
Intangible assets											
Software ¹	14,800	1,945	-	16,745	11,837	813	-	12,650	4,095	2,963	1,916
Tangible assets											
Land-Freehold	9,033	-	-	9,033	-	-	-	-	9,033	9,033	9,033
Improvements to leasehold property	14,733	75	394	14,414	11,566	511	393	11,684	2,730	3,168	3,451
Buildings	21,265	-	-	21,265	1,347	187	-	1,534	19,731	19,918	20,104
Furniture & Fittings	3,387	43	88	3,342	2,877	74	82	2,869	473	510	580
Information technology equipment	5,719	665	227	6,157	4,767	366	220	4,913	1,244	953	1,158
Vehicles	740	-	20	720	528	66	20	574	146	212	279
Office equipment	5,414	157	343	5,228	4,422	225	316	4,331	897	991	957
Communication networks	12,892	167	50	13,009	7,093	888	44	7,937	5,072	5,799	6,282
Total	87,983	3,052	1,122	89,913	44,437	3,130	1,075	46,492	43,421	43,547	43,760
Work in progress	-	-	-	-	-	-	-	-	2,220	2,172	2,150
Total	87,983	3,052	1,122	89,913	44,437	3,130	1,075	46,492	45,641	45,719	45,910
As at March 31, 2021	85,849	3,936	1,802	87,983	40,122	5,980	1,666	44,436			
As at September 30, 2020	85,849	1,182	261	86,770	40,122	3,087	198	43,011			

¹ All software are other than those generated internally.

Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Schedules forming part of condensed financial statements

FORM L-17-CASH AND BANK BALANCE SCHEDULE
CASH AND BANK BALANCES

(₹ Lakhs)

Particulars	As at September 30, 2021	As at March 31, 2021	As at September 30, 2020
Cash (including cheques, drafts and stamps)*	6,393	11,027	3,935
Bank Balance			
(a) Deposit Account :			
(aa) Short-term (due within 12 months of the date of balance sheet)	-	-	-
(bb) Others	-	-	-
(b) Current accounts	85,041	44,643	31,051
(c) Unclaimed dividend accounts	73	70	70
Money at call and short notice			
(a) With Banks	-	-	-
(b) With other Institutions	-	-	-
Others	-	-	-
Total	91,507	55,740	35,056
Balances with non-scheduled banks included above	-	-	-
Cash and Bank Balances			
In India	91,473	55,691	34,991
Outside India	34	49	65
Total	91,507	55,740	35,056

*includes cheques in hand amounting to ₹ 3,757 Lakhs (₹ 9,268 Lakhs as on March 31, 2021, ₹ 2,972 Lakhs as on September 30, 2020)

Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Schedules forming part of condensed financial statements

FORM L-18-ADVANCE AND OTHER ASSETS SCHEDULE
ADVANCES AND OTHER ASSETS

(₹ Lakhs)

Particulars	As at September 30, 2021	As at March 31, 2021	As at September 30, 2020
Advances			
Reserve deposits with ceding companies	-	-	-
Application money for investments	-	-	-
Prepayments	2,672	3,059	2,553
Advances to Directors/Officers	-	-	-
Advance tax paid and taxes deducted at source (Net of provision for taxation)	14,923	11,570	15,466
Others			
- Advances to Employees	3	-	-
- Deposits			
Gross	3,903	3,692	3,557
Less: Provision for doubtful deposits	(91)	(91)	(84)
Net	3,812	3,601	3,473
- Other advances			
Gross	3,380	5,447	8,480
Less: Provision for doubtful advances	(65)	(865)	(176)
Net	3,315	4,582	8,304
- Other receivables			
Gross	9,122	13,373	8,970
Less: Provision for doubtful receivables	(214)	(249)	(68)
Net	8,908	13,124	8,902
Total (A)	33,633	35,936	38,698
OTHER ASSETS			
Income accrued on investments	148,714	121,093	144,769
Outstanding premiums	19,968	16,956	14,842
Agents' balances			
Gross	131	124	163
Less: Provision for doubtful agents' balance	(106)	(75)	(105)
Net	25	49	58
Foreign agencies balances	-	-	-
Due from other entities carrying on insurance business (including reinsurers)	130,846	28,280	16,574
Due from subsidiary / holding company	142	122	125
Assets held for unclaimed amount of policyholders*	110,051	75,935	94,149
Income on unclaimed amount of policyholders (net of fund administration expenses)	6,117	4,940	5,660
Others			
- Receivable towards investments sold	19,929	27,505	5,045
- Goods & Service tax un-utilised credit	18,371	19,025	9,485
- Margin money paid**	7,671	4,041	-
Total (B)	461,834	297,946	290,707
Total (A+B)	495,467	333,882	329,405

*excluding Income on unclaimed amount of policyholders (net of fund administration expenses).

**including interest receivable on margin paid

Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Schedules forming part of condensed financial statements

FORM L-19-CURRENT LIABILITIES SCHEDULE
CURRENT LIABILITIES

(₹ Lakhs)

Particulars	As at September 30, 2021	As at March 31, 2021	As at September 30, 2020
Agents' balances	9,651	14,218	6,461
Balance due to other insurance companies (including reinsurers)	-	575	2,283
Deposits held on re-insurance ceded	-	-	-
Premium received in advance	18,350	16,365	12,725
Unallocated premium	31,181	35,521	16,390
Sundry creditors	951	1,627	5,423
Due to subsidiaries/ holding company	27,290	14,146	12,230
Claims outstanding	175,897	48,287	64,064
Annuities Due	11	17	4
Due to Officers/Directors	-	-	-
Unclaimed amount of Policyholders ¹	110,051	75,935	94,149
Income accrued on Unclaimed amounts	6,117	4,940	5,660
Interest accrued but not due on borrowings	7,409	3,288	-
Others:			
- Deposits	1,430	1,430	1,430
- Expenses payable	49,877	45,950	41,965
- TDS payable	3,274	4,719	2,029
- Payable towards investments purchased	10,868	19,663	31,234
- Payable to unit fund	2,644	23,844	11,430
- Goods & Service tax/Service tax payable	26,125	29,632	18,022
- Payable to Policyholders	32,646	28,127	22,621
- Other liabilities ²	973	944	986
- Derivatives Liabilities	1,714	1,313	-
Total	516,459	370,541	349,106

¹ excluding interest on unclaimed amount of policyholders.

² Includes unclaimed dividend amounting to ₹ 73 Lakhs (₹ 70 Lakhs March 31, 2021; ₹ 70 Lakhs September 30, 2020)

Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Schedules forming part of condensed financial statements

FORM L-20-PROVISIONS SCHEDULE
PROVISIONS

(₹ Lakhs)

Particulars	As at September 30, 2021	As at March 31, 2021	As at September 30, 2020
For taxation (less payments and taxes deducted at source)	-	-	-
For Employee Benefits	2,556	2,323	2,363
Total	2,556	2,323	2,363

Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Schedules forming part of condensed financial statements

FORM L-21-MISC EXPENDITURE SCHEDULE
MISCELLANEOUS EXPENDITURE
(To the extent not written off or adjusted)

(₹ Lakhs)

Particulars	As at September 30, 2021	As at March 31, 2021	As at September 30, 2020
Discount allowed in issue of shares/debentures	-	-	-
Others	-	-	-
Total	-	-	-

ICICI PRUDENTIAL LIFE INSURANCE COMPANY LIMITED

FORM L-22 Standalone Analytical Ratios
For the quarter ended September 30, 2021

Sr No.	Particulars	For the quarter ended September 30, 2021	Upto the quarter ended September 30, 2021	For the quarter ended September 30, 2020	Upto the quarter ended September 30, 2020
1	New business premium income growth (segment-wise)				
	(i) Linked Business:				
	a) Life	1.2%	13.2%	(16.4%)	(27.1%)
	b) Pension	389.0%	45.9%	(26.6%)	74.0%
	c) Health	(10.7%)	(39.7%)	(82.1%)	(62.8%)
	d) Variable Insurance	NA	NA	NA	NA
	(ii) Non-Linked Business:				
	Participating:				
	a) Life	(29.4%)	(26.5%)	10.3%	4.9%
	b) Annuity	NA	NA	NA	NA
	c) Pension	NA	NA	NA	NA
	d) Health	NA	NA	NA	NA
	e) Variable Insurance	NA	NA	NA	NA
	Non Participating:				
	a) Life	51.9%	81.8%	10.3%	(7.7%)
	b) Annuity	65.3%	94.6%	72.6%	48.3%
	c) Pension	NA	228.0%	NA	NA
	d) Health	(21.5%)	(28.8%)	17.0%	24.1%
	e) Variable Insurance	2,804.8%	1,332.2%	(90.8%)	(95.3%)
2	Percentage of Single Premium (Individual Business) to Total New Business Premium (Individual Business)	36.6%	38.4%	33.1%	32.4%
3	Percentage of Linked New Business Premium (Individual Business) to Total New Business Premium (Individual Business)	43.0%	40.6%	42.7%	42.3%
4	Net retention ratio	97.4%	96.9%	98.2%	97.5%
5	Conservation Ratio (Segment wise)				
	(i) Linked Business:				
	a) Life	75.9%	79.7%	78.5%	78.3%
	b) Pension	69.3%	77.4%	85.3%	72.2%
	c) Health	87.0%	89.9%	94.1%	94.4%
	d) Variable Insurance	NA	NA	NA	NA
	(ii) Non-Linked Business:				
	Participating:				
	a) Life	84.2%	86.6%	90.4%	88.2%
	b) Annuity	NA	NA	NA	NA
	c) Pension	121.6%	121.4%	10,078.3%	4,505.8%
	d) Health	NA	NA	NA	NA
	e) Variable Insurance	NA	NA	NA	NA
	Non Participating:				
	a) Life	89.4%	91.9%	68.0%	68.1%
	b) Annuity	NA	NA	NA	NA
	c) Pension	NA	NA	NA	NA
	d) Health	77.9%	80.8%	80.3%	80.4%
	e) Variable Insurance	NA	NA	NA	NA
6	Expense of Management to Gross Direct Premium Ratio	12.5%	13.6%	11.3%	11.7%
7	Commission Ratio (Gross commission paid to Gross premium)	4.5%	4.2%	4.0%	3.8%
8	Business Development and Sales Promotion Expenses to New Business Premium	0.0%	0.0%	0.2%	0.3%
9	Brand/Trade Mark usage fee/charges to New Business Premium	0.1%	0.1%	0.1%	0.1%
10	Ratio of policyholders fund to shareholders funds	2,588.6%	2,588.6%	2,102.5%	2,102.5%
11	Change in net worth (Amount in Rs. Lakhs)	(36,433)	(36,433)	99,478	99,478
12	Growth in Networth	(4.4%)	(4.4%)	13.8%	13.8%
13	Ratio of surplus to policyholders liability				
	(i) Linked Business:				
	a) Life	0.2%	0.4%	0.4%	0.9%
	b) Pension	0.3%	0.6%	0.3%	0.7%
	c) Health	(0.0%)	0.2%	3.4%	2.9%
	d) Variable Insurance	NA	NA	NA	NA
	(ii) Non-Linked Business:				
	Participating:				
	a) Life	0.1%	(0.4%)	0.2%	0.1%
	b) Annuity	NA	NA	NA	NA
	c) Pension	0.8%	1.4%	2.6%	3.7%
	d) Health	NA	NA	NA	NA
	e) Variable Insurance	NA	NA	NA	NA
	Non Participating:				
	a) Life	(0.9%)	(3.5%)	(1.1%)	(2.7%)
	b) Annuity	1.3%	1.0%	(0.1%)	0.7%
	c) Pension	0.8%	0.8%	(0.5%)	(1.0%)
	d) Health	9.7%	7.9%	0.9%	66.5%
	e) Variable Insurance	1.6%	3.5%	0.6%	1.2%
14	Profit after tax/Total income	1.9%	0.7%	1.8%	1.7%
15	(Total Real Estate + Loans)/(Cash & Invested Assets)	0.7%	0.7%	0.7%	0.7%
16	Total Investments/(Capital + Reserves and Surplus)	2,680.3%	2,680.3%	2,188.7%	2,188.7%
17	Total Affiliated Investments/(Capital+ Reserves and Surplus)	1.4%	1.4%	1.3%	1.3%

Sr No.	Particulars	For the quarter ended September 30, 2021	Upto the quarter ended September 30, 2021	For the quarter ended September 30, 2020	Upto the quarter ended September 30, 2020
18	Investment Yield (Gross and Net)				
	A. Without unrealised gains				
	- Shareholders' Fund	15.3%	17.1%	8.7%	6.9%
	- Policyholders' Fund				
	Non Linked Par	7.4%	9.7%	9.4%	7.7%
	Non Linked Non Par	8.7%	8.5%	10.0%	9.3%
	Linked Non Par	14.3%	13.4%	10.0%	1.9%
	B. With unrealised gains				
	- Shareholders' Fund	8.1%	6.1%	10.8%	18.5%
	- Policyholders' Fund				
	Non Linked Par	15.9%	11.3%	10.6%	19.5%
	Non Linked Non Par	14.4%	7.2%	5.0%	14.9%
	Linked Non Par	36.1%	29.2%	24.4%	39.9%
15	Persistency Ratio¹				
	Premium Basis (Regular Premium/Limited Premium Payment under Individual category)				
	13th month	81.2%	85.0%	80.5%	82.3%
	25th month	74.6%	75.0%	69.0%	72.7%
	37th month	64.6%	66.3%	61.2%	64.9%
	49th month	60.6%	60.0%	61.0%	62.2%
	61st month	50.2%	51.8%	44.6%	51.6%
	Premium Basis (Single Premium/Fully paid-up under Individual category)				
	13th month	100.0%	100.0%	100.0%	100.0%
	25th month	100.0%	100.0%	98.8%	98.7%
	37th month	97.8%	97.8%	97.3%	97.0%
	49th month	96.3%	97.9%	95.3%	96.7%
	61st month	99.2%	99.0%	99.8%	99.9%
	Number of Policy Basis (Regular Premium/Limited Premium Payment under Individual category)				
	13th month	71.5%	75.2%	72.4%	71.1%
	25th month	66.7%	65.0%	59.8%	62.3%
	37th month	55.7%	57.5%	53.1%	56.6%
	49th month	51.4%	52.1%	56.4%	54.9%
	61st month	42.3%	44.2%	38.7%	43.0%
	Number of Policy Basis (Single Premium/Fully paid-up under Individual category)				
	13th month	100.0%	100.0%	100.0%	99.9%
	25th month	100.0%	99.7%	97.8%	97.7%
	37th month	96.1%	96.1%	95.7%	95.4%
	49th month	94.0%	97.1%	92.4%	94.9%
	61st month	98.8%	98.4%	99.5%	99.7%
16	NPA Ratio				
	Policyholders' Funds				
	Gross NPA Ratio	NIL	NIL	NIL	NIL
	Net NPA Ratio	NIL	NIL	NIL	NIL
	Shareholders' Funds				
	Gross NPA Ratio	NIL	NIL	NIL	NIL
	Net NPA Ratio	NIL	NIL	NIL	NIL
17	Solvency Ratio	199.9%	199.9%	205.5%	205.5%
18	Debt Equity Ratio²	0.14	0.14	NA	NA
19	Debt Service Coverage Ratio²	24.68	8.04	NA	NA
20	Interest Service Coverage Ratio²	24.68	8.04	NA	NA
21	Average ticket size in Rs. - Individual premium (Non-Single)	101,743	94,105	81,800	70,927
Equity Holding Pattern for Life Insurers					
1	No. of shares	1,437,121,151	1,437,121,151	1,435,902,691	1,435,902,691
2	Percentage of shareholding				
	a. Indian	58.56%	58.56%	59.92%	59.92%
	b. Foreign	41.44%	41.44%	40.08%	40.08%
3	Percentage of Government holding (in case of public sector insurance companies)	NA	NA	NA	NA
4	Basic and diluted EPS before extraordinary items (net of tax expense) for the period (not to be annualized)				
	- Basic	3.09	1.80	2.11	4.11
	- Diluted	3.08	1.79	2.11	4.11
5	Basic and diluted EPS after extraordinary items (net of tax expense) for the period (not to be annualized)				
	- Basic	3.09	1.80	2.11	4.11
	- Diluted	3.08	1.79	2.11	4.11
6	Book value per share (Rs)	60.9	60.9	57.2	57.2

Notes:-

- 1) Calculations are in accordance with the IRDAI circular IRDA/ACT/CIR/GEN/21/02/2010 dated February 11, 2010 .
 - a) Persistency ratios for the quarter ended September 30, 2021 have been calculated on October 31, 2021 for the policies issued in July to September period of the relevant years. For example, the 13th month persistency for quarter ended September 30, 2021 is calculated for policies issued from July 1, 2020 to September 30, 2020
 - b) Persistency ratios for the year ended September 30, 2021 have been calculated on October 31, 2021 for the policies issued in October to September period of the relevant years. For example, the 13th month persistency for year ended September 30, 2021 is calculated for policies issued from October 1, 2019 to September 30, 2020
 - c) Persistency ratios for the quarter ended September 30, 2020 have been calculated on October 31, 2020 for the policies issued in July to September period of the relevant years. For example, the 13th month persistency for quarter ended September 30, 2020 is calculated for policies issued from July 1, 2019 to September 30, 2019.
 - d) Persistency ratios for year ended September 30, 2020 have been calculated on October 31, 2020 for the policies issued in October to September period of the relevant years. For example, the 13th month persistency for year ended September 30, 2020 is calculated for policies issued from October 1, 2018 to September 30, 2019.
 - e) Group policies and policies under micro insurance products are excluded.
- 2) Disclosure for previous period comparatives are not available since the Company has raised non convertible debenture on November 6, 2020

FORM L-23-RECEIPT AND PAYMENTS SCHEDULE

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Condensed Receipts & Payments Account for the half year ended September 30, 2021

(₹ Lakhs)

Particulars	April 1, 2021 to September 30, 2021	April 1, 2020 to March 31, 2021	April 1, 2020 to September 30, 2020
CASH FLOWS FROM OPERATING ACTIVITIES			
Premium and other receipts (net of Goods and Service tax)	1,837,484	3,949,171	1,616,528
Interest received on tax refund	-	-	-
Payments to the re-insurers, net of commissions and claims/ Benefits	8,016	(5,219)	(2,018)
Payments to co-insurers, net of claims / benefit recovery	(1,353,324)	(2,355,713)	(766,909)
Payments of claims/benefits	-	-	-
Payments of commission and brokerage ¹	(76,706)	(137,561)	(53,696)
Payments of other operating expenses ²	(320,996)	(594,403)	(278,535)
Preliminary and pre-operative expenses	-	-	-
Deposits and advances	(212)	(529)	(395)
Income taxes paid (Net)	(5,701)	(21,745)	(11,000)
Goods and Service tax paid (Net)	(57,708)	(102,029)	(41,423)
Other payments	(1,806,631)	(3,217,199)	(1,153,976)
Cash flows before extraordinary items	30,853	731,972	462,552
Cash flow from extraordinary operations	-	-	-
Net cash flow from / (for) operating activities (A)	30,853	731,972	462,552
CASH FLOWS FROM INVESTING ACTIVITIES			
Purchase of fixed assets	(3,159)	(4,057)	(1,328)
Sale of fixed assets	233	165	121
Purchase of investments	(5,546,744)	(13,818,479)	(7,398,642)
Investment in Subsidiary	(2,100)	-	-
Loans disbursed	-	-	-
Loans against policies	(12,290)	(19,973)	(7,729)
Sale of investments	4,972,587	12,248,167	6,428,533
Repayments received	-	-	-
Advance/deposit for investment property	-	-	-
Interest & rent received (net of tax deducted at source)	329,593	643,044	271,699
Dividend received	59,475	82,484	48,771
Investments in money market instruments and in liquid mutual funds (Net)	139,351	361,980	280,694
Expense related to investment	(1,414)	(2,312)	(1,333)
Net cashflow from/ (for) investing activities (B)	(64,468)	(506,961)	(379,214)
CASH FLOWS FROM FINANCING ACTIVITIES			
Proceeds from issuance of share capital ³	4,314	455	127
Proceeds from borrowing	-	120,000	-
Repayments of borrowing	-	-	-
Interest paid	-	-	-
Final Dividend	(28,728)	-	-
Interim Dividend paid	-	-	-
Net cashflow from / (for) financing activities (C)	(24,414)	120,455	127
Effect of foreign exchange rates on cash and cash equivalents (net) (D)	1	-	-
Net increase/(decrease) in cash and cash equivalents (A+B+C+D)	(58,028)	343,446	83,466
Cash and cash equivalents at beginning of the year	944,879	601,433	601,432
Cash and cash equivalents at end of the period/ end of the year	886,851	944,879	684,898

Note:

Components of Cash and cash equivalents at the end of the period/ end of the year			
- Cash (including cheques in hand and stamps in hand)	6,393.00	11,027	3,935
- Bank Balances and Money at call and short notice ⁴	85,278.00	45,271	31,311
[Including bank balance for linked business of ₹ 165 lakhs (₹ 558 lakhs at March 31, 2021, ₹ 190 lakhs at September 30, 2020)]			
- Other short term liquid investment			
[Forming part of investments in financials and unclaimed assets as disclosed in Note L-18]	797,800.00	890,323	650,600
- Stamps on Hand			
[Part of Cash (including cheques, drafts and stamps) under Note L-17, however not a part of cash and cash equivalents]	(2,620.00)	(1,742)	(948)
	886,851	944,879	684,898

Reconciliation of Cash and Cash Equivalents with Cash & Bank Balances (Note L-17)

Cash and cash equivalents	886,851	944,879	684,898
Add: Stamps on hand and others	2,620	1,742	948
Less: Linked business bank balance	(164)	(558)	(190)
Less: Other short term liquid investment	(797,800)	(890,323)	(650,600)
Cash and Cash Balance as per Note L-17	81,507	65,740	36,056

¹Including rewards and/or remuneration to agents, brokers or other intermediaries

²Includes CSR expenses paid amounting to ₹ 203 lakhs during the half year ended (₹ 1175 lakhs for year ended March 31, 2021, ₹ 1097 lakhs for half year ended September 30, 2020)

³Includes movement in share application money and share issue expenses if any

⁴Includes balance in dividend account which is unclaimed amounting to ₹ 73 lakhs (₹ 70 lakhs at March 31, 2021, ₹ 70 lakhs at September 30, 2020).

The above Receipts and payments account has been prepared as prescribed by Insurance Regulatory and Development Authority (Preparation of financial statements and auditor's report of insurance companies) Regulations, 2002 under the "Direct method" in accordance with Accounting Standard 3 Cash Flow Statements.

Form L-24 :- VALUATION OF NET LIABILITIES

Name of the Insurer :- ICICI Prudential Life Insurance Co. Ltd.

Date: 30th September 2021

Net Liabilities (Rs. lakhs) (Frequency - Quarterly)			
Type	Category of business	Mathematical Reserves as at 30th September 2021	Mathematical Reserves as at 30th September 2020
Par	Non-Linked -VIP		
	Life	-	-
	General Annuity	-	-
	Pension	-	-
	Health	-	-
	Non-Linked -Others		
	Life *	23,76,233	19,31,303
	General Annuity	-	-
	Pension*	1,41,755	1,04,593
	Health	-	-
	Linked -VIP		
	Life	-	-
	General Annuity	-	-
	Pension	-	-
	Health	-	-
	Linked-Others		
	Life	-	-
	General Annuity	-	-
	Pension	-	-
	Health	-	-
Total Par		25,17,988	20,35,896
Non-Par	Non-Linked -VIP		
	Life	13,621	20,642
	General Annuity	-	-
	Pension	21,676	15,163
	Health	-	-
	Non-Linked -Others		
	Life*	31,74,449	25,35,288
	General Annuity	8,36,403	5,32,578
	Pension*	13,658	5,174
	Health	3,804	5,983
	Linked -VIP		
	Life	-	-
	General Annuity	-	-
	Pension	-	-
	Health	-	-
	Linked-Others		
	Life*	1,42,74,893	1,06,34,457
	General Annuity	-	-
	Pension*	11,99,836	10,83,592
	Health	1,13,298	89,341
Total Non Par		1,96,51,638	1,49,22,217
Total Business	Non-Linked -VIP		
	Life	13,621	20,642
	General Annuity	-	-
	Pension	21,676	15,163
	Health	-	-
	Non-Linked -Others		
	Life	55,50,682	44,66,590
	General Annuity	8,36,403	5,32,578
	Pension	1,55,413	1,09,767
	Health	3,804	5,983
	Linked -VIP		
	Life	-	-
	General Annuity	-	-
	Pension	-	-
	Health	-	-
	Linked-Others		
	Life	1,42,74,893	1,06,34,457
	General Annuity	-	-
	Pension	11,99,836	10,83,592
	Health	1,13,298	89,341
Total		2,21,69,626	1,69,58,113

* Include liability of Group line of business.

FORM L-25- (i) : Geographical Distribution of Business: Individuals

Name of the Insurer: ICICI PRUDENTIAL LIFE INSURANCE CO. LTD.

Date: September 30, 2021

Up to the Quarter - Current Year

Geographical Distribution of Total Business - Individuals												
Sl.No.	State / Union Territory	New Business - Rural			New Business - Urban			Total New Business			Renewal Premium (Rs. Lakhs)	Total Premium (New Business and Renewal) (Rs. Lakhs)
		No. of Policies	Premium (Rs Lakhs)	Sum Assured (Rs Lakhs)	No. of Policies	Premium (Rs lakhs)	Sum Assured (Rs Lakhs)	No. of Policies	Premium (Rs lakhs)	Sum Assured (Rs Lakhs)		
STATES												
1	Andhra Pradesh	792	510	22,974	3,602	4,481	128,460	4,394	4,991	151,433	17,542	22,532
2	Arunachal Pradesh	17	20	658	22	44	846	39	63	1,504	479	542
3	Assam	1,150	947	17,056	2,000	2,344	41,700	3,150	3,291	58,756	9,681	12,972
4	Bihar	3,725	4,543	49,734	5,344	7,350	134,391	9,069	11,893	184,124	23,761	35,655
5	Chhattisgarh	823	714	12,898	1,944	2,394	43,417	2,767	3,108	56,316	6,763	9,871
6	Goa	219	352	4,521	674	1,595	15,442	893	1,947	19,963	2,788	4,734
7	Gujarat	2,490	2,526	52,871	16,045	24,544	468,663	18,535	27,070	521,534	67,187	94,257
8	Haryana	1,268	3,115	24,916	5,551	11,006	222,747	6,819	14,120	247,663	29,289	43,409
9	Himachal Pradesh	1,162	1,249	21,185	633	839	13,302	1,795	2,088	34,487	5,326	7,414
10	Jharkhand	1,094	850	16,949	2,830	3,546	68,580	3,924	4,395	85,528	12,051	16,446
11	Karnataka	2,624	1,157	44,113	10,406	20,970	422,728	13,030	22,127	466,841	59,452	81,579
12	Kerala	2,748	3,628	53,170	8,730	16,608	188,045	11,478	20,237	241,215	45,984	66,220
13	Madhya Pradesh	2,046	2,250	33,259	7,075	8,979	218,750	9,121	11,230	252,009	21,542	32,772
14	Maharashtra	12,539	5,038	479,745	64,395	91,719	3,700,653	76,934	96,757	4,180,398	193,391	290,148
15	Manipur	224	145	3,509	420	413	6,232	644	558	9,741	1,704	2,262
16	Meghalaya	61	61	1,004	72	82	1,305	133	143	2,309	705	848
17	Mizoram	2	1	12	91	99	1,490	93	101	1,502	424	525
18	Nagaland	71	59	823	170	163	2,385	241	222	3,208	709	931
19	Orissa	3,332	3,372	48,198	4,729	8,372	101,899	8,061	11,745	150,097	22,671	34,416
20	Punjab	2,949	2,256	31,177	5,621	6,581	134,257	8,570	8,838	165,435	24,411	33,249
21	Rajasthan	3,530	3,248	86,446	10,976	11,633	365,499	14,506	14,881	451,945	44,250	59,130
22	Sikkim	36	52	872	69	62	1,295	105	114	2,168	607	721
23	Tamil Nadu	6,902	1,893	57,928	18,748	30,959	528,074	25,650	32,852	586,002	81,849	114,701
24	Telangana	781	637	29,573	7,141	13,137	308,957	7,922	13,774	338,530	37,152	50,925
25	Tripura	128	86	1,907	186	282	3,493	314	369	5,400	1,529	1,897
26	Uttarakhand	588	457	13,216	1,960	2,416	59,901	2,548	2,873	73,117	7,961	10,834
27	Uttar Pradesh	4,115	2,994	81,161	15,574	21,002	451,045	19,689	23,996	532,206	66,909	90,905
28	West Bengal	4,302	3,397	61,686	12,728	22,189	297,043	17,030	25,586	358,729	54,386	79,971
	TOTAL	59,718	45,558	1,251,563	207,736	313,808	7,930,597	267,454	359,366	9,182,160	840,501	1,199,867
UNION TERRITORIES												
1	Andaman & Nicobar Islands	-	-	-	-	0	-	-	0	-	5	5
2	Chandigarh	326	245	6,326	1,988	3,084	64,212	2,314	3,329	70,538	8,099	11,428
3	Dadra and Nagar Haveli and Daman & Diu	-	-	-	-	-	-	-	-	-	2	2
4	Govt. of NCT of Delhi	627	452	16,785	13,747	30,173	472,123	14,374	30,624	488,908	78,394	109,019
5	Jammu & Kashmir	468	387	8,078	1,184	1,127	28,499	1,652	1,514	36,578	4,069	5,583
6	Ladakh	-	-	-	-	-	-	-	-	-	-	-
7	Lakshadweep	-	-	-	-	-	-	-	-	-	-	-
8	Puducherry	76	52	1,013	408	759	11,923	484	812	12,937	1,867	2,678
	TOTAL	1,497	1,136	32,202	17,327	35,143	576,758	18,824	36,279	608,961	92,436	128,714
	GRAND TOTAL	61,215	46,694	1,283,765	225,063	348,951	8,507,356	286,278	395,645	9,791,121	932,936	1,328,581
IN INDIA												
								286,278	395,645	9,791,121	932,936	1,328,581
OUTSIDE INDIA												
								-	-	-	-	-

Note: The report reflects unique count of lives at a State, Sector level

FORM L-25- (i) : Geographical Distribution of Business: Individuals

Name of the Insurer: ICICI PRUDENTIAL LIFE INSURANCE CO. LTD.

Date :- September 30, 2020

Up to the Quarter - Previous Year

Geographical Distribution of Total Business - Individuals												
Sl.No.	State / Union Territory	New Business - Rural			New Business - Urban			Total New Business			Renewal Premium (Rs. Lakhs)	Total Premium (New Business and Renewal) (Rs. Lakhs)
		No. of Policies	Premium (Rs Lakhs)	Sum Assured (Rs Lakhs)	No. of Policies	Premium (Rs lakhs)	Sum Assured (Rs Lakhs)	No. of Policies	Premium (Rs lakhs)	Sum Assured (Rs Lakhs)		
STATES												
1	Andhra Pradesh	830	430	29,596	4,051	3,059	167,461	4,881	3,489	197,057	18,859	22,348
2	Arunachal Pradesh	46	51	798	71	108	2,794	117	159	3,593	484	643
3	Assam	908	555	12,718	2,319	2,129	48,058	3,227	2,684	60,775	10,444	13,128
4	Bihar	3,138	2,325	49,546	5,646	4,608	157,033	8,784	6,932	206,579	23,643	30,576
5	Chhattisgarh	707	427	13,367	1,952	1,621	55,885	2,659	2,048	69,252	7,204	9,252
6	Goa	182	130	4,029	629	858	18,248	811	987	22,277	2,956	3,943
7	Gujarat	2,208	1,450	56,794	15,145	17,675	588,331	17,353	19,125	645,124	69,787	88,912
8	Haryana	893	566	19,746	5,060	6,060	209,283	5,963	6,627	229,029	29,850	36,477
9	Himachal Pradesh	892	668	13,911	771	662	17,658	1,663	1,330	31,569	5,402	6,731
10	Jharkhand	941	661	16,222	2,773	2,367	75,007	3,714	3,028	91,229	12,328	15,356
11	Karnataka	2,273	874	49,337	10,706	16,695	497,965	12,979	17,570	547,301	60,808	78,378
12	Kerala	2,218	1,783	46,382	7,867	11,255	191,981	10,085	13,038	238,363	47,544	60,582
13	Madhya Pradesh	2,319	1,001	33,372	6,380	4,547	208,629	8,699	5,548	242,001	22,068	27,617
14	Maharashtra	12,272	3,801	427,687	64,541	63,094	4,038,963	76,813	66,895	4,466,650	196,249	263,144
15	Manipur	213	106	2,874	507	317	7,713	720	423	10,586	1,716	2,139
16	Meghalaya	58	59	1,106	180	137	3,274	238	196	4,381	685	881
17	Mizoram	3	4	28	70	74	1,746	73	77	1,774	379	456
18	Nagaland	66	27	397	211	124	2,147	277	150	2,544	724	875
19	Orissa	2,954	2,650	47,764	4,998	6,493	120,027	7,952	9,143	167,791	23,499	32,642
20	Punjab	2,179	1,348	28,325	5,214	4,297	142,293	7,393	5,645	170,619	24,707	30,352
21	Rajasthan	2,889	1,923	75,427	10,071	7,874	372,710	12,960	9,796	448,137	42,958	52,755
22	Sikkim	33	21	413	90	83	1,945	123	104	2,358	613	717
23	Tamil Nadu	2,898	1,239	49,013	19,191	21,452	530,858	22,089	22,692	579,871	87,470	110,161
24	Telangana	960	437	41,263	8,320	10,242	444,997	9,280	10,679	486,260	39,363	50,042
25	Tripura	158	99	2,027	349	288	4,933	507	387	6,960	1,625	2,012
26	Uttarakhand	361	272	11,659	1,657	1,626	57,216	2,018	1,898	68,875	7,879	9,778
27	Uttar Pradesh	3,913	2,228	81,201	14,777	12,760	470,816	18,690	14,988	552,017	67,285	82,273
28	West Bengal	3,970	2,304	61,319	13,566	15,999	349,347	17,536	18,304	410,666	54,615	72,919
	TOTAL	50,482	27,439	1,176,321	207,112	216,503	8,787,319	257,594	243,942	9,963,639	861,145	1,105,087
UNION TERRITORIES												
1	Andaman & Nicobar Islands	-	-	-	-	0	-	-	0	-	6	6
2	Chandigarh	282	135	7,472	1,913	2,119	69,610	2,195	2,254	77,081	8,065	10,319
3	Dadra and Nagar Haveli and Daman & Diu	-	-	-	-	-	-	-	-	-	2	2
4	Govt. of NCT of Delhi	1,058	226	21,832	13,979	18,052	513,526	15,037	18,278	535,358	83,000	101,279
5	Jammu & Kashmir	416	254	5,398	957	828	23,458	1,373	1,082	28,856	3,884	4,966
6	Ladakh	-	-	-	-	-	-	-	-	-	-	-
7	Lakshadweep	-	-	-	-	-	-	-	-	-	-	-
8	Puducherry	32	12	771	485	512	15,312	517	525	16,083	1,941	2,466
	TOTAL	1,788	627	35,473	17,334	21,511	621,906	19,122	22,138	657,378	96,899	119,038
	GRAND TOTAL	52,270	28,066	1,211,793	224,446	238,015	9,409,225	276,716	266,081	10,621,018	958,044	1,224,125
IN INDIA												
	OUTSIDE INDIA											

Note: The report reflects unique count of lives at a State, Sector level

FORM L-25- (i) : Geographical Distribution of Business: Individuals

Name of the Insurer: ICICI PRUDENTIAL LIFE INSURANCE CO. LTD.

Date: September 30, 2021

For the Quarter - Current Year

Geographical Distribution of Total Business - Individuals												
Sl.No.	State / Union Territory	New Business - Rural			New Business - Urban			Total New Business			Renewal Premium (Rs. Lakhs)	Total Premium (New Business and Renewal) (Rs. Lakhs)
		No. of Policies	Premium (Rs Lakhs)	Sum Assured (Rs Lakhs)	No. of Policies	Premium (Rs lakhs)	Sum Assured (Rs Lakhs)	No. of Policies	Premium (Rs lakhs)	Sum Assured (Rs Lakhs)		
STATES												
1	Andhra Pradesh	471	319	12,129	2,155	2,892	64,222	2,626	3,211	76,350	10,317	13,528
2	Arunachal Pradesh	8	14	444	10	11	262	18	25	706	243	268
3	Assam	651	644	9,439	1,198	1,481	24,459	1,849	2,125	33,898	5,311	7,436
4	Bihar	2,241	2,956	29,865	3,361	4,747	72,233	5,602	7,704	102,098	13,357	21,060
5	Chhattisgarh	536	514	7,943	1,216	1,544	22,621	1,752	2,058	30,565	3,845	5,903
6	Goa	124	210	2,358	372	852	7,853	496	1,062	10,211	1,674	2,736
7	Gujarat	1,435	1,451	26,270	9,200	14,363	236,241	10,635	15,815	262,511	35,636	51,450
8	Haryana	784	2,595	13,281	3,360	7,403	126,776	4,144	9,998	140,057	16,382	26,380
9	Himachal Pradesh	694	768	11,737	417	567	8,520	1,111	1,335	20,257	3,032	4,367
10	Jharkhand	676	556	9,593	1,801	2,478	38,664	2,477	3,034	48,256	6,946	9,980
11	Karnataka	1,617	728	20,206	6,197	12,938	225,633	7,814	13,666	245,840	33,487	47,153
12	Kerala	1,763	2,387	30,751	5,410	10,006	105,867	7,173	12,393	136,619	27,193	39,586
13	Madhya Pradesh	1,258	1,653	18,977	4,443	6,243	117,465	5,701	7,896	136,443	12,385	20,282
14	Maharashtra	7,087	2,965	219,510	33,368	54,055	1,765,651	40,455	57,019	1,985,162	106,175	163,195
15	Manipur	127	72	1,655	252	284	3,649	379	566	5,304	935	1,291
16	Meghalaya	44	46	730	47	53	754	91	99	1,484	380	479
17	Mizoram	1	0	2	55	70	864	56	71	866	225	295
18	Nagaland	51	36	570	104	100	1,729	155	136	2,298	368	504
19	Orissa	1,868	1,972	24,490	2,729	4,752	53,384	4,597	6,724	77,875	12,743	19,467
20	Punjab	1,674	1,405	16,095	3,266	4,188	71,585	4,940	5,593	87,680	13,640	19,233
21	Rajasthan	2,170	2,268	47,199	7,107	7,917	220,502	9,277	10,185	267,701	25,806	35,991
22	Sikkim	15	34	573	35	32	735	50	66	1,309	372	438
23	Tamil Nadu	4,338	1,244	31,606	11,413	19,646	289,396	15,751	20,890	321,002	46,966	67,856
24	Telangana	430	382	13,227	4,147	8,088	147,560	4,577	8,470	160,786	20,798	29,268
25	Tripura	66	43	784	95	182	1,971	161	225	2,755	813	1,039
26	Uttarakhand	362	283	6,343	1,229	1,560	32,272	1,591	1,843	38,615	4,652	6,495
27	Uttar Pradesh	2,472	1,865	45,924	9,721	14,281	254,704	12,193	16,145	300,628	38,319	54,465
28	West Bengal	2,479	2,008	32,378	7,422	13,437	160,151	9,901	15,445	192,529	30,262	45,707
	TOTAL	35,442	29,419	634,079	120,130	194,170	4,055,723	155,572	223,589	4,689,802	472,263	695,852
UNION TERRITORIES												
1	Andaman & Nicobar Islands	-	-	-	-	-	-	-	-	-	3	3
2	Chandigarh	186	149	3,649	1,200	1,947	34,431	1,386	2,096	38,080	4,588	6,684
3	Dadra and Nagar Haveli and Daman & Diu	-	-	-	-	-	-	-	-	-	1	1
4	Govt. of NCT of Delhi	356	297	9,276	8,446	18,155	277,617	8,802	18,452	286,894	42,425	60,876
5	Jammu & Kashmir	287	240	4,939	673	742	15,496	960	981	20,435	2,315	3,296
6	Ladakh	-	-	-	-	-	-	-	-	-	-	-
7	Lakshadweep	-	-	-	-	-	-	-	-	-	-	-
8	Puducherry	42	34	648	250	375	7,455	292	409	8,104	1,087	1,496
	TOTAL	871	720	18,513	10,569	21,218	335,000	11,440	21,938	353,513	50,418	72,356
	GRAND TOTAL	36,313	30,139	652,592	130,699	215,388	4,390,723	167,012	245,527	5,043,315	522,681	768,207
IN INDIA												
OUTSIDE INDIA												

Note: The report reflects unique count of lives at a State, Sector level

FORM L-25- (i) : Geographical Distribution of Business: Individuals

Name of the Insurer: ICICI PRUDENTIAL LIFE INSURANCE CO. LTD.

Date :- September 30, 2020

For the Quarter - Previous Year

Geographical Distribution of Total Business - Individuals												
Sl.No.	State / Union Territory	New Business - Rural			New Business - Urban			Total New Business			Renewal Premium (Rs. Lakhs)	Total Premium (New Business and Renewal) (Rs. Lakhs)
		No. of Policies	Premium (Rs Lakhs)	Sum Assured (Rs Lakhs)	No. of Policies	Premium (Rs lakhs)	Sum Assured (Rs Lakhs)	No. of Policies	Premium (Rs lakhs)	Sum Assured (Rs Lakhs)		
STATES												
1	Andhra Pradesh	483	266	18,105	2,220	1,892	97,725	2,703	2,158	115,830	11,318	13,476
2	Arunachal Pradesh	22	22	403	43	78	1,788	65	99	2,192	266	365
3	Assam	520	303	6,260	1,351	1,280	28,711	1,871	1,582	34,970	5,724	7,307
4	Bihar	1,762	1,592	30,522	2,935	2,903	92,869	4,697	4,495	123,391	13,598	18,093
5	Chhattisgarh	415	269	7,874	1,089	1,041	31,806	1,504	1,310	39,680	4,099	5,409
6	Goa	105	65	2,627	359	563	11,526	464	628	14,153	1,781	2,408
7	Gujarat	1,328	926	32,156	8,706	11,486	341,128	10,034	12,412	373,283	38,045	50,456
8	Haryana	545	375	11,910	3,014	4,245	121,192	3,559	4,620	133,102	16,912	21,532
9	Himachal Pradesh	526	424	8,554	479	442	11,233	1,005	866	19,787	3,147	4,013
10	Jharkhand	523	418	10,115	1,575	1,603	44,711	2,098	2,021	54,826	7,257	9,278
11	Karnataka	1,267	523	27,916	5,968	11,087	280,778	7,235	11,609	308,694	34,464	46,073
12	Kerala	1,284	1,191	27,389	4,738	7,771	118,141	6,022	8,962	145,530	28,167	37,129
13	Madhya Pradesh	1,358	661	19,091	3,797	3,061	120,969	5,155	3,723	140,060	13,044	16,766
14	Maharashtra	7,066	2,406	222,876	32,946	41,718	2,117,512	40,012	44,124	2,340,388	112,320	156,444
15	Manipur	100	55	1,557	294	209	4,755	394	263	6,312	933	1,197
16	Meghalaya	24	24	672	91	72	1,568	115	95	2,239	383	478
17	Mizoram	2	3	25	35	38	1,173	37	41	1,198	252	293
18	Nagaland	51	16	308	128	79	1,417	179	96	1,725	430	526
19	Orissa	1,670	1,671	30,408	2,890	4,085	71,718	4,560	5,756	102,126	13,620	19,376
20	Punjab	1,299	814	16,293	3,069	2,956	81,216	4,368	3,770	97,508	13,794	17,564
21	Rajasthan	1,675	1,235	44,628	5,828	5,429	211,637	7,503	6,665	256,265	24,776	31,440
22	Sikkim	28	17	394	55	56	1,534	83	74	1,928	393	467
23	Tamil Nadu	1,790	688	29,506	11,225	14,576	320,169	13,015	15,264	349,676	51,889	67,153
24	Telangana	489	239	23,152	4,712	5,447	263,087	5,201	5,686	286,239	22,611	28,297
25	Tripura	95	66	1,199	191	167	2,503	286	232	3,702	866	1,098
26	Uttarakhand	231	197	8,377	1,034	1,154	35,998	1,265	1,351	44,375	4,554	5,905
27	Uttar Pradesh	2,366	1,480	49,984	8,922	8,819	282,778	11,288	10,298	332,762	38,260	48,558
28	West Bengal	2,290	1,521	36,751	7,723	10,364	198,313	10,013	11,886	235,064	31,093	42,979
	TOTAL	29,314	17,466	669,052	115,417	142,621	4,897,955	144,731	160,087	5,567,007	493,994	654,080
UNION TERRITORIES												
1	Andaman & Nicobar Islands	-	-	-	-	0	-	-	0	-	3	3
2	Chandigarh	170	96	3,894	1,123	1,374	41,253	1,293	1,470	45,147	4,607	6,077
3	Dadra and Nagar Haveli and Daman & Diu	-	-	-	-	-	-	-	-	-	1	1
4	Govt. of NCT of Delhi	578	135	10,811	8,086	12,150	279,071	8,664	12,285	289,882	45,404	57,689
5	Jammu & Kashmir	246	167	3,488	539	572	13,134	785	739	16,622	2,140	2,880
6	Ladakh	-	-	-	-	-	-	-	-	-	-	-
7	Lakshadweep	-	-	-	-	-	-	-	-	-	-	-
8	Puducherry	12	4	410	277	343	9,111	289	347	9,521	1,175	1,522
	TOTAL	1,006	402	18,603	10,025	14,439	342,569	11,031	14,842	361,172	53,331	68,172
	GRAND TOTAL	30,320	17,868	687,655	125,442	157,060	5,240,524	155,762	174,928	5,928,179	547,325	722,253
IN INDIA												
	GRAND TOTAL	30,320	17,868	687,655	125,442	157,060	5,240,524	155,762	174,928	5,928,179	547,325	722,253
OUTSIDE INDIA												

Note: The report reflects unique count of lives at a State, Sector level

FORM L-25- (i) : Geographical Distribution of Business: GROUP

Name of the Insurer: ICICI PRUDENTIAL LIFE INSURANCE CO. LTD.

Date :- September 30, 2020

For the Quarter - Previous Year

Geographical Distribution of Total Business - GROUP															
Sl.No.	State / Union Territory	New Business - Rural (Group)				New Business - Urban (Group)				Total Business (Group)				Renewal Premium (Rs. Lakhs)	Total Premium (New Business and Renewal) (Rs. Lakhs)
		No. of Schemes	No. of Lives	Premium (Rs Lakhs)	Sum Assured (Rs Lakhs)	No. of Schemes	No. of Lives	Premium (Rs Lakhs)	Sum Assured (Rs Lakhs)	No. of Schemes	No. of Lives	Premium (Rs Lakhs)	Sum Assured (Rs Lakhs)		
STATES															
1	Andhra Pradesh	-	-	-	-	-	1,094	172	12,393	-	1,094	172	12,393	-	172
2	Arunachal Pradesh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
3	Assam	-	-	-	-	-	-	-	-	-	-	-	-	-	-
4	Bihar	-	-	-	-	-	-	-	-	-	-	-	-	-	-
5	Chhattisgarh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
6	Goa	-	-	-	-	-	(2)	0	48	-	(2)	0	48	-	0
7	Gujarat	-	-	-	-	47	15,447	28,417	129,446	47	15,447	28,417	129,446	83	28,501
8	Haryana	-	-	-	-	4	395,030	2,535	219,134	4	395,030	2,535	219,134	-	2,535
9	Himachal Pradesh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
10	Jharkhand	-	-	-	-	-	-	-	-	-	-	-	-	-	-
11	Karnataka	-	-	-	-	93	83,933	1,681	915,155	93	83,933	1,681	915,155	2,014	3,695
12	Kerala	-	-	-	-	-	136	1	273	-	136	1	273	-	1
13	Madhya Pradesh	-	-	-	-	-	2	(0)	(16)	-	2	(0)	(16)	7	7
14	Maharashtra	-	-	-	-	602	2,618,829	71,103	4,850,478	602	2,618,829	71,103	4,850,478	26,657	97,760
15	Manipur	-	-	-	-	-	-	-	-	-	-	-	-	-	-
16	Meghalaya	-	-	-	-	-	-	-	-	-	-	-	-	-	-
17	Mizoram	-	-	-	-	-	-	-	-	-	-	-	-	-	-
18	Nagaland	-	-	-	-	-	-	-	-	-	-	-	-	-	-
19	Orissa	-	-	-	-	1	55	(2)	1,009	1	55	(2)	1,009	-	(2)
20	Punjab	-	-	-	-	3	2,775	1	999	3	2,775	1	999	25	26
21	Rajasthan	-	-	-	-	(1)	(230)	(10)	(600)	(1)	(230)	(10)	(600)	-	(10)
22	Sikkim	-	-	-	-	-	-	-	-	-	-	-	-	-	-
23	Tamil Nadu	-	-	-	-	101	100,688	2,966	919,522	101	100,688	2,966	919,522	134	3,099
24	Telangana	-	-	-	-	66	45,024	1,490	477,735	66	45,024	1,490	477,735	14	1,504
25	Tripura	-	-	-	-	-	-	-	-	-	-	-	-	-	-
26	Uttarakhand	-	-	-	-	-	-	-	-	-	-	-	-	-	-
27	Uttar Pradesh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
28	West Bengal	-	-	-	-	62	28,073	2,642	477,072	62	28,073	2,642	477,072	77	2,719
	TOTAL	-	-	-	-	978	3,290,854	110,997	8,002,647	978	3,290,854	110,997	8,002,647	29,010	140,007
UNION TERRITORIES															
1	Andaman & Nicobar Islands	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2	Chandigarh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
3	Dadra and Nagar Haveli and Daman & Diu	-	-	-	-	-	-	-	-	-	-	-	-	-	-
4	Govt. of NCT of Delhi	-	-	-	-	290	161,550	9,998	1,607,610	290	161,550	9,998	1,607,610	1,088	11,087
5	Jammu & Kashmir	-	-	-	-	-	-	-	-	-	-	-	-	-	-
6	Ladakh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
7	Lakshadweep	-	-	-	-	-	-	-	-	-	-	-	-	-	-
8	Puducherry	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	TOTAL	-	-	-	-	290	161,550	9,998	1,607,610	290	161,550	9,998	1,607,610	1,088	11,087
	GRAND TOTAL	-	-	-	-	1,268	3,452,404	120,996	9,610,258	1,268	3,452,404	120,996	9,610,258	30,098	151,094
IN INDIA										1,268	3,452,404	120,996	9,610,258	30,098	151,094
OUTSIDE INDIA										-	-	-	-	-	-

FORM L-26-INVESTMENT ASSETS(LIFE INSURERS)-3A

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Statement as on: September 30, 2021

Statement of Investment Assets (Life Insurers)

(Business within India)

Periodicity of Submission: Quarterly

Section I

No	PARTICULARS	SCH	Amount
1	Investments (Shareholders)	8	856,829.47
	Investments (Policyholders)	8A	7,104,902.24
	Investments (Linked Liabilities)	8B	15,504,932.99
2	Loans	9	78,572.47
3	Fixed Assets	10	45,638.64
4	Current Assets		
	a. Cash & Bank Balance	11	91,507.10
	b. Advances & Other Assets	12	495,466.90
	Deferred tax asset		-
5	Current Liabilities		
	a. Current Liabilities	13	516,459.30
	b. Provisions	14	2555.59
	c. Misc. Exp not Written Off	15	-
	d. Debit Balance of P&L A/c		-

Application of Funds as per Balance Sheet (A) 23,658,834.92

	Less: Other Assets	SCH	Amount
1	Loans (if any)	9	-
2	Fixed Assets (if any)	10	45,638.64
3	Cash & Bank Balance (if any)	11	91,507.09
4	Advances & Other Assets (if any)	12	495,466.90
5	Deferred tax asset		-
6	Current Liabilities	13	516,459.30
7	Provisions	14	2555.59
8	Misc. Exp not Written Off	15	-
9	Investments held outside India		-
10	Debit Balance of P&L A/c		-

TOTAL (B) 113,597.74
Investment Assets (A-B) 23,545,237.18

PART - A

₹ Lakhs

Reconciliation of Investment Assets**Total Investment Assets (as per Balance Sheet)**23,545,237.18**Balance Sheet Value of:**

A. Life Fund

6,951,025.72

B. Pension & General Annuity and Group Business

1,089,278.48

C. Unit Linked Funds

15,504,932.99

23,545,237.18

Section II

₹ Lakhs

NON - LINKED BUSINESS

A. LIFE FUND		% as per Reg	SH		PH		Book Value (SH+PH)	Actual %	FVC Amount	Total Fund	Market Value		
			Balance	FRSM ⁺	UL-Non Unit Res	PAR						NON PAR	
			(a)	(b)	(c)	(d)						(e)	(f) = [a+b+c+d+e]
1	Central Govt. Sec	Not Less than 25%	-	328,260.32	109,509.55	1,208,518.64	2,153,552.62	3,799,841.14	58.24%	-	3,799,841.14	3,862,881.74	
2	Central Govt Sec, State Govt Sec or Other Approved Securities (incl (1) above)	Not Less than 50%	-	385,686.55	115,558.09	1,334,275.26	2,335,172.24	4,170,692.15	63.93%	-	4,170,692.15	4,246,312.48	
3	Investment subject to Exposure Norms												
	a. Infrastructure/ Social/ Housing Sector												
	1. Approved Investments	Not Less than 15%	-	130,143.42	3,035.95	555,325.65	371,029.29	1,059,534.31	16.24%	22,043.54	1,081,577.85	1,126,929.65	
	2. Other Investments		-	-	-	-	-	-	0.00%	-	0.00	0.00	
	b. i) Approved Investments	Not exceeding 35%	6,000.00	171,603.48	35,475.63	480,998.18	384,432.45	1,078,509.74	16.44%	299,110.34	1,377,620.07	1,393,429.64	
	ii) Other Investments		37,201.99	100,182.44	-	89,349.69	31,649.98	258,384.09	3.39%	62,751.56	321,135.65	321,684.99	
TOTAL LIFE FUND			100%	43,201.99	787,615.88	154,069.67	2,459,948.78	3,122,283.95	6,567,120.28	100.00%	383,905.44	6,951,025.72	7,088,356.77

B. PENSION & GENERAL ANNUITY AND GROUP BUSINESS		% as per Reg	PH		Book Value	Actual %	FVC Amount	Total Fund	Market Value
			PAR	NON PAR					
			(a)	(b)					
1	Central Govt. Sec	Not Less than 20%	76,514.14	646,567.05	723,081.20	66.84%	-	723,081.20	718,055.22
2	Central Govt Sec, State Govt Sec or Other Approved Securities (incl (1) above)	Not Less than 40%	101,369.02	672,621.03	773,990.05	71.54%	-	773,990.05	769,786.23
3	Balance in Approved investment	Not Exceeding 60%	75,766.55	232,096.06	307,862.60	28.46%	7,425.83	315,288.43	328,323.56
TOTAL PENSION, GENERAL ANNUITY FUND		100%	177,135.57	904,717.08	1,081,852.65	100.00%	7,425.83	1,089,278.48	1,098,109.79

LINKED BUSINESS

C. LINKED FUNDS		% as per Reg	PH		Total Fund	Actual %
			PAR	NON PAR		
			(a)	(b)		
1	Approved Investments	Not Less than 75%	-	13,307,972.80	13,307,972.80	85.83%
2	Other Investments	Not More than 25%	-	2,196,960.19	2,196,960.19	14.17%
TOTAL LINKED INSURANCE FUND		100%	-	15,504,932.99	15,504,932.99	100.00%

CERTIFICATION:

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

- Note:**
- (+) FRSM refers to 'Funds representing Solvency Margin'
 - Funds beyond Solvency Margin have a separate Custody Account.
 - Other Investments is as permitted as per Sec 27A (2) of Insurance Act, 1938 as amended from time to time
 - Pattern of Investment is applicable to both Shareholders funds representing solvency margin and policyholders funds.
 - Exposure Norms apply to Funds held beyond Solvency Margin, held in a separate Custody Account

FORM L-27-UNIT LINKED BUSINESS-3A

FORM 3A

Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2021

PART - B

₹ Lakhs

Particulars	ULIF 002 22/10/01 LBalancer1 105	ULIF 014 17/05/04 LBalancer2 105	ULIF 023 13/03/06 LBalancer3 105	ULIF 039 27/08/07 LBalancer4 105	ULIF 003 22/10/01 LProtect1 105	ULIF 016 17/05/04 LProtect2 105	ULIF 024 13/03/06 LProtect3 105	ULIF 041 27/08/07 LProtect4 105
	Balancer Fund	Balancer Fund II	Balancer Fund III	Balancer Fund IV	Protector Fund	Protector Fund II	Protector Fund III	Protector Fund IV
Opening Balance (Market value)	82,929.59	31,375.25	1,926.78	6,375.95	49,580.52	28,000.20	2,837.98	24,003.09
Add: Inflows during the quarter	494.27	232.03	67.33	13.19	1,206.15	1,043.61	768.47	1,027.06
Increase / (Decrease) value of investment (Net)	3,932.97	1,602.94	91.27	330.84	825.44	641.22	49.44	455.42
Less : Outflow during the quarter	(2,846.04)	(1,506.32)	(66.97)	(230.89)	(3,413.23)	(2,174.79)	(952.59)	(228.61)
Total Investible Funds (Market value)	84,510.80	31,703.90	1,964.28	6,543.24	48,198.87	27,510.25	2,703.30	25,256.96

Investment of Unit Fund	ULIF 002 22/10/01 LBalancer1 105		ULIF 014 17/05/04 LBalancer2 105		ULIF 023 13/03/06 LBalancer3 105		ULIF 039 27/08/07 LBalancer4 105		ULIF 003 22/10/01 LProtect1 105		ULIF 016 17/05/04 LProtect2 105		ULIF 024 13/03/06 LProtect3 105		ULIF 041 27/08/07 LProtect4 105	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)																
Central Government Securities	24,976.77	30%	9,595.39	30%	549.59	28%	2,175.60	33%	19,992.58	41%	11,008.11	40%	1,223.43	45%	12,013.34	48%
State Government Securities	1,875.82	2%	705.82	2%	44.34	2%	140.53	2%	1,803.75	4%	1,032.13	4%	109.97	4%	812.08	3%
Other Approved Securities	3,258.46	4%	1,227.38	4%	81.81	4%	255.63	4%	2,154.47	4%	1,505.58	5%	124.69	5%	1,369.39	5%
Corporate Bonds	7,991.48	9%	2,981.05	9%	155.04	8%	456.02	7%	9,618.92	20%	4,231.00	15%	421.83	16%	2,511.88	10%
Infrastructure Bonds	9,669.24	11%	3,871.38	12%	256.10	13%	786.84	12%	10,258.25	21%	7,964.21	29%	598.24	22%	5,273.30	21%
Equity	23,162.95	27%	8,714.18	27%	536.78	27%	1,790.36	27%	-	0%	-	0%	-	0%	-	0%
Money Market Investments	5,645.04	7%	1,558.64	5%	159.85	8%	332.00	5%	963.17	2%	618.81	2%	174.94	6%	2,314.09	9%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Deposit with Banks	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (A)	76,679.74	91%	28,653.83	90%	1,783.51	91%	5,936.99	91%	44,791.13	93%	26,359.85	96%	2,652.09	98%	24,293.08	96%
Current Assets:																
Accrued Interest	1,015.29	1%	398.24	1%	22.61	1%	79.95	1%	1,148.80	2%	686.63	2%	54.96	2%	499.12	2%
Dividend Receivable	7.57	0%	2.89	0%	0.18	0%	0.59	0%	-	0%	-	0%	-	0%	-	0%
Bank Balance	0.53	0%	0.18	0%	0.06	0%	0.08	0%	0.14	0%	0.11	0%	0.07	0%	0.26	0%
Receivable for sale of Investments	309.59	0%	127.93	0%	6.65	0%	23.35	0%	1,155.91	2%	513.92	2%	59.82	2%	463.21	2%
Other Current Assets (For Investments)	0.06	0%	0.02	0%	0.00	0%	0.00	0%	0.00	0%	0.00	0%	0.00	0%	2.06	0%
Less : Current Liabilities																
Payable for Investments	(131.52)	0%	(48.76)	0%	(3.11)	0%	(10.23)	0%	(0.10)	0%	(0.05)	0%	(0.01)	0%	(0.04)	0%
Fund Management Charges Payable	(5.19)	0%	(0.86)	0%	(0.12)	0%	(0.18)	0%	(1.98)	0%	(0.57)	0%	(0.11)	0%	(0.52)	0%
Other Current Liabilities (For Investments)	(22.51)	0%	(27.88)	0%	(0.08)	0%	(2.17)	0%	(45.41)	0%	(49.63)	0%	(63.51)	-2%	(0.20)	0%
Sub Total (B)	1,173.91	1%	451.76	1%	26.19	1%	91.40	1%	2,257.36	5%	1,150.40	4%	51.22	2%	963.88	4%
Other Investments (<=25%)																
Corporate Bonds	90.70	0%	90.70	0%	-	0%	-	0%	1,150.39	2%	-	0%	-	0%	-	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	6,666.45	8%	2,507.61	8%	154.58	8%	514.85	8%	-	0%	-	0%	-	0%	-	0%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Venture Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Others	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (C)	6,757.14	8%	2,598.31	8%	154.58	8%	514.85	8%	1,150.39	2%	-	0%	-	0%	-	0%
Total (A+B+C)	84,510.80	100%	31,703.90	100%	1,964.28	100%	6,543.24	100%	48,198.87	100%	27,510.25	100%	2,703.30	100%	25,256.96	100%
Fund carried forward (as per LB2)	84,510.80		31,703.90		1,964.28		6,543.24		48,198.87		27,510.25		2,703.30		25,256.96	

Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of Item 13 of FORM ULB 2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

FORM L-27-UNIT LINKED BUSINESS-3A

FORM 3A

Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2021

PART - B

₹ Lakhs

Particulars	ULIF 001 22/10/01 LMaximis1 105	ULIF 012 17/05/04 LMaximis2 105	ULIF 022 13/03/06 LMaximis3 105	ULIF 037 27/08/07 LMaximis4 105	ULIF 114 15/03/11 LMaximis5 105	ULIF 010 17/05/04 LPreserv1 105	ULIF 021 13/03/06 LPreserv3 105	ULIF 036 27/08/07 LPreserv4 105
	Maximiser Fund	Maximiser Fund II	Maximiser Fund III	Maximiser Fund IV	Maximiser Fund V	Preserver Fund	Preserver Fund III	Preserver Fund IV
Opening Balance (Market value)	333,634.96	99,053.89	13,275.43	1,737.94	4,492,726.12	31,601.47	1,877.49	3,077.66
Add: Inflows during the quarter	1,393.76	1,361.29	307.10	39.99	142,535.78	3,822.56	398.90	245.46
Increase / (Decrease) value of investment (Net)	36,001.33	10,912.79	1,418.30	191.34	501,166.27	241.91	13.28	22.78
Less : Outflow during the quarter	(10,936.64)	(4,367.31)	(806.65)	(37.37)	(190,969.10)	(5,340.83)	(417.72)	(378.23)
Total Investible Funds (Market value)	360,093.41	106,960.66	14,194.19	1,931.90	4,945,459.08	30,325.11	1,671.95	2,967.67

Investment of Unit Fund	ULIF 001 22/10/01 LMaximis1 105		ULIF 012 17/05/04 LMaximis2 105		ULIF 022 13/03/06 LMaximis3 105		ULIF 037 27/08/07 LMaximis4 105		ULIF 114 15/03/11 LMaximis5 105		ULIF 010 17/05/04 LPreserv1 105		ULIF 021 13/03/06 LPreserv3 105		ULIF 036 27/08/07 LPreserv4 105	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)																
Central Government Securities	-	0%	-	0%	-	0%	-	0%	-	0%	3,875.37	13%	355.59	21%	197.48	7%
State Government Securities	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Other Approved Securities	-	0%	-	0%	-	0%	-	0%	-	0%	1,024.68	3%	-	0%	-	0%
Corporate Bonds	0.50	0%	0.16	0%	0.02	0%	0.00	0%	5.50	0%	5,283.08	17%	329.18	20%	586.38	20%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	2,412.02	8%	110.55	7%	180.88	6%
Equity	277,294.00	77%	82,262.06	77%	10,920.12	77%	1,475.19	76%	3,804,090.31	77%	-	0%	-	0%	-	0%
Money Market Investments	7,036.83	2%	2,276.84	2%	280.47	2%	59.83	3%	94,551.60	2%	17,572.59	58%	858.69	51%	1,982.10	67%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Deposit with Banks	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (A)	284,331.32	79%	84,539.06	79%	11,200.61	79%	1,535.03	79%	3,898,647.41	79%	30,167.74	99%	1,654.01	99%	2,946.84	99%
Current Assets:																
Accrued Interest	0.00	0%	0.00	0%	0.00	0%	0.00	0%	0.04	0%	381.24	1%	17.98	1%	22.87	1%
Dividend Receivable	64.61	0%	19.19	0%	2.60	0%	0.34	0%	937.17	0%	-	0%	-	0%	-	0%
Bank Balance	0.70	0%	0.26	0%	0.08	0%	0.06	0%	8.73	0%	0.45	0%	0.06	0%	0.09	0%
Receivable for sale of Investments	93.91	0%	29.91	0%	1.08	0%	0.80	0%	1,720.49	0%	-	0%	-	0%	-	0%
Other Current Assets (For Investments)	26.64	0%	26.15	0%	0.00	0%	0.25	0%	982.97	0%	0.00	0%	-	0%	-	0%
Less : Current Liabilities																
Payable for Investments	(72.87)	0%	(21.64)	0%	(2.86)	0%	(0.76)	0%	(1,974.97)	0%	-	0%	-	0%	-	0%
Fund Management Charges Payable	(22.01)	0%	(4.34)	0%	(0.87)	0%	(0.08)	0%	(180.25)	0%	(0.63)	0%	(0.03)	0%	(0.06)	0%
Other Current Liabilities (For Investments)	(4.02)	0%	(0.80)	0%	(7.70)	0%	(0.01)	0%	(38.75)	0%	(223.70)	-1%	(0.07)	0%	(2.07)	0%
Sub Total (B)	86.96	0%	48.73	0%	(7.67)	0%	0.59	0%	1,457.42	0%	157.37	1%	17.94	1%	20.83	1%
Other Investments (<=25%)																
Corporate Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	75,675.13	21%	22,372.87	21%	3,001.24	21%	396.28	21%	1,045,354.26	21%	-	0%	-	0%	-	0%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Venture Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Others	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (C)	75,675.13	21%	22,372.87	21%	3,001.24	21%	396.28	21%	1,045,354.26	21%	-	0%	-	0%	-	0%
Total (A+B+C)	360,093.41	100%	106,960.66	100%	14,194.19	100%	1,931.90	100%	4,945,459.08	100%	30,325.11	100%	1,671.95	100%	2,967.67	100%
Fund carried forward (as per LB2)	360,093.41		106,960.66		14,194.19		1,931.90		4,945,459.08		30,325.11		1,671.95		2,967.67	

Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of Item 13 of FORM ULB 2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

FORM L-27-UNIT LINKED BUSINESS-3A

FORM 3A

Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2021

PART - B

₹ Lakhs

Particulars	ULIF 031 20/03/07 LFlexiBa1 105	ULIF 032 20/03/07 LFlexiBa2 105	ULIF 033 20/03/07 LFlexiBa3 105	ULIF 040 27/08/07 LFlexiBa4 105	ULIF 026 20/03/07 LFlexiGro1 105	ULIF 027 20/03/07 LFlexiGro2 105	ULIF 028 20/03/07 LFlexiGro3 105	ULIF 038 27/08/07 LFlexiGro4 105
	Flexi Balanced Fund	Flexi Balanced Fund II	Flexi Balanced Fund III	Flexi Balanced Fund IV	Flexi Growth Fund	Flexi Growth Fund II	Flexi Growth Fund III	Flexi Growth Fund IV
Opening Balance (Market value)	5,726.41	8,146.85	467.35	2,215.82	60,385.17	88,733.14	6,026.16	45,189.17
Add: Inflows during the quarter	442.45	273.18	9.60	154.93	602.84	456.35	394.84	60.13
Increase / (Decrease) value of investment (Net)	401.06	594.47	30.54	162.30	6,659.94	10,090.15	648.02	5,006.98
Less : Outflow during the quarter	(470.59)	(342.19)	(48.00)	(82.17)	(2,388.25)	(3,728.12)	(675.34)	(2,630.88)
Total Investible Funds (Market value)	6,099.33	8,672.30	459.49	2,450.89	65,259.69	95,551.51	6,493.69	47,625.40

Investment of Unit Fund	ULIF 031 20/03/07 LFlexiBa1 105		ULIF 032 20/03/07 LFlexiBa2 105		ULIF 033 20/03/07 LFlexiBa3 105		ULIF 040 27/08/07 LFlexiBa4 105		ULIF 026 20/03/07 LFlexiGro1 105		ULIF 027 20/03/07 LFlexiGro2 105		ULIF 028 20/03/07 LFlexiGro3 105		ULIF 038 27/08/07 LFlexiGro4 105	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)																
Central Government Securities	1,429.37	23%	1,702.05	20%	110.21	24%	535.06	22%	-	0%	-	0%	-	0%	-	0%
State Government Securities	107.53	2%	149.77	2%	10.47	2%	42.24	2%	-	0%	-	0%	-	0%	-	0%
Other Approved Securities	241.58	4%	307.09	4%	13.75	3%	81.81	3%	-	0%	-	0%	-	0%	-	0%
Corporate Bonds	336.31	6%	454.58	5%	21.30	5%	104.55	4%	2.68	0%	4.00	0%	0.29	0%	2.16	0%
Infrastructure Bonds	411.65	7%	853.51	10%	20.28	4%	241.21	10%	-	0%	-	0%	-	0%	-	0%
Equity	2,723.96	45%	3,890.20	45%	201.66	44%	1,071.87	44%	51,113.88	78%	74,507.63	78%	5,006.05	77%	37,863.01	80%
Money Market Investments	253.83	4%	285.76	3%	26.34	6%	86.70	4%	1,382.72	2%	2,088.29	2%	304.81	5%	1,047.20	2%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Deposit with Banks	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (A)	5,504.23	90%	7,642.97	88%	404.01	88%	2,163.45	88%	52,499.28	80%	76,599.92	80%	5,311.15	82%	38,912.37	82%
Current Assets:																
Accrued Interest	50.64	1%	64.96	1%	3.31	1%	19.10	1%	0.05	0%	0.07	0%	0.01	0%	0.04	0%
Dividend Receivable	1.18	0%	1.69	0%	0.10	0%	0.42	0%	23.41	0%	34.37	0%	2.35	0%	17.77	0%
Bank Balance	0.07	0%	0.08	0%	0.05	0%	0.06	0%	0.18	0%	0.24	0%	0.08	0%	0.15	0%
Receivable for sale of Investments	7.40	0%	10.34	0%	0.75	0%	2.71	0%	135.42	0%	196.53	0%	14.55	0%	111.53	0%
Other Current Assets (For Investments)	9.33	0%	26.30	0%	0.00	0%	0.00	0%	14.56	0%	0.03	0%	0.00	0%	0.02	0%
Less : Current Liabilities																
Payable for Investments	(0.01)	0%	(0.01)	0%	(0.00)	0%	(0.00)	0%	-	0%	-	0%	-	0%	-	0%
Fund Management Charges Payable	(0.37)	0%	(0.23)	0%	(0.03)	0%	(0.07)	0%	(3.99)	0%	(3.88)	0%	(0.40)	0%	(1.93)	0%
Other Current Liabilities (For Investments)	(0.08)	0%	(0.06)	0%	(0.03)	0%	(0.39)	0%	(0.72)	0%	(36.76)	0%	(6.41)	0%	(8.32)	0%
Sub Total (B)	68.17	1%	103.06	1%	4.16	1%	21.83	1%	168.91	0%	190.00	0%	10.18	0%	119.25	0%
Other Investments (<=25%)																
Corporate Bonds	-	0%	10.08	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	526.93	9%	916.19	11%	51.32	11%	265.61	11%	12,591.51	19%	18,761.00	20%	1,172.35	18%	8,593.78	18%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Venture Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Others	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (C)	526.93	9%	926.27	11%	51.32	11%	265.61	11%	12,591.51	19%	18,761.00	20%	1,172.35	18%	8,593.78	18%
Total (A+B+C)	6,099.33	100%	8,672.30	100%	459.49	100%	2,450.89	100%	65,259.69	100%	95,551.51	100%	6,493.69	100%	47,625.40	100%
Fund carried forward (as per LB2)	6,099.33		8,672.30		459.49		2,450.89		65,259.69		95,551.51		6,493.69		47,625.40	

Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of Item 13 of FORM ULB 2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

FORM L-27-UNIT LINKED BUSINESS-3A

FORM 3A

Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2021

PART - B

₹ Lakhs

Particulars	ULIF 005 03/05/02 PBalancer1 105	ULIF 015 17/05/04 PBalancer2 105	ULIF 006 03/05/02 PPProtect1 105	ULIF 017 17/05/04 PPProtect2 105	ULIF 004 03/05/02 PMaximis1 105	ULIF 013 17/05/04 PMaximis2 105	ULGF 001 03/04/03 GBalancer 105	ULGF 002 03/04/03 GDebt 105
	Pension Balancer Fund	Pension Balancer Fund II	Pension Protector Fund	Pension Protector Fund II	Pension Maximiser Fund	Pension Maximiser Fund II	Group Balanced Fund	Group Debt Fund
Opening Balance (Market value)	27,756.81	28,425.06	57,632.66	24,886.73	54,833.36	95,008.01	98,657.85	35,817.34
Add: Inflows during the quarter	137.16	189.49	723.01	604.08	402.82	523.95	353.20	718.54
Increase / (Decrease) value of investment (Net)	1,341.58	1,476.05	955.85	544.98	5,846.81	10,260.99	3,107.50	742.57
Less : Outflow during the quarter	(1,124.71)	(965.50)	(2,486.66)	(2,110.52)	(1,577.38)	(4,062.14)	(5,998.58)	(2,201.85)
Total Investible Funds (Market value)	28,110.84	29,105.10	56,824.86	23,925.28	59,505.62	101,730.81	96,119.97	35,076.60

Investment of Unit Fund	ULIF 005 03/05/02 PBalancer1 105		ULIF 015 17/05/04 PBalancer2 105		ULIF 006 03/05/02 PPProtect1 105		ULIF 017 17/05/04 PPProtect2 105		ULIF 004 03/05/02 PMaximis1 105		ULIF 013 17/05/04 PMaximis2 105		ULGF 001 03/04/03 GBalancer 105		ULGF 002 03/04/03 GDebt 105	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)																
Central Government Securities	7,560.68	27%	7,710.97	26%	23,489.50	41%	8,953.05	37%	-	0%	-	0%	31,377.92	33%	12,677.98	36%
State Government Securities	635.12	2%	657.13	2%	2,197.62	4%	930.33	4%	-	0%	-	0%	6,344.83	7%	1,519.54	4%
Other Approved Securities	1,787.78	6%	1,925.51	7%	3,360.04	6%	1,316.78	6%	-	0%	-	0%	5,137.88	5%	3,151.59	9%
Corporate Bonds	2,445.53	9%	2,507.00	9%	10,255.53	18%	2,838.46	12%	1.87	0%	3.27	0%	11,085.19	12%	6,858.81	20%
Infrastructure Bonds	3,627.99	13%	3,808.21	13%	13,433.27	24%	8,376.07	35%	-	0%	-	0%	18,702.51	19%	9,695.66	28%
Equity	7,713.47	27%	7,963.90	27%	-	0%	-	0%	-	0%	-	0%	-	-	-	0%
Money Market Investments	1,286.45	5%	1,491.99	5%	1,557.84	3%	416.59	2%	1,055.41	2%	1,685.42	2%	4,408.98	5%	77.26	0%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Deposit with Banks	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (A)	25,057.02	89%	26,064.69	90%	54,293.79	96%	22,831.27	95%	46,320.28	78%	79,117.28	78%	88,419.97	92%	33,980.94	97%
Current Assets:																
Accrued Interest	380.31	1%	402.03	1%	1,274.07	2%	614.60	3%	0.03	0%	0.06	0%	1,861.60	2%	780.26	2%
Dividend Receivable	2.56	0%	2.63	0%	-	0%	-	0%	14.63	0%	25.15	0%	3.83	0%	-	0%
Bank Balance	0.15	0%	0.17	0%	0.18	0%	0.09	0%	0.14	0%	0.20	0%	0.46	0%	0.06	0%
Receivable for sale of Investments	107.28	0%	106.88	0%	1,267.09	2%	479.65	2%	413.36	1%	742.82	1%	1,109.31	1%	332.00	1%
Other Current Assets (For Investments)	1.02	0%	4.28	0%	0.00	0%	0.41	0%	0.09	0%	0.17	0%	0.03	0%	0.00	0%
Less : Current Liabilities																
Payable for Investments	(43.54)	0%	(45.20)	0%	(0.11)	0%	(0.05)	0%	(285.85)	0%	(478.63)	0%	(62.95)	0%	(0.06)	0%
Fund Management Charges Payable	(1.73)	0%	(0.79)	0%	(2.34)	0%	(0.49)	0%	(3.63)	0%	(4.13)	0%	(3.42)	0%	(1.25)	0%
Other Current Liabilities (For Investments)	(0.38)	0%	(0.21)	0%	(81.20)	0%	(0.19)	0%	(17.55)	0%	(39.90)	0%	(5.89)	0%	(15.35)	0%
Sub Total (B)	445.68	2%	469.79	2%	2,457.71	4%	1,094.01	5%	121.22	0%	245.73	0%	2,902.97	3%	1,095.66	3%
Other Investments (<=25%)																
Corporate Bonds	391.31	1%	279.77	1%	73.36	0%	-	0%	-	0%	-	0%	1,503.03	2%	-	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	2,216.83	8%	2,290.85	8%	-	0%	-	0%	13,064.12	22%	22,367.79	22%	3,294.00	3%	-	0%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Venture Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Others	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (C)	2,608.14	9%	2,570.62	9%	73.36	0%	0%	0%	13,064.12	22%	22,367.79	22%	4,797.03	5%	-	0%
Total (A+B+C)	28,110.84	100%	29,105.10	100%	56,824.86	100%	23,925.28	100%	59,505.62	100%	101,730.81	100%	96,119.97	100%	35,076.60	100%
Fund carried forward (as per LB2)	28,110.84		29,105.10		56,824.86		23,925.28		59,505.62		101,730.81		96,119.97		35,076.60	

Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of Item 13 of FORM ULB 2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

FORM L-27-UNIT LINKED BUSINESS-3A

FORM 3A

Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2021

PART - B

₹ Lakhs

Particulars	ULGF 040 30/04/13 GDDebt2 105	ULGF 003 03/04/03 GSTDebt 105	ULGF 006 03/10/05 GCGBal1 105	ULGF 010 21/03/07 GCGBal2 105	ULGF 049 27/08/13 GCGBal3 105	ULGF 007 28/10/05 GCGDebt1 105	ULGF 011 21/03/07 GCGDebt2 105	ULGF 048 27/08/13 GCGDebt3 105
	Group Debt Fund II	Group Short Term Debt fund	Group Capital Guarantee Balanced Fund	Group Capital Guarantee Balanced Fund II	Group Capital Guarantee Balanced Fund III	Group Capital Guarantee Debt Fund	Group Capital Guarantee Debt Fund II	Group Capital Guarantee Debt Fund III
Opening Balance (Market value)	91,992.06	5,442.19	1,005.25	14,219.05	50,936.02	455.83	8,019.89	22,853.18
Add: Inflows during the quarter	6,641.55	343.59	2.15	85.77	8,101.75	1.55	62.28	4,017.66
Increase / (Decrease) value of investment (Net)	1,994.26	31.27	28.98	435.13	1,667.24	7.52	147.82	520.27
Less : Outflow during the quarter	(2,095.67)	(322.15)	(8.66)	(1,083.62)	(2,720.87)	(3.69)	(172.43)	(1,692.22)
Total Investible Funds (Market value)	98,532.20	5,494.91	1,027.72	13,656.33	57,984.13	461.20	8,057.55	25,698.90

Investment of Unit Fund	ULGF 040 30/04/13 GDDebt2 105		ULGF 003 03/04/03 GSTDebt 105		ULGF 006 03/10/05 GCGBal1 105		ULGF 010 21/03/07 GCGBal2 105		ULGF 049 27/08/13 GCGBal3 105		ULGF 007 28/10/05 GCGDebt1 105		ULGF 011 21/03/07 GCGDebt2 105		ULGF 048 27/08/13 GCGDebt3 105	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)																
Central Government Securities	32,119.37	33%	336.21	6%	381.58	37%	5,060.59	37%	20,025.85	35%	197.50	43%	3,010.61	37%	10,676.00	42%
State Government Securities	3,021.11	3%	-	0%	31.89	3%	535.07	4%	1,423.58	2%	73.43	16%	402.39	5%	774.66	3%
Other Approved Securities	9,389.97	10%	-	0%	64.88	6%	735.67	5%	4,094.90	7%	23.66	5%	370.53	5%	2,194.16	9%
Corporate Bonds	20,836.84	21%	1,042.07	19%	116.84	11%	1,688.62	12%	4,805.79	8%	52.38	11%	1,302.39	16%	3,269.26	13%
Infrastructure Bonds	18,651.96	19%	391.92	7%	118.88	12%	2,421.51	18%	8,805.27	15%	61.79	13%	1,878.58	23%	4,554.69	18%
Equity	-	0%	-	0%	120.31	12%	1,600.91	12%	6,825.75	12%	-	0%	-	-	-	0%
Money Market Investments	10,327.22	10%	3,656.44	67%	119.47	12%	549.09	4%	8,251.17	14%	38.07	8%	859.33	11%	3,568.15	14%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Deposit with Banks	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (A)	94,446.47	96%	5,426.63	99%	953.84	93%	12,591.45	92%	54,032.31	93%	446.83	97%	7,823.82	97%	25,036.92	97%
Current Assets:																
Accrued Interest	2,089.84	2%	43.35	1%	16.56	2%	246.84	2%	855.24	1%	8.56	2%	160.91	2%	502.74	2%
Dividend Receivable	-	0%	-	0%	0.04	0%	0.54	0%	2.25	0%	-	0%	-	0%	-	0%
Bank Balance	1.00	0%	0.17	0%	0.06	0%	0.10	0%	0.80	0%	0.05	0%	0.13	0%	0.38	0%
Receivable for sale of Investments	732.69	1%	-	0%	11.43	1%	176.80	1%	476.93	1%	5.79	1%	87.55	1%	164.36	1%
Other Current Assets (For Investments)	0.00	0%	25.00	0%	0.44	0%	0.00	0%	0.02	0%	0.00	0%	0.00	0%	0.00	0%
Less : Current Liabilities																
Payable for Investments	(0.14)	0%	-	0%	(0.58)	0%	(9.67)	0%	(44.67)	0%	(0.00)	0%	(0.01)	0%	(0.03)	0%
Fund Management Charges Payable	(3.51)	0%	(0.19)	0%	(0.06)	0%	(0.64)	0%	(2.22)	0%	(0.02)	0%	(0.34)	0%	(0.99)	0%
Other Current Liabilities (For Investments)	(37.68)	0%	(0.04)	0%	(0.01)	0%	(30.58)	0%	(50.61)	0%	(0.01)	0%	(14.50)	0%	(14.56)	0%
Sub Total (B)	2,782.20	3%	68.27	1%	27.88	3%	383.40	3%	1,237.73	2%	14.37	3%	233.73	3%	651.90	3%
Other Investments (<=25%)																
Corporate Bonds	1,303.52	1%	-	0%	10.76	1%	211.79	2%	720.95	1%	-	0%	-	0%	10.08	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	-	0%	-	0%	35.24	3%	469.69	3%	1,993.14	3%	-	0%	-	0%	-	0%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Venture Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Others	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (C)	1,303.52	1%	0%	0%	46.00	4%	681.48	5%	2,714.09	5%	0%	0%	0%	0%	10.08	0%
Total (A+B+C)	98,532.20	100%	5,494.91	100%	1,027.72	100%	13,656.33	100%	57,984.13	100%	461.20	100%	8,057.55	100%	25,698.90	100%
Fund carried forward (as per LB2)	98,532.20		5,494.91		1,027.72		13,656.33		57,984.13		461.20		8,057.55		25,698.90	

Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of Item 13 of FORM ULB 2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

FORM L-27-UNIT LINKED BUSINESS-3A

FORM 3A

Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2021

PART - B

₹ Lakhs

Particulars	ULGF 005 24/02/04 GCGSTDebt1 105	ULGF 009 16/03/07 GCGSTDebt2 105	ULGF 047 27/08/13 GCGSTDebt3 105	ULGF 008 11/12/06 GCGGrowth1 105	ULGF 012 05/07/07 GCGGrowth2 105	ULGF 004 30/10/03 GCGrowth 105	ULIF 018 03/01/05 LInvShld 105	ULIF 025 21/08/06 LInvShldNw 105
	Group Capital Guarantee Short Term Debt Fund	Group Capital Guarantee Short Term Debt Fund II	Group Capital Guarantee Short Term Debt Fund III	Group Capital Guarantee Growth Fund	Group Capital Guarantee Growth Fund II	Group Growth Fund	Invest Shield Fund - Life	New Invest Shield Balanced Fund
Opening Balance (Market value)	206.04	48,152.02	18,292.09	682.37	2,626.07	8,310.59	3,826.48	4,533.31
Add: Inflows during the quarter	-	441.80	1,304.94	2.00	54.76	403.57	16.24	1.67
Increase / (Decrease) value of investment (Net)	1.20	267.21	104.14	30.70	126.76	591.33	135.03	154.21
Less : Outflow during the quarter	-	(740.87)	(208.59)	(14.36)	(41.28)	(27.77)	(151.90)	(373.42)
Total Investible Funds (Market value)	207.24	48,120.16	19,492.59	700.71	2,766.31	9,277.73	3,825.86	4,315.76

Investment of Unit Fund	ULGF 005 24/02/04 GCGSTDebt1 105		ULGF 009 16/03/07 GCGSTDebt2 105		ULGF 047 27/08/13 GCGSTDebt3 105		ULGF 008 11/12/06 GCGGrowth1 105		ULGF 012 05/07/07 GCGGrowth2 105		ULGF 004 30/10/03 GCGrowth 105		ULIF 018 03/01/05 LInvShld 105		ULIF 025 21/08/06 LInvShldNw 105	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)																
Central Government Securities	15.73	8%	4,084.90	8%	2,062.37	11%	193.63	28%	822.62	30%	2,030.17	22%	1,501.65	39%	1,666.74	39%
State Government Securities	-	0%	-	0%	-	0%	70.06	10%	69.49	3%	229.03	2%	142.84	4%	120.65	3%
Other Approved Securities	-	0%	1,537.01	3%	-	0%	23.96	3%	126.25	5%	377.09	4%	169.99	4%	193.95	4%
Corporate Bonds	30.28	15%	9,299.98	19%	4,672.43	24%	32.39	5%	195.56	7%	452.73	5%	383.65	10%	613.36	14%
Infrastructure Bonds	10.05	5%	4,311.27	9%	854.39	4%	63.73	9%	328.98	12%	812.01	9%	552.88	14%	774.47	18%
Equity	-	0%	-	0%	-	0%	192.27	27%	756.25	27%	3,947.96	43%	461.95	12%	540.71	13%
Money Market Investments	150.24	72%	28,321.60	59%	11,736.85	60%	54.87	8%	179.29	6%	147.20	2%	432.59	11%	188.57	4%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Deposit with Banks	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (A)	206.29	100%	47,554.76	99%	19,326.04	99%	630.92	90%	2,478.44	90%	7,996.17	86%	3,645.56	95%	4,098.45	95%
Current Assets:																
Accrued Interest	0.90	0%	568.91	1%	168.56	1%	7.27	1%	30.16	1%	73.65	1%	62.49	2%	74.65	2%
Dividend Receivable	-	0%	-	0%	-	0%	0.06	0%	0.25	0%	1.25	0%	0.20	0%	0.42	0%
Bank Balance	0.06	0%	0.70	0%	0.44	0%	0.05	0%	0.07	0%	0.06	0%	0.09	0%	0.06	0%
Receivable for sale of Investments	-	0%	-	0%	-	0%	7.46	1%	30.35	1%	85.71	1%	2.32	0%	2.36	0%
Other Current Assets (For Investments)	-	0%	-	0%	-	0%	0.00	0%	0.00	0%	0.01	0%	0.14	0%	0.00	0%
Less : Current Liabilities																
Payable for Investments	-	0%	-	0%	-	0%	(1.13)	0%	(4.39)	0%	(26.10)	0%	(0.00)	0%	(0.00)	0%
Fund Management Charges Payable	(0.01)	0%	(1.91)	0%	(0.75)	0%	(0.05)	0%	(0.15)	0%	(0.33)	0%	(0.13)	0%	(0.15)	0%
Other Current Liabilities (For Investments)	(0.00)	0%	(2.29)	0%	(1.70)	0%	(0.01)	0%	(0.04)	0%	(0.08)	0%	(0.04)	0%	(12.10)	0%
Sub Total (B)	0.95	0%	565.40	1%	166.55	1%	13.66	2%	56.24	2%	134.17	1%	65.07	2%	65.24	2%
Other Investments (<=25%)																
Corporate Bonds	-	0%	-	0%	-	0%	-	0%	10.76	0%	-	0%	-	0%	-	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	-	0%	-	0%	-	0%	56.13	8%	220.85	8%	1,147.38	12%	115.23	3%	132.86	3%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Venture Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	19.21	0%
Others	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (C)	-	0%	-	0%	-	0%	56.13	8%	231.61	8%	1,147.38	12%	115.23	3%	152.08	4%
Total (A+B+C)	207.24	100%	48,120.16	100%	19,492.59	100%	700.71	100%	2,766.30	100%	9,277.73	100%	3,825.86	100%	4,315.76	100%
Fund carried forward (as per LB2)	207.24		48,120.16		19,492.59		700.71		2,766.30		9,277.73		3,825.86		4,315.76	

Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of Item 13 of FORM ULB 2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

FORM L-27-UNIT LINKED BUSINESS-3A

FORM 3A

Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2021

PART - B

₹ Lakhs

Particulars	ULIF 034 20/03/07 PFlexiBal1 105	ULIF 035 20/03/07 PFlexiBal2 105	ULIF 029 20/03/07 PFlexiGro1 105	ULIF 030 20/03/07 PFlexiGro2 105	ULIF 019 03/01/05 PlnvShld 105	ULIF 011 17/05/04 PPReserv 105	ULIF 008 11/08/03 LCashPlus 105	ULIF 020 03/01/05 LnvCash 105
	Pension Flexi Balanced Fund	Pension Flexi Balanced Fund II	Pension Flexi Growth Fund	Pension Flexi Growth Fund II	Invest Shield Fund - Pension	Pension Preserver Fund	Cash Plus Fund	Invest Shield Cash Fund
Opening Balance (Market value)	4,534.87	4,718.44	79,915.52	63,259.09	2,029.69	19,577.70	14,861.87	28,746.38
Add: Inflows during the quarter	247.31	302.02	97.77	752.70	9.66	2,655.88	1.91	2.52
Increase / (Decrease) value of investment (Net)	310.07	357.95	8,593.93	7,093.20	70.10	145.15	260.63	506.30
Less : Outflow during the quarter	(346.96)	(337.04)	(5,274.83)	(3,734.04)	(98.15)	(4,084.23)	(4,347.60)	(1,183.61)
Total Investible Funds (Market value)	4,745.28	5,041.37	83,332.40	67,370.94	2,011.29	18,294.49	10,776.81	28,071.59

Investment of Unit Fund	ULIF 034 20/03/07 PFlexiBal1 105		ULIF 035 20/03/07 PFlexiBal2 105		ULIF 029 20/03/07 PFlexiGro1 105		ULIF 030 20/03/07 PFlexiGro2 105		ULIF 019 03/01/05 PlnvShld 105		ULIF 011 17/05/04 PPReserv 105		ULIF 008 11/08/03 LCashPlus 105		ULIF 020 03/01/05 LnvCash 105	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)																
Central Government Securities	1,136.74	24%	1,103.99	22%	-	0%	-	0%	789.92	39%	2,279.49	12%	5,284.44	49%	12,652.95	45%
State Government Securities	90.61	2%	97.06	2%	-	0%	-	58.31	3%	-	0%	918.63	9%	1,078.41	4%	
Other Approved Securities	203.87	4%	207.40	4%	-	0%	-	102.95	5%	-	0%	625.98	6%	1,281.36	5%	
Corporate Bonds	199.22	4%	246.01	5%	3.82	0%	2.96	0%	235.77	12%	4,243.54	23%	1,391.09	13%	4,340.53	15%
Infrastructure Bonds	321.08	7%	474.53	9%	-	0%	-	350.87	17%	1,839.09	10%	1,673.47	16%	6,705.55	24%	
Equity	2,066.36	44%	2,227.91	44%	64,956.95	78%	51,871.18	77%	247.26	12%	-	0%	-	0%	-	0%
Money Market Investments	172.23	4%	62.48	1%	2,035.37	2%	2,236.48	3%	104.58	5%	9,753.02	53%	277.64	3%	705.38	3%
Mutual Funds	-	0%	-	0%	-	0%	-	-	-	0%	-	0%	-	0%	-	0%
Deposit with Banks	-	0%	-	0%	-	0%	-	-	-	0%	-	0%	-	0%	-	0%
Sub Total (A)	4,190.11	88%	4,419.38	88%	66,996.14	80%	54,110.61	80%	1,889.65	94%	18,115.14	99%	10,171.26	94%	26,764.18	95%
Current Assets:																
Accrued Interest	34.96	1%	41.80	1%	0.07	0%	0.05	0%	36.96	2%	206.94	1%	229.35	2%	622.63	2%
Dividend Receivable	1.33	0%	1.41	0%	31.98	0%	24.89	0%	0.20	0%	-	0%	-	0%	-	0%
Bank Balance	0.06	0%	0.06	0%	0.23	0%	0.24	0%	0.06	0%	0.30	0%	0.07	0%	0.11	0%
Receivable for sale of Investments	5.14	0%	5.56	0%	208.79	0%	160.83	0%	1.03	0%	-	0%	385.40	4%	614.71	2%
Other Current Assets (For Investments)	(0.67)	0%	0.18	0%	0.03	0%	0.02	0%	0.18	0%	0.00	0%	0.00	0%	0.00	0%
Less : Current Liabilities																
Payable for Investments	(0.00)	0%	(0.00)	0%	-	0%	-	0%	(0.00)	0%	-	0%	(0.03)	0%	(0.05)	0%
Fund Management Charges Payable	(0.29)	0%	(0.14)	0%	(5.09)	0%	(2.73)	0%	(0.07)	0%	(0.38)	0%	(0.37)	0%	(0.96)	0%
Other Current Liabilities (For Investments)	(0.06)	0%	(0.04)	0%	(20.28)	0%	(48.84)	0%	(0.02)	0%	(27.51)	0%	(8.88)	0%	(29.80)	0%
Sub Total (B)	41.81	1%	48.82	1%	215.73	0%	134.48	0%	38.33	2%	179.35	1%	605.55	6%	1,206.63	4%
Other Investments (<=25%)																
Corporate Bonds	-	0%	-	0%	-	0%	-	0%	21.52	1%	-	0%	-	0%	100.78	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	513.36	11%	573.17	11%	16,120.53	19%	13,125.84	19%	61.79	3%	-	0%	-	0%	-	0%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Venture Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Others	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (C)	513.36	11%	573.17	11%	16,120.53	19%	13,125.84	19%	83.31	4%	-	0%	-	0%	100.78	0%
Total (A+B+C)	4,745.28	100%	5,041.37	100%	83,332.40	100%	67,370.94	100%	2,011.29	100%	18,294.49	100%	10,776.81	100%	28,071.59	100%
Fund carried forward (as per LB2)	4,745.28		5,041.37		83,332.40		67,370.94		2,011.29		18,294.49		10,776.81		28,071.59	

Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of Item 13 of FORM ULB 2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

FORM L-27-UNIT LINKED BUSINESS-3A

FORM 3A

Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2021

PART - B

₹ Lakhs

Particulars	ULIF 007 11/08/03 LSecPlus 105	ULIF 042 22/11/07 LMultip1 105	ULIF 044 25/02/08 LMultip2 105	ULIF 046 25/02/08 LMultip3 105	ULIF 047 25/02/08 LMultip4 105	ULIF 043 25/02/08 PMultip1 105	ULIF 045 25/02/08 PMultip2 105	ULIF 048 17/03/08 LRICH1 105
	Secure Plus Fund	Multiplier Fund	Multiplier Fund II	Multiplier Fund III	Multiplier Fund IV	Pension Multiplier Fund	Pension Multiplier Fund II	RICH Fund
Opening Balance (Market value)	2,331.13	22,846.84	18,583.99	1,011.19	5,332.19	18,585.92	8,813.15	12,951.96
Add: Inflows during the quarter	7.47	431.47	266.29	163.37	61.99	243.46	414.20	77.28
Increase / (Decrease) value of investment (Net)	84.30	2,552.73	2,115.33	115.45	602.39	2,040.16	992.59	1,430.87
Less : Outflow during the quarter	(55.76)	(955.21)	(784.39)	(151.12)	(197.87)	(1,056.76)	(666.65)	(482.37)
Total Investible Funds (Market value)	2,367.14	24,875.83	20,181.22	1,138.89	5,798.69	19,812.78	9,553.29	13,977.74

Investment of Unit Fund	ULIF 007 11/08/03 LSecPlus 105		ULIF 042 22/11/07 LMultip1 105		ULIF 044 25/02/08 LMultip2 105		ULIF 046 25/02/08 LMultip3 105		ULIF 047 25/02/08 LMultip4 105		ULIF 043 25/02/08 PMultip1 105		ULIF 045 25/02/08 PMultip2 105		ULIF 048 17/03/08 LRICH1 105	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)																
Central Government Securities	968.99	41%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
State Government Securities	68.80	3%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Other Approved Securities	101.93	4%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Corporate Bonds	195.03	8%	1.00	0%	0.81	0%	0.05	0%	0.23	0%	0.84	0%	0.40	0%	-	0%
Infrastructure Bonds	391.22	17%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	292.82	12%	18,854.41	76%	15,303.11	76%	854.59	75%	4,370.69	75%	14,988.81	76%	7,232.84	76%	10,516.48	75%
Money Market Investments	239.21	10%	611.95	2%	462.67	2%	38.41	3%	178.72	3%	462.62	2%	231.87	2%	201.27	1%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Deposit with Banks	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (A)	2,257.99	95%	19,467.36	78%	15,766.60	78%	893.04	78%	4,549.64	78%	15,452.27	78%	7,465.11	78%	10,717.75	77%
Current Assets:																
Accrued Interest	38.81	2%	0.02	0%	0.01	0%	0.00	0%	0.00	0%	0.01	0%	0.01	0%	-	0%
Dividend Receivable	0.24	0%	4.02	0%	3.27	0%	0.19	0%	0.92	0%	3.21	0%	1.53	0%	3.85	0%
Bank Balance	0.07	0%	0.11	0%	0.09	0%	0.05	0%	0.07	0%	0.09	0%	0.07	0%	0.07	0%
Receivable for sale of Investments	1.09	0%	162.22	1%	147.19	1%	7.25	1%	27.93	0%	131.57	1%	65.02	1%	123.58	1%
Other Current Assets (For Investments)	0.24	0%	0.04	0%	0.03	0%	0.00	0%	1.37	0%	46.92	0%	4.53	0%	1.23	0%
Less : Current Liabilities																
Payable for Investments	(0.00)	0%	(119.85)	0%	(95.98)	0%	(5.45)	0%	(28.81)	0%	(94.00)	0%	(46.43)	0%	(80.32)	-1%
Fund Management Charges Payable	(0.08)	0%	(1.52)	0%	(0.82)	0%	(0.07)	0%	(0.23)	0%	(1.21)	0%	(0.39)	0%	(0.85)	0%
Other Current Liabilities (For Investments)	(0.02)	0%	(15.57)	0%	(3.64)	0%	(0.05)	0%	(0.94)	0%	(0.22)	0%	(0.07)	0%	(0.16)	0%
Sub Total (B)	40.35	2%	29.46	0%	50.16	0%	1.93	0%	0.31	0%	86.38	0%	24.27	0%	47.39	0%
Other Investments (<=25%)																
Corporate Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	68.79	3%	5,379.02	22%	4,364.46	22%	243.93	21%	1,248.74	22%	4,274.13	22%	2,063.91	22%	3,212.60	23%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Venture Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Others	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (C)	68.79	3%	5,379.02	22%	4,364.46	22%	243.93	21%	1,248.74	22%	4,274.13	22%	2,063.91	22%	3,212.60	23%
Total (A+B+C)	2,367.14	100%	24,875.83	100%	20,181.22	100%	1,138.89	100%	5,798.69	100%	19,812.78	100%	9,553.29	100%	13,977.74	100%
Fund carried forward (as per LB2)	2,367.14		24,875.83		20,181.22		1,138.89		5,798.69		19,812.78		9,553.29		13,977.74	

Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of Item 13 of FORM ULB 2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

FORM L-27-UNIT LINKED BUSINESS-3A

FORM 3A

Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2021

PART - B

₹ Lakhs

Particulars	ULIF 049 17/03/08 LRICH2 105	ULIF 050 17/03/08 LRICH3 105	ULIF 051 17/03/08 LRICH4 105	ULIF 052 17/03/08 PRICH1 105	ULIF 053 17/03/08 PRICH2 105	ULGF 013 02/04/08 GLEBal 105	ULGF 014 02/04/08 GLEIncome 105	ULIF 009 17/11/03 PSecPlus 105
	RICH Fund II	RICH Fund III	RICH Fund IV	Pension RICH Fund	Pension RICH Fund II	Group Leave Encashment Balance Fund	Group Leave Encashment Income Fund	Secure Plus Pension Fund
Opening Balance (Market value)	68,231.64	2,432.70	21,862.45	50,687.75	30,477.25	12,085.02	1,887.91	1,446.18
Add: Inflows during the quarter	54.00	390.74	121.88	303.30	245.36	-	-	2.91
Increase / (Decrease) value of investment (Net)	7,679.44	269.32	2,473.92	5,579.52	3,413.74	365.62	29.64	49.04
Less : Outflow during the quarter	(3,011.12)	(412.63)	(540.70)	(2,458.76)	(1,712.23)	(1,055.27)	(660.55)	(20.76)
Total Investible Funds (Market value)	72,953.96	2,680.14	23,917.55	54,111.81	32,424.12	11,395.38	1,257.00	1,477.37

Investment of Unit Fund	ULIF 049 17/03/08 LRICH2 105		ULIF 050 17/03/08 LRICH3 105		ULIF 051 17/03/08 LRICH4 105		ULIF 052 17/03/08 PRICH1 105		ULIF 053 17/03/08 PRICH2 105		ULGF 013 02/04/08 GLEBal 105		ULGF 014 02/04/08 GLEIncome 105		ULIF 009 17/11/03 PSecPlus 105	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)																
Central Government Securities	-	0%	-	0%	-	0%	-	0%	-	0%	4,577.89	40%	520.80	41%	588.85	40%
State Government Securities	-	0%	-	0%	-	0%	-	0%	-	0%	351.57	3%	64.00	5%	34.92	2%
Other Approved Securities	-	0%	-	0%	-	0%	-	0%	-	0%	581.18	5%	111.60	9%	78.63	5%
Corporate Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	1,250.06	11%	165.38	13%	137.36	9%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	1,730.49	15%	289.44	23%	252.03	17%
Equity	54,989.67	75%	1,963.74	73%	17,931.68	75%	40,761.66	75%	24,479.44	75%	1,333.27	12%	-	0%	180.62	12%
Money Market Investments	1,017.25	1%	38.88	1%	442.34	2%	840.34	2%	355.35	1%	786.65	7%	64.40	5%	119.48	8%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Deposit with Banks	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (A)	56,006.92	77%	2,002.62	75%	18,374.02	77%	41,602.00	77%	24,834.79	77%	10,611.12	93%	1,215.62	97%	1,391.88	94%
Current Assets:																
Accrued Interest	-	0%	-	0%	-	0%	-	0%	-	0%	188.09	2%	28.95	2%	30.09	2%
Dividend Receivable	20.16	0%	0.71	0%	6.53	0%	14.91	0%	8.96	0%	0.44	0%	-	0%	0.14	0%
Bank Balance	0.14	0%	0.05	0%	0.09	0%	0.12	0%	0.08	0%	0.12	0%	0.06	0%	0.06	0%
Receivable for sale of Investments	651.13	1%	29.62	1%	189.46	1%	450.34	1%	319.27	1%	114.42	1%	12.43	1%	0.70	0%
Other Current Assets (For Investments)	0.15	0%	62.53	2%	4.93	0%	0.11	0%	0.07	0%	0.00	0%	0.00	0%	0.01	0%
Less : Current Liabilities																
Payable for Investments	(414.55)	-1%	(13.75)	-1%	(140.32)	-1%	(312.19)	-1%	(181.18)	-1%	(7.63)	0%	(0.00)	0%	(0.00)	0%
Fund Management Charges Payable	(2.96)	0%	(0.16)	0%	(0.97)	0%	(3.31)	0%	(1.32)	0%	(0.40)	0%	(0.04)	0%	(0.05)	0%
Other Current Liabilities (For Investments)	(33.42)	0%	(0.03)	0%	(0.18)	0%	(40.57)	0%	(0.36)	0%	(0.11)	0%	(0.01)	0%	(0.01)	0%
Sub Total (B)	220.65	0%	78.97	3%	59.55	0%	109.43	0%	145.52	0%	294.93	3%	41.38	3%	30.95	2%
Other Investments (<=25%)																
Corporate Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	105.56	1%	-	0%	10.76	1%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	16,726.39	23%	598.55	22%	5,483.98	23%	12,400.38	23%	7,443.81	23%	383.77	3%	-	0%	43.78	3%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Venture Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Others	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (C)	16,726.39	23%	598.55	22%	5,483.98	23%	12,400.38	23%	7,443.81	23%	489.33	4%	-	0%	54.54	4%
Total (A+B+C)	72,953.96	100%	2,680.14	100%	23,917.55	100%	54,111.81	100%	32,424.12	100%	11,395.38	100%	1,257.00	100%	1,477.37	100%
Fund carried forward (as per LB2)	72,953.96		2,680.14		23,917.55		54,111.81		32,424.12		11,395.38		1,257.00		1,477.37	

Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of Item 13 of FORM ULB 2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

FORM L-27-UNIT LINKED BUSINESS-3A

FORM 3A

Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2021

PART - B

₹ Lakhs

Particulars	ULIF 122 19/04/11 PRGF(S4) 105	ULIF 059 15/01/09 HBalancer 105	ULIF 061 15/01/09 HProtect 105	ULIF 057 15/01/09 HFlexiGro 105	ULIF 060 15/01/09 HFlexiBal 105	ULIF 058 15/01/09 HMultipl 105	ULIF 056 15/01/09 HPreserv 105	ULIF 077 29/05/09 LSSavBuil 105
	Pension Return Guarantee Fund XI (10 Yrs)	Health Balancer Fund	Health Protector Fund	Health Flexi Growth Fund	Health Flexi Balanced Fund	Health Multiplier Fund	Health Preserver Fund	Secure Save Builder Fund
Opening Balance (Market value)	19.81	4,868.83	21,451.30	57,854.23	6,416.38	8,277.95	871.01	132.03
Add: Inflows during the quarter	-	10.64	539.21	1.75	10.64	89.34	94.62	-
Increase / (Decrease) value of investment (Net)	0.00	250.22	421.99	6,461.71	475.94	944.16	6.53	11.17
Less : Outflow during the quarter	(19.81)	(98.33)	(50.76)	(1,917.21)	(103.89)	(187.87)	(105.49)	(5.49)
Total Investible Funds (Market value)	(0.00)	5,031.36	22,361.74	62,400.48	6,799.07	9,123.58	866.67	137.71

Investment of Unit Fund	ULIF 122 19/04/11 PRGF(S4) 105		ULIF 059 15/01/09 HBalancer 105		ULIF 061 15/01/09 HProtect 105		ULIF 057 15/01/09 HFlexiGro 105		ULIF 060 15/01/09 HFlexiBal 105		ULIF 058 15/01/09 HMultipl 105		ULIF 056 15/01/09 HPreserv 105		ULIF 077 29/05/09 LSSavBuil 105	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)																
Central Government Securities	-	0%	1,601.30	32%	10,079.24	45%	-	0%	1,413.65	21%	-	0%	64.84	7%	34.98	25%
State Government Securities	-	0%	111.03	2%	719.13	3%	-	0%	122.37	2%	-	0%	-	0%	-	0%
Other Approved Securities	-	0%	197.49	4%	1,062.66	5%	-	0%	265.85	4%	-	0%	-	0%	-	0%
Corporate Bonds	-	0%	448.11	9%	3,049.84	14%	2.52	0%	325.45	5%	0.35	0%	164.51	19%	0.00	0%
Infrastructure Bonds	-	0%	527.22	10%	4,908.34	22%	-	0%	616.15	9%	-	0%	60.28	7%	-	0%
Equity	-	0%	1,376.96	27%	-	0%	48,563.90	78%	3,038.14	45%	6,886.13	75%	-	0%	75.25	55%
Money Market Investments	-	0%	294.92	6%	1,651.43	7%	2,101.30	3%	244.99	4%	276.18	3%	569.46	66%	4.72	3%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Deposit with Banks	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (A)	-	0%	4,557.02	91%	21,470.64	96%	50,667.72	81%	6,026.60	89%	7,162.66	79%	859.09	99%	114.95	83%
Current Assets:																
Accrued Interest	-	0%	62.66	1%	489.51	2%	0.05	0%	52.83	1%	0.01	0%	6.65	1%	0.76	1%
Dividend Receivable	-	0%	0.45	0%	-	0%	22.31	0%	1.32	0%	1.46	0%	-	0%	0.02	0%
Bank Balance	-	0%	0.07	0%	0.20	0%	0.24	0%	0.07	0%	0.08	0%	0.05	0%	0.05	0%
Receivable for sale of Investments	-	0%	16.96	0%	420.22	2%	129.94	0%	-	0%	45.64	1%	-	0%	0.85	1%
Other Current Assets (For Investments)	-	0%	0.00	0%	0.00	0%	0.02	0%	-	0%	0.01	0%	0.90	0%	0.00	0%
Less : Current Liabilities																
Payable for Investments	-	0%	(7.87)	0%	(0.04)	0%	-	0%	(0.01)	0%	(45.02)	0%	-	0%	(0.39)	0%
Fund Management Charges Payable	-	0%	(0.14)	0%	(0.46)	0%	(2.53)	0%	(0.18)	0%	(0.37)	0%	(0.02)	0%	(0.00)	0%
Other Current Liabilities (For Investments)	-	0%	(4.42)	0%	(18.33)	0%	(54.20)	0%	(5.46)	0%	(8.33)	0%	(0.00)	0%	(0.00)	0%
Sub Total (B)	-	0%	67.73	1%	891.10	4%	95.83	0%	48.57	1%	(6.52)	0%	7.58	1%	1.28	1%
Other Investments (<=25%)																
Corporate Bonds	-	0%	10.08	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	-	0%	396.53	8%	-	0%	11,636.93	19%	723.91	11%	1,967.44	22%	-	0%	21.48	16%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Venture Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Others	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (C)	-	0%	406.61	8%	-	0%	11,636.93	19%	723.91	11%	1,967.44	22%	-	0%	21.48	16%
Total (A+B+C)	-	0%	5,031.36	100%	22,361.74	100%	62,400.48	100%	6,799.07	100%	9,123.58	100%	866.67	100%	137.71	100%
Fund carried forward (as per LB2)	-	0%	5,031.36		22,361.74		62,400.48		6,799.07		9,123.58		866.67		137.71	

Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of Item 13 of FORM ULB 2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

FORM L-27-UNIT LINKED BUSINESS-3A

FORM 3A

Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2021

PART - B

₹ Lakhs

Particulars	ULIF 076 29/05/09 LSSavGtee 105	ULIF 081 26/10/09 LPinnacle 105	ULIF 116 15/03/11 LHighNavB 105	ULIF 085 24/11/09 LMCapGro 105	ULIF 088 24/11/09 LMCapBal 105	ULIF 089 24/11/09 LIncome 105	ULIF 090 24/11/09 LMoneyMkt 105	ULIF 086 24/11/09 LOpport 105
	Secure Save Guarantee Fund	Pinnacle Fund	Highest NAV Fund B	Multi Cap Growth Fund	Multi Cap Balanced Fund	Income Fund	Money Market Fund	Opportunities Fund
Opening Balance (Market value)	408.94	5.48	263,226.41	1,611,544.83	226,720.45	1,254,038.80	414,032.86	149,333.17
Add: Inflows during the quarter	-	-	-	64,725.82	22,284.96	94,757.70	80,495.90	27,338.17
Increase / (Decrease) value of investment (Net)	21.84	0.02	827.93	208,359.80	18,769.38	24,327.21	3,219.10	17,510.46
Less : Outflow during the quarter	(8.77)	-	(39,521.12)	(62,225.76)	(20,995.46)	(159,880.52)	(86,687.04)	(17,519.01)
Total Investible Funds (Market value)	422.01	5.50	224,533.22	1,822,404.68	246,779.34	1,213,243.19	411,060.82	176,662.79

Investment of Unit Fund	ULIF 076 29/05/09 LSSavGtee 105		ULIF 081 26/10/09 LPinnacle 105		ULIF 116 15/03/11 LHighNavB 105		ULIF 085 24/11/09 LMCapGro 105		ULIF 088 24/11/09 LMCapBal 105		ULIF 089 24/11/09 LIncome 105		ULIF 090 24/11/09 LMoneyMkt 105		ULIF 086 24/11/09 LOpport 105	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)																
Central Government Securities	143.15	34%	-	0%	-	0%	-	0%	54,482.94	22%	319,797.69	26%	25,075.28	6%	-	0%
State Government Securities	11.52	3%	-	0%	-	0%	-	0%	4,124.41	2%	129,569.02	11%	-	0%	-	0%
Other Approved Securities	13.75	3%	-	0%	-	0%	-	0%	6,935.57	3%	68,332.81	6%	15,370.13	4%	-	0%
Corporate Bonds	32.76	8%	-	0%	-	0%	1.04	0%	15,913.82	6%	312,799.32	26%	79,123.38	19%	-	0%
Infrastructure Bonds	31.18	7%	-	0%	-	0%	-	0%	19,164.89	8%	250,646.27	21%	37,916.51	9%	-	0%
Equity	121.88	29%	-	0%	-	0%	1,428,953.40	78%	107,350.24	44%	-	0%	-	0%	130,656.16	74%
Money Market Investments	26.83	6%	5.45	99%	226,448.77	101%	12,880.57	1%	2,203.75	1%	85,152.36	7%	234,109.90	57%	6,124.98	3%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Deposit with Banks	-	0%	-	0%	-	0%	-	0%	-	0%	6,000.00	0%	12,000.00	3%	-	0%
Sub Total (A)	381.07	90%	5.45	99%	226,448.77	101%	1,441,835.01	79%	210,176.61	88%	1,172,297.46	97%	403,595.21	98%	136,781.14	77%
Current Assets:																
Accrued Interest	5.23	1%	-	0%	-	0%	0.01	0%	2,023.74	1%	28,720.41	2%	7,151.57	2%	-	0%
Dividend Receivable	0.03	0%	-	0%	-	0%	2,002.77	0%	132.52	0%	-	0%	-	0%	46.79	0%
Bank Balance	0.05	0%	0.05	1%	0.10	0%	1.23	0%	0.23	0%	119.59	0%	5.67	0%	0.61	0%
Receivable for sale of Investments	1.54	0%	-	0%	-	0%	19,363.39	1%	6,429.52	3%	-	0%	-	0%	915.70	1%
Other Current Assets (For Investments)	0.00	0%	-	0%	-	0%	1,974.78	0%	181.87	0%	4,135.44	0%	0.00	0%	162.58	0%
Less : Current Liabilities																
Payable for Investments	(0.61)	0%	-	0%	-	0%	(7,373.10)	0%	(939.49)	0%	(2.77)	0%	-	0%	(1,354.78)	-1%
Fund Management Charges Payable	(0.02)	0%	(0.00)	0%	(11.48)	0%	(66.46)	0%	(9.05)	0%	(44.72)	0%	(8.46)	0%	(6.44)	0%
Other Current Liabilities (For Investments)	(0.00)	0%	(0.00)	0%	(1,904.18)	-1%	(12.21)	0%	(2.15)	0%	(10.19)	0%	(421.62)	0%	(1,200)	0%
Sub Total (B)	6.23	1%	0.05	1%	(1,915.55)	-1%	15,890.40	1%	7,817.18	3%	32,917.75	3%	6,727.16	2%	(236.73)	0%
Other Investments (<=25%)																
Corporate Bonds	-	0%	-	0%	-	0%	-	0%	141.09	0%	8,027.98	1%	738.45	0%	-	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	34.71	8%	-	0%	-	0%	364,679.28	20%	28,645.46	12%	-	0%	-	0%	40,118.38	23%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Venture Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Others	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (C)	34.71	8%	-	0%	-	0%	364,679.28	20%	28,786.55	12%	8,027.98	1%	738.45	0%	40,118.38	23%
Total (A+B+C)	422.01	100%	5.50	100%	224,533.22	100%	1,822,404.68	100%	246,779.34	100%	1,213,243.19	100%	411,060.82	100%	176,662.79	100%
Fund carried forward (as per LB2)	422.01		5.50		224,533.22		1,822,404.68		246,779.34		1,213,243.19		411,060.82		176,662.79	

Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of Item 13 of FORM ULB 2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

FORM L-27-UNIT LINKED BUSINESS-3A

FORM 3A

Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2021

PART - B

₹ Lakhs

Particulars	ULIF 087 24/11/09 LBluChip 105	ULIF 097 11/01/10 LDynamicPE 105	ULIF 093 11/01/10 PBluChip 105	ULIF 091 11/01/10 PMCapGro 105	ULIF 095 11/01/10 Pincome 105	ULIF 094 11/01/10 PMCapBal 105	ULIF 096 11/01/10 PMoneyMkt 105	ULIF 092 11/01/10 POpport 105
	Bluechip Fund	Dynamic P/E Fund	Pension Bluechip Fund	Pension Multi Cap Growth Fund	Pension Income Fund	Pension Multi Cap Balanced Fund	Pension Money Market Fund	Pension Opportunities Fund
Opening Balance (Market value)	292,759.95	83,131.43	6,590.41	48,629.91	24,593.52	5,329.84	7,897.94	13,334.11
Add: Inflows during the quarter	30,232.15	256.94	468.86	963.36	939.40	240.48	1,535.86	463.22
Increase / (Decrease) value of investment (Net)	34,490.88	3,872.49	756.10	5,322.49	560.99	389.10	59.75	1,501.56
Less : Outflow during the quarter	(23,756.70)	(12,836.43)	(513.16)	(3,907.62)	(1,638.32)	(334.50)	(1,631.48)	(902.33)
Total Investible Funds (Market value)	333,726.27	74,424.43	7,302.20	51,008.13	24,455.58	5,624.91	7,862.06	14,396.57

Investment of Unit Fund	ULIF 087 24/11/09 LBluChip 105		ULIF 097 11/01/10 LDynamicPE 105		ULIF 093 11/01/10 PBluChip 105		ULIF 091 11/01/10 PMCapGro 105		ULIF 095 11/01/10 Pincome 105		ULIF 094 11/01/10 PMCapBal 105		ULIF 096 11/01/10 PMoneyMkt 105		ULIF 092 11/01/10 POpport 105	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)																
Central Government Securities	-	0%	49,396.27	66%	-	0%	-	0%	10,889.96	45%	1,164.60	21%	839.89	11%	-	0%
State Government Securities	-	0%	-	0%	-	0%	-	0%	976.18	4%	119.56	2%	-	0%	-	0%
Other Approved Securities	-	0%	-	0%	-	0%	-	0%	1,375.24	6%	211.24	4%	-	0%	-	0%
Corporate Bonds	11.79	0%	1.99	0%	0.31	0%	2.38	0%	4,378.59	18%	245.36	4%	1,693.03	22%	-	0%
Infrastructure Bonds	-	0%	8.33	0%	-	0%	-	0%	5,781.90	24%	372.60	7%	653.24	8%	-	0%
Equity	251,572.01	75%	20,489.34	28%	5,495.63	75%	40,679.64	80%	-	0%	2,575.27	46%	-	0%	10,820.43	75%
Money Market Investments	9,359.80	3%	458.07	1%	246.01	3%	1,290.67	3%	198.17	1%	299.34	5%	4,608.48	59%	284.18	2%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Deposit with Banks	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (A)	260,943.60	78%	70,353.99	95%	5,741.95	79%	41,972.68	82%	23,600.05	97%	4,987.95	89%	7,794.65	99%	11,104.61	77%
Current Assets:																
Accrued Interest	0.21	0%	1,145.83	2%	0.01	0%	0.04	0%	621.41	3%	41.60	1%	72.66	1%	-	0%
Dividend Receivable	53.40	0%	28.51	0%	1.16	0%	19.14	0%	-	0%	0.82	0%	-	0%	3.93	0%
Bank Balance	0.90	0%	0.09	0%	0.07	0%	0.16	0%	0.07	0%	0.08	0%	0.10	0%	0.08	0%
Receivable for sale of Investments	2,347.68	1%	-	0%	29.37	0%	123.49	0%	251.66	1%	5.92	0%	-	0%	110.42	1%
Other Current Assets (For Investments)	291.78	0%	-	0%	0.01	0%	0.02	0%	0.00	0%	0.10	0%	-	0%	0.03	0%
Less : Current Liabilities																
Payable for Investments	(1,785.49)	-1%	-	0%	(36.51)	-1%	-	0%	(0.04)	0%	(0.01)	0%	-	0%	(85.34)	-1%
Fund Management Charges Payable	(12.11)	0%	(2.75)	0%	(0.27)	0%	(1.86)	0%	(0.91)	0%	(0.21)	0%	(0.16)	0%	(0.53)	0%
Other Current Liabilities (For Investments)	(2.26)	0%	(283.64)	0%	(3.37)	0%	(9.63)	0%	(16.65)	0%	(0.05)	0%	(5.18)	0%	(44.06)	0%
Sub Total (B)	894.12	0%	888.04	1%	(9.53)	0%	131.35	0%	855.53	3%	48.26	1%	67.42	1%	(15.46)	0%
Other Investments (<=25%)																
Corporate Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	71,888.56	22%	3,182.39	4%	1,569.77	21%	8,904.11	17%	-	0%	588.70	10%	-	0%	3,307.42	23%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Venture Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Others	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (C)	71,888.56	22%	3,182.39	4%	1,569.77	21%	8,904.11	17%	0%	0%	588.70	10%	0%	0%	3,307.42	23%
Total (A+B+C)	333,726.27	100%	74,424.43	100%	7,302.20	100%	51,008.13	100%	24,455.58	100%	5,624.91	100%	7,862.06	100%	14,396.57	100%
Fund carried forward (as per LB2)	333,726.27		74,424.43		7,302.20		51,008.13		24,455.58		5,624.91		7,862.06		14,396.57	

Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of Item 13 of FORM ULB 2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

FORM L-27-UNIT LINKED BUSINESS-3A

FORM 3A

Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2021

PART - B

₹ Lakhs

Particulars	ULIF 098 11/01/10 PDynmicPE 105	ULIF 127 01/12/11 PGROWTH 105	ULIF 128 01/12/11 PSECURE 105	ULIF 132 02/11/12 ERBF 105	ULIF 133 02/11/12 ERSF 105	ULIF 100 01/07/10 LDIscont 105	ULIF 101 01/07/10 PDiscont 105	ULGF 041 30/04/13 GBalancer2 105
	Pension Dynamic P/E Fund	Pension Growth Fund	Pension Secure Fund	Easy Retirement Balanced Fund	Easy Retirement Secure Fund	Discontinued Fund - Life	Discontinued Fund - Pension	Group Balanced Fund II
Opening Balance (Market value)	25,065.18	1,261.00	10,242.46	51,619.08	14,261.88	1,140,690.59	8,749.16	237,761.14
Add: Inflows during the quarter	70.28	0.06	37.77	456.67	822.25	72,186.31	446.39	16,051.67
Increase / (Decrease) value of investment (Net)	1,173.44	142.71	196.29	3,343.10	244.16	13,934.54	89.53	7,755.62
Less : Outflow during the quarter	(1,238.39)	(153.25)	(458.88)	(2,906.58)	(950.49)	(113,289.51)	(1,145.27)	(5,851.20)
Total Investible Funds (Market value)	25,070.51	1,250.52	10,017.64	52,512.27	14,377.80	1,113,521.93	8,139.82	255,717.22

Investment of Unit Fund	ULIF 098 11/01/10 PDynmicPE 105		ULIF 127 01/12/11 PGROWTH 105		ULIF 128 01/12/11 PSECURE 105		ULIF 132 02/11/12 ERBF 105		ULIF 133 02/11/12 ERSF 105		ULIF 100 01/07/10 LDIscont 105		ULIF 101 01/07/10 PDiscont 105		ULGF 041 30/04/13 GBalancer2 105	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)																
Central Government Securities	15,185.62	61%	-	0%	4,100.97	41%	13,666.50	26%	6,177.58	43%	711,825.97	64%	6,951.48	85%	90,422.78	35%
State Government Securities	-	0%	-	0%	387.92	4%	1,088.95	2%	499.01	3%	184,432.10	17%	1,056.11	13%	12,246.13	5%
Other Approved Securities	-	0%	-	0%	556.79	6%	2,188.94	4%	656.77	5%	-	0%	-	0%	10,903.17	4%
Corporate Bonds	0.58	0%	-	0%	1,543.41	15%	2,539.58	5%	2,688.13	19%	-	0%	-	0%	31,290.09	12%
Infrastructure Bonds	-	0%	-	0%	2,413.68	24%	5,574.70	11%	2,781.98	19%	-	0%	-	0%	46,709.34	18%
Equity	6,666.47	27%	927.35	74%	-	0%	19,209.74	37%	-	0%	-	0%	-	0%	30,031.33	12%
Money Market Investments	1,864.44	7%	47.83	4%	562.75	6%	2,138.97	4%	905.47	6%	205,374.98	18%	154.94	2%	15,921.85	6%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Deposit with Banks	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (A)	23,717.11	95%	975.18	78%	9,565.52	95%	46,407.38	88%	13,708.94	95%	1,101,633.05	99%	8,162.54	100%	237,524.69	93%
Current Assets:																
Accrued Interest	308.31	1%	-	0%	229.23	2%	525.04	1%	348.34	2%	18,490.61	2%	104.54	1%	4,667.84	2%
Dividend Receivable	9.28	0%	1.16	0%	-	0%	8.25	0%	-	0%	-	0%	-	0%	9.94	0%
Bank Balance	0.21	0%	0.05	0%	0.10	0%	0.22	0%	0.13	0%	2.13	0%	0.06	0%	1.51	0%
Receivable for sale of Investments	-	0%	12.70	1%	220.35	2%	71.30	0%	271.55	2%	-	0%	-	0%	3,280.74	1%
Other Current Assets (For Investments)	1.95	0%	0.06	0%	3.03	0%	36.60	0%	39.52	0%	0.00	0%	0.00	0%	76.87	0%
Less : Current Liabilities																
Payable for Investments	-	0%	-	0%	(0.02)	0%	(0.05)	0%	(0.03)	0%	-	0%	-	0%	(170.54)	0%
Fund Management Charges Payable	(0.92)	0%	(0.05)	0%	(0.37)	0%	(2.65)	0%	(0.57)	0%	(15.34)	0%	(0.11)	0%	(9.08)	0%
Other Current Liabilities (For Investments)	(0.22)	0%	(0.01)	0%	(0.20)	0%	(0.60)	0%	(0.17)	0%	(6,588.51)	-1%	(127.20)	-2%	(2.45)	0%
Sub Total (B)	318.60	1%	13.91	1%	452.12	5%	638.11	1%	658.78	5%	11,888.88	1%	(22.71)	0%	7,854.84	3%
Other Investments (<=25%)																
Corporate Bonds	-	0%	-	0%	-	0%	472.09	1%	10.08	0%	-	0%	-	0%	1,578.28	1%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	1,034.80	4%	261.42	21%	-	0%	4,994.69	10%	-	0%	-	0%	-	0%	8,759.41	3%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Venture Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Others	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (C)	1,034.80	4%	261.42	21%	-	0%	5,466.78	10%	10.08	0%	-	0%	-	0%	10,337.69	4%
Total (A+B+C)	25,070.51	100%	1,250.52	100%	10,017.64	100%	52,512.27	100%	14,377.80	100%	1,113,521.93	100%	8,139.82	100%	255,717.22	100%
Fund carried forward (as per LB2)	25,070.51		1,250.52		10,017.64		52,512.27		14,377.80		1,113,521.93		8,139.82		255,717.22	

Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of Item 13 of FORM ULB 2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

FORM L-27-UNIT LINKED BUSINESS-3A

FORM 3A

Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2021

PART - B

₹ Lakhs

Particulars	ULGF 042 30/04/13 GGrowth2 105	ULIF 134 19/09/13 LGF 105	ULIF 135 19/09/13 LSF 105	ULIF 136 25/03/13 ERSPBF 105	ULGF 039 30/04/13 GSTDebt3 105	ULGF 050 27/08/13 GCGrowth3 105	ULGF 046 27/08/13 GSTDebt2 105	ULIF 136 11/20/14 MIF 105
	Group Growth Fund II	Life Growth Fund	Life Secure Fund	Easy Retirement SP Balanced Fund	Group Short Term Debt Fund III	Group Capital Guarantee Growth Fund III	Group Short Term Debt Fund II	Maximise India Fund
Opening Balance (Market value)	13,118.43	153,624.01	297,567.62	2,704.80	12,936.01	6,933.66	69.00	53,932.74
Add: Inflows during the quarter	1,163.87	86.08	4,979.72	22.79	3,433.35	1,884.01	-	4,966.98
Increase / (Decrease) value of investment (Net)	904.86	15,577.42	4,409.70	176.10	77.96	407.62	0.34	6,693.66
Less : Outflow during the quarter	(1,190.21)	(24,674.46)	(3,999.43)	(80.26)	(1,604.48)	(79.49)	-	(2,668.38)
Total Investible Funds (Market value)	13,996.95	144,613.06	302,957.61	2,823.43	14,842.84	9,145.79	69.34	62,925.00

Investment of Unit Fund	ULGF 042 30/04/13 GGrowth2 105		ULIF 134 19/09/13 LGF 105		ULIF 135 19/09/13 LSF 105		ULIF 136 25/03/13 ERSPBF 105		ULGF 039 30/04/13 GSTDebt3 105		ULGF 050 27/08/13 GCGrowth3 105		ULGF 046 27/08/13 GSTDebt2 105		ULIF 136 11/20/14 MIF 105	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)																
Central Government Securities	3,458.35	25%	-	0%	137,953.45	46%	790.50	28%	1,344.00	9%	2,266.94	25%	9.51	14%	-	0%
State Government Securities	238.56	2%	-	0%	13,588.84	4%	57.25	2%	-	0%	156.54	2%	-	0%	-	0%
Other Approved Securities	555.41	4%	-	0%	18,962.15	6%	105.77	4%	-	0%	724.09	8%	-	0%	-	0%
Corporate Bonds	587.20	4%	6.43	0%	48,767.58	16%	221.57	8%	2,641.63	18%	715.32	8%	-	0%	-	0%
Infrastructure Bonds	1,161.40	8%	-	0%	59,628.89	20%	240.74	9%	743.66	5%	891.38	10%	-	0%	-	0%
Equity	6,038.06	43%	109,292.69	76%	-	0%	1,021.83	36%	-	0%	2,486.30	27%	-	0%	48,422.64	77%
Money Market Investments	112.23	1%	2,163.13	1%	13,619.13	4%	80.97	3%	10,108.13	68%	766.79	8%	59.54	86%	886.29	1%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Deposit with Banks	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (A)	12,151.19	87%	111,462.25	77%	292,520.06	97%	2,518.63	89%	14,837.43	100%	8,007.35	88%	69.06	100%	49,308.94	78%
Current Assets:																
Accrued Interest	99.19	1%	0.11	0%	6,265.79	2%	30.87	1%	120.26	1%	103.59	1%	0.23	0%	-	0%
Dividend Receivable	1.96	0%	37.28	0%	-	0%	0.81	0%	-	0%	0.79	0%	-	0%	66.67	0%
Bank Balance	0.06	0%	0.25	0%	1.30	0%	0.06	0%	0.25	0%	0.12	0%	0.05	0%	0.13	0%
Receivable for sale of Investments	133.26	1%	2,261.72	2%	2,881.14	1%	3.23	0%	-	0%	322.95	4%	-	0%	727.82	1%
Other Current Assets (For Investments)	0.01	0%	44.32	0%	0.00	0%	0.00	0%	-	0%	0.07	0%	-	0%	29.19	0%
Less : Current Liabilities																
Payable for Investments	(37.31)	0%	(627.70)	0%	(0.55)	0%	(0.00)	0%	-	0%	(16.07)	0%	-	0%	(234.86)	0%
Fund Management Charges Payable	(0.50)	0%	(7.24)	0%	(15.36)	0%	(0.12)	0%	(0.53)	0%	(0.36)	0%	(0.00)	0%	(2.30)	0%
Other Current Liabilities (For Investments)	(116.74)	-1%	(1.32)	0%	(72.89)	0%	(0.04)	0%	(114.56)	-1%	(0.10)	0%	(0.00)	0%	(0.43)	0%
Sub Total (B)	79.94	1%	1,707.42	1%	9,059.44	3%	34.80	1%	5.42	0%	411.00	4%	0.28	0%	586.22	1%
Other Investments (<=25%)																
Corporate Bonds	-	0%	-	0%	1,378.11	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	1,765.82	13%	31,443.39	22%	-	0%	270.00	10%	-	0%	727.44	8%	-	0%	13,029.83	21%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Venture Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Others	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (C)	1,765.82	13%	31,443.39	22%	1,378.11	0%	270.00	10%	0%	0%	727.44	8%	-	0%	13,029.83	21%
Total (A+B+C)	13,996.95	100%	144,613.06	100%	302,957.61	100%	2,823.43	100%	14,842.84	100%	9,145.79	100%	69.34	100%	62,925.00	100%
Fund carried forward (as per LB2)	13,996.95		144,613.06		302,957.61		2,823.43		14,842.84		9,145.79		69.34		62,925.00	

Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of Item 13 of FORM ULB 2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

FORM L-27-UNIT LINKED BUSINESS-3A

FORM 3A

Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2021

PART - B

₹ Lakhs

Particulars	ULGF 043 30/04/13 GEQUITY2 105	ULIF 138 15/02/17 AAABF 105	ULGF 051 03/04/03 GSBLN 105	ULGF 055 24/02/04 GSCGSTD 105	ULGF 052 03/04/03 GSDBT 105	ULGF 054 30/10/03 GSGF 105	ULGF 053 03/04/03 GSSTD 105	ULIF 139 24/11/17 VEF 105
	Group Equity Fund II	Active Asset Allocation Balanced Fund	Group Balanced Fund- Superannuation	Group Capital Guarantee Short Term Debt Fund- Superannuation	Group Debt Fund- Superannuation	Group Growth Fund- Superannuation	Group Short Term Debt Fund- Superannuation	Value Enhancer Fund
Opening Balance (Market value)	369.21	357,430.22	91,624.33	1,014.34	40,565.04	49,680.48	15,010.06	461,113.12
Add: Inflows during the quarter	-	18,985.14	1,035.13	0.17	231.60	1,124.50	584.77	48,755.49
Increase / (Decrease) value of investment (Net)	40.76	23,748.28	2,773.45	6.30	651.47	3,299.24	87.29	54,007.15
Less : Outflow during the quarter	(0.00)	(3,654.33)	(6,910.92)	(4.27)	(8,414.52)	(4,250.90)	(1,333.02)	(4,970.70)
Total Investible Funds (Market value)	409.97	396,509.30	88,521.99	1,016.54	33,033.59	49,853.32	14,349.09	558,905.06

Investment of Unit Fund	ULGF 043 30/04/13 GEQUITY2 105		ULIF 138 15/02/17 AAABF 105		ULGF 051 03/04/03 GSBLN 105		ULGF 055 24/02/04 GSCGSTD 105		ULGF 052 03/04/03 GSDBT 105		ULGF 054 30/10/03 GSGF 105		ULGF 053 03/04/03 GSSTD 105		ULIF 139 24/11/17 VEF 105	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)																
Central Government Securities	-	0%	88,298.05	22%	34,974.53	40%	57.98	6%	11,394.76	34%	11,225.45	23%	908.80	6%	-	0%
State Government Securities	-	0%	6,491.60	2%	2,697.69	3%	-	0%	1,842.80	6%	1,186.65	2%	-	0%	-	0%
Other Approved Securities	-	0%	14,832.36	4%	4,124.86	5%	-	0%	1,726.80	5%	2,197.36	4%	-	0%	-	0%
Corporate Bonds	0.01	0%	31,169.27	8%	8,905.91	10%	174.95	17%	6,276.01	19%	2,019.10	4%	2,602.19	18%	58.46	0%
Infrastructure Bonds	-	0%	38,218.38	10%	14,135.85	16%	60.28	6%	10,196.33	31%	4,868.16	10%	1,437.12	10%	-	0%
Equity	310.96	76%	153,544.11	39%	10,317.52	12%	-	0%	-	0%	21,141.94	42%	-	0%	431,022.68	77%
Money Market Investments	8.43	2%	11,877.59	3%	7,677.90	9%	715.37	70%	487.14	1%	278.83	1%	9,307.87	65%	29,407.42	5%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Deposit with Banks	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (A)	319.40	78%	344,431.36	87%	82,834.25	94%	1,008.57	99%	31,923.84	97%	42,917.48	86%	14,255.98	99%	460,488.55	82%
Current Assets:																
Accrued Interest	0.00	0%	4,002.07	1%	1,393.57	2%	7.95	1%	740.60	2%	359.05	1%	143.38	1%	1.05	0%
Dividend Receivable	0.10	0%	50.01	0%	3.41	0%	-	0%	-	0%	6.93	0%	-	0%	395.35	0%
Bank Balance	0.05	0%	0.96	0%	0.75	0%	0.05	0%	0.10	0%	0.08	0%	0.28	0%	2.75	0%
Receivable for sale of Investments	2.87	1%	1,561.53	0%	857.63	1%	-	0%	414.50	1%	469.36	1%	-	0%	23,631.79	4%
Other Current Assets (For Investments)	0.00	0%	652.74	0%	0.02	0%	-	0%	0.00	0%	50.52	0%	-	0%	1,800.61	0%
Less : Current Liabilities																
Payable for Investments	(2.07)	-1%	(962.75)	0%	(57.30)	0%	-	0%	(0.07)	0%	(124.27)	0%	-	0%	(23,549.01)	-4%
Fund Management Charges Payable	(0.01)	0%	(14.53)	0%	(3.15)	0%	(0.03)	0%	(1.18)	0%	(1.76)	0%	(0.51)	0%	(20.58)	0%
Other Current Liabilities (For Investments)	(0.00)	0%	(3.41)	0%	(35.37)	0%	(0.01)	0%	(44.19)	0%	(0.41)	0%	(50.03)	0%	(3.58)	0%
Sub Total (B)	0.94	0%	5,286.61	1%	2,159.56	2%	7.97	1%	1,109.75	3%	759.49	2%	93.11	1%	2,258.08	0%
Other Investments (<=25%)																
Corporate Bonds	-	0%	1,808.40	0%	524.00	1%	-	0%	-	0%	-	0%	-	0%	-	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	89.63	22%	44,982.92	11%	3,004.18	3%	-	0%	-	0%	6,176.35	12%	-	0%	96,158.43	17%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Venture Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Others	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (C)	89.63	22%	46,791.32	12%	3,528.18	4%	-	0%	-	0%	6,176.35	12%	-	0%	96,158.43	17%
Total (A+B+C)	409.97	100%	396,509.30	100%	88,521.99	100%	1,016.54	100%	33,033.59	100%	49,853.32	100%	14,349.09	100%	558,905.06	100%
Fund carried forward (as per LB2)	409.97		396,509.30		88,521.99		1,016.54		33,033.59		49,853.32		14,349.09		558,905.06	

Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of Item 13 of FORM ULB 2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

FORM L-27-UNIT LINKED BUSINESS-3A

FORM 3A

Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2021

PART - B

₹ Lakhs

Particulars	ULIF 140 24/11/17 SOF 105	ULIF 142 04/02/19 FocusFifty 105	ULIF 141 04/02/19 IndiaGrwth 105	ULIF 144 03/06/21 BalanceAdv 105	Total of All Funds
	Secure Opportunities Fund	Focus 50 Fund	India Growth Fund	Balanced Advantage Fund	
Opening Balance (Market value)	43,250.23	197,597.65	96,398.27	-	14,508,544.74
Add: Inflows during the quarter	3,292.60	13,258.74	23,237.55	29,067.10	760,968.27
Increase / (Decrease) value of investment (Net)	809.41	23,471.33	12,552.27	299.55	1,164,576.20
Less : Outflow during the quarter	(2,058.81)	(1,696.55)	(1,949.19)	(3.40)	(929,156.21)
Total Investible Funds (Market value)	45,293.43	232,631.18	130,238.91	29,363.24	15,504,932.99

Investment of Unit Fund	ULIF 140 24/11/17 SOF 105		ULIF 142 04/02/19 FocusFifty 105		ULIF 141 04/02/19 IndiaGrwth 105		ULIF 144 03/06/21 BalanceAdv 105		Total of All Funds	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)										
Central Government Securities	-	0%	-	0%	-	0%	4,867.01	17%	1,916,463.62	12%
State Government Securities	436.49	1%	-	0%	-	0%	1,660.59	6%	392,594.93	3%
Other Approved Securities	-	0%	-	0%	-	0%	510.76	2%	202,731.76	1%
Corporate Bonds	20,654.88	46%	9.64	0%	2.84	0%	-	0%	713,671.64	5%
Infrastructure Bonds	15,482.55	34%	-	0%	-	0%	505.25	2%	684,908.11	4%
Equity	-	0%	178,939.29	77%	98,074.89	75%	15,576.78	53%	8,077,904.38	52%
Money Market Investments	2,898.46	6%	5,866.31	3%	2,221.92	2%	1,221.79	4%	1,159,436.60	7%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	0.00	0%
Deposit with Banks	-	0%	-	0%	-	0%	-	0%	18,000.00	0%
Sub Total (A)	39,472.37	87%	184,815.24	79%	100,299.64	77%	24,342.19	83%	13,165,711.04	85%
Current Assets:										
Accrued Interest	1,770.21	4%	0.17	0%	0.05	0%	124.72	0%	96,380.18	1%
Dividend Receivable	-	0%	245.68	0%	31.82	0%	11.39	0%	4,494.69	0%
Bank Balance	0.32	0%	0.59	0%	0.25	0%	0.16	0%	163.69	0%
Receivable for sale of Investments	-	0%	502.06	0%	734.31	1%	154.86	1%	83,849.70	1%
Other Current Assets (For Investments)	262.81	1%	450.90	0%	575.09	0%	2,072.58	7%	14,087.72	0%
Less : Current Liabilities										
Payable for Investments	-	0%	(861.12)	0%	(1,334.91)	-1%	(661.99)	-2%	-45,082.69	0%
Fund Management Charges Payable	(1.67)	0%	(8.43)	0%	(4.72)	0%	(1.00)	0%	-564.54	0%
Other Current Liabilities (For Investments)	(0.32)	0%	(1.56)	0%	(0.86)	0%	(0.28)	0%	-11,066.98	0%
Sub Total (B)	2,031.35	4%	328.30	0%	1.05	0%	1,700.43	6%	142,261.76	1%
Other Investments (<=25%)										
Corporate Bonds	3,789.71	8%	-	0%	-	0%	-	0%	24,574.07	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	0.00	0%
Equity	-	0%	47,487.64	20%	29,938.22	23%	3,320.62	11%	2,172,366.91	14%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	0.00	0%
Venture Funds	-	0%	-	0%	-	0%	-	0%	19.21	0%
Others	-	0%	-	0%	-	0%	-	0%	0.00	0%
Sub Total (C)	3,789.71	8%	47,487.64	20%	29,938.22	23%	3,320.62	11%	2,196,960.19	14%
Total (A+B+C)	45,293.43	100%	232,631.18	100%	130,238.91	100%	29,363.24	100%	15,504,932.99	100%
Fund carried forward (as per LB2)	45,293.43		232,631.18		130,238.91		29,363.24		15,504,932.99	

Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of Item 13 of FORM ULB 2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

No	Fund Name	SFIN	Date of Launch	Par/Non Par	Assets Under Management (₹ Lakhs)	NAV as per LB 2 (₹)	NAV as on the above date* (₹)	30-Sep-21	30-Jun-21	31-Mar-21	31-Dec-20	30-Sep-20	Return/Yield**	3 Year Rolling CAGR	Highest NAV since inception (₹)
								Previous Qtr NAV (₹)	2nd Previous Qtr NAV (₹)	3rd Previous Qtr NAV (₹)	4th Previous Qtr NAV (₹)				
1	Bluechip Fund	ULIF 087 24/11/09 LBUChip 105	November 24, 2009	Non Par	330,726.27	32,5466	32,5466	29,1996	27,2207	25,8750	20,7578	56.79%	16.19%	32,9794	
2	Dynamic Fund	ULIF 097 11/01/10 LDynmicFE 105	January 11, 2010	Non Par	74,424.43	25,8177	25,8177	23,5804	21,5621	20,6244	19,6074	19.60%	7.66%	25,3341	
3	Easy Retirement Balanced Fund	ULIF 132 02/11/12 ERBF 105	September 24, 2013	Non Par	52,512.27	21,9608	21,9608	20,5922	19,7899	19,3984	17,3178	26.81%	12.73%	22,0951	
4	Easy Retirement Secure Fund	ULIF 133 02/11/12 ERSF 105	September 24, 2013	Non Par	14,377.80	19,4517	19,4517	18,3004	18,9304	19,1119	18,5971	4.60%	8.82%	19,5076	
5	Easy Retirement SP Balanced Fund	ULIF 136 25/03/13 ERSPBF 105	April 23, 2014	Non Par	2,823.43	20,3030	20,3030	19,0460	18,3231	18,0421	16,2005	25.32%	12.20%	20,4223	
6	Flexi Balanced Fund	ULIF 031 20/03/07 LflexiBal1 105	March 20, 2007	Non Par	6,099.33	34,7277	34,7277	32,4435	31,2316	30,6494	27,2423	27.48%	9.74%	35,0125	
7	Flexi Balanced Fund II	ULIF 032 20/03/07 LflexiBal2 105	March 20, 2007	Non Par	8,672.30	42,7386	42,7386	39,8076	38,1685	37,3993	33,1195	29.04%	11.34%	43,0676	
8	Flexi Balanced Fund III	ULIF 033 20/03/07 LflexiBal3 105	March 20, 2007	Non Par	459.49	34,3905	34,3905	32,1488	30,9744	30,4476	27,1259	26.78%	9.74%	34,6339	
9	Flexi Balanced Fund IV	ULIF 040 27/08/07 LflexiBal4 105	August 27, 2007	Non Par	2,450.89	37,6486	37,6486	35,1222	33,6721	32,8623	29,2355	28.78%	11.31%	37,9345	
10	Flexi Growth Fund	ULIF 028 20/03/07 LflexiGro1 105	March 20, 2007	Non Par	65,259.69	41,7931	41,7931	37,6445	35,2087	34,5645	30,2151	50.21%	10.13%	42,3678	
11	Flexi Growth Fund II	ULIF 027 20/03/07 LflexiGro2 105	March 20, 2007	Non Par	95,551.51	46,7506	46,7506	41,8995	39,2542	37,9155	30,7844	51.86%	11.39%	47,3537	
12	Flexi Growth Fund III	ULIF 028 20/03/07 LflexiGro3 105	March 20, 2007	Non Par	6,493.69	42,1494	42,1494	37,9468	36,6705	33,9432	28,0955	50.02%	10.06%	42,7187	
13	Flexi Growth Fund IV	ULIF 038 27/08/07 LflexiGro4 105	August 27, 2007	Non Par	47,625.40	39,6612	39,6612	36,6065	34,3660	31,7147	26,1414	51.72%	11.09%	40,1987	
14	Group Capital Guarantee Balanced Fund	ULGF 006 03/10/05 GCGBal1 105	October 4, 2005	Non Par	1,027.72	34,9536	34,9536	33,9703	33,4066	33,5602	31,7655	10.03%	9.04%	35,1045	
15	Group Capital Guarantee Balanced Fund II	ULGF 010 21/03/07 GCGBal2 105	March 21, 2007	Non Par	13,656.33	33,3479	33,3479	32,3348	31,7417	31,7461	30,2411	11.07%	9.94%	33,4960	
16	Group Capital Guarantee Balanced Fund III	ULGF 049 27/08/13 GCGBal3 105	December 19, 2013	Non Par	57,984.13	19,4829	19,4829	18,8991	18,5410	18,5654	17,5324	10.93%	9.79%	19,9614	
17	Group Capital Guarantee Debit Fund	ULGF 007 28/10/05 GCGDebt1 105	October 28, 2005	Non Par	461.20	43,8634	43,8634	43,1491	42,5198	43,1525	41,9295	4.61%	8.81%	43,8118	
18	Group Capital Guarantee Debit Fund II	ULGF 011 21/03/07 GCGDebt2 105	March 21, 2007	Non Par	8,057.55	35,2497	35,2497	34,2672	34,6538	34,3433	30,6506	4.45%	9.16%	35,3453	
19	Group Capital Guarantee Debit Fund III	ULGF 048 27/08/13 GCGDebt3 105	December 3, 2013	Non Par	25,696.90	19,3538	19,3538	18,2016	18,2002	18,9277	18,4481	4.62%	9.11%	19,3478	
20	Group Capital Guarantee Short Term Debt Fund	ULGF 005 24/02/04 GCGSTDebt1 105	February 28, 2004	Non Par	207.24	31,1996	31,1996	31,0183	30,8459	30,6524	30,4481	2.58%	4.45%	31,1996	
21	Group Capital Guarantee Short Term Debt Fund II	ULGF 009 16/03/07 GCGSTDebt2 105	March 15, 2007	Non Par	48,120.16	26,3467	26,3467	26,2008	26,0696	25,9113	25,7130	2.46%	4.51%	26,3470	
22	Group Capital Guarantee Short Term Debt Fund III	ULGF 047 27/08/13 GCGSTDebt3 105	October 18, 2013	Non Par	19,492.59	15,6441	15,6441	15,5578	15,4782	15,4475	15,2726	2.43%	4.44%	15,6441	
23	Group Capital Guarantee Growth Fund	ULGF 008 11/12/06 GCGGrowth1 105	December 11, 2006	Non Par	700.71	33,0519	33,0519	31,6206	30,7154	30,5055	27,7978	18.90%	9.87%	33,2716	
24	Group Capital Guarantee Growth Fund II	ULGF 012 05/07/07 GCGGrowth2 105	July 13, 2007	Non Par	2,766.30	33,1662	33,1662	31,6488	30,6855	30,3777	27,6747	19.84%	11.01%	33,3831	
25	Group Capital Guarantee Growth Fund III	ULGF 050 27/08/13 GCGGrowth3 105	June 30, 2014	Non Par	9,145.79	18,0896	18,0896	17,2600	16,6972	16,5106	15,0197	20.44%	11.84%	18,2061	
26	Group Equity Fund II	ULGF 043 30/04/13 GEGuity2 105	August 25, 2015	Non Par	405.97	18,9503	18,9503	17,0680	15,9843	15,0325	12,1493	55.98%	16.23%	19,1903	
27	Group Balanced Fund	ULGF 001 03/04/03 GBalancer 105	April 4, 2003	Non Par	96,119.97	55,9489	55,9489	54,1899	53,0847	50,1469	45,1469	11.57%	10.36%	56,1907	
28	Group Balanced Fund II	ULGF 041 30/04/13 GBalancer2 105	February 24, 2014	Non Par	255,717.22	19,4537	19,4537	18,8610	18,4759	18,4772	17,4889	11.23%	9.92%	19,5276	
29	Group Debt Fund	ULGF 002 03/04/03 GDebt 105	April 4, 2003	Non Par	35,076.60	42,4225	42,4225	41,5450	41,1277	41,1414	40,2872	5.35%	9.87%	42,4825	
30	Group Debt Fund II	ULGF 040 30/04/13 GDebt2 105	December 2, 2013	Non Par	98,520.20	20,2357	20,2357	19,8279	19,6259	19,7650	19,2234	5.27%	9.69%	20,2603	
31	Group Short Term Debt Fund	ULGF 003 03/04/03 GSTDebt 105	April 4, 2003	Non Par	5,494.91	32,7375	32,7375	32,5486	32,3667	32,1644	31,9988	2.63%	4.66%	32,7375	
32	Group Short Term Debt Fund II	ULGF 046 27/08/13 GSTDebt2 105	August 14, 2014	Non Par	69.34	14,5524	14,5524	14,4815	14,4186	14,3506	14,2497	2.12%	4.15%	14,5539	
33	Group Short Term Debt Fund III	ULGF 039 30/04/13 GSTDebt3 105	May 22, 2014	Non Par	14,842.84	15,1067	15,1067	15,0153	14,9342	14,8397	14,7172	2.65%	4.54%	15,1069	
34	Group Leave Encashment Balance Fund	ULGF 013 02/04/08 GLEBal 105	April 2, 2008	Non Par	11,395.38	31,9544	31,9544	30,9815	30,3645	30,3903	28,7337	11.21%	10.12%	32,0895	
35	Group Leave Encashment Income Fund	ULGF 014 02/04/08 GLEIncome 105	March 31, 2008	Non Par	1,257.00	28,5011	28,5011	27,8627	27,6695	27,1241	26,4668	5.08%	9.39%	28,5009	
36	Group Growth Fund	ULGF 004 30/10/03 GGrowth 105	November 7, 2003	Non Par	9,277.73	95,9605	95,9605	89,7655	85,3606	83,3119	72,8693	31.69%	13.62%	96,7802	
37	Group Growth Fund II	ULGF 042 30/04/13 GGrowth2 105	February 24, 2014	Non Par	13,996.95	22,3665	22,3665	20,9276	19,9879	19,4698	17,0381	31.29%	13.65%	22,5592	
38	Health Balancer Fund	ULIF 059 15/01/09 HBalancer 105	January 15, 2009	Non Par	5,031.36	39,2317	39,2317	37,2951	36,0572	35,5801	32,3448	11.29%	11.79%	39,4664	
39	Health Protector Fund	ULIF 061 15/01/09 HProtect 105	January 15, 2009	Non Par	22,361.74	31,4236	31,4236	30,8228	30,4809	30,7927	29,8721	5.19%	9.89%	31,5085	
40	Health Flexi Balanced Fund	ULIF 060 15/01/09 HFlexiBal 105	January 16, 2009	Non Par	6,799.07	46,0209	46,0209	42,8204	41,0908	40,1893	35,6521	29.08%	11.36%	46,3781	
41	Health Flexi Growth Fund	ULIF 057 15/01/09 HFlexiGro 105	January 16, 2009	Non Par	62,400.48	57,4871	57,4871	51,6250	48,4845	46,0440	38,0630	51.03%	11.55%	58,2580	
42	Health Preserver Fund	ULIF 056 15/01/09 HPreserv 105	January 15, 2009	Non Par	866.67	24,8606	24,8606	24,6720	24,4922	24,2857	23,9996	3.59%	5.61%	24,8606	
43	Health Multiplier Fund	ULIF 058 15/01/09 HMultipl 105	January 15, 2009	Non Par	9,123.47	62,3471	62,3471	55,9296	52,1415	49,4989	39,6945	57.07%	16.33%	63,1781	
44	Income Fund	ULIF 089 24/11/09 LIncome 105	November 24, 2009	Non Par	1,213,243.19	26,2806	26,2806	25,7849	25,5009	25,6991	24,0158	6.06%	9.46%	26,3303	
45	Invest Shield Fund - Life	ULIF 018 03/01/05 LInvShld 105	January 20, 2005	Non Par	3,825.86	45,5909	45,5909	44,0069	44,0069	43,1417	43,2667	11.90%	10.57%	45,7439	
46	Balancer Fund	ULIF 002 22/10/01 LBalancer1 105	November 19, 2001	Non Par	84,510.80	83,3587	83,3587	79,5287	77,1326	76,3803	69,5904	19.78%	10.42%	83,8723	
47	Balancer Fund II	ULIF 014 17/05/04 LBalancer2 105	May 25, 2004	Non Par	31,703.90	65,3429	65,3429	62,1040	60,0282	59,2336	53,7977	21.46%	11.94%	65,7335	
48	Balancer Fund III	ULIF 023 13/03/06 LBalancer3 105	March 14, 2006	Non Par	1,964.28	38,6956	38,6956	36,9114	35,7879	35,4335	32,2707	19.17%	10.55%	38,9353	
49	Balancer Fund IV	ULIF 039 27/08/07 LBalancer4 105	August 27, 2007	Non Par	6,543.24	39,9375	39,9375	37,9443	36,6863	36,2190	32,8471	21.59%	12.37%	40,1770	
50	Protector Fund	ULIF 003 22/10/01 LProtect1 105	November 19, 2001	Non Par	48,198.87	46,5919	46,5919	45,8069	45,3741	45,8042	44,5378	4.61%	9.01%	46,7293	
51	Protector Fund II	ULIF 016 17/05/04 LProtect2 105	May 20, 2004	Non Par	41,659.4	41,6594	41,6594	40,7079	40,2457	40,4038	39,2600	6.26%	10.58%	41,7713	
52	Protector Fund III	ULIF 024 13/03/06 LProtect3 105	March 14, 2006	Non Par	2,703.30	33,6864	33,6864	32,7851	32,1021	32,7851	32,2354	4.50%	9.04%	33,7784	
53	Protector Fund IV	ULIF 041 27/08/07 LProtect4 105	August 27, 2007	Non Par	25,256.96	33,5419	33,5419	32,9422	32,5557	32,8269	31,9038	5.13%	10.19%	33,6284	
54	Discontinued Fund - Life	ULIF 100 01/07/10 LDiscont 105	March 16, 2011	Non Par	1,113,521.93	20,4068	20,4068	20,1585	19,9477	19,8867	19,5900	4.17%	5.25%	20,4271	
55	Life Growth Fund	ULIF 134 19/09/13 LGF 105	February 28, 2014	Non Par	144,613.06	24,0226	24,0226	21,6426	20,1343	19,1030	15,4010	56.98%	15.68%	24,3330	
56	Maximiser Fund	ULIF 001 22/10/01 LMaximis1 105	November 19, 2001	Non Par	360,093.41	203,7690	203,7690	183,6715	171,7590	162,5902	130,2600	56.43%	13.66%	206,1470	
57	Maximiser Fund II	ULIF 012 17/05/04 LMaximis2 105	May 20, 2004	Non Par	106,960.66	125,6698	125,6698	113,0277	105,4567	99,5812	79,4671	58.14%	14.72%	127,1084	
58	Maximiser Fund III	ULIF 022 13/03/06 LMaximis3 105	March 14, 2006	Non Par	14,194.19	52,3943	52,3943	47,2449	44,2222	44,2222	39,4611	56.44%	13.72%	53,0048	
59	Maximiser Fund IV	ULIF 037 27/08/07 LMaximis4 105	August 27, 2007	Non Par	1,931.90	44,9916	44,9916	40,5123	37,8140	35,7163	28,5479	57.60%	14.59%	45,5070	
60	Maximiser Fund V	ULIF 114 15/03/11 LMaximis5 105	August 29, 2011	Non Par	4,945,459.08	35,9482	35,9482	33,3387	30,1664	28,4965	22,7955	57.98%	14.50%	36,3730	
61	Preserver Fund	ULIF 010 17/05/04 LPreserv1 105	June 28, 2004	Non Par	30,325.11	34,5562	34,5562	34,2893	34,0489	33,7770	33,4443	3.32%	5.35%	34,5572	
62	Preserver Fund III	ULIF 021 13/03/06 LPreserv3 105	March 14, 2006	Non Par	1,671.95	30,7478	30,7478	30,5079	30,2961	30,0624	29,7751	3.27%	5.18%	30,7509	
63	Preserver Fund IV	ULIF 036 27/08/07 LPreserv4 105	August 27, 2007	Non Par	2,967.67	27,8381	27,8381	27,4294	27,4294	27,2164	26,9476	3.30%	5.32%		

No	Fund Name	SFIN	Date of Launch	Par/Non Par	Assets Under Management on the above date (₹ Lakhs)	NAV as per LB 2 (₹)	NAV as on the above date* (₹)	30-Sep-21	30-Jun-21	31-Mar-21	31-Dec-20	30-Sep-20	Return/Yield**	3 Year Rolling CAGR	Highest NAV since inception (₹)
								Previous Qtr NAV (₹)	2nd Previous Qtr NAV (₹)	3rd Previous Qtr NAV (₹)	4th Previous Qtr NAV (₹)				
92	Pension Protector Fund II	ULIF 017 17/05/04 PProtect2 105	May 27, 2004	Non Par	23,925.28	40.6730	40.6730	39.7966	39.3114	39.4076	39.2881	6.23%	10.84%	40.7841	
93	Pension Maximiser Fund	ULIF 004 03/05/02 PMaximise1 105	May 31, 2002	Non Par	59,505.62	220.8664	220.8664	199.3724	185.7878	176.5289	142.1107	55.42%	16.39%	223.7050	
94	Pension Maximiser Fund II	ULIF 013 17/05/04 PMaximise2 105	May 20, 2004	Non Par	101,730.81	141.2020	141.2020	127.1976	118.2294	112.0034	90.1029	56.71%	17.38%	142.9918	
95	Pension Multi Cap Balanced Fund	ULIF 094 11/01/10 PMCAPBal 105	January 11, 2010	Non Par	5,624.91	26.7165	26.7165	26.7165	25.7009	25.1342	22.3766	55.93%	15.24%	29.9231	
96	Pension Multi Cap Growth Fund	ULIF 091 11/01/10 PMCAPGro 105	January 11, 2010	Non Par	51,008.13	33.9151	33.9151	30.4634	28.5130	27.0718	22.3766	51.57%	11.49%	34.3787	
97	Pension Multiplier Fund	ULIF 043 25/02/08 PMultipl 105	February 25, 2008	Non Par	19,812.78	29.5222	29.5222	26.5348	24.7909	23.5836	18.9332	55.93%	15.24%	29.9231	
98	Pension Multiplier Fund II	ULIF 045 25/02/08 PMultipl2 105	February 25, 2008	Non Par	9,553.29	33.6627	33.6627	30.1934	28.1471	26.7288	21.4316	57.07%	16.37%	34.1136	
99	Pension Money Market Fund	ULIF 096 11/01/10 PMoneyMkt 105	January 11, 2010	Non Par	7,862.06	22.6825	22.6825	22.5112	22.3494	22.1771	21.9513	3.33%	5.35%	22.6825	
100	Pension Opportunities Fund	ULIF 092 11/01/10 POpport 105	January 11, 2010	Non Par	14,396.57	37.5537	37.5537	33.6803	31.2357	29.2648	23.2987	61.18%	16.93%	37.9941	
101	Pension Preserver Fund	ULIF 011 17/05/04 PPreserv 105	June 17, 2004	Non Par	18,294.49	34.1746	34.1746	33.9167	33.6783	33.4221	33.1010	3.24%	5.31%	34.1746	
102	Pension Secure Fund	ULIF 128 01/12/11 PSECURE 105	January 18, 2013	Non Par	10,017.64	20.0543	20.0543	19.6660	19.4821	19.6562	19.1003	4.99%	9.13%	20.1127	
103	RICH Fund	ULIF 048 17/03/08 LRICH1 105	March 17, 2008	Non Par	13,977.74	37.5248	37.5248	33.7375	31.3632	29.4733	23.6000	58.00%	15.66%	37.9734	
104	RICH Fund II	ULIF 049 17/03/08 LRICH2 105	March 17, 2008	Non Par	72,953.96	42.0186	42.0186	37.6863	34.9537	32.7748	26.1799	60.57%	16.67%	42.5150	
105	RICH Fund III	ULIF 050 17/03/08 LRICH3 105	March 17, 2008	Non Par	2,680.14	37.7088	37.7088	33.9115	31.5119	29.5925	23.6682	59.32%	15.69%	38.1577	
106	RICH Fund IV	ULIF 051 17/03/08 LRICH4 105	March 17, 2008	Non Par	23,917.55	41.7953	41.7953	37.5052	34.7951	32.6367	26.0925	60.18%	16.56%	42.2873	
107	Secure Save Builder Fund	ULIF 077 29/05/09 LSSavBuil 105	June 1, 2009	Non Par	137.71	32.7463	32.7463	30.1424	28.5940	27.5520	23.3977	39.96%	13.63%	33.0726	
108	Secure Save Guarantee Fund	ULIF 076 29/05/09 LSSavGtee 105	May 29, 2009	Non Par	422.01	26.9318	26.9318	25.5513	24.7367	24.4781	22.1360	21.67%	11.22%	27.1040	
109	Cash Plus Fund	ULIF 008 11/08/03 LCashPlus 105	August 27, 2003	Non Par	10,775.81	38.6035	38.6035	37.7783	37.3522	37.7294	36.6403	5.36%	9.33%	38.7047	
110	Invest Shield Cash Fund	ULIF 020 03/01/05 LInvCash 105	January 6, 2005	Non Par	28,071.59	36.7355	36.7355	36.0905	35.7020	36.0519	35.0117	4.92%	9.31%	36.8423	
111	Secure Plus Fund	ULIF 007 11/08/03 LSecPlus 105	August 22, 2003	Non Par	2,367.14	39.1597	39.1597	37.7812	37.1043	34.9689	31.0372	11.99%	10.36%	39.2972	
112	Secure Plus Pension Fund	ULIF 009 17/11/03 PSecPlus 105	December 2, 2003	Non Par	1,477.37	36.1006	36.1006	34.9112	34.2293	34.2970	32.4775	11.16%	9.66%	36.2202	
113	Active Asset Allocation Balanced Fund	ULIF 138 15/02/17 AAABF 105	June 12, 2017	Non Par	396,509.30	14.7491	14.7491	13.8545	13.2718	12.9692	11.4631	28.67%	12.79%	14.8637	
114	Group Balanced Fund-Superannuation	ULGF 051 03/04/03 GSBLN 105	April 4, 2003	Non Par	88,521.99	55.4168	55.4168	53.7205	52.6908	52.6930	49.8768	11.11%	10.08%	55.6461	
115	Group Capital Guarantee Short Term Debt Fund-Superannuation	ULGF 055 24/02/04 GSCCGTD 105	February 28, 2004	Non Par	1,016.54	31.4880	31.4880	31.2931	31.1122	30.9077	30.6352	2.78%	4.73%	31.4880	
116	Group Debt Fund-Superannuation	ULGF 052 03/04/03 GSDBT 105	April 4, 2003	Non Par	33,033.59	41.9213	41.9213	41.1167	40.6685	41.0212	39.8924	5.09%	9.51%	42.0389	
117	Group Growth Fund-Superannuation	ULGF 054 30/10/03 GSGF 105	November 7, 2003	Non Par	49,853.32	95.3083	95.3083	89.0950	85.1096	82.9441	72.5510	31.37%	13.73%	96.1264	
118	Group Short Term Debt Fund-Superannuation	ULGF 053 03/04/03 GSSTD 105	April 4, 2003	Non Par	14,349.09	32.6560	32.6560	32.4652	32.2854	32.0847	31.8261	2.61%	4.66%	32.6560	
119	Value Enhancer Fund	ULIF 139 24/11/17 VEF 105	July 23, 2018	Non Par	558,905.06	14.4236	14.4236	12.9634	11.8531	10.9604	9.2085	56.67%	15.47%	14.6707	
120	Secure Opportunities Fund	ULIF 140 24/11/17 SOF 105	July 23, 2018	Non Par	45,293.43	12.9361	12.9361	12.7007	12.5623	12.5949	12.2671	5.45%	8.80%	12.9654	
121	Focus 50 Fund	ULIF 142 04/02/19 FocusFifty 105	March 20, 2019	Non Par	232,631.18	14.6435	14.6435	13.1429	12.2918	11.6890	9.3926	55.90%	NA	14.8370	
122	India Growth Fund	ULIF 141 04/02/19 IndiaGrwth 105	March 17, 2019	Non Par	130,238.91	15.7341	15.7341	14.1313	13.1227	12.4191	10.0079	57.22%	NA	15.9411	
123	Balanced Advantage Fund	ULIF 144 03/06/21 BalanceAdv 105	August 31, 2021	Non Par	29,363.24	10.2313	10.2313	NA	NA	NA	NA	NA	NA	10.3173	
124	Pinnacle Fund II	ULIF 105 26/10/10 LPinnacle2 105	October 26, 2010	Non Par	NA	NA	NA	NA	17.7382	17.6643	17.4562	NA	NA	17.7989	
125	Pension Return Guarantee Fund XI (10 Yrs)	ULIF 122 19/04/11 PRGF(S4) 105	April 19, 2011	Non Par	NA	NA	NA	20.2731	20.1901	20.0472	19.7913	NA	NA	20.2738	
126	Pension Return Guarantee Fund X (10 Yrs)	ULIF 113 13/01/11 PRGF(S3) 105	January 14, 2011	Non Par	NA	NA	NA	20.4537	20.3683	20.1291	NA	NA	NA	20.6614	
127	Pension Return Guarantee Fund VIII	ULIF 102 12/10/10 PRGF(S1) 105	October 12, 2010	Non Par	NA	NA	NA	NA	NA	20.4787	NA	NA	NA	20.4998	
128	Pension Return Guarantee Fund IX (10 Yrs)	ULIF 108 22/12/10 PRGF(S2) 105	December 23, 2010	Non Par	NA	NA	NA	NA	NA	20.7108	20.4424	NA	NA	20.7256	
129	Return Guarantee Fund XI (10 Yrs)	ULIF 112 13/01/11 LRGF(S3) 105	January 14, 2011	Non Par	NA	NA	NA	NA	NA	20.7048	20.4046	NA	NA	20.7639	
130	Return Guarantee Fund XII (10 Yrs)	ULIF 121 19/04/11 LRGF(S4) 105	April 19, 2011	Non Par	NA	NA	NA	NA	NA	19.9027	19.8547	NA	NA	19.9245	
131	Return Guarantee Fund XIII (10 Yrs)	ULIF 104 12/10/10 LRGF(S1) 105	October 12, 2010	Non Par	NA	NA	NA	NA	NA	NA	20.2421	NA	NA	20.2763	
132	Return Guarantee Fund IX (10 Yrs)	ULIF 107 22/12/10 LRGF(S2) 105	December 22, 2010	Non Par	NA	NA	NA	NA	NA	NA	20.2314	NA	NA	20.4433	
Total					15,504,892.98										

CERTIFICATION

Certified that the performance of all segregated funds have been placed and reviewed by the Board. All information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Note

- * NAV reflects the published NAV on the reporting date
- ** Absolute return for the period of 1 year
- NAV is upto 4 decimal
- NA refers to 'Not Applicable' for funds that have not completed the relevant period under consideration or funds not in existence at relevant date

FORM L-29-Details regarding debt securities

Insurer: ICICI Prudential Life Insurance Company Limited

Date: September 30, 2021

(₹ in Lakhs)

Details regarding debt securities- Non-ULIP								
	Market value				Book value			
	As at September 30, 2021	as % of total for this class	As at September 30, 2020	as % of total for this class	As at September 30, 2021	as % of total for this class	As at September 30, 2020	as % of total for this class
Break down by credit rating								
AAA rated ⁴	6,754,974	97.38%	5,241,762	96.61%	6,617,412	97.45%	4,987,328	96.56%
AA or better	167,437	2.41%	150,234	2.77%	159,460	2.35%	144,834	2.80%
Rated below AA but above A (A or better)	14,435	0.21%	31,808	0.59%	13,959	0.21%	30,996	0.60%
Rated below A but above B	-	0.00%	2,106	0.04%	-	0.00%	1,965	0.04%
Any other	-	0.00%	-	0.00%	-	0.00%	-	0.00%
Total	6,936,846	100.00%	5,425,910	100.00%	6,790,831	100.00%	5,165,123	100.00%
Break down by residual maturity								
Upto 1 year	373,118	5.38%	323,432	5.96%	371,909	5.48%	321,832	6.23%
more than 1 year and upto 3 years	324,277	4.67%	222,299	4.10%	311,020	4.58%	211,743	4.10%
More than 3 years and up to 7 years	709,955	10.23%	570,240	10.51%	680,510	10.02%	542,273	10.50%
More than 7 years and up to 10 years	1,261,321	18.18%	776,579	14.31%	1,218,267	17.94%	726,850	14.07%
More than 10 years and up to 15 years	1,385,577	19.97%	1,279,082	23.57%	1,344,349	19.80%	1,198,867	23.21%
More than 15 years and up to 20 years	271,522	3.91%	253,436	4.67%	267,194	3.93%	239,226	4.63%
Above 20 years	2,611,076	37.64%	2,000,842	36.88%	2,597,582	38.25%	1,924,333	37.26%
Total	6,936,846	100.00%	5,425,910	100.00%	6,790,831	100.00%	5,165,123	100.00%
Breakdown by type of the issuer								
a. Central Government ⁵	4,993,585	71.99%	3,846,365	70.89%	4,931,932	72.63%	3,678,040	71.21%
b. State Government	305,182	4.40%	248,376	4.58%	295,418	4.35%	237,778	4.60%
c. Corporate Securities	1,638,079	23.61%	1,331,169	24.53%	1,563,481	23.02%	1,249,305	24.19%
Total	6,936,846	100.00%	5,425,910	100.00%	6,790,831	100.00%	5,165,123	100.00%

Note

1. In case of a debt instrument is rated by more than one agency, then the lowest rating will be taken for the purpose of classification.
2. The detail of ULIP and Non-ULIP will be given separately.
3. Market value of the securities will be in accordance with the valuation method specified by the Authority under Accounting/ Investment regulations.
4. All sovereign instruments and AAA equivalent rated instruments are disclosed under this category
5. Includes T-Bill, investments fully guaranteed by Central Government and Triparty Repo

FORM L-29-Details regarding debt securities

Insurer: ICICI Prudential Life Insurance Company Limited

Date: September 30, 2021

(₹ in Lakhs)

Details regarding debt securities- ULIP								
	Market value				Book value			
	As at September 30, 2021	as % of total for this class	As at September 30, 2020	as % of total for this class	As at September 30, 2021	as % of total for this class	As at September 30, 2020	as % of total for this class
Break down by credit rating								
AAA rated ⁴	4,954,912	96.92%	4,546,205	94.95%	4,954,912	96.92%	4,546,205	94.95%
AA or better	131,381	2.57%	204,319	4.27%	131,381	2.57%	204,319	4.27%
Rated below AA but above A (A or better)	26,087	0.51%	37,384	0.78%	26,087	0.51%	37,384	0.78%
Rated below A but above B	-	0.00%	-	0.00%	-	0.00%	-	0.00%
Any other	-	0.00%	-	0.00%	-	0.00%	-	0.00%
Total	5,112,381	100.00%	4,787,908	100.00%	5,112,381	100.00%	4,787,908	100.00%
Break down by residual maturity								
Upto 1 year	1,703,567	33.32%	1,731,734	36.17%	1,703,567	33.32%	1,731,734	36.17%
more than 1 year and upto 3 years	910,465	17.81%	848,097	17.71%	910,465	17.81%	848,097	17.71%
More than 3 years and up to 7 years	782,488	15.31%	599,255	12.52%	782,488	15.31%	599,255	12.52%
More than 7 years and up to 10 years	1,299,877	25.43%	1,025,825	21.43%	1,299,877	25.43%	1,025,825	21.43%
More than 10 years and up to 15 years	382,362	7.48%	500,430	10.45%	382,362	7.48%	500,430	10.45%
More than 15 years and up to 20 years	-	0.00%	5,287	0.11%	-	0.00%	5,287	0.11%
Above 20 years	33,623	0.66%	77,280	1.61%	33,623	0.66%	77,280	1.61%
Total	5,112,381	100.00%	4,787,908	100.00%	5,112,381	100.00%	4,787,908	100.00%
Breakdown by type of the issuer								
a. Central Government ⁵	2,956,312	57.83%	2,527,611	52.79%	2,956,312	57.83%	2,527,611	52.79%
b. State Government	392,595	7.68%	282,852	5.91%	392,595	7.68%	282,852	5.91%
c. Corporate Securities	1,763,474	34.49%	1,977,445	41.30%	1,763,474	34.49%	1,977,445	41.30%
Total	5,112,381	100.00%	4,787,908	100.00%	5,112,381	100.00%	4,787,908	100.00%

Note

1. In case of a debt instrument is rated by more than one agency, then the lowest rating will be taken for the purpose of classification.
2. The detail of ULIP and Non-ULIP will be given separately.
3. Market value of the securities will be in accordance with the valuation method specified by the Authority under Accounting/ Investment regulations.
4. All sovereign instruments and AAA equivalent rated instruments are disclosed under this category
5. Includes T-Bill, investments fully guaranteed by Central Government and Triparty Repo

FORM L-30 : Related Party Transactions

Name of the Insurer: ICICI Prudential Life Insurance Company Ltd (Standalone)

Date: September 30, 2021

Quarter End: September 30, 2021

PART-A Related Party Transactions							
Sr.No.	Name of the Related Party	Nature of Relationship with the Company	Description of Transactions / Categories	Consideration paid / received (Rs. in Lakhs)			
				For the Quarter	Upto the Quarter	For the Corresponding Quarter of the Previous Year	Up to the Quarter of the Previous Year
1	ICICI Bank Limited	Holding Company	Premium Income	18,755	40,633	13,661	20,635
2	ICICI Bank Limited	Holding Company	Benefits paid	(11,016)	(28,928)	(4,223)	(6,241)
3	ICICI Bank Limited	Holding Company	Establishment & Other Expenditure	(17,587)	(29,184)	(17,580)	(27,739)
4	ICICI Bank Limited	Holding Company	Purchase of Securities	(29,616)	(29,616)	(31,534)	(33,935)
5	ICICI Bank Limited	Holding Company	Sale of Securities	6,252	6,252	2,648	24,089
6	ICICI Bank Limited	Holding Company	Dividend Paid	-	(14,752)	-	-
7	ICICI Securities Limited	Fellow subsidiary	Premium Income	1	1	11	11
8	ICICI Securities Limited	Fellow subsidiary	Benefits paid	-	-	(4)	(4)
9	ICICI Securities Limited	Fellow subsidiary	Establishment & Other Expenditure	(1,590)	(2,452)	(1,114)	(1,731)
10	ICICI Securities Limited	Fellow subsidiary	Dividend Paid	-	(0)	-	-
11	ICICI Venture Funds Management Company Limited	Fellow subsidiary	Premium Income	8	8	6	6
12	ICICI Venture Funds Management Company Limited	Fellow subsidiary	Establishment & Other Expenditure	0	24	(43)	(43)
13	ICICI Venture Funds Management Company Limited	Fellow subsidiary	Sale of fixed assets	-	-	-	31
14	ICICI Home Finance Company Limited	Fellow subsidiary	Premium Income	2,406	3,446	851	865
15	ICICI Home Finance Company Limited	Fellow subsidiary	Benefits paid	(513)	(1,296)	(235)	(550)
16	ICICI Home Finance Company Limited	Fellow subsidiary	Establishment & Other Expenditure	(142)	(223)	(74)	(87)
17	ICICI Securities Primary Dealership Limited	Fellow subsidiary	Premium Income	8	8	4	4
18	ICICI Securities Primary Dealership Limited	Fellow subsidiary	Purchase of Securities	(5,536)	(127,554)	(112,338)	(201,808)
19	ICICI Securities Primary Dealership Limited	Fellow subsidiary	Sale of Securities	6,230	11,644	5,612	24,697
20	ICICI Securities Primary Dealership Limited	Fellow subsidiary	Interest Income	144	286	144	286
21	ICICI Investment Management Company Limited	Fellow subsidiary	Premium Income	0	0	(0)	(0)
22	ICICI Prudential Asset Management Company Limited	Fellow subsidiary	Premium Income	243	239	177	258
23	ICICI Prudential Asset Management Company Limited	Fellow subsidiary	Benefits paid	(116)	(157)	(15)	(152)
24	ICICI Lombard General Insurance Company Limited*	Fellow subsidiary	Premium Income	201	201	121	117
25	ICICI Lombard General Insurance Company Limited*	Fellow subsidiary	Benefits paid	(58)	(310)	(47)	(94)
26	ICICI Lombard General Insurance Company Limited*	Fellow subsidiary	Establishment & Other Expenditure	(534)	(1,183)	(5,450)	(1,465)
27	ICICI Lombard General Insurance Company Limited*	Fellow subsidiary	Purchase of Securities	-	-	(853)	(5,531)
27	ICICI Prudential Pension Funds Management Company Limited	Subsidiary	Share capital subscribed	(2,100)	(2,100)	-	-
28	ICICI Prudential Pension Funds Management Company Limited	Subsidiary	Establishment & Other Expenditure	121	310	106	195
29	Prudential Corporation Holdings Limited	Substantial Interest	Dividend Paid	-	(6,350)	-	-
30	ICICI Prudential Life Insurance Company Limited Employees' Group Gratuity Cum Life Insurance Scheme	Entities over which control is exercised	Premium Income	1,010	1,033	253	14,829
31	ICICI Prudential Life Insurance Company Limited Employees' Group Gratuity Cum Life Insurance Scheme	Entities over which control is exercised	Benefits paid	(50)	(950)	(55)	(14,296)
32	ICICI Prudential Life Insurance Company Limited Employees' Group Gratuity Cum Life Insurance Scheme	Entities over which control is exercised	Establishment & Other Expenditure	410	(913)	228	(31)
33	ICICI Prudential Life Insurance Company Limited Superannuation Scheme	Entities over which control is exercised	Premium Income	27	54	26	42
34	ICICI Prudential Life Insurance Company Limited Superannuation Scheme	Entities over which control is exercised	Benefits paid	-	(24)	-	-
35	ICICI Prudential Life Insurance Company Limited Superannuation Scheme	Entities over which control is exercised	Establishment & Other Expenditure	(28)	(55)	(26)	(51)
36	ICICI Prudential Life Insurance Company Limited Employees' Provident Fund	Entities over which control is exercised	Establishment & Other Expenditure	(545)	(1,066)	(477)	(952)
37	ICICI Foundation for Inclusive Growth	Entities controlled by holding company	Premium Income	-	(0)	-	(0)
38	ICICI Foundation for Inclusive Growth	Entities controlled by holding company	Establishment & Other Expenditure	(83)	(83)	-	-
39	Key management personnel	Key management personnel	Premium Income	-	0	1	2
40	Key management personnel	Key management personnel	Establishment & Other Expenditure	(153)	(536)	(147)	(1,042)
41	Key management personnel	Key management personnel	Dividend Paid	-	(4)	-	-
42	Key management personnel	Key management personnel	Employee Stock Options exercised (numbers)	71,900	116,900	-	-
43	Key management personnel	Relatives of key management personnel	Premium Income	-	1	-	-

0 in the above table denotes amount less than Rs 50,000.

*Transaction upto September 7, 2021 with ICICI Lombard General Insurance Company Limited has been reported, since post that it ceased to be a subsidiary of ICICI Bank Limited.

PART-B Related Party Transaction Balances - As at the end of the Quarter September 30,2021

Sr.No.	Name of the Related Party	Nature of Relationship with the Company	Nature of Outstanding Balances	Whether Payable / Receivable	Amount of Outstanding Balances including Commitments (Rs. in Lakhs)	Whether Secured? If so, Nature of consideration to be provided at the time of settlement	Details of any Guarantees given or received	Balance under Provision for doubtful debts relating to the outstanding balance receivable (Rs. in Lakhs)	Expenses recognised up to the quarter end during the year in respect of bad or doubtful debts due from the related party (Rs. in Lakhs)
1	ICICI Bank Limited	Holding Company	Premium (Balance in deposit)	Payable	(3,623)	No	NA	-	-
2	ICICI Bank Limited	Holding Company	Claims Outstanding	Payable	(19,101)	No	NA	-	-
3	ICICI Bank Limited	Holding Company	Establishment & Other Expenditure	Payable	(4,562)	No	NA	-	-
4	ICICI Bank Limited	Holding Company	Establishment & Other Expenditure	Receivable	6	No	NA	-	-
5	ICICI Bank Limited	Holding Company	Cash and Bank Balances	Receivable	80,649	No	NA	-	-
5	ICICI Securities Limited	Fellow subsidiary	Premium (Balance in deposit)	Payable	(128)	No	NA	-	-
6	ICICI Securities Limited	Fellow subsidiary	Establishment & Other Expenditure	Payable	(420)	No	NA	-	-
7	ICICI Securities Limited	Fellow subsidiary	Establishment & Other Expenditure	Receivable	1	No	NA	-	-
8	ICICI Venture Funds Management Company Limited	Fellow subsidiary	Premium (Balance in deposit)	Payable	(10)	No	NA	-	-
9	ICICI Venture Funds Management Company Limited	Fellow subsidiary	Establishment & Other Expenditure	Receivable	1	No	NA	-	-
10	ICICI Home Finance Company Limited	Fellow subsidiary	Premium (Balance in deposit)	Payable	(248)	No	NA	-	-
11	ICICI Home Finance Company Limited	Fellow subsidiary	Claims Outstanding	Payable	(595)	No	NA	-	-
12	ICICI Home Finance Company Limited	Fellow subsidiary	Establishment & Other Expenditure	Payable	(96)	No	NA	-	-
13	ICICI Home Finance Company Limited	Fellow subsidiary	Establishment & Other Expenditure	Receivable	1	No	NA	-	-
14	ICICI Securities Primary Dealership Limited	Fellow subsidiary	Premium (Balance in deposit)	Payable	(3)	No	NA	-	-
15	ICICI Securities Primary Dealership Limited	Fellow subsidiary	Interest Income	Receivable	365	No	NA	-	-
16	ICICI Securities Primary Dealership Limited	Fellow subsidiary	Outstanding investments	Receivable	6,205	No	NA	-	-
17	ICICI Investment Management Company Limited	Fellow subsidiary	Premium (Balance in deposit)	Payable	(0)	No	NA	-	-
18	ICICI Prudential Asset Management Company Limited	Fellow subsidiary	Premium (Balance in deposit)	Payable	(238)	No	NA	-	-
19	ICICI Prudential Asset Management Company Limited	Fellow subsidiary	Claims Outstanding	Payable	(10)	No	NA	-	-
20	ICICI Prudential Pension Funds Management Company Limited	Subsidiary	Establishment & Other Expenditure	Receivable	142	No	NA	-	-
21	ICICI Prudential Life Insurance Company Limited Employees' Group Gratuity Cum Life Insurance Scheme	Entities over which control is exercised	Premium (Balance in deposit)	Payable	(65)	No	NA	-	-
22	ICICI Prudential Life Insurance Company Limited Employees' Group Gratuity Cum Life Insurance Scheme	Entities over which control is exercised	Establishment & Other Expenditure	Payable	(410)	No	NA	-	-
23	ICICI Prudential Life Insurance Company Limited Superannuation Scheme	Entities over which control is exercised	Premium (Balance in deposit)	Payable	(9)	No	NA	-	-
24	ICICI Prudential Life Insurance Company Limited Employees' Provident Fund	Entities over which control is exercised	Establishment & Other Expenditure	Payable	(595)	No	NA	-	-
25	ICICI Foundation for Inclusive Growth	Entities controlled by holding company	Premium (Balance in deposit)	Payable	(0)	No	NA	-	-
26	Key management personnel	Key management personnel	ESOP outstanding (in no's)	Payable	1,765,200	No	NA	-	-

1.0 in the above table denotes amount less than Rs 50,000.

2.Outstanding balances as at September 30,2021 with ICICI Lombard General Insurane Company Limited is not reported, since post September 7,2021 it ceased to be subsidiary of ICICI Bank Limited.

FORM L-31 Board of Directors & Key Management Persons**Insurer: ICICI Prudential Life Insurance Company Limited****Date: September 30, 2021****Board of Directors**

Sl. No.	Name of the Person	Designation /Role/Function	Details of change in the period if any
1	Mr. M. S. Ramachandran	Chairman (Non-Executive Independent Director)	NIL
2	Mr. Dilip Karnik	Non-Executive Independent Director	NIL
3	Mr. R. K. Nair	Non-Executive Independent Director	NIL
4	Mr. Dileep Choksi	Non-Executive Independent Director	NIL
5	Ms. Vibha Paul Rishi	Non-Executive Independent Director	NIL
6	Mr. Anup Bagchi	Non-Executive Director	NIL
7	Mr. Sandeep Batra	Non-Executive Director	NIL
8	Mr. Wilfred John Blackburn	Non-Executive Director	NIL
9	Mr. N. S. Kannan	Managing Director & CEO	NIL

Key Management Persons

Sl. No.	Name of the Person	Designation	Role/Function	Details of change in the period if any [#]
1	Mr. N. S. Kannan	Managing Director & CEO	Managing Director & CEO	Nil
2	Mr. Judhajit Das	Chief Human Resources	Human Resources, Customer Service & Operations, Administration & IT Infrastructure, CSR	Nil
3	Mr. Amit Palta	Chief Distribution Officer	Sales & Distribution, Products, Marketing & e-Commerce	Nil
4	Mr. Satyan Jambunathan	Chief Financial Officer	Finance, Secretarial, Actuarial ¹ , Technology and Corporate Communications	Nil
5	Mr. Deepak Kinger	Chief Risk & Compliance Officer	Risk, Compliance, Legal, Taxation and Audit ²	Nil
6	Mr. Manish Kumar	Chief Investments Officer	Investments	Nil
7	Ms. Sonali Chandak	Company Secretary	Secretarial	Nil

[#] Ms. Asha Murali, Appointed Actuary was in service as KMP till July 31, 2021¹ Appointed Actuary reports to the MD & CEO for role of Appointed Actuary² Head – Internal Audit reports to the Audit Committee of the Board and Chief Risk & Compliance Officer for day-to-day administration

(See Regulation 4)

Insurance Regulatory and Development Authority of India (Actuarial Report and Abstract)
Regulations, 2016

Available Solvency Margin and Solvency Ratio
September 30, 2021

Name of Insurer: ICICI Prudential Life Insurance Company	Form Code : 015
Classification : Total Business	Registration Number : 11-127837
Date of Registration : 11/24/2000	Classification Code : BT
	Company Code : 0111

(₹ lakhs)

Item No.	Description	Notes No...	Adjusted Value
(1)	(2)	(3)	(4)
01	Available Assets in Policyholders' Fund	1	2,28,12,996
	Deduct:		
02	Mathematical Reserves	2	2,21,69,626
03	Other Liabilities	3	5,19,212
04	Excess in Policyholders' funds(01-02-03)		1,24,158
05	Available Assets in Shareholders Fund:	4	9,20,677
	Deduct:		
06	Other Liabilities of shareholders' fund	3	- 192
07	Excess in Shareholders' funds(05-06)	-	9,20,869
08	Total ASM (04) + (07)	-	10,45,027
09	Total RSM	-	5,22,692
10	Solvency Ratio (ASM / RSM)	-	199.9%

Notes

- Item No. 01 shall be the amount of the Total Admissible assets for Solvency as mentioned in Form IRDAI-Assets- AA under Policyholders A/C;
- Item No. 02 shall be the amount of Mathematical Reserves as mentioned in Form H;
- Item Nos. 03 and 06 shall be the amount of other liabilities as mentioned in the Balance Sheet;
- Items No. 05 shall be the amount of the Total Admissible assets for Solvency as mentioned in Form IRDAI-Assets- AA under Shareholders A/C;

FORM L-33-NPAs

Name of Fund: Life fund

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration No.: 105

Statement as on: September 30, 2021

Details Of Non-Performing Assets

Periodicity of Submission: Quarterly

No	Particulars	₹ Lakhs									
		Bonds / Debentures		Loans		Other Debt Instruments		All Other Assets ⁸		Total	
		YTD (As on September 30, 2021)	Prev. FY (As on March 31, 2021)	YTD (As on September 30, 2021)	Prev. FY (As on March 31, 2021)	YTD (As on September 30, 2021)	Prev. FY (As on March 31, 2021)	YTD (As on September 30, 2021)	Prev. FY (As on March 31, 2021)	YTD (As on September 30, 2021)	Prev. FY (As on March 31, 2021)
1	Investments Assets (As per form 5)	1,213,434.60	1,129,164.89	78,572.47	66,282.06	335,187.99	340,748.33	4,939,925.22	4,636,741.77	6,567,120.28	6,172,937.05
2	Gross NPA	-	-	-	-	-	-	-	-	-	-
3	% of Gross NPA on Investment Assets (2/1)	-	-	-	-	-	-	-	-	-	-
4	Provision made on NPA	-	-	-	-	-	-	-	-	-	-
5	Provision as a % of NPA (4/2)	-	-	-	-	-	-	-	-	-	-
6	Provision on Standard Assets	-	-	-	-	-	-	-	-	-	-
7	Net Investment Assets (1-4)	1,213,434.60	1,129,164.89	78,572.47	66,282.06	335,187.99	340,748.33	4,939,925.22	4,636,741.77	6,567,120.28	6,172,937.05
8	Net NPA (2-4)	-	-	-	-	-	-	-	-	-	-
9	% of Net NPA to Net Investment Assets (8/7)	-	-	-	-	-	-	-	-	-	-
10	Write off made during the period	-	-	-	-	-	-	-	-	-	-

CERTIFICATION :

Certified that the information given herein are correct and complete to the best of my knowledge. Also certified that the various investments made and covered in the return are **within** the exhaustive categories provided in investment guidelines as amended from time to time

Note:

1. The above statement, in the case of 'Life' Insurers is prepared 'fund-wise' Viz. Life Fund, Pension & Group Fund, ULIP Fund and at Assets Under Management level also.
2. Total Investment Assets reconciles with figures shown in Form 3A / 3B
3. Gross NPA is investments classified as NPA, before any provisions
4. Provision made on the 'Standard Assets' shall be as per Circular issued, as amended from time to time.
5. Net Investment assets is net of 'provisions'
6. Net NPA is gross NPAs less provisions
7. Write off as approved by the Board
8. All other Assets includes Central Government Securities, State Government Securities and Other Approved Securities.

FORM L-33-NPAs

Name of Fund: Pension fund

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration No.: 105

Statement as on: September 30, 2021

Details Of Non-Performing Assets

Periodicity of Submission: Quarterly

No	Particulars	₹ Lakhs									
		Bonds / Debentures		Loans		Other Debt Instruments		All Other Assets ⁸		Total	
		YTD (As on September 30, 2021)	Prev. FY (As on March 31, 2021)	YTD (As on September 30, 2021)	Prev. FY (As on March 31, 2021)	YTD (As on September 30, 2021)	Prev. FY (As on March 31, 2021)	YTD (As on September 30, 2021)	Prev. FY (As on March 31, 2021)	YTD (As on September 30, 2021)	Prev. FY (As on March 31, 2021)
1	Investments Assets (As per form 5)	283,646.65	272,484.54	-	-	13,756.53	19,494.92	784,449.48	596,964.14	1,081,852.65	888,943.61
2	Gross NPA	-	-	-	-	-	-	-	-	-	-
3	% of Gross NPA on Investment Assets (2/1)	-	-	-	-	-	-	-	-	-	-
4	Provision made on NPA	-	-	-	-	-	-	-	-	-	-
5	Provision as a % of NPA (4/2)	-	-	-	-	-	-	-	-	-	-
6	Provision on Standard Assets	-	-	-	-	-	-	-	-	-	-
7	Net Investment Assets (1-4)	283,646.65	272,484.54	-	-	13,756.53	19,494.92	784,449.48	596,964.14	1,081,852.65	888,943.61
8	Net NPA (2-4)	-	-	-	-	-	-	-	-	-	-
9	% of Net NPA to Net Investment Assets (8/7)	-	-	-	-	-	-	-	-	-	-
10	Write off made during the period	-	-	-	-	-	-	-	-	-	-

CERTIFICATION :

Certified that the information given herein are correct and complete to the best of my knowledge. Also certified that the various investments made and covered in the return are **within** the exhaustive categories provided in investment guidelines as amended from time to time

Note:

1. The above statement, in the case of 'Life' Insurers is prepared 'fund-wise' Viz. Life Fund, Pension & Group Fund, ULIP Fund and at Assets Under Management level also.
2. Total Investment Assets reconciles with figures shown in Form 3A / 3B
3. Gross NPA is investments classified as NPA, before any provisions
4. Provision made on the 'Standard Assets' shall be as per Circular issued, as amended from time to time.
5. Net Investment assets is net of 'provisions'
6. Net NPA is gross NPAs less provisions
7. Write off as approved by the Board
8. All other Assets includes Central Government Securities, State Government Securities and Other Approved Securities.

FORM L-33-NPAs

Name of Fund: Linked fund

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration No.: 105

Statement as on: September 30, 2021

Details Of Non-Performing Assets

Periodicity of Submission: Quarterly

No	Particulars	₹ Lakhs									
		Bonds / Debentures		Loans		Other Debt Instruments		All Other Assets ⁸		Total	
		YTD (As on September 30, 2021)	Prev. FY (As on March 31, 2021)	YTD (As on September 30, 2021)	Prev. FY (As on March 31, 2021)	YTD (As on September 30, 2021)	Prev. FY (As on March 31, 2021)	YTD (As on September 30, 2021)	Prev. FY (As on March 31, 2021)	YTD (As on September 30, 2021)	Prev. FY (As on March 31, 2021)
1	Investments Assets (As per form 5)	1,430,067.64	1,442,082.41	-	-	834,363.15	1,079,132.13	13,240,502.20	11,333,699.77	15,504,932.99	13,854,914.31
2	Gross NPA	-	-	-	-	-	-	-	-	-	-
3	% of Gross NPA on Investment Assets (2/1)	-	-	-	-	-	-	-	-	-	-
4	Provision made on NPA	-	-	-	-	-	-	-	-	-	-
5	Provision as a % of NPA (4/2)	-	-	-	-	-	-	-	-	-	-
6	Provision on Standard Assets	-	-	-	-	-	-	-	-	-	-
7	Net Investment Assets (1-4)	1,430,067.64	1,442,082.41	-	-	834,363.15	1,079,132.13	13,240,502.20	11,333,699.77	15,504,932.99	13,854,914.31
8	Net NPA (2-4)	-	-	-	-	-	-	-	-	-	-
9	% of Net NPA to Net Investment Assets (8/7)	-	-	-	-	-	-	-	-	-	-
10	Write off made during the period	-	-	-	-	-	-	-	-	-	-

CERTIFICATION :

Certified that the information given herein are correct and complete to the best of my knowledge. Also certified that the various investments made and covered in the return are **within** the exhaustive categories provided in investment guidelines as amended from time to time

Note:

1. The above statement, in the case of 'Life' Insurers is prepared 'fund-wise' Viz. Life Fund, Pension & Group Fund, ULIP Fund and at Assets Under Management level also.
2. Total Investment Assets reconciles with figures shown in Form 3A / 3B
3. Gross NPA is investments classified as NPA, before any provisions
4. Provision made on the 'Standard Assets' shall be as per Circular issued, as amended from time to time.
5. Net Investment assets is net of 'provisions'
6. Net NPA is gross NPAs less provisions
7. Write off as approved by the Board
8. All other Assets includes Central Government Securities, State Government Securities and Other Approved Securities.

FORM - L - 34 Statement of Investment and Income on Investment
Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Registration Number: 105
Statement as on: September 30, 2021
Periodicity of Submission: Quarterly

Name of the Fund: Life Fund

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}
1	Government Securities													
	Central Government Bonds	CGSB	3,751,975.14	69,237.78	7.52%	7.52%	3,698,701.04	142,122.62	7.81%	7.81%	2,652,704.25	122,181.42	9.40%	9.40%
	Treasury Bills	CTRB	1,955.20	18.07	3.72%	3.72%	1,946.30	35.79	3.70%	3.70%	34,050.95	271.46	3.13%	3.13%
2	Government Securities / Other Approved Securities													
	State Government Bonds / Development Loans	SGGB	234,570.24	4,512.24	7.85%	7.85%	229,057.49	9,471.76	8.42%	8.42%	179,833.40	7,461.89	8.45%	8.45%
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	94,645.62	1,725.00	7.43%	7.43%	89,248.85	3,183.31	7.24%	7.24%	43,584.78	3,015.16	14.27%	14.27%
3	Investment Subject to Exposure Norms													
	(a) Housing and Loan to State Govt for Housing													
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	247,859.78	5,045.99	8.32%	8.32%	221,066.01	9,326.00	8.59%	8.59%	139,170.22	10,072.23	14.95%	14.95%
	Long term Bank Bonds Approved Investments- Affordable Housing	HLBH	45,918.81	912.28	8.12%	8.12%	48,358.31	2,412.37	10.20%	10.20%	55,749.07	2,232.66	8.15%	8.15%
	(b) Infrastructure Investments													
	Infrastructure - Other Approved Securities	ISAS	-	-	-	-	-	-	-	-	4,975.03	50.56	5.26%	5.26%
	Infrastructure - PSU - Equity shares - Quoted	ITPE	3,281.76	109.09	13.85%	13.85%	3,287.56	109.09	6.73%	6.73%	11,351.30	(1,405.64)	-23.18%	-23.18%
	Infrastructure - Corporate Securities - Equity shares- Quoted	ITCE	37,212.72	1,235.12	13.83%	13.83%	34,347.11	1,271.59	7.52%	7.52%	49,173.81	2,022.60	8.37%	8.37%
	Infrastructure - Debenture / Bonds / CPs / Loans - Promoter Group	IDPG	-	-	-	-	-	-	-	-	2,025.45	88.55	8.91%	8.91%
	Infrastructure - PSU - Debentures / Bonds	IPTD	635,731.87	13,870.68	8.94%	8.94%	643,134.49	26,581.19	8.41%	8.41%	507,587.79	23,309.41	9.37%	9.37%
	Infrastructure - Other Corporate Securities - Debentures / Bonds	ICTD	31,152.48	752.66	9.93%	9.93%	33,256.44	2,219.49	13.75%	13.75%	29,589.00	1,293.50	8.91%	8.91%
	Infrastructure - PSU - Debentures / Bonds	IPFD	21,286.13	439.91	8.45%	8.45%	21,287.01	867.02	8.29%	8.29%	21,300.55	867.52	8.29%	8.29%
	Infrastructure - Debentures/Bonds/CPs/Loans	IODS	2,000.33	0.52	9.95%	9.95%	1,999.66	47.31	9.72%	9.72%	2,000.22	92.07	9.39%	9.39%
	(c) Approved Investments													
	PSU - Equity shares - Quoted	EAEQ	919.51	89.32	44.45%	44.45%	915.87	89.32	20.39%	20.39%	10,028.16	(4,866.86)	-76.38%	-76.38%
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	457,906.35	35,544.67	34.53%	34.53%	465,994.98	77,112.46	35.72%	35.72%	653,952.73	31,474.35	9.83%	9.83%
	Corporate Securities - Preference Shares	EPNQ	917.00	29.98	13.61%	13.61%	902.41	58.69	13.39%	13.39%	1,729.69	95.78	11.35%	11.35%
	Corporate Securities - Debentures	ECOS	154,133.88	3,668.40	9.78%	9.78%	155,830.87	8,768.02	11.54%	11.54%	171,369.56	10,548.34	12.65%	12.65%
	Investment properties - Immovable	EINP	37,437.74	862.35	9.46%	9.46%	37,437.74	1,529.13	8.31%	8.31%	37,437.74	1,806.89	9.86%	9.86%
	Loans - Policy Loans	ELPL	73,822.60	1,439.39	7.96%	7.96%	70,941.07	2,681.67	7.68%	7.68%	48,816.43	1,942.29	8.09%	8.09%
	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	57,267.30	1,371.30	9.84%	9.84%	59,410.32	2,709.68	9.30%	9.30%	79,852.64	4,302.96	11.04%	11.04%
	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	-	-	-	-	9,938.02	125.15	5.45%	5.45%
	Deposits - Repo / Reverse Repo	ECMR	341,394.50	2,722.79	3.20%	3.20%	318,139.86	5,090.61	3.22%	3.22%	237,154.19	3,569.69	3.02%	3.02%
	Perpetual Debt Instruments of Tier I and II Capital issued by PSU Banks	EUPD	-	-	-	-	-	-	-	-	2,481.30	104.91	8.61%	8.61%
	Mutual Funds - (under Insurer's Promoter Group)	EMPG	10,000.50	-	0.00%	0.00%	10,000.50	-	0.00%	0.00%	-	-	-	-
	Corporate Securities - Investment in Subsidiaries	ECIS	3,968.48	-	0.00%	0.00%	3,934.43	-	0.00%	0.00%	3,900.00	-	0.00%	0.00%
	Debt Capital Instruments (DCI-Basel III)	EDCI	5,215.99	82.68	6.44%	6.44%	5,052.34	157.72	6.32%	6.32%	10,005.71	23.20	6.22%	6.22%

₹ Lakhs

₹ Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}
	(d) Other Investments													
	Bonds - PSU - Taxable	OBPT	1,976.89	51.64	10.77%	10.77%	1,975.09	101.48	10.51%	10.51%	4,162.63	195.82	9.60%	9.60%
	Equity Shares (incl Co-op Societies)	OESH	136,514.65	3,397.11	10.24%	10.24%	142,319.52	23,737.28	36.02%	36.02%	120,894.89	(3,550.34)	-5.77%	-5.77%
	Passively Managed Equity ETF (Promoter Group)	OETP	39,051.28	-	0.00%	0.00%	37,276.05	-	0.00%	0.00%	-	-	-	-
	Passively Managed Equity ETF (Non Promoter Group)	OETF	13,950.80	-	0.00%	0.00%	13,950.80	-	0.00%	0.00%	17,245.63	1,274.64	16.87%	16.87%
	Debentures	OLDB	4,995.48	126.26	10.41%	10.41%	5,788.37	299.45	10.58%	10.58%	8,617.14	461.39	10.96%	10.96%
	Securitized Assets (underlying assets Housing Loan / Infrastructure assets)	OPSA	-	22.92	0.00%	0.00%	-	22.92	0.00%	0.00%	-	13.29	0.00%	0.00%
	Equity Shares (PSUs and Unlisted)	OEPU	32,230.68	-	0.00%	0.00%	32,230.68	-	0.00%	0.00%	921.31	(746.36)	-100.00%	-100.00%
	Reclassified approved investments - Debt	ORAD	6,058.83	131.23	8.87%	8.87%	6,064.56	258.45	8.68%	8.68%	8,611.73	384.60	9.11%	9.11%
	SEBI approved Alternate Investment Fund (Category II)	OAFB	226.59	-	0.00%	0.00%	226.59	-	0.00%	0.00%	392.49	7.60	3.90%	3.90%
	Preference Shares	OPSH	3,500.00	500.00	69.85%	69.85%	3,500.00	696.11	43.59%	43.59%	3,500.00	196.11	11.49%	11.49%
	Reclassified approved investments - Equity	ORAE	6,042.89	103.87	7.00%	7.00%	5,281.42	103.87	3.96%	3.96%	8,329.49	(11,164.08)	0.00%	0.00%
	Total		6,495,122.02	148,003.26			6,402,863.74	321,064.38			5,172,437.26	207,752.75		

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed to the best of my knowledge and belief.

Note: Category of investment (COI) shall be as per Guidelines, as amended from time to time

1 Based on daily simple average of Investments at book value

2 Yield netted for Tax

3 In the previous year column, the figures of the corresponding Year to date of previous financial year have been shown

4 FORM-1 is prepared in respect of each fund. In case of ULIP FORM 1 is prepared at Segregated Fund (SFIN) level and also at consolidated level.

5 YTD Income on investment is reconciled with figures in P&L and Revenue account

6 Signifies annualised yield

FORM - L - 34 Statement of Investment and Income on Investment
Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Registration Number: 105
Statement as on: September 30, 2021
Periodicity of Submission: Quarterly

Name of the Fund: Pension Fund

₹ Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}
1	Government Securities													
	Central Government Bonds	CGSB	661,541.59	11,240.94	6.91%	6.91%	625,596.56	21,263.23	6.89%	6.89%	385,186.98	19,549.95	10.38%	10.38%
2	Government Securities / Other Approved Securities													
	State Government Bonds / Development Loans	SGGB	20,647.66	443.53	8.80%	8.80%	18,677.40	742.47	8.09%	8.09%	11,890.86	448.59	7.67%	7.67%
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	9,337.85	161.46	7.04%	7.04%	7,459.50	258.73	7.04%	7.04%	2,055.68	85.91	8.51%	8.51%
3	Investment Subject to Exposure Norms													
	(a) Housing and Loan to State Govt. for Housing													
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	29,341.18	666.52	9.32%	9.32%	28,361.16	1,149.96	8.25%	8.25%	13,507.62	757.55	11.50%	11.50%
	Long term Bank Bonds Approved Investments - Affordable Housing	HLBH	4,198.27	160.76	16.08%	16.08%	4,628.62	256.09	11.34%	11.34%	7,993.24	1,052.06	27.97%	27.97%
	(b) Infrastructure Investments													
	Infrastructure - PSU - Equity shares - Quoted	ITPE	48.59	0.90	7.53%	7.53%	49.08	1.14	4.68%	4.68%	229.31	(24.19)	-33.43%	-33.43%
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	799.70	23.36	12.10%	12.10%	807.72	26.30	6.60%	6.60%	608.75	60.67	20.86%	20.86%
	Infrastructure - PSU - Debentures / Bonds	IPTD	210,609.30	4,073.98	7.90%	7.90%	209,257.77	7,968.41	7.74%	7.74%	137,284.15	5,897.97	8.75%	8.75%
	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	2,587.83	80.56	12.93%	12.93%	2,584.79	144.72	11.48%	11.48%	2,585.24	129.27	10.22%	10.22%
	(c) Approved Investments													
	PSU - Equity shares - Quoted	EAEQ	24.54	2.63	49.81%	49.81%	24.79	2.77	23.50%	23.50%	242.07	(59.68)	-67.07%	-67.07%
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	9,401.43	382.90	17.16%	17.16%	9,700.94	721.72	15.39%	15.39%	8,843.04	1,221.48	29.44%	29.44%
	Corporate Securities - Debentures	ECOS	27,060.55	644.21	9.78%	9.78%	28,284.09	2,008.88	14.67%	14.67%	37,544.90	1,679.23	9.12%	9.12%
	Investment properties - Immovable	EINP	927.59	40.58	18.52%	18.52%	927.59	81.17	18.21%	18.21%	927.59	81.17	18.21%	18.21%
	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	-	-	-	-	-	-	-	-	5,005.00	584.04	24.62%	24.62%
	Deposits - Repo / Reverse Repo	ECMR	32,435.18	257.58	3.19%	3.19%	29,947.67	478.27	3.21%	3.21%	18,636.90	276.68	2.98%	2.98%
	Commercial Papers issued by a Company or All India Financial Institutions	ECCP	-	-	-	-	-	-	-	-	1,791.48	17.24	4.77%	4.77%
	(d) Other Investments													
	Total		1,008,961.26	18,179.92			966,307.68	35,103.86			634,332.82	31,757.94		

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed to the best of my knowledge and belief.

Note: Category of investment (COI) shall be as per Guidelines, as amended from time to time

1 Based on daily simple average of Investments at book value

2 Yield netted for Tax

3 In the previous year column, the figures of the corresponding Year to date of previous financial year have been shown

4 FORM-1 is prepared in respect of each fund. In case of ULIP FORM 1 is prepared at Segregated Fund (SFIN) level and also at consolidated level.

5 YTD Income on investment is reconciled with figures in P&L and Revenue account

6 Signifies annualised yield

FORM - L - 34 Statement of Investment and Income on Investment
Name of the Insurer: ICICI Prudential Life Insurance Company Limited
Registration Number: 105
Statement as on: September 30, 2021
Periodicity of Submission: Quarterly

Name of the Fund: Linked Fund

₹ Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³					
			Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}		
1	Government Securities															
	Central Government Bonds	CGSB	1,841,988.36	34,210.45	7.57%	7.57%	1,894,272.00	62,489.61	6.69%	6.69%	1,335,314.42	57,297.64	8.74%	8.74%		
	Treasury Bills	CTRB	386,635.59	3,444.98	3.58%	3.58%	374,176.80	6,584.22	3.54%	3.54%	563,865.65	14,669.21	5.26%	5.26%		
2	Government Securities / Other Approved Securities															
	State Government Bonds / Development Loans	SGGB	406,220.31	8,965.11	9.05%	9.05%	384,861.86	15,319.21	8.10%	8.10%	150,110.98	2,011.68	2.69%	2.69%		
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	138,567.28	4,909.18	14.81%	14.81%	123,401.30	6,444.55	10.69%	10.69%	13,482.47	1,220.02	18.86%	18.86%		
3	Investment Subject to Exposure Norms															
	(a) Housing and Loan to State Govt. for Housing															
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	239,201.78	6,131.83	10.56%	10.56%	254,519.45	9,188.86	7.33%	7.33%	355,090.47	13,996.65	8.02%	8.02%		
	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	71,447.73	736.22	4.15%	4.15%	63,940.27	1,409.00	4.44%	4.44%	77,475.54	2,292.19	5.99%	5.99%		
	Long term Bank Bonds Approved Investments- Affordable Housing	HLBH	37,746.14	1,238.24	13.66%	13.66%	39,790.06	1,668.65	8.54%	8.54%	88,879.56	7,463.98	17.45%	17.45%		
	(b) Infrastructure Investments															
	Infrastructure - Other Approved Securities	ISAS	24,254.48	251.78	4.18%	4.18%	43,319.85	889.55	4.14%	4.14%	114,499.65	3,368.78	5.95%	5.95%		
	Infrastructure - PSU - Equity shares - Quoted	ITPE	76,029.93	12,593.75	83.69%	83.69%	59,872.96	16,700.85	63.35%	63.35%	50,094.40	850.47	3.41%	3.41%		
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	666,919.54	138,189.67	111.09%	111.09%	631,393.93	158,929.17	56.49%	56.49%	513,397.66	25,185.24	10.02%	10.02%		
	Infrastructure - Debenture / Bonds / CPs / Loans - Promoter Group	IDPG	-	-	-	-	-	-	-	-	3,223.45	120.84	7.62%	7.62%		
	Infrastructure - PSU - Debentures / Bonds	IPTD	616,722.15	16,715.36	11.19%	11.19%	608,366.38	23,688.29	7.92%	7.92%	430,469.17	25,245.05	12.04%	12.04%		
	Infrastructure - PSU - CPs	IPCP	71,582.29	565.27	3.85%	3.85%	81,553.59	1,416.08	3.83%	3.83%	20,242.93	267.69	4.54%	4.54%		
	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	62,315.97	1,742.35	11.56%	11.56%	76,519.12	3,408.96	9.08%	9.08%	122,444.04	8,534.58	14.38%	14.38%		
	Infrastructure - Other Corporate Securities - CPs	ICCP	26,446.04	110.69	3.99%	3.99%	26,317.40	367.17	3.97%	3.97%	63,889.58	1,861.57	5.90%	5.90%		
	Infrastructure - Equity (including unlisted)	IOEQ	-	-	-	-	-	-	-	-	8,603.74	19,213.79	938.67%	938.67%		
	Infrastructure - Reclassified Approved Investments - Equity	IORE	1,128.70	17.45	1545.40%	1545.40%	1,128.70	17.45	1545.40%	1545.40%	903.98	341.08	171.48%	171.48%		
	(c) Approved Investments															
	PSU - Equity shares - Quoted	EAEQ	120,944.70	15,754.88	62.55%	62.55%	101,778.80	28,770.72	64.30%	64.30%	80,222.74	7,343.59	19.09%	19.09%		
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	7,003,050.35	776,326.50	51.75%	51.75%	6,689,643.42	1,324,301.30	43.37%	43.37%	4,678,034.25	1,530,110.90	75.84%	75.84%		
	Corporate Securities - Preference Shares	EPNQ	1,474.90	73.81	21.38%	21.38%	1,458.56	110.71	15.71%	15.71%	2,892.46	572.25	43.34%	43.34%		
	Corporate Securities - Debentures	ECOS	312,989.05	6,665.83	8.72%	8.72%	323,519.85	11,028.08	6.91%	6.91%	544,493.97	30,080.24	11.32%	11.32%		
	Corporate Securities - Debentures / Bonds / CPs / Lonas - Promoter Group	EDPG	6,248.22	72.42	4.68%	4.68%	6,281.52	144.03	4.63%	4.63%	6,389.21	335.36	10.74%	10.74%		
	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	18,000.00	359.92	8.17%	8.17%	18,001.55	709.64	8.02%	8.02%	22,994.00	1,116.26	9.92%	9.92%		
	Deposits - CDs with Scheduled Banks	EDCD	116,607.75	1,159.52	4.00%	4.00%	105,625.25	2,078.09	3.96%	3.96%	65,038.17	1,869.68	5.82%	5.82%		
	Deposits - Repo / Reverse Repo	ECMR	571,859.36	4,567.37	3.21%	3.21%	549,041.01	8,807.44	3.23%	3.23%	457,793.48	6,846.40	3.01%	3.01%		
	Commercial Papers issued by a Company or All India Financial Institutions	ECCP	115,672.24	1,181.93	4.12%	4.12%	113,774.30	2,289.56	4.05%	4.05%	201,269.79	5,987.41	6.02%	6.02%		
	Application Money	ECAM	7,946.26	-	0.00%	0.00%	7,970.91	-	0.00%	0.00%	21,488.85	-	0.00%	0.00%		
	Net Current Assets (Only in respect of ULIP Business)	ENCA	142,261.76	-	0.00%	0.00%	142,261.76	-	0.00%	0.00%	136,495.44	-	0.00%	0.00%		
	Debt Capital Instruments (DCI-Basel III)	EDCI	65,574.41	1,328.95	8.29%	8.29%	55,530.13	2,492.19	9.15%	9.15%	66,473.03	138.84	5.59%	5.59%		
	(d) Other Investments															
	Equity Shares (incl Co-op Societies)	OESH	374,637.46	76,814.01	109.58%	109.58%	357,152.59	118,680.00	77.22%	77.22%	169,403.99	61,204.78	85.00%	85.00%		
	Debentures	OLDB	-	-	-	-	4,797.18	26.82	5.22%	5.22%	4,993.67	232.70	9.51%	9.51%		
	Equity Shares (PSUs and Unlisted)	OEPU	1,975.87	-191.92	-64.51%	-64.51%	2,276.44	-73.09	-17.23%	-17.23%	395.22	(15.95)	-54.67%	-54.67%		
	Passively Managed Equity ETF (Non Promoter Group)	OETF	807,933.90	62,230.01	34.23%	34.23%	776,406.86	95,250.96	25.96%	25.96%	482,676.91	37,784.44	16.22%	16.22%		
	SEBI approved Alternate Investment Fund (Category II)	OAFB	19.21	0.28	5.84%	5.84%	19.08	0.28	2.92%	2.92%	26.40	(8.23)	-52.54%	-52.54%		

₹ Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}
	Reclassified Approved Investments - Equity (Point 6 under Note for Regulation 4 to 9)	ORAE	400,942.63	17,541.63	18.52%	18.52%	387,960.17	55,695.47	30.68%	30.68%	186,559.65	14,883.17	16.54%	16.54%
	Passively Managed Equity ETF (Promoter Group)	OETP	289,470.80	29,499.21	46.96%	46.96%	271,899.09	38,960.09	30.62%	30.62%	49,334.58	5,369.96	22.88%	22.88%
	Reclassified Approved Investments - Debt (Point 6 under Note for Regulation 4 to 9)	ORAD	24,690.53	467.95	7.73%	7.73%	24,695.37	942.47	7.76%	7.76%	38,208.58	1,064.53	5.63%	5.63%
	Total		15,049,505.70	1,223,674.65			14,603,527.54	1,998,736.41			11,127,172.12	1,888,856.67		

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed to the best of my knowledge and belief.

Note: Category of investment (COI) shall be as per Guidelines, as amended from time to time

1 Based on daily simple average of Investments at market value

2 Yield netted for Tax

3 In the previous year column, the figures of the corresponding Year to date of previous financial year have been shown

4 FORM-1 is prepared in respect of each fund. In case of ULIP FORM 1 is prepared at Segregated Fund (SFIN) level and also at consolidated level.

5 YTD Income on investment is reconciled with figures in P&L and Revenue account

6 Signifies annualised yield

FORM L - 35 - Statement of Down Graded Investments**Part - A****Name of the Insurer: ICICI Prudential Life Insurance Company Limited****Registration Number: 105****Statement as on: September 30, 2021****Name of the Fund: Life Fund****Periodicity of Submission: Quarterly**

No	Name of the Security	COI	Amount (₹ Lakhs)	Date of Purchase ⁸	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
A.	<u>During the Quarter</u> ¹								
	NIL								
B.	<u>As on Date</u> ²								
1	10.10% Indian Hotel Company Limited 2021 (18-Nov-2021)	ECOS	3,498.63	November 18, 2011	ICRA	AA+	AA	September 18, 2012	
2	9.40% IDBI Omni Bonds12-13 Perpetual Tier I Series IV	OBPT	1,979.10	May 6, 2013	ICRA	AA	A+	May 23, 2017 ⁵	
3	8.23% Punjab National Bank 2025 (09-Feb-2025)	HLBH	499.70	February 9, 2015	CARE	AAA	AA+	May 24, 2018 ⁶	
4	8.70% IDFC First Bank Limited 2025 (23-Jun-2025)	ECOS	11,495.48	June 23, 2015	ICRA	AAA	AA	May 21, 2019 ⁷	
5	8.50% IDFC First Bank Limited 2023 (04-July-2023)	HLBH	11,495.67	May 19, 2016	ICRA	AAA	AA	May 21, 2019 ⁷	
6	9.36% IDFC First Bank Limited 2024 (21-Aug-2024)	ECOS	11,000.04	August 21, 2014	ICRA	AAA	AA	May 21, 2019 ⁷	
7	8.67% IDFC First Bank Limited 2025 (03-Jan-2025)	ECOS	8,990.99	January 5, 2015	ICRA	AAA	AA	May 21, 2019 ⁷	
8	7.98% IDFC First Bank Limited 2023 (23-May-2023)	ECOS	4,502.29	September 26, 2016	ICRA	AAA	AA	May 21, 2019 ⁷	
9	9.02% Tata Motors Limited 2021 (10-Dec-2021)	ORAD	2,499.03	March 4, 2015	ICRA	AA	AA-	August 6, 2019	
10	9.60% Tata Motors Limited 2022 (29-Oct-2022)	ORAD	3,554.93	September 15, 2016	ICRA	AA	AA-	August 6, 2019	

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Note:

- 1 Details of downgraded Investments during the Quarter.
- 2 Investments currently upgraded, listed as downgraded during earlier Quarter are deleted from the Cumulative listing.
- 3 FORM-2 is prepared in respect of each fund. In case of ULIP Form 2 is prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investmet (COI) is as per Guidelines issued by the Authority
- 5 The security was earlier downgraded from AA to AA- on February 24, 2016. Security was further downgraded from AA- to A+ on February 27, 2017 and to BBB+ on May 23, 2017. Later, on September 28, 2021 the security was upgraded to A+.
- 6 The security was earlier downgraded from AAA to AA+ on February 29, 2016. The security was further downgraded from AA+ to AA on May 24, 2018. Later, on October 08, 2020 the security was upgraded to AA+
- 7 The security was earlier downgraded from AAA to AA+ on June 28, 2018. The security was further downgraded from AA+ to AA on May 21, 2019.
- 8 In case of multiple purchases, earliest date of purchase is shown.
- 9 Of the above, securities of ₹ 43,480.45 lakhs are held in the funds where investment risk is borne by the policyholders.

FORM L - 35 - Statement of Down Graded Investments**Part - A****Name of the Insurer: ICICI Prudential Life Insurance Company Limited****Registration Number: 105****Statement as on: September 30, 2021****Name of the Fund: Pension Fund****Periodicity of Submission: Quarterly**

No	Name of the Security	COI	Amount (₹ Lakhs)	Date of Purchase ⁶	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
A.	<u>During the Quarter</u>¹								
	NIL								
B.	<u>As on Date</u>²								
1	10.10% Indian Hotel Company Limited 2021 (18-Nov-2021)	ECOS	999.78	November 18, 2011	ICRA	AA+	AA	September 18, 2012	
3	8.70% IDFC First Bank Limited 2025 (20-May-2025)	ECOS	1,998.72	May 20, 2015	ICRA	AAA	AA	May 21, 2019 ⁵	
4	9.36% IDFC First Bank Limited 2024 (21-Aug-2024)	ECOS	1,500.01	August 27, 2014	ICRA	AAA	AA	May 21, 2019 ⁵	
5	8.70% IDFC First Bank Limited 2025 (23-Jun-2025)	ECOS	999.61	June 23, 2015	ICRA	AAA	AA	May 21, 2019 ⁵	
6	7.98% IDFC First Bank Limited 2023 (23-May-2023)	ECOS	1,000.51	September 26, 2016	ICRA	AAA	AA	May 21, 2019 ⁵	

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Note:

- 1** Details of downgraded Investments during the Quarter.
- 2** Investments currently upgraded, listed as downgraded during earlier Quarter are deleted from the Cumulative listing.
- 3** FORM-2 is prepared in respect of each fund. In case of ULIP Form 2 is prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4** Category of Investmet (COI) shall be as per Guidelines issued by the Authority
- 5** The security was earlier downgraded from AAA to AA+ on June 28, 2018. The security was further downgraded from AA+ to AA on May 21, 2019.
- 6** In case of multiple purchases, earliest date of purchase is shown.

FORM L - 35 - Statement of Down Graded Investments**Part - A****Name of the Insurer: ICICI Prudential Life Insurance Company Limited****Registration Number: 105****Statement as on: September 30, 2021****Name of the Fund: Linked Fund****Periodicity of Submission: Quarterly**

No	Name of the Security	COI	Amount (₹ Lakhs)	Date of Purchase ⁶	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
A.	<u>During the Quarter</u>¹								
	NIL								
B.	<u>As on Date</u>²								
1	10.10% Indian Hotel Company Limited 2021 (18-Nov-2021)	ECOS	3,020.63	November 18, 2011	ICRA	AA+	AA	September 18, 2012	
2	7.98% IDFC First Bank Limited 2023 (23-May-2023)	ECOS	4,685.95	September 26, 2016	ICRA	AAA	AA	May 21, 2019 ⁵	
3	8.50% IDFC First Bank Limited 2023 (04-July-2023)	HLBH	3,684.88	May 19, 2016	ICRA	AAA	AA	May 21, 2019 ⁵	
4	9.02% Tata Motors Limited 2021 (10-Dec-2021)	ORAD	4,877.56	March 4, 2015	ICRA	AA	AA-	August 6, 2019	
5	9.60% Tata Motors Limited 2022 (29-Oct-2022)	ORAD	7,860.01	November 5, 2014	ICRA	AA	AA-	August 6, 2019	
6	9.35% Tata Motors Limited 2023 (10-Nov-2023)	ORAD	11,836.50	December 9, 2014	ICRA	AA	AA-	August 6, 2019	

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Note:

- 1 Details of downgraded Investments during the Quarter.
- 2 Investments currently upgraded, listed as downgraded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 is prepared in respect of each fund. In case of ULIP Form 2 is prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investmet (COI) shall be as per Guidelines issued by the Authority
- 5 The security was earlier downgraded from AAA to AA+ on June 28, 2018. The security was further downgraded from AA+ to AA on May 21, 2019.
- 6 In case of multiple purchases, earliest date of purchase is shown.

FORM L-37-BUSINESS ACQUISITION THROUGH DIFFERENT CHANNELS (GROUP)

Name of the Insurer: **ICICI PRUDENTIAL LIFE INSURANCE CO. LTD.**

Date: **September 30, 2021**

Sl.No.	Channels	Business Acquisition through different channels (Group)						Quarter End: September 2021					
		For the Quarter - Current Year			For the Quarter - Previous Year			Up to the Quarter - Current Year			Up to the Quarter - Previous Year		
		No. of Schemes	No. of Lives Covered	Premium (Rs. Lakhs)	No. of Schemes	No. of Lives Covered	Premium (Rs. Lakhs)	No. of Schemes	No. of Lives Covered	Premium (Rs. Lakhs)	No. of Schemes	No. of Lives Covered	Premium (Rs. Lakhs)
1	Individual agents	29	9,142	306	97	20,063	285	88	33,974	659	144	32,304	410
2	Corporate Agents-Banks	33	405,471	27,850	46	228,713	14,832	71	669,303	43,082	66	310,361	17,158
3	Corporate Agents -Others	7	487,511	9,796	15	265,941	4,270	15	729,228	15,649	24	323,162	4,423
4	Brokers	349	256,190	11,363	540	245,559	3,647	974	958,957	22,102	1,006	466,880	7,633
5	Micro Agents	-	-	-	-	-	-	-	-	-	-	-	-
6	Direct Business	147	4,120,729	101,252	568	2,664,566	97,941	607	7,914,003	191,856	945	5,233,110	160,890
7	IMF	-	-	-	-	-	-	-	-	-	-	-	-
8	Others (Please Specify)												
a	Web Aggregators	-	103	5	-	7	0	7	4,960	186	-	24	0
b	Online	-	4	2	-	-	-	-	38	4	-	-	-
c	MFI	-	108,100	182	2	27,555	19	-	138,644	357	2	27,555	19
	Total	565	5,387,250	150,757	1,268	3,452,404	120,996	1,762	10,449,107	273,893	2,187	6,393,396	190,534
	Referral Arrangements	-	-	-	-	-	-	-	-	-	-	-	-

Note:

1. Premium means amount of premium received from business acquired by the source
2. No of Policies stand for no. of policies sold

FORM L-38 : BUSINESS ACQUISITION THROUGH DIFFERENT CHANNELS (INDIVIDUAL)

Name of the Insurer: **ICICI PRUDENTIAL LIFE INSURANCE CO. LTD.**

Date: **September 30, 2021**

Sl.No.	Channels	Business Acquisition through different channels (Individuals)				Quarter End: September 2021			
		For the Quarter - Current Year		For the Quarter - Previous Year		Up to the Quarter - Current Year		Up to the Quarter - Previous Year	
		No. of Policies	Premium (Rs. Lakhs)	No. of Policies	Premium (Rs. Lakhs)	No. of Policies	Premium (Rs. Lakhs)	No. of Policies	Premium (Rs. Lakhs)
1	Individual agents	44,389	69,236	36,132	41,190	74,294	106,980	65,085	66,227
2	Corporate Agents-Banks	76,288	110,451	76,175	82,353	129,492	179,076	131,224	120,558
3	Corporate Agents -Others	7,580	10,271	8,924	6,558	12,788	15,752	14,973	9,760
4	Brokers	12,052	9,557	7,262	6,150	17,818	14,843	13,101	9,616
5	Micro Agents	-	-	-	-	-	-	-	-
6	Direct Business								
	- Online (Through Company Website)	5,419	4,703	6,959	3,350	12,798	9,078	13,475	5,617
	- Others	20,220	39,545	17,337	32,690	33,128	65,904	30,299	49,007
7	IMF	306	1,159	185	1,212	498	2,175	370	2,249
8	Common Service Centres	-	-	-	-	-	-	-	-
9	Web Aggregators	758	606	2,788	1,426	5,462	1,839	8,189	3,047
10	Point of Sales	-	-	-	-	-	-	-	-
11	Others (Please Specify)	-	-	-	-	-	-	-	-
	Total	167,012	245,527	155,762	174,928	286,278	395,645	276,716	266,081
	Referral Arrangements	-	-	-	-	-	-	-	-

Note:

1. Premium means amount of premium received from business acquired by the source
2. No of Policies stand for no. of policies sold

FORM L-39-Data on Settlement of Claims (Individual)

Name of the Insurer:ICICI Prudential Life Insurance Co. Lt Quarter End:September 2021

Date: September 30,2021

Ageing of Claims									
SI.No.	Types of Claims	No. of claims paid						Total No. of claims paid	Total amount of claims paid (Rs. In Lakhs)
		On or before maturity	1 month	1 - 3 months	3 - 6 months	6 months - 1 year	> 1 year		
1	Maturity Claims	22,922	2,980	1,083	190	55	5	27,235	94,520.03
2	Survival Benefit	16,317	9,551	11,636	53	10	1	37,568	11,236.34
3	Annuities / Pension	18,180	1,560	37,643	478	373	186	58,420	9,722.36
4	Surrender	-	88,301	965	35	13	-	89,314	508,801.80
5	Health	-	5,078	68	-	-	-	5,146	3,399.81
6	Other benefits	-	8	-	-	-	-	8	123.78
7	Death Claims	-	5,509	171	328	5	5	6,018	68,815.99

FORM L-39-Data on Settlement of Claims (Group)

Ageing of Claims									
SI.No.	Types of Claims	No. of claims paid						Total No. of claims paid	Total amount of claims paid (Rs. In Lakhs)
		On or before maturity	1 month	1 - 3 months	3 - 6 months	6 months - 1 year	> 1 year		
1	Maturity Claims	-	-	-	-	-	-	-	-
2	Survival Benefit	-	-	-	-	-	-	-	-
3	Annuities / Pension	-	-	-	-	-	-	-	-
4	Surrender	-	43,224	46	1	-	-	43,271	56,115.04
5	Health	-	1	-	-	-	-	1	-
6	Other benefits	-	-	-	-	-	-	-	0.55
7	Death Claims	-	72,561	4,324	1,068	146	3	78,102	86,582.66

FORM L-39-Data on Settlement of Claims (Individual)

Name of the Insurer:ICICI Prudential Life Insurance Co. Lt Half Year End:September 2021

Date: September 30,2021

Ageing of Claims									
Sl.No.	Types of Claims	No. of claims paid						Total No. of claims paid	Total amount of claims paid (Rs. In Lakhs)
		On or before maturity	1 month	1 - 3 months	3 - 6 months	6 months - 1 year	> 1 year		
1	Maturity Claims	39,458	5,487	2,529	579	169	14	48,236	157,078.17
2	Survival Benefit	30,249	13,760	21,939	115	18	3	66,084	19,183.37
3	Annuities / Pension	35,326	4,633	71,259	949	598	380	113,145	18,415.83
4	Surrender	-	141,238	1,736	91	42	5	143,112	802,749.07
5	Health	-	9,402	94	-	-	-	9,496	6,953.41
6	Other benefits	-	20	-	-	-	-	20	245.77
7	Death Claims	-	9,186	233	345	5	6	9,775	112,769.78

FORM L-39-Data on Settlement of Claims (Group)

Ageing of Claims									
Sl.No.	Types of Claims	No. of claims paid						Total No. of claims paid	Total amount of claims paid (Rs. In Lakhs)
		On or before maturity	1 month	1 - 3 months	3 - 6 months	6 months - 1 year	> 1 year		
1	Maturity Claims	-	-	-	-	-	-	-	-
2	Survival Benefit	-	-	-	-	-	-	-	-
3	Annuities / Pension	-	-	-	-	-	-	-	-
4	Surrender	-	77,792	71	3	-	-	77,866	133,759.84
5	Health	-	2	-	-	-	-	2	11.22
6	Other benefits	-	-	-	-	-	-	-	0.55
7	Death Claims	-	116,528	4,584	1,075	131	26	122,344	122,403.09

FORM L-40 Quarterly Claims Data for Life

Name of the Insurer: ICICI Prudential Life Insurance Co. Ltd.

Date: September 30,2021 Quarter End:September 2021

Death Claims

No. of claims only

SI. No.	Claims Experience	Individual	Group
1	Claims O/S at the beginning of the period*	145	8,455
2	Claims Intimated / Booked during the period	6,004	82,278
(a)	Less than 3 years from the date of acceptance of risk	800	81,958
(b)	Greater than 3 years from the date of acceptance of risk	5,204	320
3	Claims Paid during the period	6,018	78,102
4	Claims Repudiated during the period	52	31
5	Claims Rejected	-	5
6	Unclaimed	3	4
7	Claims O/S at End of the period	76	12,591
Outstanding Claims:-			
	Less than 3months	67	9,665
	3 months and less than 6 months	-	1,546
	6 months and less than 1 year	8	1,059
	1year and above	1	321

Individual Claims

No. of claims only

SI. No.	Claims Experience	Maturity	Survival Benefit	Annuities/ Pension	Surrender	Health	Other Benefits
1	Claims O/S at the beginning of the period*	1,785	646	8,695	3,989	685	4
2	Claims Booked during the period	27,596	37,646	59,451	89,181	5,240	15
3	Claims Paid during the period	27,235	37,568	58,420	89,314	5,146	8
4	Unclaimed	793	24	1,645	126	-	-
5	Claims O/S at End of the period	1,353	700	8,081	3,730	779	11
Outstanding Claims (Individual)							
	Less than 3months	895	598	2,186	3,648	638	9
	3 months and less than 6 months	410	52	1,893	78	73	1
	6 months and less than 1 year	37	35	1,049	1	62	-
	1year and above	11	15	2,953	3	6	1

*Opening balance at the start of Q2 FY 2022 does not include the unclaimed volumes as at the end of the Q1 FY 2022 as prescribed by the format in IRDAI circular received on 1st Oct 2021.

FORM L-40 Quarterly Claims Data for Life

Name of the Insurer:ICICI Prudential Life Insurance Co. Ltd.

Date: September 30,2021 Half Year End:September 2021

Death Claims

No. of claims only

Sl. No.	Claims Experience	Individual	Group
1	Claims O/S at the beginning of the period**	16	3,634
2	Claims Intimated / Booked during the period	10,031	131,455
(a)	Less than 3 years from the date of acceptance of risk	2,006	122,498
(b)	Greater than 3 years from the date of acceptance of risk	8,025	26
3	Claims Paid during the period	9,775	122,344
4	Claims Repudiated during the period	190	53
5	Claims Rejected	-	8
6	Unclaimed	6	93
7	Claims O/S at End of the period	76	12,591
	Outstanding Claims:-		
	Less than 3months	67	9,665
	3 months and less than 6 months	-	1,546
	6 months and less than 1 year	8	1,059
	1year and above	1	321

Individual Claims

No. of claims only

Sl. No.	Claims Experience	Maturity	Survival Benefit	Annuities/ Pension	Surrender	Health	Other Benefits
1	Claims O/S at the beginning of the period**	3,071	799	7,575	2,831	594	2
2	Claims Booked during the period	47,557	66,021	116,394	144,201	9,681	29
3	Claims Paid during the period	48,236	66,084	113,145	143,112	9,496	20
4	Unclaimed	1,039	36	2,743	190	-	-
5	Claims O/S at End of the period	1,353	700	8,081	3,730	779	11
	Outstanding Claims (Individual)						
	Less than 3months	895	598	2,186	3,648	638	9
	3 months and less than 6 months	410	52	1,893	78	73	1
	6 months and less than 1 year	37	35	1,049	1	62	-
	1year and above	11	15	2,953	3	6	1

**Opening balance at the start of Q1 FY 2022 does not include the unclaimed volumes as at the end of the Q4 FY 2021 as prescribed by the format in IRDAI circular received on 1st Oct 2021.

Name of the Insurer: ICICI Prudential Life Insurance Co Ltd

Date: Sept 30, 2021

GRIEVANCE DISPOSAL FOR THE YEAR ENDING SEPTEMBER 2021

SI No.	Particulars	Opening Balance ¹ at the beginning of the year	Additions YTD September 2021(net of duplicate complaints)	Complaints resolved / settled upto the quarter during the financial year			Complaints Pending at the end of the quarter	Total Complaints registered up to the quarter during the financial year
				Fully Accepted	Partial Accepted	Rejected		
1	Complaints made by the customers							
a)	Death Claims	5	184	36	5	147	1	184
b)	Policy Servicing	0	73	33	4	36	0	73
c)	Proposal Processing	0	72	27	3	42	0	72
d)	Survival Claims	0	143	52	5	86	0	143
e)	ULIP Related	0	14	3	0	10	1	14
f)	Unfair Business Practices	3	991	251	26	711	6	991
g)	Others	1	338	122	19	195	3	338
	Total Number of Complaints	9	1815	524	62	1227	11	1815

2	Total No. of Policies upto corresponding period of previous year	278903
3	Total No. of Claims upto corresponding period of previous year	160379
4	Total No. of Policies during current year	288040
5	Total No. of Claims during current year	254959
6	Total No. of Policy Complaints (current year) per 10000 policies (current year)	50
7	Total No. of Claim Complaints (current year) per 10000 claims registered (current year)	14

8	Duration wise Pending Status	Complaints made by customers		Complaints made by Intermediaries		Total	
		Number	Percentage to Pending complaints	Number	Percentage to Pending complaints	Number	Percentage to Pending complaints
a)	Up to 15 days	10	91%	0	0%	10	91%
b)	15 - 30 days	0	0%	0	0%	0	0%
c)	30 - 90 days	0	0%	0	0%	0	0%
d)	90 days & Beyond	1	9%	0	0%	1	9%
	Total Number of Complaints	11	100%	0	0%	11	100%

Name of the Insurer: ICICI Prudential Life Insurance Co Ltd

Date: Sept 30, 2021

GRIEVANCE DISPOSAL FOR THE QUARTER ENDING SEPTEMBER 2021

SI No.	Particulars	Opening Balance ¹ at the beginning of the quarter	Additions during the quarter (net of duplicate complaints)	Complaints Resolved/ Settled			Complaints Pending at the end of the quarter	Total Complaints registered up to the quarter during the financial year
				Fully Accepted	Partial Accepted	Rejected		
1	Complaints made by the customers							
a)	Death Claims	0	132	28	3	100	1	184
b)	Policy Servicing	0	35	15	1	19	0	73
c)	Proposal Processing	0	40	14	2	24	0	72
d)	Survival Claims	0	81	28	1	52	0	143
e)	ULIP Related	0	7	0	0	6	1	14
f)	Unfair Business Practices	3	530	140	15	372	6	991
g)	Others	3	202	67	9	126	3	338
	Total Number of Complaints	6	1027	292	31	699	11	1815

2	Total No. of Policies upto corresponding period of previous year	278903
3	Total No. of Claims upto corresponding period of previous year	160379
4	Total No. of Policies during current year	288040
5	Total No. of Claims during current year	254959
6	Total No. of Policy Complaints (current year) per 10000 policies (current year)	50
7	Total No. of Claim Complaints (current year) per 10000 claims registered (current year)	14

8	Duration wise Pending Status	Complaints made by customers		Complaints made by Intermediaries		Total	
		Number	Percentage to Pending complaints	Number	Percentage to Pending complaints	Number	Percentage to Pending complaints
a)	Up to 15 days	10	91%	0	0%	10	91%
b)	15 - 30 days	0	0%	0	0%	0	0%
c)	30 - 90 days	0	0%	0	0%	0	0%
d)	90 days & Beyond	1	9%	0	0%	1	9%
	Total Number of Complaints	11	100%	0	0%	11	100%

L-42- Valuation Basis (Life Insurance) as at end September 30, 2021**Name of the insurer :- ICICI Prudential Life Insurance Co. Ltd****1. Data**

Policy data is extracted from policy administration systems and checks are carried out to ensure completeness and accuracy of data.

2. Treatment of valuation parameters

The liability valuation calculations have been carried out using an actuarial software. Assumptions for each plan are updated in the model. Specific characteristics of each policy such as age at entry, sum assured, term, etc. are either directly obtained from data or calculated within the model.

3. Valuation method –Methods adopted in the determination of mathematical reserves

In general the method of valuation is the gross premium valuation. The reserve held represents the net present value of benefits and expenses less premiums. The reserves are calculated on a per policy basis. Any negative reserves are zeroised, so that a policy is not treated as an asset. The minimum value of reserves is the higher of guaranteed surrender value, non guaranteed surrender value, and zero.

The following sections contain specific details about reserving for different lines of business.

Non-participating business

Contract status	Category	Applicability	Method
In-force	Individual products	Base plan	Higher of the gross premium valuation (GPV) reserve and surrender value (if applicable) and zero
		Riders	Higher of GPV reserve and unearned premium reserve (UPR) on a policy basis
	Group products – non-participating	All group risk products, except group term with duration less than or equal to one year, and group fund based savings product	Higher of GPV reserve and Surrender value (if applicable) or zero
		Group term with duration less than or equal to one year	Unearned premium reserve
		Group savings product (non-variable)	Policy account value is held as reserve
		Group variable life and pension	Policy account value is held in addition to general fund reserve

Contract status	Category	Applicability	Method
Reduced paid up	Individual products	Base plan	Higher of GPV reserve or surrender value on reduced benefit with no future premiums payable
Lapse reserve	Individual products	Base plan	Reserve for expenses till the end of the revival period
Lapsed/reduced paid up, in respect of those that are expected to revive	Individual products	Reinstatement reserve	Reinstatement rate is applied to the difference between (A) & (B) where, (A) = the reserve assuming contract is In force and (B) = the revival premiums minus commissions payable plus the paid-up/lapsed reserve, Subject to a floor of zero.

Participating business

Contract status	Category	Applicability	Method
In-force	Individual products	Base plan	Higher of the GPV reserve and surrender value (if applicable) and zero, with allowance for future bonus and associated tax and transfers to shareholders
		Riders	Higher of GPV reserve and UPR on a policy basis
	Group products – participating	Base plan	Higher of the GPV reserve with allowance for future bonus and associated tax and transfers to shareholders and face value of liability
Reduced paid up (all)	Individual products	Base plan	Higher of GPV reserve or surrender value on reduced benefit with no future premiums payable
Lapse reserve (all)	Individual products	Base plan	Reserve for expenses till the end of the maximum revival period
Lapsed or reduced paid up, in respect of those that are expected to revive	Individual products	Reinstatement reserve	Reinstatement rate is applied to the difference between (A) & (B) where, (A) = the reserve assuming contract is In force and (B) = the revival premiums minus commissions payable plus the paid-up/lapsed reserve, Subject to a floor of zero.

Unit linked business

Contract status	Category	Applicability	Method
In-force premium paying/ premium holiday	Unit reserves	Unit fund	The unit reserve is the number of units held by the policyholder multiplied by the NAV at the valuation date.
	Non-unit reserves – except for group linked	Life cover, rider benefits and adequacy of charges to cover expenses	For base policy and associated mortality benefit we take the higher of the unearned risk benefit charges and all the projected cash flows. We allow for zeroisation under all contracts at a policy level, so that credit is taken for future positive cash flows only to the extent that they offset subsequent negative cash flows. For riders a higher of GPV reserve and UPR on a policy basis
Lapsed	Unit reserves	Unit fund	Paid up/surrender value to the credit of the policyholders
		Reinstatement reserve	A reinstatement rate is applied to the difference between the full unit value and the paid up/surrender value. We adjust this reserve for death benefits payable prior to revival or foreclosure.
	Non-unit reserves	Adequacy of charges to cover expenses during the maximum revival period. Reinstatement reserve	Projected cash flows as for in-force contracts allowing for zeroisation. The cash flows do not include cost of insurance charges and claims outgo. For pre-September 2010 policies Reinstatement rate is applied to the difference between the reserve assuming contract is in force and the charges on outstanding premiums and non-unit reserve. For post-September 2010 policies the reinstatement reserve is calculated by allowing for refund of surrender penalty, levy of back charges, release of lapsed non-unit reserve and setting up of in-force non-unit reserve, all multiplied by probability of revival, subject to a floor of zero.

Unit Linked business (continued)

Contract status	Category	Applicability	Method
Group linked	Non-unit reserves		No reserves are held on account of expenses as charges are currently higher than expenses and expected to remain so. In case of mortality benefits, unexpired risk premium is held.

4. Bonus rates for participating policies

The current year declared reversionary and terminal bonuses and historical reversionary bonus rates given in Annexure I.

4.1. Policyholders' reasonable expectations (PRE)

Due consideration is given to the reasonable expectations of policyholders when making a distribution of surplus. "Reasonable" is not explicitly defined in the regulations and is left to the interpretation of the Appointed Actuary. Our interpretation of "Reasonable" refers to a well-informed, financially literate policyholder.

The main drivers of PRE are currently our point of sale material, the bonus rates declared last year and past communication with policyholders.

4.2. Taxation and shareholder transfers

The gross premium reserve (GPV) includes reserve for transfer and tax. Tax is provided on surplus emerging under participating products. For the current valuation, we have assumed that the participating pension business is tax exempt. However, our interpretation of PRE would be that future bonuses would in any case reflect the taxes charged to the fund. As a result, the reserves would not be changed even if taxes came to be charged.

5. Valuation assumptions

Valuation parameters are set prudently and include margin for adverse deviation (MAD) as required under APS7 issued by Institute of Actuaries of India.

The range (minimum to maximum) of parameters used for valuation for individual and group business as at 30th September 2021 are given in Annexure II and Annexure III respectively.

6. Reserves for incurred but not reported (IBNR) claims

IBNR reserves are required for claims which may have been incurred at the valuation date but which have not been reported to the company. IBNR reserve is held for all group and retail protection products, on account of claims other than Covid-19 related death claims.

7. Reserves for additional COVID-19 claims

An additional provision has been held to allow for potential death claims and Incurred but Not Reported claims on account of Covid-19.

Annexure I

Retail Participating Life

Historical Bonus Rates

Financial year	Products	Compound reversionary
FY 2020-21	Save 'n' Protect Series I and II Save 'n' Protect Mass	2.00%
FY 2019-20		2.00%
FY 2018-19		2.00%
FY 2017-18		2.00%
FY 2016-17		2.00%
FY 2015-16		2.25%
FY 2014-15		2.50%
FY 2013-14		2.25%
FY 2012-13		2.25%
FY 2011-12		2.25%
FY 2010-11		2.50%
FY 2009-10		2.50%
FY 2008-09		2.25%
FY 2007-08		3.00%
FY 2006-07		3.25%
FY 2020-21	Cashbak Series I and II	2.25%
FY 2019-20		2.25%
FY 2018-19		2.00%
FY 2017-18		2.00%
FY 2016-17		2.00%
FY 2015-16		2.25%
FY 2014-15		2.50%
FY 2013-14		2.25%
FY 2012-13		2.25%
FY 2011-12		2.25%
FY 2010-11		2.50%
FY 2009-10		2.50%
FY 2008-09		2.25%
FY 2007-08		3.00%
FY 2006-07		3.25%
FY 2020-21	Smartkid Series I and II	2.75%
FY 2019-20		2.50%
FY 2018-19		2.25%
FY 2017-18		2.00%
FY 2016-17		2.00%
FY 2015-16		2.25%
FY 2014-15		2.50%
FY 2013-14		2.25%
FY 2012-13		2.25%
FY 2011-12		2.25%
FY 2010-11		2.50%
FY 2009-10		2.50%
FY 2008-09		2.25%
FY 2007-08		3.00%
FY 2006-07		3.25%

Financial year	Products	Compound reversionary
FY 2020-21	Cash Advantage	4.50%
FY 2019-20		4.50%
FY 2018-19		4.75%
FY 2017-18		4.75%
FY 2016 -17		4.75%
FY 2015 -16		5.00%
FY 2014-15		5.25%
FY 2013-14		5.25%
FY 2020-21		Saving Suraksha LP
FY 2019-20	3.00%	
FY 2018-19	3.00%	
FY 2017-18	3.00%	
FY 2016 -17	3.00%	
FY 2015 -16	3.25%	
FY 2014-15	3.50%	
FY 2013-14	3.50%	
FY 2020-21	Saving Suraksha RP	1.50%
FY 2019-20		1.75%
FY 2018-19		1.75%
FY 2017-18		1.75%
FY 2016-17		1.75%
FY 2015-16		2.00%
FY 2014-15		2.25%
FY 2013-14		2.25%
FY 2020-21	Anmol Bachat RP	0.90%
FY 2019-20		0.90%
FY 2018-19		0.90%
FY 2017-18		0.90%
FY 2016-17		0.90%
FY 2015-16		1.15%
FY 2014-15		1.40%
FY 2013-14		Not Applicable
FY 2020-21	Future Perfect	2.25%
FY 2019-20		2.25%
FY 2018-19		2.25%
FY 2017-18		2.25%
FY 2016-17		2.00%
FY 2020-21	Anmol Bachat II	1.25%
FY 2019-20		1.25%
FY 2018-19		1.25%
FY 2017-18		1.25%
FY 2016-17		1.25%

Financial year	Products	Simple Reversionary Bonus
FY 2020-21	Whole Life	Premium Payment Term Up to 15 years 3.25% 16 to 20 years 3.65% 21 to 25 years 4.45% 26 years and above 4.85%
	Future Secure	Policy term: up to 15 years 3.35% 16 years and above 3.75%
FY 2020-21	Lakshya LifeLong Plan	1%
FY 2019-20	Whole Life	Premium Payment Term Up to 15 years 3.00% 16 to 20 years 3.40% 21 to 25 years 4.20% 26 years and above 4.60%
	Future Secure	Policy term: up to 15 years 3.10% 16 years and above 3.50%
FY 2019-20	Lakshya LifeLong Plan	1%
FY 2018-19	Whole Life	Premium Payment Term Up to 15 years 3.00% 16 to 20 years 3.40% 21 to 25 years 4.20% 26 years and above 4.60%
	Future Secure	Policy term: up to 15 years 3.10% 16 years and above 3.50%
FY 2017-18	Whole Life	Premium Payment Term Up to 15 years 3.00% 16 to 20 years 3.40% 21 to 25 years 4.20% 26 years and above 4.60%
	Future Secure	Policy term: up to 15 years 3.10% 16 years and above 3.50%
FY 2016-17	Whole Life	Premium Payment Term Up to 15 years 3.00% 16 to 20 years 3.40% 21 to 25 years 4.20% 26 years and above 4.60%
	Future Secure	Policy term: up to 15 years 3.10% 16 years and above 3.50%

FY 2015-16	Whole Life	Premium Payment Term Up to 15 years 3.25% 16 to 20 years 3.65% 21 to 25 years 4.45% 26 years and above 4.85%
	Future Secure	Policy term: up to 15 years 3.35% 16 years and above 3.75%
FY 2010-11 till FY2014-15	Whole Life	Premium Payment Term Up to 15 years 3.50% 16 to 20 years 3.90% 21 to 25 years 4.70% 26 years and above 5.10%
	Future Secure	Policy term: up to 15 years 3.60% 16 years and above 4.00%

The table below shows bonus rates for Lakshya Wealth Plan for FY2019-20 and FY2020-21.

Term/PPT (years)	5	7	10	12
12	3.00%	2.30%	NA	NA
15	3.40%	2.80%	2.10%	1.70%
20	4.70%	4.00%	3.20%	2.90%
25	5.90%	5.10%	4.40%	4.10%
30	7.20%	6.40%	5.60%	5.30%

Retail Participating Pension

Products	Financial Year	Bonus Rates
Forever Life Regular Premium Series I and II	FY 2020-21	3.50%
	FY 2019-20	3.25%
	FY 2018-19	3.00%
	FY 2017- 18	2.75%
	FY 2016- 17	2.75%
	FY 2015- 16	2.75%
	FY 2014-15	3.00%
	FY 2013-14	2.75%
	FY 2012-13	2.75%
	FY 2011-12	2.75%
	FY 2010-11	3.00%
	FY 2009-10	2.75%
	FY 2008-09	2.50%
	FY 2007-08	3.00%
FY 2006-07	3.25%	

Special bonuses were declared in FY2010 of the following rates:

Financial Year	Retail Participating Life	Retail Participating Pension
2010-11	1.50%	1.25%

Interim bonus rates (as a percentage of sum assured, vested guaranteed additions and vested reversionary bonus) for the current year:

The interim bonus rates for the current year are same as reversionary bonus rates for FY 2020-21 given above.

Terminal bonus rates (as a percentage of sum assured) for the current year (Participating life):

Product	UIN	Term (in years)	Terminal bonus rate
Save 'n' Protect Series I	105N004V01	10	15%
Save 'n' Protect Series I	105N004V01	11 to 15	30%
Save 'n' Protect Series I	105N004V01	16 to 18	35%
Save 'n' Protect Series I	105N004V01	19 to 20	50%
Save 'n' Protect Series I	105N004V01	21 and above	55%
Save 'n' Protect Series II	105N004V02	10	20%
Save 'n' Protect Series II	105N004V02	11	25%
Save 'n' Protect Series II	105N004V02	12	25%
Save 'n' Protect Series II	105N004V02	13	30%
Save 'n' Protect Series II	105N004V02	14 to 15	35%
Save 'n' Protect Series II	105N004V02	16	40%
Save 'n' Protect Series II	105N004V02	17	45%
Save 'n' Protect Series II	105N004V02	18	50%
Save 'n' Protect Series II	105N004V02	19 and above	60%
Smartkid Series I	105N014V01	19	65%
Smartkid Series I	105N014V01	20 and above	75%
Smartkid Series II	105N014V02	10 & 11	20%
Smartkid Series II	105N014V02	12	25%
Smartkid Series II	105N014V02	13	30%
Smartkid Series II	105N014V02	14	35%
Smartkid Series II	105N014V02	15	40%
Smartkid Series II	105N014V02	16	45%
Smartkid Series II	105N014V02	17	50%
Smartkid Series II	105N014V02	18	60%
Smartkid Series II	105N014V02	19 and above	65%
Cashbak Series I	105N005V01	20	50%
Cashbak Series II	105N005V02	15	25%
Future Secure	105N117V01	10 and above	45%
Whole Life	105N116V01	10 and above	45%

Terminal bonus rates (as a percentage of sum assured) for the current year (Participating pension):

Product	UIN	Term (in years)	Terminal Bonus Rate
Forever Life Regular Premium Series I	105N001V01	19 and above	55%
Forever Life Regular Premium Series II	105N001V02	10 & 11	25%
Forever Life Regular Premium Series II	105N001V02	12 to 14	35%
Forever Life Regular Premium Series II	105N001V02	15	40%
Forever Life Regular Premium Series II	105N001V02	16	45%
Forever Life Regular Premium Series II	105N001V02	17	50%
Forever Life Regular Premium Series II	105N001V02	18 & above	55%

Group Business

Historical Bonus rates :

Financial year	Bonus rate for group participating life products	Bonus rate for group participating pension products
FY 2020-21	6.75%	7.75%
FY 2019-20	7.00%	8.00%
FY 2018-19	6.50%	7.50%
FY 2017-18	6.75%	7.75%
FY 2016-17	7.25%	8.25%
FY 2015-16	7.50%	8.50%
FY 2014-15	8.50%	8.50%
FY 2013-14	9.00%	9.00%
FY 2012-13	9.10%	9.10%
FY 2011-12	9.85%	9.85%
FY 2010-11	7.50%	8.50%

Special bonuses were declared in FY2010 of the following amounts

Financial Year	Group Participating Life	Group Participating Pension
2010-11	3.50%	2.50%

Interim bonus rates for the current financial year:

Product	Bonus rate
Group Gratuity Suraksha	6.50%
Group Leave Encashment Suraksha	6.50%
Group Superannuation Suraksha	7.50%

Name of the insurer :- ICICI Prudential Life Insurance Co. Ltd.

INDIVIDUAL BUSINESS

		Range (Minimum to Maximum) of parameters used for valuation															
Type	Category of business	Interest Rate		Mortality Rate*		Morbidity Rate		Fixed Expenses ¹		Variable Expenses ²		Inflation Rate		Withdrawal rates ³		Future Bonus Rates (Assumption)	
		As at 30th September 2021	As at 30th September 2020	As at 30th September 2021	As at 30th September 2020	As at 30th September 2021	As at 30th September 2020	As at 30th September 2021	As at 30th September 2020	As at 30th September 2021	As at 30th September 2020	As at 30th September 2021	As at 30th September 2020	As at 30th September 2021	As at 30th September 2020	As at 30th September 2021	As at 30th September 2020
Par	Non-Linked -VIP																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Non-Linked -Others																
	Life	4.29% - 4.84%	5.06% - 5.39%	102.5% - 200%	107.5% - 200%	NA	NA	65 - 500	60 - 500	0.95%	1.30%	4.30%	4.05%	NA	NA	0.60% - 6.45%	0.10% - 7.20%
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	3.77% - 4.72%	4.33% - 5.43%	102.5% - 145%	107.5% - 152.5%	NA	NA	500	500	0.95%	1.30%	4.30%	4.05%	NA	NA	1.05% - 3.00%	0.25% - 3.00%
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Linked -VIP																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Linked -Others																
Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
Non-Par	Non-Linked -VIP																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Non-Linked -Others																
	Life	3.77% - 5.67%	5.23% - 6.39%	52.5% - 536.3%	72% - 473%	Morbidity rates used are based on CIBT 93 table, adjusted for expected experience, or on risk rates provided by reinsurers.	Morbidity rates used are based on CIBT 93 table, adjusted for expected experience, or on risk rates provided by reinsurers.	65 - 500	60 - 500	2.00%	1.70%	4.30%	4.05%	20% - 93% MAD on best estimate assumption for lapses	NA		
	General Annuity	5.58% - 5.64%	5.58%	30% - 60%	20% - 45%	NA	NA	500	500	0.00%	0.00%	4.30%	4.05%	NA	NA		
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		
	Health	5.68%	5.14%	42.5% - 72.5%	42.5% - 72.5%	Morbidity rates used are based on CIBT 93 table, adjusted for expected experience, or on risk rates provided by reinsurers.	Morbidity rates used are based on CIBT 93 table, adjusted for expected experience, or on risk rates provided by reinsurers.	160	150	2.00%	1.70%	4.30%	4.05%	NA	NA		
	Linked -VIP																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Linked -Others																
Life	5.76%	5.49%	62.5% - 197.5%	60% - 227.5%	NA	NA	500	500	0.70%	1.15%	4.30%	4.05%	NA	NA			
General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
Pension	5.76%	5.49%	62.5% - 197.5%	60% - 227.5%	NA	NA	500	500	0.70%	1.15%	4.30%	4.05%	NA	NA			
Health	5.76%	5.49%	12.5% - 65%	10% - 67.5%	Morbidity rates used are based on CIBT 93 table, adjusted for expected experience, or on risk rates provided by reinsurers.	Morbidity rates used are based on CIBT 93 table, adjusted for expected experience, or on risk rates provided by reinsurers.	500	500	0.70%	1.15%	4.30%	4.05%	NA	NA			

* For Annuity, expressed as a % (Males) of Indian Individual Annuitant's Mortality 12-15 tables (LIC 96-98 tables used as at 30th September 2020) with appropriate mortality improvement. For other lines of business, expressed as a % (Males) of IALM 12-14 tables.

¹ Fixed per policy expenses² Premium related expenses³ Restricted to Lapse; 100% persistency is assumed where NA is mentioned.

NOT APPLICABLE

Name of the insurer :- ICICI Prudential Life Insurance Co. Ltd.

GROUP BUSINESS

		Range (Minimum to Maximum) of parameters used for valuation																
Type	Category of business	Interest Rate		Mortality Rate*		Morbidity Rate		Fixed Expenses ¹		Variable Expenses ²		Inflation Rate		Withdrawal rates ³		Future Bonus Rates (Assumption)		
		As at 30th September 2021	As at 30th September 2020	As at 30th September 2021	As at 30th September 2020	As at 30th September 2021	As at 30th September 2020	As at 30th September 2021	As at 30th September 2020	As at 30th September 2021	As at 30th September 2020	As at 30th September 2021	As at 30th September 2020	As at 30th September 2021	As at 30th September 2020	As at 30th September 2021	As at 30th September 2020	
Par	Non-Linked -VIP																	
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Non-Linked -Others																	
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Linked -VIP																	
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Linked-Others																	
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
Non-Par	Non-Linked -VIP																	
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Non-Linked -Others																	
	Life	4.42% - 5.68%	5.23% - 5.24%	61% - 693%	77.5% - 300%	Morbidity rates used are based on CIBT 93 table, adjusted for expected experience, or on risk rates provided by reinsurers.	Morbidity rates used are based on CIBT 93 table, adjusted for expected experience, or on risk rates provided by reinsurers.		160	150	2.40%	2.40%	4.30%	4.05%	NA	NA		
	General Annuity	5.68%	5.58%	30% - 60%	20% - 45%	NA	NA	500	500	0.00%	0.00%	4.30%	4.05%	NA	NA			
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Linked -VIP																	
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Linked-Others																	
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA

NOT APPLICABLE

* For Annuity, expressed as a % (Males) of Indian Individual Annuitant's Mortality 12-15 tables (LIC 96-98 tables used as at 30th September 2020) with appropriate mortality improvement for other lines of business, expressed as a % (Males) of IALM 12-14 tables.

* Reserving for Group term one year renewable product done on an unearned premium basis.

¹ Fixed per policy expenses² Premium related expenses³ Restricted to Lapse; 100% persistency is assumed where NA is mentioned.

Form L 43 Voting Activity Disclosure under Stewardship Code
Name of the Insurer: ICICI Prudential Life Insurance Company Limited
For the quarter ending: September 30, 2021
Date: September 30, 2021

Meeting Date	Investee Company Name	Type of Meeting (AGM/EGM)	Proposal of Management or Shareholder	Description of the proposal	Management Recommendation	Vote For/Against/ Abstain	Reason supporting the vote decision
Jul 17, 2021	HDFC BANK LTD.	AGM	Management	Adoption of standalone financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Jul 17, 2021	HDFC BANK LTD.	AGM	Management	Adoption of consolidated financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Jul 17, 2021	HDFC BANK LTD.	AGM	Management	Approve final dividend of ₹ 6.5 per share (face value ₹ 1)	For	For	Dividend payout ratio is 11.5%. We support the resolution.
Jul 17, 2021	HDFC BANK LTD.	AGM	Management	Reappoint Srikanth Nadhamuni (DIN 02551389), as Director liable to retire by rotation	For	For	Srikanth Nadhamuni's reappointment is in line with statutory requirements.
Jul 17, 2021	HDFC BANK LTD.	AGM	Management	Ratify the additional audit fees to statutory auditors, MSKA & Associates	For	For	The audit fees are reasonable given the size and scale of operations of the bank.
Jul 17, 2021	HDFC BANK LTD.	AGM	Management	Revise the tenure of appointment of MSKA & Associates as joint statutory auditors and fix their remuneration	For	For	The appointment of MSKA & Associates as a joint statutory auditor is in line with statutory requirements.
Jul 17, 2021	HDFC BANK LTD.	AGM	Management	Appoint M. M. Nissim & Co. LLP as joint statutory auditors for three years till FY2024 and fix their remuneration	For	For	The appointment of M. M. Nissim & Co. LLP as a joint statutory auditor is in line with statutory requirements.
Jul 17, 2021	HDFC BANK LTD.	AGM	Management	Approve revision in remuneration payable to non-executive directors	For	For	Revision in remuneration is in line with statutory requirement.
Jul 17, 2021	HDFC BANK LTD.	AGM	Management	Reappoint Umesh Chandra Sarangi (DIN 02040436) as Independent Director for three years from March 01, 2021	For	For	Umesh Sarangi's reappointment is in line with statutory requirements.
Jul 17, 2021	HDFC BANK LTD.	AGM	Management	Appoint Atanu Chakraborty (DIN 01469375) as Part time Non-Executive Chairman and Independent Director for three years from May 05, 2021 and fix his remuneration	For	For	Atanu Chakraborty's appointment is in line with statutory requirements.
Jul 17, 2021	HDFC BANK LTD.	AGM	Management	Appoint Dr. (Ms.) Sunita Maheshwari (DIN 01641411) as Independent Director for five years from March 30, 2021	For	For	Dr (Ms.) Sunita Maheshwari's appointment is in line with statutory requirements.
Jul 17, 2021	HDFC BANK LTD.	AGM	Management	To ratify and approve related party transactions with Housing Development Finance Corporation Limited (HDFC) for FY2022 in excess of 10% of revenues	For	For	The transactions are in the ordinary course of business.
Jul 17, 2021	HDFC BANK LTD.	AGM	Management	To ratify and approve the related party transactions with HDB Financial Services Limited (HDBFSL) for FY2022	For	For	The transactions are in the ordinary course of business of the Bank.
Jul 17, 2021	HDFC BANK LTD.	AGM	Management	To issue debt securities up to ₹ 500.0 bn on private placement basis	For	For	Issue of debt securities is in line with statutory requirements.
Jul 17, 2021	HDFC BANK LTD.	AGM	Management	Approve amendment to the ESOS-Plan D-2007	For	For	Amendment is in line with statutory requirements.
Jul 17, 2021	HDFC BANK LTD.	AGM	Management	Approve amendment to the ESOS-Plan E-2010	For	For	Amendment is in line with statutory requirements.
Jul 17, 2021	HDFC BANK LTD.	AGM	Management	Approve amendment to the ESOS-Plan F-2013	For	For	Amendment is in line with statutory requirements.
Jul 17, 2021	HDFC BANK LTD.	AGM	Management	Approve amendment to the ESOS-Plan G-2016	For	For	Amendment is in line with statutory requirements.
Jul 19, 2021	HDFC LIFE INSURANCE COMPANY LTD.	AGM	Management	Adoption of standalone and consolidated financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Jul 19, 2021	HDFC LIFE INSURANCE COMPANY LTD.	AGM	Management	Approve final dividend of ₹ 2.02 per share (face value ₹ 10)	For	For	The total dividend payout ratio is 30%. We support the resolution.
Jul 19, 2021	HDFC LIFE INSURANCE COMPANY LTD.	AGM	Management	Reappoint Deepak S. Parekh (DIN: 00009078) as Non-Executive Non-Independent Director liable to retire by rotation	For	For	Deepak Parekh's reappointment is in line with statutory requirements.
Jul 19, 2021	HDFC LIFE INSURANCE COMPANY LTD.	AGM	Management	Reappoint G.M. Kapadia & Co as Joint Statutory Auditors for a period of five years from FY2022	For	For	G. M. Kapadia & Co's reappointment is in line with statutory requirements.
Jul 19, 2021	HDFC LIFE INSURANCE COMPANY LTD.	AGM	Management	To fix the remuneration of Joint Statutory Auditors – G.M. Kapadia & Co and Price Waterhouse Chartered Accountants LLP at ₹ 5.7 mn each for FY2022	For	For	The proposed remuneration is comparable to the size and complexity of the business.
Jul 19, 2021	HDFC LIFE INSURANCE COMPANY LTD.	AGM	Management	Reappoint Sumit Bose (DIN: 03340616) as Independent Director for a period of five years from July 19, 2021	For	For	Sumit Bose's reappointment is in line with statutory requirements.
Jul 19, 2021	HDFC LIFE INSURANCE COMPANY LTD.	AGM	Management	Reappoint Ms. Vibha Padalkar (DIN: 01682810) as MD & CEO for a period of five years from September 12, 2021 and fix her remuneration w.e.f. April 01, 2021	For	For	Ms. Vibha Padalkar's reappointment is in line with statutory requirements. The proposed remuneration is comparable to the size and complexity of the business.
Jul 19, 2021	HDFC LIFE INSURANCE COMPANY LTD.	AGM	Management	Reappoint Suresh Badami (DIN: 08224871) as Whole Time Director for a period of five years from September 17, 2021 and fix his remuneration w.e.f. April 01, 2021	For	For	Suresh Badami's reappointment is in line with statutory requirements. The proposed remuneration is comparable to the size and complexity of the business.

Meeting Date	Investee Company Name	Type of Meeting (AGM/EGM)	Proposal of Management or Shareholder	Description of the proposal	Management Recommendation	Vote For/Against/Abstain	Reason supporting the vote decision
Jul 20, 2021	HOUSING DEVELOPMENT FINANCE CORPN. LTD.	AGM	Management	Adoption of standalone and consolidated financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Jul 20, 2021	HOUSING DEVELOPMENT FINANCE CORPN. LTD.	AGM	Management	To declare final dividend of ₹ 23.0 per share of face value ₹ 2.0 each	For	For	The dividend payout ratio for FY2021 is 34.5%. We support the resolution.
Jul 20, 2021	HOUSING DEVELOPMENT FINANCE CORPN. LTD.	AGM	Management	Reappoint Keki Mistry (DIN:00008886) as Director liable to retire by rotation	For	For	Keki Mistry's reappointment is in line with the statutory requirements.
Jul 20, 2021	HOUSING DEVELOPMENT FINANCE CORPN. LTD.	AGM	Management	Approve revision in salary range of Renu Sud Karnad (DIN:00008064), Managing Director from January 01, 2021 till her current term ends on September 22, 2022	For	For	The proposed remuneration is commensurate with the size and complexity of the business and is comparable to peers.
Jul 20, 2021	HOUSING DEVELOPMENT FINANCE CORPN. LTD.	AGM	Management	Approve revision in salary range of V. Srinivasa Rangan (DIN:00030248), Whole-time Director (designated as Executive Director) from January 01, 2021 till his current term ends on December 31, 2025	For	For	The proposed remuneration is commensurate with the size and complexity of the business and is comparable to peers.
Jul 20, 2021	HOUSING DEVELOPMENT FINANCE CORPN. LTD.	AGM	Management	Reappoint Keki Mistry (DIN:00030248), as Managing Director (designated as Vice Chairperson and CEO) for three years from May 07, 2021 and fix his remuneration	For	For	Keki Mistry's reappointment is in line with statutory requirements. His remuneration is commensurate with the size and complexity of the business and is comparable to peers.
Jul 20, 2021	HOUSING DEVELOPMENT FINANCE CORPN. LTD.	AGM	Management	Approve related party transactions with HDFC Bank for FY2022 in excess of 10% of revenues	For	For	The operational transactions are in the ordinary course of business.
Jul 20, 2021	HOUSING DEVELOPMENT FINANCE CORPN. LTD.	AGM	Management	Approve increase in borrowing limits from ₹ 5.0 trillion to ₹ 6.0 trillion	For	For	Increase in borrowing limits is in line with statutory requirements.
Jul 20, 2021	HOUSING DEVELOPMENT FINANCE CORPN. LTD.	AGM	Management	Approve issuance of Non-Convertible Debentures of up to ₹ 1.25 trillion	For	For	The issuance will be within the overall borrowing limit.
Jul 23, 2021	CROMPTON GREAVES CONSUMER ELECTRICAL LTD.	AGM	Management	Adoption of standalone and consolidated financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Jul 23, 2021	CROMPTON GREAVES CONSUMER ELECTRICAL LTD.	AGM	Management	Confirm interim dividend of ₹ 3.0 and declare final dividend of ₹ 2.5 per equity share (face value of ₹ 2.0) for FY2021	For	For	The dividend payout ratio is 57.1% of standalone PAT. We support the resolution.
Jul 23, 2021	CROMPTON GREAVES CONSUMER ELECTRICAL LTD.	AGM	Management	Reappoint Shantanu Khosla (DIN: 00059877) as Director, liable to retire by rotation	For	For	Shantanu Khosla's reappointment is in line with statutory requirements.
Jul 23, 2021	CROMPTON GREAVES CONSUMER ELECTRICAL LTD.	AGM	Management	Not fill vacancy caused by retirement of Shweta Jalan (DIN: 00291675) as Non-Executive Non-Independent Director	For	For	Shweta Jalan will retire at the upcoming AGM and does not wish to be re-appointed. The board has decided that the vacancy by her retirement will not be filled.
Jul 23, 2021	CROMPTON GREAVES CONSUMER ELECTRICAL LTD.	AGM	Management	Approve payment of remuneration to Shantanu Khosla, (DIN:00059877), Managing Director in excess of 5% of net profits in event of exercise of stock options, for three years from April 01, 2021	For	For	The proposed remuneration is in line with statutory requirements. It is commensurate with the size and complexity of the responsibilities and is comparable to peers.
Jul 23, 2021	CROMPTON GREAVES CONSUMER ELECTRICAL LTD.	AGM	Management	Approve payment of remuneration to Mathew Job, (DIN: 02922413), CEO in excess of 5% of net profits in event of exercise of stock options, for three years from April 01, 2021	For	For	The proposed remuneration is in line with statutory requirements. It is commensurate with the size and complexity of the responsibilities and is comparable to peers.
Jul 23, 2021	CROMPTON GREAVES CONSUMER ELECTRICAL LTD.	AGM	Management	Approve payment of total managerial remuneration in excess of 11% of net profits in event of exercise of stock options by Shantanu Khosla (DIN:00059877) and/or Mathew Job, (DIN: 02922413) for three years from April 01, 2021	For	For	The proposed remuneration is in line with statutory requirements. It is commensurate with the size and complexity of the responsibilities and is comparable to peers.
Jul 23, 2021	CROMPTON GREAVES CONSUMER ELECTRICAL LTD.	AGM	Management	Appoint P. R. Ramesh (DIN: 01915274) as Independent Director for five years from May 21, 2021	For	For	P. R. Ramesh' appointment is in line with statutory requirements.
Jul 23, 2021	CROMPTON GREAVES CONSUMER ELECTRICAL LTD.	AGM	Management	Ratify remuneration of ₹ 550,000 to Ashwin Solanki & Associates as cost auditors for financial year ending March 31, 2022	For	For	The proposed remuneration is commensurate with the size and complexity of the business.
Jul 24, 2021	HCL TECHNOLOGIES LTD.	Postal Ballot	Management	Reappoint Ms. Nishi Vasudeva (DIN: 03016991) as an Independent Director for a second term of five years from August 01, 2021	For	For	Ms. Nishi Vasudeva reappointment is in line with statutory requirements.
Jul 28, 2021	DR. REDDY'S LABORATORIES LTD.	AGM	Management	Adoption of standalone and consolidated financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Jul 28, 2021	DR. REDDY'S LABORATORIES LTD.	AGM	Management	Declare dividend of ₹ 25 per equity share of ₹ 5 each	For	For	The dividend payout ratio is 19.0%. We support the resolution.
Jul 28, 2021	DR. REDDY'S LABORATORIES LTD.	AGM	Management	Reappoint G V Prasad (DIN: 00057433) as Director liable to retire by rotation	For	For	Mr. G V Prasad reappointment is in line with statutory requirements.

Meeting Date	Investee Company Name	Type of Meeting (AGM/EGM)	Proposal of Management or Shareholder	Description of the proposal	Management Recommendation	Vote For/Against/ Abstain	Reason supporting the vote decision
Jul 28, 2021	DR. REDDY'S LABORATORIES LTD.	AGM	Management	Reappoint S.R. Batliboi & Associates LLP as statutory auditors for five years from the conclusion of the 37th AGM and fix their remuneration at ₹ 16.9 mn for FY2022	For	For	The reappointment is in line with statutory requirements. The proposed remuneration is reasonable and commensurate with the size and operations of the company.
Jul 28, 2021	DR. REDDY'S LABORATORIES LTD.	AGM	Management	Approve remuneration of ₹ 700,000 to be paid to Sagar & Associates, cost auditor for FY2022	For	For	The remuneration to be paid to the cost auditor is reasonable compared to the size and scale of operations.
Jul 28, 2021	L & T FINANCE HOLDINGS LTD.	AGM	Management	Adoption of standalone & consolidated financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Jul 28, 2021	L & T FINANCE HOLDINGS LTD.	AGM	Management	Reappoint R. Shankar Raman (DIN: 00019798), as Director liable to retire by rotation	For	For	R. Shankar Raman's reappointment is in line with statutory requirements.
Jul 28, 2021	L & T FINANCE HOLDINGS LTD.	AGM	Management	Approve the appointment of Khimji Kunverji and Co LLP as statutory auditors for three years and fix their remuneration	For	For	Khimji Kunverji and Co LLP's appointment as statutory auditors is in line with statutory requirements. The proposed remuneration is commensurate to the size and complexity of the business.
Jul 28, 2021	L & T FINANCE HOLDINGS LTD.	AGM	Management	Approve reappointment of Dinanath Dubhashi (DIN: 03545900) as Managing Director and CEO for five years from April 14, 2021 and fix his remuneration	For	For	Mr Dinanath Dubhashi's reappointment is in line with statutory requirements. The proposed remuneration is comparable to the size and complexity of the business.
Jul 30, 2021	AXIS BANK LTD.	AGM	Management	Adoption of standalone and consolidated financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Jul 30, 2021	AXIS BANK LTD.	AGM	Management	Reappoint Rajiv Anand (DIN 02541753) as director liable to retire by rotation	For	For	Rajiv Anand's reappointment is in line with the statutory requirements.
Jul 30, 2021	AXIS BANK LTD.	AGM	Management	Appoint M P Chitale & Co. as joint statutory auditors for three years and authorize the audit committee to fix their remuneration	For	For	Appointment of M P Chitale & Co. As joint statutory auditors is in line with statutory requirements.
Jul 30, 2021	AXIS BANK LTD.	AGM	Management	Appoint C N K & Associates LLP as joint statutory auditors for three years and authorize the audit committee to fix their remuneration	For	For	Appointment of C N K & Associates LLP as joint statutory auditors is in line with statutory requirements.
Jul 30, 2021	AXIS BANK LTD.	AGM	Management	Appoint Ms. Vasantha Govindan (DIN 02230959) as nominee of SUUTI, non-executive director liable to retire by rotation	For	For	Ms. Vasantha Govindan's appointment is in line with the statutory requirements.
Jul 30, 2021	AXIS BANK LTD.	AGM	Management	Appoint S. Mahendra Dev (DIN 06519869), as Independent Director for four years from June 14, 2021	For	For	Prof. S. Mahendra Dev's appointment is in line with the statutory requirements.
Jul 30, 2021	AXIS BANK LTD.	AGM	Management	Revise remuneration for Rakesh Makhija (DIN 00117692), as the Non-Executive (Part-Time) Chairperson to ₹ 36 mn from July 18, 2021	For	For	Proposed remuneration is commensurate with the size and scale of his responsibilities.
Jul 30, 2021	AXIS BANK LTD.	AGM	Management	Revise remuneration for Amitabh Chaudhry (DIN 00531120), Managing Director & CEO from April 01, 2021 till the next cycle of revision of remuneration	For	For	Proposed remuneration is commensurate with the size and complexity of the responsibilities.
Jul 30, 2021	AXIS BANK LTD.	AGM	Management	Reappoint Amitabh Chaudhry (DIN 00531120) as Managing Director & CEO for three years from January 01, 2022 and fix his remuneration	For	For	Mr Amitabh Chaudhry's reappointment is in line with statutory requirements. His remuneration is commensurate with the size and complexity of the responsibilities.
Jul 30, 2021	AXIS BANK LTD.	AGM	Management	Revise remuneration for Rajiv Anand (DIN 02541753), Executive Director from April 01, 2021 till the next cycle of revision of remuneration	For	For	Proposed remuneration is commensurate with the size and complexity of the responsibilities and is comparable to peers.
Jul 30, 2021	AXIS BANK LTD.	AGM	Management	Revise remuneration for Rajesh Dahiya (DIN 07508488) Executive Director from April 01, 2021 till the next cycle of revision of remuneration	For	For	Proposed remuneration is commensurate with the size and complexity of the responsibilities and is comparable to peers.
Jul 30, 2021	AXIS BANK LTD.	AGM	Management	Revise remuneration of non-executive directors to ₹ 2.0 mn each for five years from April 01, 2021	For	For	Proposed remuneration is in line with statutory requirements.
Jul 30, 2021	AXIS BANK LTD.	AGM	Management	Approve borrowing/raising funds in Indian Currency/Foreign Currency by issue of debt instruments including but not limited to bonds and non-convertible debentures for an amount upto ₹ 350 bn	For	For	The debt instruments to be issued will be within the Bank's overall borrowing limits of ₹ 2.0 trillion.
Jul 30, 2021	AXIS BANK LTD.	AGM	Management	Issue additional 50.0 mn employee stock options to eligible employees/ whole-time directors of the bank	For	For	Issue of employee stock options is in line with statutory requirements.
Jul 30, 2021	AXIS BANK LTD.	AGM	Management	Extend the additional 50.0 mn employee stock options to eligible employees/ whole-time directors of the bank's subsidiaries	For	For	Issue of employee stock options is in line with statutory requirements.
Jul 30, 2021	AXIS BANK LTD.	AGM	Management	Approve reclassification of United India Insurance Company Limited from promoter to public category	For	For	United India Insurance Company Limited (UIICL) is not involved in the management of day-to-day affairs or any decision-making process at Axis Bank Ltd and it has neither nominated any directors to the bank's board, nor have special rights, through any formal or informal arrangements and are not privy to any price-sensitive information relating to the bank and its securities.

Meeting Date	Investee Company Name	Type of Meeting (AGM/EGM)	Proposal of Management or Shareholder	Description of the proposal	Management Recommendation	Vote For/Against/Abstain	Reason supporting the vote decision
Jul 30, 2021	AXIS BANK LTD.	AGM	Management	Approve reclassification of National Insurance Company Limited from promoter to public category	For	For	National Insurance Company Limited is not involved in the management of day-to-day affairs or any decision-making process at Axis Bank Ltd. N.I.C.L. has neither nominated any directors to the bank's board, nor do they have special rights, through any formal or informal arrangements and are not privy to any price-sensitive information relating to the bank and its securities.
Jul 30, 2021	AXIS BANK LTD.	AGM	Management	Approve reclassification of The New India Assurance Company Limited from promoter to public category	For	For	The New India Assurance Company Limited is not involved in the management of day-to-day affairs or any decision-making process at Axis Bank Ltd. Further, they have not nominated any directors to the bank's board, have no special rights, through formal or informal arrangements and are not privy to any price-sensitive information relating to the bank and its securities.
Jul 30, 2021	AXIS BANK LTD.	AGM	Management	Approve reclassification of General Insurance Corporation of India from promoter to public category	For	For	General Insurance Corporation of India is not involved in the management of day-to-day affairs or any decision-making process at Axis Bank Ltd. Further, they have not nominated any directors to the bank's board, have no special rights, through formal or informal arrangements and are not privy to any price-sensitive information relating to the bank and its securities.
Jul 30, 2021	BLUE DART EXPRESS LTD.	AGM	Management	Adoption of standalone and consolidated financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Jul 30, 2021	BLUE DART EXPRESS LTD.	AGM	Management	Declare final dividend of ₹ 15.0 per equity share (face value of ₹ 10.0) for FY2021	For	For	The dividend payout ratio is 35% of standalone PAT. We support the resolution.
Jul 30, 2021	BLUE DART EXPRESS LTD.	AGM	Management	Reappoint R.S. Subramanian (DIN:02946608) as Non-Executive Non-Independent Director, liable to retire by rotation	For	For	R. S. Subramanian's reappointment is in line with the statutory requirements.
Jul 30, 2021	BLUE DART EXPRESS LTD.	AGM	Management	Appoint Florian Ulrich Bumberger (DIN 09045904) as Non-Executive Non-Independent Director from February 24, 2021, liable to retire by rotation	For	For	Florian Ulrich Bumberger's appointment is in line with the statutory requirements.
Jul 30, 2021	BLUE DART EXPRESS LTD.	AGM	Management	Appoint Sebastian Paebens (DIN: 09058693) as Non-Executive Non-Independent Director from February 24, 2021, liable to retire by rotation	For	For	Sebastian Paebens's appointment is in line with the statutory requirements.
Jul 30, 2021	BLUE DART EXPRESS LTD.	AGM	Management	Approve additional incentive of ₹ 0.7 mn, to Balfour Manuel (DIN: 8416666), Managing Director, in addition to approved FY2021 incentive ₹ 13.7 mn, for December 31, 2020	For	For	Balfour Manuel's overall remuneration for FY2021 is in line with peers.
Jul 30, 2021	BLUE DART EXPRESS LTD.	AGM	Management	Revise remuneration of Balfour Manuel (DIN: 08416666), Managing Director for FY2022	For	For	Balfour Manuel's remuneration is commensurate with size and scale of business and in line with peers.
Jul 30, 2021	BLUE DART EXPRESS LTD.	AGM	Management	Reappoint Air Marshal M. McMahon (DIN: 00234293), as Independent Director for three years from February 10, 2022 and approve his continuation since he is over 75 years of age	For	Abstain	We believe the stated resolution does not go against the statutory requirements and hence we 'Abstain' from voting.
Jul 30, 2021	BLUE DART EXPRESS LTD.	AGM	Management	Reappoint Ms. Kavita Nair (DIN: 07771200), as Independent Director for five years from September 26, 2021	For	For	Ms. Kavita Nair's reappointment is in line with statutory requirements.
Jul 30, 2021	BLUE DART EXPRESS LTD.	AGM	Management	Approve payment of commission to Non-Executive Directors upto 1% of the net profits for a period of five years from August 01, 2021	For	For	Commission is reasonable and in line with market practices.
Aug 02, 2021	TITAN COMPANY LTD.	AGM	Management	Adoption of standalone financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Aug 02, 2021	TITAN COMPANY LTD.	AGM	Management	Adoption of consolidated financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Aug 02, 2021	TITAN COMPANY LTD.	AGM	Management	Approve final dividend of ₹ 4.0 per share of face value ₹ 1.0 each	For	For	The dividend payout ratio is 40.6%. We support the resolution.
Aug 02, 2021	TITAN COMPANY LTD.	AGM	Management	Reappoint V Arun Roy (DIN: 01726117) as Non-Executive Non-Independent Director, liable to retire by rotation	For	Abstain	We believe the stated resolution does not go against the statutory requirements and hence we 'Abstain' from voting.
Aug 02, 2021	TITAN COMPANY LTD.	AGM	Management	Reappoint Ashwani Puri (DIN: 00160662) as an Independent Director from August 03, 2021 upto May 05, 2026	For	For	Ashwani Puri's reappointment is in line with statutory requirements.
Aug 02, 2021	TITAN COMPANY LTD.	AGM	Management	Appoint Sandeep Singhal (DIN: 00422796) as an Independent Director for five years from November 11, 2020	For	For	Sandeep Singhal's appointment is in line with statutory requirements.
Aug 02, 2021	TITAN COMPANY LTD.	AGM	Management	Appoint Pankaj Kumar Bansal (DIN: 05197128) as Non-Executive Non-Independent Director from June 16, 2021, liable to retire by rotation	For	For	Pankaj Kumar Bansal's appointment is in line with statutory requirements.
Aug 02, 2021	TITAN COMPANY LTD.	AGM	Management	Authorize the board to appoint branch auditors	For	For	Appointment of branch auditors will be in consultation with statutory auditors. We support the resolution.

Meeting Date	Investee Company Name	Type of Meeting (AGM/EGM)	Proposal of Management or Shareholder	Description of the proposal	Management Recommendation	Vote For/Against/Abstain	Reason supporting the vote decision
Aug 05, 2021	LARSEN & TOUBRO LTD.	AGM	Management	Adoption of standalone and consolidated financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting, but provide analysis of critical ratios.
Aug 05, 2021	LARSEN & TOUBRO LTD.	AGM	Management	Declare final dividend of ₹ 36.0 per share of face value ₹ 2.0 for FY2021	For	For	The dividend payout ratio is 53.7%. We support the resolution.
Aug 05, 2021	LARSEN & TOUBRO LTD.	AGM	Management	Reappoint D. K. Sen (DIN: 03554707) as Executive Director, liable to retire by rotation	For	For	Mr. D. K. Sen's reappointment is inline with statutory requirements.
Aug 05, 2021	LARSEN & TOUBRO LTD.	AGM	Management	Reappoint Hemant Bhargava (DIN: 01922717) as Non-Executive Non-Independent Director, liable to retire by rotation	For	For	Mr. Hemant Bhargava's reappointment is inline with statutory requirements.
Aug 05, 2021	LARSEN & TOUBRO LTD.	AGM	Management	Reappoint M. V. Satish (DIN: 06393156) as Executive Director, liable to retire by rotation	For	For	Mr. M. V. Satish's reappointment is inline with statutory requirements.
Aug 05, 2021	LARSEN & TOUBRO LTD.	AGM	Management	Reappoint R. Shankar Raman (DIN: 00019798) as Executive Director, liable to retire by rotation	For	For	Mr. R. Shankar Raman's reappointment is inline with statutory requirements.
Aug 05, 2021	LARSEN & TOUBRO LTD.	AGM	Management	Appoint Mrs. Preetha Reddy (DIN: 00001871) as a Director	For	For	Mrs. Preetha Reddy's appointment is inline with statutory requirements.
Aug 05, 2021	LARSEN & TOUBRO LTD.	AGM	Management	Appoint Mrs. Preetha Reddy (DIN: 00001871) as Independent Director for a term of five years from March 01, 2021	For	For	Mrs. Preetha Reddy's is inline with statutory requirements.
Aug 05, 2021	LARSEN & TOUBRO LTD.	AGM	Management	Reappoint Sanjeev Aga (DIN: 00022065) as Independent Director for his second term of five years from May 25, 2021	For	For	Mr. Sanjeev Aga's reappointment is inline with statutory requirements.
Aug 05, 2021	LARSEN & TOUBRO LTD.	AGM	Management	Reappoint Narayanan Kumar (DIN: 00007848) as Independent Director for his second term of five years from May 27, 2021 and approve his continuation on board after attaining the age of 75 years	For	For	Mr. Narayanan Kumar reappointment is inline with statutory requirements.
Aug 05, 2021	LARSEN & TOUBRO LTD.	AGM	Management	Reappoint M. V. Satish (DIN: 06393156) as Executive Director and Sr. Executive VP (Buildings) from January 29, 2021 till April 07, 2024	For	For	Mr. M. V. Satish's reappointment is inline with statutory requirements and his remuneration is commensurate with peers and in line with the overall performance of the company.
Aug 05, 2021	LARSEN & TOUBRO LTD.	AGM	Management	Reappoint R. Shankar Raman (DIN: 00019798) as Executive Director and CFO for another term of five years, from October 01, 2021	For	For	Mr. R. Shankar Raman's reappointment is inline with statutory requirements and his remuneration is commensurate with peers and in line with the overall performance of the company.
Aug 05, 2021	LARSEN & TOUBRO LTD.	AGM	Management	Issue securities of upto ₹ 45.0 bn or US\$ 600 mn, whichever is higher, through Qualified Institutional Placement (QIP)	For	For	Issue of securities is inline with statutory requirement.
Aug 05, 2021	LARSEN & TOUBRO LTD.	AGM	Management	Approve remuneration of ₹ 1.3 mn for R. Nanabhoy & Co. as cost auditors for FY2022	For	For	The remuneration to be paid to the cost auditor is reasonable compared to the size and scale of the company's operations.
Aug 06, 2021	MAHINDRA & MAHINDRA LTD.	AGM	Management	Adoption of audited standalone financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Aug 06, 2021	MAHINDRA & MAHINDRA LTD.	AGM	Management	Adoption of audited consolidated financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Aug 06, 2021	MAHINDRA & MAHINDRA LTD.	AGM	Management	Declare dividend of ₹ 8.75 per share of face value ₹ 5.0 each	For	For	The dividend payout ratio for FY2021 is 404.9% of standalone profit after tax. We support the resolution.
Aug 06, 2021	MAHINDRA & MAHINDRA LTD.	AGM	Management	Reappoint Vijay Kumar Sharma (DIN: 02449088) as Director, liable to retire by rotation	For	For	Vijay Kumar Sharma's reappointment is in line with statutory requirements.
Aug 06, 2021	MAHINDRA & MAHINDRA LTD.	AGM	Management	Reappoint CP Gurnani (DIN: 00018234) as Director, liable to retire by rotation	For	For	CP Gurnani's reappointment is in line with statutory requirements.
Aug 06, 2021	MAHINDRA & MAHINDRA LTD.	AGM	Management	Approve remuneration of ₹ 850,000 to D C Dave & Co. as cost auditors for FY2021	For	For	The proposed remuneration is reasonable compared to the size and scale of the company's operations.
Aug 06, 2021	MAHINDRA & MAHINDRA LTD.	AGM	Management	Appoint Ms. Nisaba Godrej (DIN: 00591503) as an Independent Director for five years from August 08, 2020 to August 07, 2025	For	For	Ms. Nisaba Godrej's appointment is in line with statutory requirements.
Aug 06, 2021	MAHINDRA & MAHINDRA LTD.	AGM	Management	Appoint Muthiah Murugappan (DIN: 07858587) as an Independent Director for five years from August 08, 2020 to August 07, 2025	For	For	Muthiah Murugappan's appointment is in line with statutory requirements.
Aug 06, 2021	MAHINDRA & MAHINDRA LTD.	AGM	Management	Reappoint T. N. Manoharan (DIN: 01186248) as an Independent Director for five years from November 11, 2021 to November 10, 2026	For	For	T. N. Manoharan's reappointment is in line with statutory requirements.
Aug 06, 2021	MAHINDRA & MAHINDRA LTD.	AGM	Management	Approve payment of remuneration to Anand Mahindra as Non-Executive Chairperson for five years from November 11, 2021 and approve payment of remuneration in excess of 50% of remuneration paid to all non-executive directors in FY2022	For	For	Anand Mahindra's remuneration is commensurate with the size and complexity of the responsibilities.
Aug 06, 2021	UPL LTD.	AGM	Management	Adoption of standalone financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.

Meeting Date	Investee Company Name	Type of Meeting (AGM/EGM)	Proposal of Management or Shareholder	Description of the proposal	Management Recommendation	Vote For/Against/ Abstain	Reason supporting the vote decision
Aug 06, 2021	UPL LTD.	AGM	Management	Adoption of consolidated financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Aug 06, 2021	UPL LTD.	AGM	Management	Declare final dividend of ₹ 10.0 per equity share (face value ₹ 2.0 per share) for FY2021	For	For	The dividend payout ratio is 347.7% of the standalone PAT. We support the resolution.
Aug 06, 2021	UPL LTD.	AGM	Management	Reappoint Arun Ashar (DIN: 00192088) as a Director	For	For	Mr. Arun Ashar's reappointment is in line with the statutory requirements.
Aug 06, 2021	UPL LTD.	AGM	Management	Approve remuneration of ₹ 950,000 for RA & Co as cost auditors for FY2022	For	For	The total remuneration proposed is reasonable compared to the size and scale of the company's operations.
Aug 11, 2021	ITC LTD.	AGM	Management	Adoption of standalone and consolidated financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Aug 11, 2021	ITC LTD.	AGM	Management	Confirm interim dividend of ₹ 5.0 per share and declare final dividend of ₹ 5.75 per share (face value ₹ 1.0 each) for FY2021	For	For	Total dividend payout ratio is 101.5% of standalone PAT. We support the resolution.
Aug 11, 2021	ITC LTD.	AGM	Management	Reappoint Hemant Bhargava (DIN: 01922717) as Director, liable to retire by rotation	For	For	Hemant Bhargava's reappointment is in line with the statutory requirements.
Aug 11, 2021	ITC LTD.	AGM	Management	Reappoint Sumant Bhargavan (DIN: 01732482) as Director, liable to retire by rotation	For	For	Sumant Bhargavan's reappointment is in line with the statutory requirements.
Aug 11, 2021	ITC LTD.	AGM	Management	Ratify FY22 remuneration aggregating ₹ 34 mn to SRBC & Co. LLP as statutory auditors for FY2022	For	For	The proposed remuneration is comparable to the size and complexity of the business.
Aug 11, 2021	ITC LTD.	AGM	Management	Appoint Shyamal Mukherjee (DIN: 03024803) as an Independent Director from August 11, 2021 for five years or earlier date to conform with retirement policy	For	For	Shyamal Mukherjee's appointment is in line with the statutory requirements.
Aug 11, 2021	ITC LTD.	AGM	Management	Reappoint Sumant Bhargavan (DIN: 01732482) as Whole Time Director for three years from July 12, 2022 or earlier date to conform with the retirement policy and fix his remuneration	For	For	Sumant Bhargavan's reappointment is in line with the statutory requirements. His remuneration is commensurate with the size and complexity of the responsibilities.
Aug 11, 2021	ITC LTD.	AGM	Management	Ratify remuneration of ₹ 450,000 (plus reimbursement of actual expenses) for ABK & Associates, cost auditors for 'Wood Pulp', 'Paper and Paperboard' and 'Nicotine Gum' products of the company for FY2022	For	For	The proposed remuneration is comparable to the size and complexity of the business.
Aug 11, 2021	ITC LTD.	AGM	Management	Ratify remuneration of ₹ 575,000 (plus reimbursement of actual expenses) for S. Mahadevan & Co., cost auditors for all products other than the 'Wood Pulp', 'Paper and Paperboard' and 'Nicotine Gum' products of the company for FY2022	For	For	The proposed remuneration is comparable to the size and complexity of the business.
Aug 11, 2021	REDINGTON (INDIA) LTD.	AGM	Management	Adoption of standalone financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Aug 11, 2021	REDINGTON (INDIA) LTD.	AGM	Management	Adoption of consolidated financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Aug 11, 2021	REDINGTON (INDIA) LTD.	AGM	Management	Declare final dividend of ₹ 11.6 per equity share, including a special dividend of ₹ 4.0 (face value of ₹ 2.0) for FY2021	For	For	The dividend payout ratio is 172.0% of standalone PAT and 57.5% of consolidated PAT. We support the resolution.
Aug 11, 2021	REDINGTON (INDIA) LTD.	AGM	Management	Reappoint Tu, Shu-Chyuan (DIN: 02336015) as Non-Executive Non-Independent Director, liable to retire by rotation	For	For	Mr. Tu, Shu-Chyuan's reappointment is in line with statutory requirements.
Aug 11, 2021	REDINGTON (INDIA) LTD.	AGM	Management	Reappoint Ernst & Young LLP, Singapore as auditors for the Singapore branch office for FY2022	For	For	The reappointment is in line with statutory requirements.
Aug 11, 2021	REDINGTON (INDIA) LTD.	AGM	Management	Appoint Rajiv Srivastava (DIN: 03568897) as Director and Joint MD for five years from April 02, 2021 and fix his remuneration	For	For	Mr. Rajiv Srivastava's appointment is in line with statutory requirements and remuneration is in line with peers and commensurate with the size and complexity of the business.
Aug 11, 2021	REDINGTON (INDIA) LTD.	AGM	Management	Approve increase in authorized share capital to ₹ 1700.0 mn from ₹ 850.0 mn and consequently amend the Memorandum of Association (MoA)	For	For	In order to accommodate the proposed bonus issue of 1:1, as set out in resolution #8, the authorized capital is proposed to be increased. We support the resolution.
Aug 11, 2021	REDINGTON (INDIA) LTD.	AGM	Management	Approve issuance of bonus shares in the ratio of one bonus equity share for each equity share held (ratio of 1:1)	For	For	Issue of bonus shares is in line with statutory requirements.
Aug 11, 2021	LUPIN LTD.	AGM	Management	Adoption of standalone financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.

Meeting Date	Investee Company Name	Type of Meeting (AGM/EGM)	Proposal of Management or Shareholder	Description of the proposal	Management Recommendation	Vote For/Against/Abstain	Reason supporting the vote decision
Aug 11, 2021	LUPIN LTD.	AGM	Management	Adoption of consolidated financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Aug 11, 2021	LUPIN LTD.	AGM	Management	Declare dividend of ₹ 6.5 per equity share of ₹ 2.0 each	For	For	The dividend payout ratio is 23.4%. We support the resolution.
Aug 11, 2021	LUPIN LTD.	AGM	Management	Reappoint Dr. Kamal K Sharma (DIN: 00209430) as Non-Executive Non-Independent Director, liable to retire by rotation	For	For	Dr. Kamal K Sharma's reappointment is in line with the statutory requirements.
Aug 11, 2021	LUPIN LTD.	AGM	Management	Reappoint B S R & Co. LLP as statutory auditors for a period of five years from FY2022 and fix their remuneration at ₹ 16.6 mn for FY2022	For	For	The reappointment is in line with statutory requirements. The proposed remuneration is reasonable and commensurate with the size and operations of the company.
Aug 11, 2021	LUPIN LTD.	AGM	Management	Reappoint Ms. Christine Mundkur (DIN: 08408494) as Independent Director for a period of five years from August 11, 2021	For	For	Ms. Christine Mundkur's reappointment is in line with the statutory requirements.
Aug 11, 2021	LUPIN LTD.	AGM	Management	Approve remuneration of ₹ 700,000 payable to S. D. Shenoy, cost auditors for FY2022	For	For	The proposed remuneration is reasonable compared to the size and scale of operations.
Aug 11, 2021	LUPIN LTD.	AGM	Management	Approve grant of upto 6.0 mn stock options under the Lupin Employees Stock Option Plan 2021 (ESOP 2021)	For	Abstain	The cost of stock options gets amortized in the Profit & loss statement of the company over the vesting period. Further, we believe the stated resolution does not go against the statutory requirements and hence we 'Abstain' from voting.
Aug 11, 2021	LUPIN LTD.	AGM	Management	Approve extension of ESOP 2021 scheme to employees of subsidiaries	For	Abstain	The cost of stock options gets amortized in the Profit & loss statement of the company over the vesting period. Further, we believe the stated resolution does not go against the statutory requirements and hence we 'Abstain' from voting.
Aug 18, 2021	ULTRATECH CEMENT LTD.	AGM	Management	Adoption of standalone and consolidated financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Aug 18, 2021	ULTRATECH CEMENT LTD.	AGM	Management	Declare final dividend of ₹ 37.0 per equity share (face value ₹ 2.0) for FY2021	For	For	The dividend payout ratio is 20.0%. We support the resolution.
Aug 18, 2021	ULTRATECH CEMENT LTD.	AGM	Management	Reappoint Kumar Mangalam Birla (DIN:00012813) as Non-Executive Non-Independent Director, liable to retire by rotation	For	For	Mr. Kumar Mangalam Birla's reappointment is in line with statutory requirements.
Aug 18, 2021	ULTRATECH CEMENT LTD.	AGM	Management	Reappoint Khimji Kunverji & Co. LLP as joint statutory auditors for a period of five years and fix their remuneration at ₹ 18.5 mn for FY2022	For	For	The reappointment is in line with statutory requirements. The proposed remuneration is reasonable and commensurate with the size and operations of the company.
Aug 18, 2021	ULTRATECH CEMENT LTD.	AGM	Management	Approve aggregate remuneration of ₹ 3.25 mn payable to D C Dave & Co and N D Birla & Co as cost auditors for FY2022	For	For	The proposed remuneration is reasonable compared to the size and scale of operations.
Aug 18, 2021	ULTRATECH CEMENT LTD.	AGM	Management	Appoint Sunil Duggal (DIN:00041825) as an Independent Director for five years from August 14, 2020	For	For	Mr. Sunil Duggal's appointment is in line with statutory requirements.
Aug 18, 2021	ULTRATECH CEMENT LTD.	AGM	Management	Reappoint Atul Daga (DIN:06416619) as Whole-time Director and Chief Financial Officer for three years from June 09, 2021 and fix his remuneration	For	For	Mr. Atul Daga's proposed remuneration is commensurate with the size and complexity of the business and in line with similar sized peers as well as his experience.
Aug 19, 2021	DABUR INDIA LTD.	AGM	Management	Adoption of standalone financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Aug 19, 2021	DABUR INDIA LTD.	AGM	Management	Adoption of consolidated financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Aug 19, 2021	DABUR INDIA LTD.	AGM	Management	Confirm interim dividend of ₹ 1.75 mn and declare final dividend of ₹ 3.0 per equity share (face value ₹ 1.0) for FY2021	For	For	The dividend pay-out ratio is 60.8%. We support the resolution.
Aug 19, 2021	DABUR INDIA LTD.	AGM	Management	Reappoint Mohit Burman (DIN:00021963) as a Non-Executive Non-Independent Director, liable to retire by rotation	For	For	Mohit Burman's reappointment is in line with statutory requirements.
Aug 19, 2021	DABUR INDIA LTD.	AGM	Management	Reappoint Aditya Burman (DIN: 00042277) as a Non-Executive Non-Independent Director, liable to retire by rotation	For	For	Aditya Burman's reappointment is in line with statutory requirements.
Aug 19, 2021	DABUR INDIA LTD.	AGM	Management	Ratify remuneration of ₹ 568,000 to Ramanath Iyer & Co. as cost auditors for FY2022	For	For	Proposed remuneration is reasonable compared to the size and scale of operations.
Aug 19, 2021	DABUR INDIA LTD.	AGM	Management	Appoint Mukesh Hari Butani (DIN:01452839) as Independent Director for five years from January 01, 2021	For	For	Mukesh Hari Butani's appointment is in line with statutory requirements.
Aug 23, 2021	HINDALCO INDUSTRIES LTD.	AGM	Management	Adoption of standalone and consolidated financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Aug 23, 2021	HINDALCO INDUSTRIES LTD.	AGM	Management	Declare final dividend of ₹ 3.0 per equity share (face value: ₹ 1.0) for FY2021	For	For	Payout ratio is 67.1% of the standalone PAT. We support the resolution.

Meeting Date	Investee Company Name	Type of Meeting (AGM/EGM)	Proposal of Management or Shareholder	Description of the proposal	Management Recommendation	Vote For/Against/Abstain	Reason supporting the vote decision
Aug 23, 2021	HINDALCO INDUSTRIES LTD.	AGM	Management	Reappoint Askaran Agarwala (DIN: 00023684) as Non-Executive Non-Independent Director, liable to retire by rotation and approve his continuation beyond the age of 75 years	For	For	Mr. Askaran Agarwala's reappointment is in line with statutory requirements.
Aug 23, 2021	HINDALCO INDUSTRIES LTD.	AGM	Management	Approve remuneration of ₹ 1.5 mn to R. Nanabhoy & Co., as cost auditor for FY2022	For	For	The total remuneration proposed to be paid to the cost auditors is reasonable compared to the size and scale of operations.
Aug 23, 2021	HINDALCO INDUSTRIES LTD.	AGM	Management	Reappoint Satish Pai (DIN: 06646758) as Managing Director for three years from August 01, 2021 and fix his remuneration	For	For	Mr. Satish Pai's reappointment is in line with statutory requirements. His remuneration is commensurate to the size and complexity of the business.
Aug 23, 2021	HINDALCO INDUSTRIES LTD.	AGM	Management	Reappoint Praveen Kumar Maheshwari (DIN: 00174361) as Whole-time Director for one year from May 28, 2021 and fix his remuneration	For	For	Mr. Praveen Maheshwari's pay is comparable to peers and is commensurate to the size and complexity of the business.
Aug 25, 2021	KPIT TECHNOLOGIES LTD.	AGM	Management	Adoption of standalone financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Aug 25, 2021	KPIT TECHNOLOGIES LTD.	AGM	Management	Adoption of consolidated financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Aug 25, 2021	KPIT TECHNOLOGIES LTD.	AGM	Management	Declare final dividend of ₹ 1.5 per share (face value of ₹ 10.0 per equity share) for FY2021	For	For	The total dividend payout ratio is 43.2% of the standalone PAT and 27.4% of consolidated PAT. We support the resolution.
Aug 25, 2021	KPIT TECHNOLOGIES LTD.	AGM	Management	Reappoint Sachin Tikekar (DIN: 02918460) as Director, liable to retire by rotation	For	For	Mr. Sachin Tikekar's reappointment is in line with the statutory requirements.
Aug 25, 2021	KPIT TECHNOLOGIES LTD.	AGM	Management	Increase overall managerial remuneration limit to 17% of net profits from 11% of net profits, increase executive director remuneration to 8% of profits from 5%, and remuneration payable to Non-Executive Directors from upto 2% of net profits from upto 1% of net profits for five years from FY2022	For	Abstain	As per the company, the consolidated profits of the company are 160% of the profits of the standalone entity. The Board and the Executive Directors supervise and manage entire operations of the company in its consolidated form. It faces legal restrictions with reference to standalone entity profits and the same are not in alignment with actual operations handled by the company. The increase in the limits will help it to appropriately remunerate Executive and Non-executive Directors who can be based abroad with different level of remuneration. We believe the increase in remuneration is higher as compared to what is being paid currently, and hence, we abstain from voting.
Aug 25, 2021	KPIT TECHNOLOGIES LTD.	AGM	Management	Continuation of directorship Prof. Alberto Luigi Sangiovanni Vincentelli (DIN: 05260121) as an Independent Director after attaining the age of 75 years on June 23, 2021 till the end of his tenure on January 15, 2024	For	For	Continuation of Prof. Alberto Luigi Sangiovanni Vincentelli does not go against the statutory requirements.
Aug 25, 2021	KOTAK MAHINDRA BANK LTD.	AGM	Management	Adoption of standalone financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Aug 25, 2021	KOTAK MAHINDRA BANK LTD.	AGM	Management	Adoption of consolidated financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Aug 25, 2021	KOTAK MAHINDRA BANK LTD.	AGM	Management	Confirm payment of interim dividend at 8.1% on preference shares of face value ₹ 5.0 for FY2021	For	For	We support the resolution.
Aug 25, 2021	KOTAK MAHINDRA BANK LTD.	AGM	Management	Declare dividend of ₹ 0.9 on equity shares of face value ₹ 5.0 per share	For	For	The dividend payout ratio is 2.6%. We support the resolution.
Aug 25, 2021	KOTAK MAHINDRA BANK LTD.	AGM	Management	Reappoint C. Jayaram (DIN: 00012214) as Director liable to retire by rotation	For	For	C. Jayaram's reappointment is in line with statutory requirements.
Aug 25, 2021	KOTAK MAHINDRA BANK LTD.	AGM	Management	Ratify additional fees of ₹ 2.4 mn to statutory auditors, Walker Chandio & Co LLP for additional certifications as required by the RBI and for increase in effort intensity for FY2021	For	For	The proposed fees are comparable to the size and complexity of the business.
Aug 25, 2021	KOTAK MAHINDRA BANK LTD.	AGM	Management	Reappoint Walker Chandio & Co LLP as joint statutory auditors for one year and set FY2022 statutory audit fee at ₹ 29.0 mn for both joint auditors	For	For	Reappointment of Walker Chandio & Co LLP as joint statutory auditors is in line with the statutory requirements. The proposed remuneration is comparable to the size and complexity of the business.
Aug 25, 2021	KOTAK MAHINDRA BANK LTD.	AGM	Management	Reappoint Price Waterhouse LLP as joint statutory auditors for three years and set FY2022 statutory audit fee at ₹ 29.0 mn for both joint auditors	For	For	Reappointment of Price Waterhouse LLP as joint statutory auditors is in line with the statutory requirements. The proposed remuneration is comparable to the size and complexity of the business.
Aug 25, 2021	KOTAK MAHINDRA BANK LTD.	AGM	Management	Appoint Dr. Ashok Gulati (DIN 07062601) as Independent Director for five years from March 06, 2021	For	For	Ashok Gulati's appointment is in line with statutory requirements.
Aug 25, 2021	KOTAK MAHINDRA BANK LTD.	AGM	Management	Reappoint Uday Chander Khanna (DIN 00079129) as Independent Director for three years from September 16, 2021	For	For	Uday Chander Khanna's reappointment is in line with statutory requirements.
Aug 25, 2021	KOTAK MAHINDRA BANK LTD.	AGM	Management	Approve material related party transactions with Infina Finance Pvt. Ltd. for FY2022	For	For	The transactions are in the ordinary course of business of the bank and in line with statutory requirements.
Aug 25, 2021	KOTAK MAHINDRA BANK LTD.	AGM	Management	Approve material related party transactions with Promoter, MD & CEO Uday S. Kotak for FY2022	For	For	The transactions are in the ordinary course of business of the bank and in line with statutory requirements.

Meeting Date	Investee Company Name	Type of Meeting (AGM/EGM)	Proposal of Management or Shareholder	Description of the proposal	Management Recommendation	Vote For/Against/Abstain	Reason supporting the vote decision
Aug 25, 2021	KOTAK MAHINDRA BANK LTD.	AGM	Management	To approve private placement of debentures/bonds or other debt securities upto ₹ 50 bn	For	For	Issue of debentures/bonds or debt securities is in line with statutory requirements.
Aug 25, 2021	KOTAK MAHINDRA BANK LTD.	AGM	Management	Payment of compensation in the form of fixed remuneration to Non-Executive Directors (other than part-time Chairman) upto ₹ 2.0 mn for five years from FY2022	For	For	Compensation is in line with statutory requirements.
Aug 25, 2021	KOTAK MAHINDRA BANK LTD.	AGM	Management	Approve payment of remuneration upto ₹ 6.0 mn to Jay Kotak, son of MD & CEO Uday Kotak, who holds an office or place of profit	For	For	Proposed remuneration is commensurate with the size and complexity of the responsibilities and is comparable to peers.
Aug 25, 2021	CIPLA LTD.	AGM	Management	Adoption of standalone financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Aug 25, 2021	CIPLA LTD.	AGM	Management	Adoption of consolidated financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Aug 25, 2021	CIPLA LTD.	AGM	Management	Declare final dividend of ₹ 5.0 per equity share of face value ₹ 2.0 each	For	For	The dividend payout ratio is 16.3% on a standalone basis. We support the resolution.
Aug 25, 2021	CIPLA LTD.	AGM	Management	Reappoint Walker Chandiook & Co LLP as statutory auditors for a period of five years from FY2022 and fix their remuneration at ₹ 15.6 mn for FY2022	For	For	Walker Chandiook & Co's reappointment is in line with statutory requirements. The proposed remuneration is reasonable and commensurate with the size and operations of the company.
Aug 25, 2021	CIPLA LTD.	AGM	Management	Reappoint M K Hamied (DIN: 00029084) as Non-Executive Non-Independent Director, liable to retire by rotation, and approve his continuation since he has attained 75 years of age	For	For	Mr. M K Hamied's reappointment and continuation is in line with all statutory requirements.
Aug 25, 2021	CIPLA LTD.	AGM	Management	Appoint Robert Stewart (DIN: 03515778) as Independent Director for a period of five years w.e.f. May 14, 2021	For	For	Mr. Robert Stewart's appointment is in line with statutory requirements.
Aug 25, 2021	CIPLA LTD.	AGM	Management	Appoint P R Ramesh (DIN: 01915274) as Independent Director for a period of five years w.e.f. July 01, 2021	For	For	Mr. P R Ramesh's appointment is in line with statutory requirements.
Aug 25, 2021	CIPLA LTD.	AGM	Management	Reappoint Umang Vohra (DIN: 02296740) as Managing Director and Global CEO for a period of five years from April 01, 2021 and fix his remuneration	For	For	Mr. Umang Vohra remuneration is in line with the remuneration paid to peers and has been aligned to company performance over the past five years.
Aug 25, 2021	CIPLA LTD.	AGM	Management	Ratify remuneration of ₹ 1.17 mn payable to D H Zaveri, as cost auditors for FY2022	For	For	The total remuneration proposed to be paid to the cost auditors in FY2022 is reasonable compared to the size and scale of operations.
Aug 26, 2021	INDUSIND BANK LTD.	AGM	Management	Adoption of standalone and consolidated financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Aug 26, 2021	INDUSIND BANK LTD.	AGM	Management	Declare final dividend of ₹ 5.0 per equity share (face value: ₹ 10.0) for FY2021	For	For	Dividend payout is 13.6% of Standalone PAT. We support the resolution.
Aug 26, 2021	INDUSIND BANK LTD.	AGM	Management	Reappoint Arun Tiwari (DIN: 07205838) as Non-Executive Non-Independent Director liable to retire by rotation	For	For	Arun Tiwari's reappointment is in line with statutory requirements.
Aug 26, 2021	INDUSIND BANK LTD.	AGM	Management	Reappoint Haribhakti & Co. LLP as Joint Statutory Auditors for one year from the conclusion of FY2021 AGM and fix aggregate remuneration for both joint auditors at ₹ 24 mn	For	For	Reappointment of Haribhakti & Co. LLP is in line with the statutory requirements. The proposed remuneration is reasonable compared to the size of the bank.
Aug 26, 2021	INDUSIND BANK LTD.	AGM	Management	Appoint M. P. Chitale Co. as Joint Statutory Auditors for three years from the conclusion of FY2021 AGM and fix aggregate remuneration for both joint auditors at ₹ 24 mn	For	For	Appointment of M. P. Chitale Co. is in line with the statutory requirements. The proposed remuneration is reasonable compared to the size of the bank.
Aug 26, 2021	INDUSIND BANK LTD.	AGM	Management	Appoint Jayant Deshmukh (DIN: 08697679) as Independent Director for four years from July 24, 2021	For	For	Jayant Deshmukh's appointment is in line with statutory requirements.
Aug 26, 2021	INDUSIND BANK LTD.	AGM	Management	Approve payment of compensation to each non-executive director of the bank (excluding the non-executive part-time chairperson) by way of fixed remuneration not exceeding ₹ 2.0 mn per annum from FY2022	For	For	The proposed compensation is in line with statutory requirements.
Aug 26, 2021	INDUSIND BANK LTD.	AGM	Management	Approve issue of securities up to ₹ 100.0 bn	For	For	Issue of securities is in line with statutory requirements.
Aug 26, 2021	INDUSIND BANK LTD.	AGM	Management	Approve increase in authorized share capital to ₹ 10.0 bn from ₹ 8.57 bn and consequent amendments to the Memorandum of Association (MoA) and the Articles of Association (AoA)	For	For	The increase in authorized share capital will provide the bank sufficient headroom to raise capital.
Aug 26, 2021	INDUSIND BANK LTD.	AGM	Management	Approve issuance of debt securities up to ₹ 200.0 bn on a private placement basis	For	For	Issue of debt securities is in line with statutory requirements.
Aug 26, 2021	UNITED SPIRITS LTD.	AGM	Management	Adoption of standalone and consolidated financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Aug 26, 2021	UNITED SPIRITS LTD.	AGM	Management	Reappoint John Thomas Kennedy (DIN: 07529946) as a Non-Executive Non-Independent Director, liable to retire by rotation	For	For	Mr. John Thomas Kennedy's reappointment is in line with the statutory requirements.

Meeting Date	Investee Company Name	Type of Meeting (AGM/EGM)	Proposal of Management or Shareholder	Description of the proposal	Management Recommendation	Vote For/Against/Abstain	Reason supporting the vote decision
Aug 26, 2021	UNITED SPIRITS LTD.	AGM	Management	Reappoint Price Waterhouse & Co, Chartered Accountants LLP as statutory auditors for five years starting from FY2022 and fix their remuneration at ₹ 50.0 mn for FY2022	For	For	Reappointment of Price Waterhouse & Co. Chartered Accountants LLP is in line with the statutory requirements. The proposed remuneration is reasonable compared to the size and scale of the company's operations.
Aug 26, 2021	UNITED SPIRITS LTD.	AGM	Management	Appoint Ms. Hina Nagarajan (DIN: 00048506) as Director from July 01, 2021	For	For	Ms. Hina Nagarajan's appointment is in line with the statutory requirements.
Aug 26, 2021	UNITED SPIRITS LTD.	AGM	Management	Appoint Hina Nagarajan (DIN:00048506) as Managing Director and Chief Executive Officer for five years from July 01, 2021 and fix her remuneration as minimum remuneration for three years from July 01, 2021	For	For	Ms. Hina Nagarajan's appointment is in line with the statutory requirements. Her remuneration is commensurate with the size and complexity of the responsibilities.
Aug 26, 2021	UNITED SPIRITS LTD.	AGM	Management	Reappoint V K Viswanathan (DIN: 01782934) as an Independent Director for a second five-year term from October 17, 2021 and approve his continuation once he attains 75 years of age	For	For	Mr. V K Viswanathan's reappointment is in line with statutory requirements.
Aug 26, 2021	UNITED SPIRITS LTD.	AGM	Management	Approve continuation of Mahendra Kumar Sharma (DIN: 00327684) as an Independent Director till the end of his term in 2024 after attaining the age of 75 years	For	For	Mr. Mahendra Kumar Sharma's reappointment is in line with statutory requirements.
Aug 26, 2021	UNITED SPIRITS LTD.	AGM	Management	Approve payment of commission to Non-Executive Directors and Independent Directors upto 1% of the net profits from FY2022 onwards and upto ₹ 40.0 mn in the event of no profits/inadequate profits for three years from April 01, 2021	For	Abstain	The commission to be paid to Non-Executive Directors and Independent Directors appears reasonable given the size and scale of company's operations and in line with market practices. We believe that the stated resolution does not go against the statutory requirements and hence we abstain from voting.
Aug 27, 2021	CROMPTON GREAVES CONSUMER ELECTRICAL LTD.	EGM	Management	Appoint MSKA & Associates as statutory auditors for five years from FY2022 and fix their remuneration at ₹ 5.1 mn for FY2022	For	For	Appointment of MSKA & Associates is in line with the statutory requirements. The proposed remuneration is comparable to the size and complexity of the business.
Aug 27, 2021	HCL TECHNOLOGIES LTD.	AGM	Management	Adoption of standalone and consolidated financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Aug 27, 2021	HCL TECHNOLOGIES LTD.	AGM	Management	Reappoint Shikhar Malhotra (DIN: 00779720) as a Non-Executive Non-Independent Director, liable to retire by rotation	For	For	Shikhar Malhotra's reappointment is in line with the statutory requirements.
Aug 27, 2021	HCL TECHNOLOGIES LTD.	AGM	Management	Reappoint Deepak Kapoor (DIN: 00162957) as an Independent Director for his second five year term from July 26, 2022	For	For	Deepak Kapoor's reappointment is in line with statutory requirements.
Aug 27, 2021	HCL TECHNOLOGIES LTD.	AGM	Management	Appoint Ms. Vanitha Narayanan (DIN: 06488655) as an Independent Director for five years from July 19, 2021	For	For	Ms. Vanitha Narayanan's appointment is in line with the statutory requirements.
Aug 27, 2021	HCL TECHNOLOGIES LTD.	AGM	Management	Appoint C. Vijayakumar (DIN: 09244485) as Director from July 20, 2021	For	For	C. Vijayakumar's appointment is in line with the statutory requirements.
Aug 27, 2021	HCL TECHNOLOGIES LTD.	AGM	Management	Appoint C Vijayakumar (DIN: 09244485) as Managing Director and CEO for a period of five years from July 20, 2021 and fix his remuneration	For	Abstain	As per the company, Mr. C. Vijayakumar is based out of USA and therefore his remuneration has been benchmarked with large IT services companies based in USA, adjusted for size and scale of business and in line with the industry standards globally. On variable pay the board will fix the parameters and other conditions. Annual performance linked incentives are generally based on company's performance, shareholders' returns etc and stock options, if the company comes out with the plan, will form part of overall limit of long term incentives. We believe the stated resolution does not go against the statutory requirements and hence we 'Abstain' from voting.
Aug 27, 2021	HCL TECHNOLOGIES LTD.	AGM	Management	Approve payment of advisory fees and extension of other facilities to Shiv Nadar as Chairperson Emeritus and Strategic Advisor for five years from July 20, 2021 to July 19, 2026	For	For	Payment of advisory fees and extension of other facilities to Mr. Shiv Nadar is in line with the statutory requirements.
Aug 30, 2021	DIVIS LABORATORIES LTD.	AGM	Management	Adoption of standalone and consolidated financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Aug 30, 2021	DIVIS LABORATORIES LTD.	AGM	Management	Declare final dividend of ₹ 20.0 per share (face value of ₹ 2.0)	For	For	The dividend payout ratio is 27.2%. We support the resolution.
Aug 30, 2021	DIVIS LABORATORIES LTD.	AGM	Management	Reappoint Dr. Kiran Divi (DIN: 00006503) as Director liable to retire by rotation	For	For	Dr. Kiran Divi's reappointment is in line with statutory requirements.
Aug 30, 2021	DIVIS LABORATORIES LTD.	AGM	Management	Reappoint Ms. Nilima Prasad Divi (DIN: 06388001) as Director liable to retire by rotation	For	For	Ms. Nilima Prasad Divi's reappointment is in line with statutory requirements.
Aug 30, 2021	MARICO LTD.	AGM	Management	Adoption of standalone and consolidated financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Aug 30, 2021	MARICO LTD.	AGM	Management	Reappoint Rajendra Mariwala (DIN: 00007246) as Director liable to retire by rotation	For	For	Rajendra Mariwala's reappointment is in line with the statutory requirements.
Aug 30, 2021	MARICO LTD.	AGM	Management	Ratify remuneration of ₹ 950,000 for Ashwin Solanki & Associates as cost auditors for FY2022	For	For	Proposed remuneration is reasonable compared to the size and scale of the company's operations.
Aug 30, 2021	MARICO LTD.	AGM	Management	Appoint Milind Barve (DIN: 00087839) as Independent Director for five years from August 02, 2021	For	For	Milind Barve's appointment is in line with the statutory requirements.

Meeting Date	Investee Company Name	Type of Meeting (AGM/EGM)	Proposal of Management or Shareholder	Description of the proposal	Management Recommendation	Vote For/Against/Abstain	Reason supporting the vote decision
Aug 30, 2021	MARICO LTD.	AGM	Management	Approve payment of remuneration to Harsh Mariwala (DIN: 00210342) for FY2022, such that it may exceed 50% of the total remuneration to all the Non-Executive Directors	For	For	Proposed remuneration is commensurate with the size and complexity of the responsibilities and is comparable to peers.
Aug 31, 2021	SRF LTD.	AGM	Management	Adoption of standalone and consolidated financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Aug 31, 2021	SRF LTD.	AGM	Management	Not fill casual vacancy caused by the retirement of Dr. Meenakshi Gopinath (DIN: 00295656) as Non-Executive Non-Independent Director	For	For	Dr. Meenakshi Gopinath will retire at the upcoming AGM and the vacancy caused by her retirement will not be filled. This will not have any material implications for board independence.
Aug 31, 2021	SRF LTD.	AGM	Management	Reappoint Kartik Bharat Ram (DIN: 00008557) as Deputy Managing Director for five years from June 01, 2021 and fix his remuneration	For	For	Mr. Kartik Bharat Ram's proposed remuneration is commensurate with the size, scale, and complexity of the business and in line with industry peers.
Aug 31, 2021	SRF LTD.	AGM	Management	Appoint Arun Bharat Ram as Chairperson Emeritus for five years from April 01, 2022 and fix his remuneration	For	For	Arun Bharat Ram's appointment is in line with statutory requirements and his remuneration at appears reasonable.
Aug 31, 2021	SRF LTD.	AGM	Management	Approve aggregate remuneration of ₹ 825,000 to cost auditors H Tara & Co and Sanjay Gupta & Associates for FY2022	For	For	The total remuneration proposed to be paid to the cost auditors is reasonable compared to the size and scale of operations.
Aug 31, 2021	SRF LTD.	AGM	Management	Approve private placement of non-convertible debentures of up to ₹ 15.0 bn	For	For	It is in line with statutory requirements.
Aug 31, 2021	INTERGLOBE AVIATION LTD.	AGM	Management	Adoption standalone and consolidated financial statements for the year ended March 31, 2021 with the reports of the Board of Directors and the Auditors thereon	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Aug 31, 2021	INTERGLOBE AVIATION LTD.	AGM	Management	Reappoint Rakesh Gangwal (DIN: 03426679) as Director liable to retire by rotation	For	For	Mr. Rakesh Gangwal's reappointment is in line with statutory requirements.
Aug 31, 2021	INTERGLOBE AVIATION LTD.	AGM	Management	Appoint Gregg Albert Saretsky (DIN: 08787780) as Non-Executive Director and nominee of the IGE group, liable to retire by rotation, from October 01, 2020	For	For	Mr. Gregg Saretsky's appointment is in line with statutory requirements.
Aug 31, 2021	BHARTI AIRTEL LTD.	AGM	Management	Adoption of standalone and consolidated financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Aug 31, 2021	BHARTI AIRTEL LTD.	AGM	Management	Reappoint Rakesh Bharti Mittal (DIN: 00042494) as a Non-Executive Non-Independent Director, liable to retire by rotation	For	For	Mr. Rakesh Bharti Mittal's reappointment is in line with the statutory requirements.
Aug 31, 2021	BHARTI AIRTEL LTD.	AGM	Management	Appoint Tao Yih Arthur Lang (DIN: 07798156) as a Non-Executive Non-Independent Director from October 27, 2020, liable to retire by rotation	For	For	Tao Yih Arthur Lang's appointment is in line with statutory requirements.
Aug 31, 2021	BHARTI AIRTEL LTD.	AGM	Management	Appoint Ms. Nisaba Godrej (DIN: 00591503) as an Independent Director for a five-year term from August 04, 2021	For	For	Ms. Nisaba Godrej's appointment is in line with the statutory requirements.
Aug 31, 2021	BHARTI AIRTEL LTD.	AGM	Management	Reappoint Sunil Bharti Mittal (DIN: 00042491) as Executive Chairperson for five years from October 01, 2021 and fix his remuneration for three years from October 01, 2021 as minimum remuneration	For	For	Mr. Sunil Bharti Mittal's remuneration is in line with the size and complexity of the business.
Aug 31, 2021	BHARTI AIRTEL LTD.	AGM	Management	Approve payment of commission to Non-Executive Directors upto 1% of EBITDA capped at ₹ 250.0 mn in the event of no profits/inadequate profits for three years from April 01, 2020	For	For	Payment of commission appears reasonable given the size and scale of the business.
Aug 31, 2021	BHARTI AIRTEL LTD.	AGM	Management	Ratify remuneration of ₹ 1.25 mn for Sanjay Gupta & Associates as cost auditors for FY2022	For	For	The total remuneration proposed is reasonable compared to the size and scale of the company's operations.
Aug 31, 2021	SUN PHARMACEUTICAL INDS. LTD.	AGM	Management	Adoption of standalone and consolidated financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Aug 31, 2021	SUN PHARMACEUTICAL INDS. LTD.	AGM	Management	Confirm interim dividend of ₹ 5.5 per share and declare final dividend of ₹ 2.0 per equity share (face value ₹ 1.0)	For	For	The dividend payout ratio is 84.1%. We support the resolution.
Aug 31, 2021	SUN PHARMACEUTICAL INDS. LTD.	AGM	Management	Reappoint Dilip Shanghvi (DIN: 00005588) as Director liable to retire by rotation	For	For	Mr. Dilip Shanghvi's reappointment is in line with statutory requirements.
Aug 31, 2021	SUN PHARMACEUTICAL INDS. LTD.	AGM	Management	Reappoint Kalyanasundaram Subramanian (DIN: 00179072) Director liable to retire by rotation	For	For	Mr. Kalyanasundaram Subramanian's reappointment is in line with statutory requirements.
Aug 31, 2021	SUN PHARMACEUTICAL INDS. LTD.	AGM	Management	Approve appointment and remuneration of ₹ 2.65 mn (plus service tax and out of pocket expenses) for B M Sharma & Associates, as cost auditors for FY2022	For	For	The proposed remuneration to be paid to the cost auditor in FY2022 is reasonable compared to the size and scale of operations.
Aug 31, 2021	SUN PHARMACEUTICAL INDS. LTD.	AGM	Management	Reappoint Kalyanasundaram Subramanian (DIN: 00179072) as Whole-time Director for a period of two years from February 14, 2021 and fix his remuneration as minimum remuneration	For	For	Mr. Kalyanasundaram Subramanian's appointment is in line with statutory requirements. His remuneration is in line with peers and commensurate with the size of the company's operations.

Meeting Date	Investee Company Name	Type of Meeting (AGM/EGM)	Proposal of Management or Shareholder	Description of the proposal	Management Recommendation	Vote For/Against/ Abstain	Reason supporting the vote decision
Aug 31, 2021	SUN PHARMACEUTICAL INDS. LTD.	AGM	Management	Approve remuneration of Sailesh T. Desai (DIN: 00005443) as Whole-time Director for a period of two years from April 01, 2022 till March 31, 2024 as minimum remuneration	For	For	Mr. Sailesh T. Desai's remuneration is in line with peers and commensurate with the size of the company's operations.
Aug 31, 2021	SUN PHARMACEUTICAL INDS. LTD.	AGM	Management	Appoint Dr. Pawan Goenka (DIN: 00254502) as Independent Director for a period of five years from May 21, 2021	For	For	Dr. Pawan Goenka's appointment is in line with all statutory requirements.
Aug 31, 2021	SUN PHARMACEUTICAL INDS. LTD.	AGM	Management	Appoint Ms. Rama Bijapurkar (DIN: 00001835) as Independent Director for a period of five years from May 21, 2021	For	Abstain	We believe the stated resolution does not go against the statutory requirements and hence we abstain from voting.
Aug 31, 2021	SUN PHARMACEUTICAL INDS. LTD.	AGM	Management	Approve payment of commission to Non-Executive Directors upto 1% of net profits for a period of five years from FY2022	For	For	Payment of commission to Non-Executive directors appears reasonable given the size and scale of company's operations.
Sep 06, 2021	BRITANNIA INDUSTRIES LTD.	AGM	Management	Adoption of standalone and consolidated financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Sep 06, 2021	BRITANNIA INDUSTRIES LTD.	AGM	Management	Not fill casual vacancy caused by resignation of Jehangir N Wadia (DIN: 00088831), as Non-Executive Non-Independent Director	For	For	Jehangir Wadia is part of the promoter group. He retires by rotation at this AGM. He has not offered himself for re-appointment and the vacancy caused by his retirement will not be filled. This will not have any material implications for board independence.
Sep 06, 2021	BRITANNIA INDUSTRIES LTD.	AGM	Management	Appoint Ujrit Patel (DIN: 00175210) as an Independent Director for five years from March 31, 2021	For	For	Ujrit Patel's appointment is in line with the statutory requirements.
Sep 06, 2021	BRITANNIA INDUSTRIES LTD.	AGM	Management	Reappoint Y.S.P Thorat (DIN: 00135258) as an Independent Director for his second term of five years from February 13, 2022 and approve his continuation after attaining the age of 75 years	For	For	Y. S. P Thorat's reappointment is in line with the statutory requirements.
Sep 06, 2021	BRITANNIA INDUSTRIES LTD.	AGM	Management	Reappoint Ajay Shah (DIN: 01141239) as an Independent Director for his second term of five years from February 13, 2022	For	For	Dr. Ajay Shah's reappointment is in line with the statutory requirements.
Sep 06, 2021	BRITANNIA INDUSTRIES LTD.	AGM	Management	Approve termination of existing Employee Stock Option Scheme (BIL ESOS) and replacing the options under BIL ESOS scheme with options under Phantom Option Scheme 2021 (BIL POS 2021)	For	For	The BIL POS 2021 Scheme will replace the BIL ESOS scheme with cash-settled Phantom Options. It is in line with statutory requirements.
Sep 06, 2021	BRITANNIA INDUSTRIES LTD.	AGM	Management	Approve payment of commission aggregating ₹ 79.48 mn to Nuzli Wadia as Non-Executive Chairperson for FY2021, in excess of 50% of the total annual remuneration payable to all non-executive directors	For	For	The proposed remuneration is commensurate with the size and complexity of the responsibilities and is comparable to peers.
Sep 06, 2021	BRITANNIA INDUSTRIES LTD.	AGM	Management	Appoint N. Venkataraman (DIN: 05220857) as Director, not liable to retire by rotation, from July 30, 2021	For	For	N. Venkataraman's appointment is in line with the statutory requirements.
Sep 06, 2021	BRITANNIA INDUSTRIES LTD.	AGM	Management	Appoint N Venkataraman (DIN: 05220857) as Executive Director & CFO for a period of five years from July 30, 2021 till July 29, 2026 and fix his remuneration	For	For	N. Venkataraman's appointment is in line with the statutory requirements. The proposed remuneration is in line with the size and complexities of his responsibilities.
Sep 14, 2021	PI INDUSTRIES LTD.	AGM	Management	Adoption of standalone and consolidated financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Sep 14, 2021	PI INDUSTRIES LTD.	AGM	Management	Confirm interim dividend of ₹ 3.0 per share and declare a final dividend of ₹ 2.0 per share (Face value: ₹ 1.0 per share) for FY2021	For	For	Dividend payout ratio is 10.6% of the standalone PAT. We support the resolution.
Sep 14, 2021	PI INDUSTRIES LTD.	AGM	Management	Reappoint Dr. Raman Ramachandran (DIN: 00200297) as Director, liable to retire by rotation	For	For	Raman Ramachandran's reappointment is in line with statutory requirements.
Sep 14, 2021	PI INDUSTRIES LTD.	AGM	Management	Approve remuneration of ₹ 300,000 to K.G. Goyal & Co., as cost auditor for FY2022	For	For	The proposed remuneration is reasonable compared to the size and scale of operations.
Sep 14, 2021	PI INDUSTRIES LTD.	AGM	Management	Approve elevation of Rajnish Sarna (DIN: 06429468) to Joint Managing Director from whole-time director from May 18, 2021	For	For	Based on the increase in the responsibilities, the board has elevated Rajnish Sarna to the position of Joint Managing Director. The elevation is in line with statutory requirements.
Sep 14, 2021	PI INDUSTRIES LTD.	AGM	Management	Appoint Dr. K.V.S. Ram Rao (DIN: 08874100) as Director, liable to retire by rotation	For	For	Dr. K. V. S. Ram Rao's appointment is in line with statutory requirements.
Sep 14, 2021	PI INDUSTRIES LTD.	AGM	Management	Appoint Dr. K.V.S. Ram Rao (DIN: 08874100) as whole-time director for three years from May 18, 2021 and fix his remuneration as minimum remuneration	For	For	Dr. K. V. S. Ram Rao's appointment is in line with statutory requirements. The proposed remuneration is commensurate to the size and complexity of the business.
Sep 14, 2021	PI INDUSTRIES LTD.	AGM	Management	Approve payment of commission aggregating ₹ 20 mn to Narayan K. Seshadri (DIN: 00053563) in FY2021 as Independent Director and Chairperson, which exceeds 50% of the total remuneration paid to all non-executive directors	For	For	The proposed commission is commensurate with the size and complexity of the responsibilities.
Sep 15, 2021	IDFC FIRST BANK LTD.	AGM	Management	Adoption of standalone and consolidated financial statements for year ending March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Sep 15, 2021	IDFC FIRST BANK LTD.	AGM	Management	Reappoint Vishal Mahadevia (DIN: 01035771), as Non-Executive Non-Independent Director	For	For	Vishal Mahadevia's reappointment is in line with statutory requirements.
Sep 15, 2021	IDFC FIRST BANK LTD.	AGM	Management	Reappoint BSR & Co LLP as joint statutory auditors for one year at an annual remuneration of ₹ 27.0 mn in aggregate	For	For	Reappointment of BSR & Co. is in line with statutory requirements. The proposed remuneration is comparable to the size and complexity of the business.

Meeting Date	Investee Company Name	Type of Meeting (AGM/EGM)	Proposal of Management or Shareholder	Description of the proposal	Management Recommendation	Vote For/Against/Abstain	Reason supporting the vote decision
Sep 15, 2021	IDFC FIRST BANK LTD.	AGM	Management	Reappoint MSKA & Associates as joint statutory auditors for three years at a remuneration of ₹ 27.0 mn in aggregate for FY2022	For	For	Reappointment of MSKA & Associates is in line with statutory requirements. The proposed remuneration is comparable to the size and complexity of the business.
Sep 15, 2021	IDFC FIRST BANK LTD.	AGM	Management	Appoint S. Ganesh Kumar (DIN: 07635860) as Independent Director for five years from April 30, 2021	For	For	S. Ganesh Kumar's appointment is in line with the statutory requirements.
Sep 15, 2021	IDFC FIRST BANK LTD.	AGM	Management	Appoint Ajay Sondhi (DIN: 01657614) as Non-Executive Non-Independent Director, liable to retire by rotation for four years from July 22, 2021	For	For	Ajay Sondhi's appointment is in line with the statutory requirements.
Sep 15, 2021	IDFC FIRST BANK LTD.	AGM	Management	Reappoint Pravir Vohra (DIN: 00082545) as Independent Director for five years from August 01, 2021	For	For	Pravir Vohra's reappointment is in line with statutory requirements.
Sep 15, 2021	IDFC FIRST BANK LTD.	AGM	Management	Reappoint V. Vaidyanathan (DIN: 00082596) as Managing Director & Chief Executive Officer, for three years from December 19, 2021	For	For	V. Vaidyanathan's reappointment is in line with statutory requirements. The proposed remuneration is in line with the size and complexities of the company and is comparable to others in the industry.
Sep 15, 2021	IDFC FIRST BANK LTD.	AGM	Management	Approve payment of fixed remuneration of ₹ 1.6 mn to Non-Executive Directors (other than part time chairperson) for FY2022 within the limit of ₹ 2.0 mn	For	For	The proposed remuneration is in line with the statutory requirements.
Sep 15, 2021	IDFC FIRST BANK LTD.	AGM	Management	To approve offer and issue of debt securities on a private placement basis upto a limit of ₹ 50 bn	For	For	The issue of securities is in line with statutory requirements.
Sep 16, 2021	WESTLIFE DEVELOPMENT LTD.	AGM	Management	Adoption of standalone and consolidated financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Sep 16, 2021	WESTLIFE DEVELOPMENT LTD.	AGM	Management	Reappoint Amit Jatia (DIN: 00016871) as Director, liable to retire by rotation	For	For	Amit Jatia's reappointment is in line with statutory requirements.
Sep 16, 2021	WESTLIFE DEVELOPMENT LTD.	AGM	Management	Appoint Akshay Jatia (DIN: 07004280) as a Non-Executive Non-Independent Director, liable to retire by rotation from August 13, 2021	For	Abstain	We believe the stated resolution does not go against the statutory requirements and hence we 'Abstain' from voting.
Sep 16, 2021	WESTLIFE DEVELOPMENT LTD.	AGM	Management	Approve grant of up to approx 7.78 mn stock options under the Westlife Development Limited Employee Stock Option (Trust) Scheme 2021	For	Abstain	The cost of stock options gets amortized in the Profit & loss statement of the company over the vesting period. Further, we believe the stated resolution does not go against the statutory requirements and hence we 'Abstain' from voting.
Sep 16, 2021	WESTLIFE DEVELOPMENT LTD.	AGM	Management	Extend Westlife Development Limited Employee Stock Option (Trust) Scheme 2021 to employees of subsidiaries	For	Abstain	The cost of stock options gets amortized in the Profit & loss statement of the company over the vesting period. Further, we believe the stated resolution does not go against the statutory requirements and hence we 'Abstain' from voting.
Sep 16, 2021	WESTLIFE DEVELOPMENT LTD.	AGM	Management	Approve secondary acquisition of shares for implementation of Westlife Development Limited Employee Stock Option (Trust) Scheme 2021 through trust route	For	Abstain	The cost of stock options gets amortized in the Profit & loss statement of the company over the vesting period. Further, we believe the stated resolution does not go against the statutory requirements and hence we 'Abstain' from voting.
Sep 16, 2021	WESTLIFE DEVELOPMENT LTD.	AGM	Management	Approve provision of money by the company to trust by loans not exceeding 5% of the aggregate of paid-up share capital and free reserves	For	Abstain	The cost of stock options gets amortized in the Profit & loss statement of the company over the vesting period. Further, we believe the stated resolution does not go against the statutory requirements and hence we 'Abstain' from voting.
Sep 17, 2021	MOTHERSON SUMI SYSTEMS LTD.	AGM	Management	Adoption of standalone and consolidated financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Sep 17, 2021	MOTHERSON SUMI SYSTEMS LTD.	AGM	Management	Approve final dividend of ₹ 1.5 per equity share of face value ₹ 1.0 each for FY2021	For	For	Dividend payout ratio is 91.0% of standalone PAT. We support the resolution.
Sep 17, 2021	MOTHERSON SUMI SYSTEMS LTD.	AGM	Management	Reappoint Pankaj Mital (DIN: 00194931) as Director, liable to retire by rotation	For	For	Pankaj Mital's reappointment is in line with the statutory requirements.
Sep 17, 2021	MOTHERSON SUMI SYSTEMS LTD.	AGM	Management	Reappoint Takeshi Fujimi (DIN: 08501292) as Director, liable to retire by rotation	For	Abstain	We believe the stated resolution does not go against the statutory requirements and hence we 'Abstain' from voting.
Sep 17, 2021	MOTHERSON SUMI SYSTEMS LTD.	AGM	Management	Appoint Ms. Rekha Sethi (DIN: 06809515) as an Independent Director for five years from August 10, 2021	For	For	Ms. Rekha Sethi's appointment is in line with the statutory requirements.
Sep 17, 2021	MOTHERSON SUMI SYSTEMS LTD.	AGM	Management	Reappoint Pankaj Mital (DIN: 00194931) as Whole-time Director designated as Chief Operating Officer for five years from October 01, 2021 and fix his remuneration	For	For	Pankaj Mital's reappointment is in line with the statutory requirements. The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business.
Sep 17, 2021	MOTHERSON SUMI SYSTEMS LTD.	AGM	Management	Approve loans, guarantees or security or investment in securities of ₹ 1.0 bn to body corporates or persons over and above the amount of ₹ 96.1 bn as on March 31, 2021 under section 186 of the Companies Act, 2013	For	For	MSSL is in the process of merging with SAMIL, and the merged entity will have many joint ventures. The enabling approval will allow MSSL to provide urgent funds to joint venture companies in the future. We support the resolution.
Sep 17, 2021	MOTHERSON SUMI SYSTEMS LTD.	AGM	Management	Approve remuneration of ₹ 1.51 mn to M.R. Vyas and Associates as cost auditors for FY2022	For	For	The proposed remuneration is reasonable compared to the size and scale of operations.
Sep 21, 2021	RBL BANK LTD.	AGM	Management	Adoption of standalone financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Sep 21, 2021	RBL BANK LTD.	AGM	Management	Adoption of consolidated financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.

Meeting Date	Investee Company Name	Type of Meeting (AGM/EGM)	Proposal of Management or Shareholder	Description of the proposal	Management Recommendation	Vote For/Against/Abstain	Reason supporting the vote decision
Sep 21, 2021	RBL BANK LTD.	AGM	Management	Reappoint Ms. Veena Mankar (DIN: 00004168), as director liable to retire by rotation	For	For	Veena Mankar's reappointment is in line with statutory requirements.
Sep 21, 2021	RBL BANK LTD.	AGM	Management	Appoint CNK & Associates LLP as joint statutory auditors for three years and authorize the board to fix remuneration	For	For	CNK & Associates LLP's appointment is in line with statutory requirements.
Sep 21, 2021	RBL BANK LTD.	AGM	Management	Appoint Vimal Bhandari (DIN: 00001318) as a Non-Executive, Non-Independent Director liable to retire by rotation from October 01, 2020	For	For	Vimal Bhandari's appointment is in line with statutory requirements.
Sep 21, 2021	RBL BANK LTD.	AGM	Management	Appoint Dr. Somnath Ghosh (DIN: 00401253) as Independent Director for five years from December 07, 2020	For	For	Somnath Ghosh's appointment is in line with statutory requirements.
Sep 21, 2021	RBL BANK LTD.	AGM	Management	Appoint Manjeev Singh Puri (DIN: 09166794) as Independent Director for five years from May 21, 2021	For	For	Manjeev Singh Puri's appointment is in line with statutory requirements.
Sep 21, 2021	RBL BANK LTD.	AGM	Management	Appoint Chandan Sinha (DIN: 06921244) as Independent Director for five years from May 21, 2021	For	For	Chandan Sinha's appointment is in line with statutory requirements.
Sep 21, 2021	RBL BANK LTD.	AGM	Management	Reappoint Prakash Chandra (DIN: 02839303) as Independent Director from September 06, 2021 till August 02, 2024	For	For	Prakash Chandra's reappointment is in line with statutory requirements.
Sep 21, 2021	RBL BANK LTD.	AGM	Management	Reappoint Prakash Chandra (DIN: 02839303) as Non-Executive Part Time Chairperson from August 03, 2021 till August 02, 2024 and to fix his remuneration	For	For	Prakash Chandra's reappointment is in line with statutory requirements. The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business.
Sep 21, 2021	RBL BANK LTD.	AGM	Management	Reappoint Ishan Raina (DIN: 00151951) as Independent Director from September 06, 2021 till April 29, 2024	For	For	Ishan Raina's reappointment is in line with statutory requirements.
Sep 21, 2021	RBL BANK LTD.	AGM	Management	Reappoint Vishwvir Ahuja (DIN: 00074994) as MD & CEO for one year from June 30, 2021	For	For	Vishwvir Ahuja's reappointment is in line with statutory requirements. The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business.
Sep 21, 2021	RBL BANK LTD.	AGM	Management	Payment of compensation in the form of fixed remuneration to Non-Executive Directors (other than part-time Chairman) upto ₹ 2.0 mn for five years from FY2022	For	For	The proposed remuneration is in line with statutory requirements.
Sep 21, 2021	RBL BANK LTD.	AGM	Management	Issue Long Term Bonds/Non-Convertible Debentures aggregating up to ₹ 30.0 bn on private placement basis	For	For	The issuance of debt securities on private placement basis will be within the bank's overall borrowing limit.
Sep 21, 2021	RBL BANK LTD.	AGM	Management	Extend the exercise period and vesting schedule of unexercised options to five years under Employees Stock Option Plan 2013	For	For	It is in line with statutory requirements.
Sep 24, 2021	SBI LIFE INSURANCE COMPANY LTD.	AGM	Management	Adoption of financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Sep 24, 2021	SBI LIFE INSURANCE COMPANY LTD.	AGM	Management	Confirm interim dividend of ₹ 2.5 per equity share as final dividend (face value ₹ 10.0) for FY2021	For	For	Dividend payout ratio is 17.2% of the standalone PAT. We support the resolution.
Sep 24, 2021	SBI LIFE INSURANCE COMPANY LTD.	AGM	Management	Approve remuneration aggregating to ₹ 9.3 mn (plus out of pocket expenses) to statutory auditors, to be appointed by the Comptroller and Auditor General of India for FY2022	For	For	The proposed remuneration is reasonable compared to the size and scale of operations.
Sep 24, 2021	SBI LIFE INSURANCE COMPANY LTD.	AGM	Management	Approve revision in remuneration of Mahesh Kumar Sharma (DIN: 08740737), Managing Director and Chief Executive Officer, from May 16, 2021	For	For	The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business.
Sep 24, 2021	SBI LIFE INSURANCE COMPANY LTD.	AGM	Management	Appoint Shobhinder Duggal (DIN: 00039580) as Independent Director for three years from December 28, 2020	For	For	Shobhinder Duggal's appointment is in line with statutory requirements.
Sep 24, 2021	SBI LIFE INSURANCE COMPANY LTD.	AGM	Management	Appoint Dr. Tejendra Mohan Bhasin (DIN: 03091429) as Independent Director for three years from April 12, 2021	For	For	Dr. Tejendra Mohan Bhasin's appointment is in line with statutory requirements.
Sep 24, 2021	SBI LIFE INSURANCE COMPANY LTD.	AGM	Management	Appoint Ms. Usha Sangwan (DIN: 02609263) as Independent Director for three years from August 24, 2021	For	For	Ms. Usha Sangwan's appointment is in line with statutory requirements.
Sep 28, 2021	PVR LTD.	AGM	Management	Adoption of standalone and consolidated financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Sep 28, 2021	PVR LTD.	AGM	Management	Reappoint Sanjeev Kumar (DIN 00208173), as Director, liable to retire by rotation	For	For	Sanjeev Kumar's reappointment is in line with statutory requirements.
Sep 28, 2021	PVR LTD.	AGM	Management	Appoint Gregory Adam Foster (DIN 08926167) as Independent Director for a period of five years from October 21, 2020	For	For	Gregory Adam Foster's appointment is in line with statutory requirements.
Sep 28, 2021	PVR LTD.	AGM	Management	Approve payment of remuneration of ₹ 2.4 mn to Sanjay Vohra, Independent Director, for FY2021	For	Abstain	The proposed remuneration appears reasonable. We believe the stated resolution does not go against the statutory requirements and hence we 'Abstain' from voting.
Sep 28, 2021	PVR LTD.	AGM	Management	Approve payment of remuneration of ₹ 0.8 mn to Gregory Adam Foster, Independent Director, from October 21, 2020 to March 31, 2021	For	For	The proposed remuneration is in line with statutory requirements.
Sep 28, 2021	PVR LTD.	AGM	Management	Approve payment of remuneration of ₹ 1.8mn to Deepa Misra Harris, Independent Director, for FY2021	For	Abstain	The proposed remuneration appears reasonable. We believe the stated resolution does not go against the statutory requirements and hence we 'Abstain' from voting.
Sep 28, 2021	PVR LTD.	AGM	Management	Approve payment of remuneration of ₹ 2.0 mn to Vikram Bakshi, Independent Director, for FY2021	For	For	The proposed remuneration is in line with statutory requirements.

Meeting Date	Investee Company Name	Type of Meeting (AGM/EGM)	Proposal of Management or Shareholder	Description of the proposal	Management Recommendation	Vote For/Against/Abstain	Reason supporting the vote decision
Sep 28, 2021	PVR LTD.	AGM	Management	Approve payment of remuneration of ₹ 1.8 mn to Ms. Pallavi Shardul Shroff, Independent Director, for FY2021	For	For	The proposed remuneration is in line with statutory requirements.
Sep 28, 2021	PVR LTD.	AGM	Management	Approve payment of remuneration of ₹ 64.2 mn to Ajay Bijli, Chairperson and Managing Director, for FY2021	For	Abstain	We note that Ajay Bijli's fixed pay is increasing by 8% in FY2021 over FY2020 levels while his overall remunerations is lower compared to FY2020. We believe the stated resolution does not go against the statutory requirements and hence we 'Abstain' from voting.
Sep 28, 2021	PVR LTD.	AGM	Management	Approve payment of remuneration of ₹ 44.3 mn to Sanjeev Kumar, Joint Managing Director, for FY2021	For	Abstain	We note that Sanjeev Kumar's fixed pay is increasing by 8% in FY2021 over FY2020 levels while his overall remunerations is lower compared to FY2020. We believe the stated resolution does not go against the statutory requirements and hence we 'Abstain' from voting.
Sep 28, 2021	PVR LTD.	AGM	Management	Issuance of non-convertible debentures up to ₹ 5.0 bn on private placement basis	For	For	It is in line with statutory requirements.
Sep 28, 2021	SPANDANA SPOORTY FINANCIAL LTD.	AGM	Management	Adoption of standalone and consolidated financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Sep 28, 2021	SPANDANA SPOORTY FINANCIAL LTD.	AGM	Management	Reappoint Ramachandra Kasargod Kamath (DIN: 01715073) as Non-Executive Non-Independent Director, liable to retire by rotation	For	For	Ramachandra Kasargod Kamath's reappointment is in line with the statutory requirements.
Sep 28, 2021	SPANDANA SPOORTY FINANCIAL LTD.	AGM	Management	Reappoint Karthikeya Dhruv Kaji (DIN: 07641723) as Non-Executive Non-Independent Director, liable to retire by rotation	For	For	Karthikeya Dhruv Kaji's reappointment is in line with the statutory requirements.
Sep 28, 2021	SPANDANA SPOORTY FINANCIAL LTD.	AGM	Management	Approve continuation of Bharat Shah as Independent Director on attainment of 75 years of age on February 18, 2022 till the end of his term on April 12, 2023	For	For	Bharat Shah's reappointment is in line with statutory requirements.
Sep 28, 2021	SPANDANA SPOORTY FINANCIAL LTD.	AGM	Management	Increase borrowing limit to ₹ 120 bn from ₹ 80 bn	For	For	It is in line with statutory requirements.
Sep 28, 2021	SPANDANA SPOORTY FINANCIAL LTD.	AGM	Management	Create charge on assets upto ₹ 150 bn	For	For	It is in line with statutory requirements.
Sep 28, 2021	SPANDANA SPOORTY FINANCIAL LTD.	AGM	Management	Issue Non-convertible Debentures (NCDs) upto ₹ 40 bn on private placement basis	For	For	It is in line with statutory requirements.
Sep 28, 2021	SPANDANA SPOORTY FINANCIAL LTD.	AGM	Management	Approve Spandana Employee Stock Option Plan 2021 – Series A and Spandana Employee Stock Option Scheme 2021 – Series A (collectively ESOP 2021 – Series A) under which upto 2.6 mn stock options will be granted	For	Abstain	The cost of stock options gets amortized in the Profit & loss statement of the company over the vesting period. Further, we believe the stated resolution does not go against the statutory requirements and hence we 'Abstain' from voting.
Sep 28, 2021	SPANDANA SPOORTY FINANCIAL LTD.	AGM	Management	Approve grant of stock options to the employees of subsidiary companies under ESOP 2021 – Series A	For	Abstain	The cost of stock options gets amortized in the Profit & loss statement of the company over the vesting period. Further, we believe the stated resolution does not go against the statutory requirements and hence we 'Abstain' from voting.
Sep 28, 2021	SPANDANA SPOORTY FINANCIAL LTD.	AGM	Management	Approve grant of stock options under ESOP 2021 – Series A equal to or exceeding 1% of issued capital to specified employees	For	Abstain	The cost of stock options gets amortized in the Profit & loss statement of the company over the vesting period. Further, we believe the stated resolution does not go against the statutory requirements and hence we 'Abstain' from voting.
Sep 29, 2021	HDFC LIFE INSURANCE COMPANY LTD.	EGM	Management	Issue 87,022,222 shares at ₹ 685 per share to Exide Industries Limited as part consideration for the purchase of Exide Life Insurance Company Limited	For	For	Post the acquisition, Exide will hold approx 4.1% stake in HDFC Life. The valuation is in line with peers and the dilution at 4.1% is low. We support the resolution.
Sep 29, 2021	MPHASIS LTD.	AGM	Management	Adoption of standalone and consolidated financial statements for the year ended March 31, 2021	For	Abstain	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
Sep 29, 2021	MPHASIS LTD.	AGM	Management	Approve final dividend of ₹ 65.0 per equity share of face value of ₹ 10 each	For	For	Dividend payout ratio is 109.5%. We support the resolution.
Sep 29, 2021	MPHASIS LTD.	AGM	Management	Reappoint Amit Dixit (DIN: 01798942) as Non-Executive Non-Independent Director, liable to retire by rotation	For	For	Amit Dixit's reappointment is in line with statutory requirements.
Sep 29, 2021	MPHASIS LTD.	AGM	Management	Reappoint Marshall Jan Lux (DIN: 08178748) as Non-Executive Non-Independent Director, liable to retire by rotation	For	For	Marshall Jan Lux's reappointment is in line with statutory requirements.
Sep 29, 2021	MPHASIS LTD.	AGM	Management	Reappoint Nitin Rakesh (DIN: 00042261) CEO and Managing Director for five years from October 01, 2021	For	For	One of the proxy advisor is against the resolution due to non-disclosure of remuneration threshold. We note that Mr. Nitin Rakesh is a professional CEO. Under his leadership the company has performed extremely well and his continuity is important for the growth outlook of the company. Also, presence of Blackstone as investor ensures reasonable governance. Hence, we are in favour of the resolution.
Sep 29, 2021	MPHASIS LTD.	AGM	Management	Approve Mphasis Restricted Stock Unit Plan 2021 (RSU Plan 2021)	For	Abstain	One of the proxy advisor is against due to no clarity on the performance metrics for vesting or options granted at significant discount to market price. We note that the cost of stock options gets amortized in the Profit & loss statement of the company over the vesting period. Further, we believe the stated resolution does not go against the statutory requirements and hence we 'Abstain' from voting.
Sep 29, 2021	MPHASIS LTD.	AGM	Management	Extend Mphasis Restricted Stock Unit Plan 2021 (RSU Plan 2021) to subsidiaries	For	Abstain	One of the proxy advisor is against due to no clarity on the performance metrics for vesting or options granted at significant discount to market price. We note that the cost of stock options gets amortized in the Profit & loss statement of the company over the vesting period. Further, we believe the stated resolution does not go against the statutory requirements and hence we 'Abstain' from voting.

Meeting Date	Investee Company Name	Type of Meeting (AGM/EGM)	Proposal of Management or Shareholder	Description of the proposal	Management Recommendation	Vote For/Against/Abstain	Reason supporting the vote decision
Sep 29, 2021	MPHASIS LTD.	AGM	Management	Approve Exit Return Incentive (ERI) Plan which will reward certain employees based on BCP Topco IX Pte. Ltd. (BCP Topco), achieving a pre-defined return at the time of its exit	For	Abstain	As per the company under the terms of the ERI the payment will not be made by Mphasis, therefore, there will be no cash outflow from the company. As the return threshold will necessarily be subjected to company's performance threshold the management will be suitably incentivized to deliver stronger longer term returns to the shareholders of the company. One of the proxy advisor believes that the schemes will align employees' interest with those of controlling shareholder and not the larger shareholder base. We believe the stated resolution does not go against the statutory requirements and hence we 'Abstain' from voting.
Sep 29, 2021	MPHASIS LTD.	AGM	Management	Approve Investment Plan 2021 offered by BCP Topco IX Pte. Ltd. (BCP Topco) to certain employees and permit employees to participate in the plan	For	Abstain	As per the company under the Investment Plan, the identified employees will be invited to invest in an affiliate of BCP Topco for acquiring an indirect shareholding interest in the Company and it will not be dilutive for public shareholders. Therefore, the management will be suitably incentivized to deliver stronger longer term returns to the shareholders of the company. One of the proxy advisor believes that the schemes will align employees' interest with those of controlling shareholder and not the larger shareholder base. We believe the stated resolution does not go against the statutory requirements and hence we 'Abstain' from voting.
Sep 30, 2021	UNITED SPIRITS LTD.	NCM	Management	Approve merger of Pioneer Distilleries Ltd (PDL), a 75% subsidiary, with United Spirits Ltd (USL)	For	For	At the current market prices, PDL's valuation is higher than industry peers. Notwithstanding, PDL is a 75% subsidiary of USL and has negative net worth due to continuous losses. It needs uninterrupted financial and other support from USL in order to continue its business operations, therefore we support the merger into USL. Further, the size of PDL's operations is insignificant when compared to USL's size (0.6% of USL's total income) and therefore the overall consolidated impact will be limited.

FORM L-45 OFFICES AND OTHER INFORMATION**As at :****30th Sept 21****Name of the Insurer: ICICI Prudential Life Insurance Company Limited****Date:****30th Sept 21**

Sl. No.	Information	Number
1	No. of offices at the beginning of the year	516*
2	No. of branches approved during the year	0
3	No. of branches opened during the year	Out of approvals of previous year
4		Out of approvals of this year
5	No. of branches closed during the year	46
6	No of branches at the end of the year	470
7	No. of branches approved but not opened	0
8	No. of rural branches	5
9	No. of urban branches	465
10	No. of Directors:- (a) Independent Director (b) Executive Director (c) Non-executive Director (d) Women Director (e) Whole time director	No. of Directors:- (a) Independent Director - 5 (Mr. M S Ramachandran, Mr. R K Nair, Mr. Dilip Karnik, Mr. Dileep Choksi, Ms. Vibha Paul Rishi) (b) Executive Director - 1 (Mr. N.S.Kannan) (c) Non-executive Director - 8 (Mr. M S Ramachandran, Mr. R K Nair, Mr. Dilip Karnik, Mr. Dileep Choksi, Ms. Vibha Paul Rishi, Mr. Sandeep Batra, Mr. Anup Bagchi, Mr. Wilfred John Blackburn) (d) Woman Director - 1 (Ms. Vibha Paul Rishi) (e) Whole time director - 1 (Mr. N.S. Kannan)
11	No. of Employees:- (a) On-roll (b) Off-roll** (c) Total	 15,031 54 15,085
12	No. of Insurance Agents and Intermediaries:- (a) Individual Agents (b) Corporate Agents-Banks (c) Corporate Agents-Others (d) Insurance Brokers (e) Web Aggregators (f) Insurance Marketing Firm (g) Micro Agents (h) Point of Sales persons (DIRECT) (i) Other as allowed by IRDAI (To be specified)	 198,050 21 88 325 16 56 1 0 0

Employees and Insurance Agents and Intermediaries -Movement

Particulars	Employees***	Insurance Agents and Intermediaries
Number at the beginning of the quarter	13,879	191,987
Recruitments during the quarter	3,426	7,583
Attrition during the quarter	2,274	1,013
Number at the end of the quarter	15,031	198,557

*Disclaimer: 516 is the count of local offices in India. It does not include 1 Representative office which the Company operates in Dubai.

**Individuals associated directly with the Company on a fixed term contract are considered as Off-roll employees

*** Refers to on-roll employees only