

ICICI PRUDENTIAL LIFE INSURANCE COMPANY LIMITED
Schedules forming part of condensed financial statements

FORM L-13-INVESTMENTS POLICYHOLDERS
INVESTMENTS - POLICYHOLDERS

(₹ '000)

Particulars	As at September 30, 2020													
	Par Life	Par Pension	Non Par Life	Non Par Pension	Non Par Variable Life	Non Par Variable Pension	Annuity Non Par	Health	Linked Life	Linked Pension	Linked Health	Linked Group Life	Linked Group Pension	Total
LONG TERM INVESTMENT														
Government securities and Government guaranteed bonds including Treasury Bills 2,3 (Market value: ₹ 332,041,162 thousands)	105,709,985	6,798,750	160,441,436	-	-	-	35,956,893	524,590	5,329,403	262,160	625,683	318,390	-	315,967,290
Other approved securities (Market value: ₹ 25,686,480 thousands)	11,152,879	433,897	11,179,431	-	42,184	-	1,107,888	40,023	452,786	-	51,394	102,788	-	24,563,270
Other investments(approved investments)														
Equity shares ⁵ (Historical value: ₹ 55,745,602 thousands)	40,664,202	1,237,889	29,890,471	-	-	-	-	-	-	-	-	-	-	71,792,562
Preference shares (Market value: ₹ 44,111 thousands)	42,613	-	1,597	-	-	-	-	-	-	-	-	-	-	44,210
Mutual fund (Historical value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Derivative Instruments (Historical value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Debentures/Bonds (Market value: ₹ 16,130,823 thousands)	3,818,911	478,199	7,574,499	99,863	893,728	554,666	1,403,755	-	-	-	-	-	-	14,823,621
Fixed deposits (Market value: ₹ 2,943,600 thousands)	700,000	-	2,243,600	-	-	-	-	-	-	-	-	-	-	2,943,600
Investments in subsidiary Property (Historical value: ₹ 185,521 thousands)	420,360	420,360	-	-	-	-	-	-	-	-	-	-	-	840,720
Investments in infrastructure/housing sector														
Other investments(approved investments)														
Equity shares ⁵ (Historical value: ₹ 4,823,750 thousands)	2,487,183	64,336	2,408,039	-	-	-	-	-	-	-	-	-	-	4,959,558
Debentures/Bonds (Market value: ₹ 83,505,877 thousands)	30,933,616	3,795,167	29,434,160	390,672	910,590	743,522	12,101,280	-	-	-	-	-	-	78,309,007
Other than approved investments														
Equity shares (Historical value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Debentures/Bonds (Market value: ₹ 211,123 thousands)	199,982	-	-	-	-	-	-	-	-	-	-	-	-	199,982
Other than approved investments														
Equity shares (Historical value: ₹ 4,492,741 thousands)	2,741,043	-	2,948,954	-	-	-	-	-	-	-	-	-	-	5,689,997
Mutual fund (Historical value: ₹ 781,004 thousands)	745,461	-	2,949	-	-	-	-	-	-	-	-	-	-	748,410
Debentures/Bonds (Market value: ₹ 583,853 thousands)	50,492	-	506,298	-	-	-	-	-	-	-	-	-	-	556,790
SHORT TERM INVESTMENT														
Government securities and Government guaranteed bonds including Treasury Bills (Market value: ₹ 2,460,001 thousands)	219,029	-	188,751	-	-	-	-	-	2,046,987	-	-	-	-	2,454,767
Other approved securities (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Other investments(approved investments)														
Equity shares (Historical value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Preference shares (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Mutual fund (Historical value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Derivative Instruments (Historical value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Debentures/Bonds (Market value: ₹ 1,228,757 thousands)	649,696	99,959	298,058	-	-	99,973	50,038	-	-	-	-	-	-	1,197,724
Certificate of deposits (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Commercial papers (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Triparty Repo (Market value: ₹ 12,687,288 thousands)	6,038,101	685,093	3,957,503	-	48,062	84,590	470,908	51,469	645,987	185,580	246,868	45,639	227,490	12,687,290
Fixed deposits (Market value: ₹ 1,633,500 thousands)	616,500	144,500	294,500	-	-	-	356,000	-	173,000	49,000	-	-	-	1,633,500
Investments in subsidiary Property (Historical value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Investments in infrastructure/housing sector														
Other investments(approved investments)														
Debentures/Bonds (Market value: ₹ 2,870,302 thousands)	1,973,762	50,013	440,679	-	-	-	42,495	-	50,002	-	200,024	-	-	2,756,975
Commercial papers (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Certificate of deposits (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Other than approved investments														
Debentures/Bonds (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Venture fund (Market value: ₹ 48,815 thousands)	35,672	-	-	-	-	-	-	-	-	-	-	-	-	35,672
Total	209,199,487	14,208,163	251,810,925	490,535	1,894,564	1,482,751	51,489,257	616,082	8,698,165	496,740	1,123,969	466,817	227,490	542,204,945
In India	209,199,487	14,208,163	251,810,925	490,535	1,894,564	1,482,751	51,489,257	616,082	8,698,165	496,740	1,123,969	466,817	227,490	542,204,945
Total	209,199,487	14,208,163	251,810,925	490,535	1,894,564	1,482,751	51,489,257	616,082	8,698,165	496,740	1,123,969	466,817	227,490	542,204,945

Particulars	As at March 31, 2020													
	Par Life	Par Pension	Non Par Life	Non Par Pension	Non Par Variable Life	Non Par Variable Pension	Annuity Non Par	Health	Linked Life	Linked Pension	Linked Health	Linked Group Life	Linked Group Pension	Total
Triparty Repo (Market value: ₹ 8,338,026 thousands)	2,138,049	480,380	2,465,456	-	71,440	290,824	889,400	49,773	1,243,706	194,081	63,095	130,497	321,325	8,338,026
Fixed deposits (Market value: ₹ 1,633,500 thousands)	616,500	144,500	294,500	-	-	-	356,000	-	173,000	49,000	-	-	-	1,633,500
Investments in subsidiary Property (Historical value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Investments in infrastructure/housing sector														
Other investments(approved investments)														
Debentures/Bonds (Market value: ₹ 5,706,378 thousands)	903,008	88,895	4,440,293	-	-	-	42,443	-	49,920	-	110,158	9,967	-	5,644,684
Commercial papers (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Certificate of deposits (Market value: ₹ 494,944 thousands)	-	-	-	-	-	-	-	-	494,944	-	-	-	-	494,944
Other than approved investments														
Debentures/Bonds (Market value: ₹ 252,780 thousands)	150,293	-	100,182	-	-	-	-	-	-	-	-	-	-	250,475
Venture fund (Market value: ₹ 58,069 thousands)	39,545	-	-	-	-	-	-	-	-	-	-	-	-	39,545
Total	179,482,133	11,374,089	215,749,510	-	1,966,390	1,502,335	45,454,807	395,048	9,606,922	504,316	689,659	456,679	321,325	467,503,213
In India	179,482,133	11,374,089	215,749,510	-	1,966,390	1,502,335	45,454,807	395,048	9,606,922	504,316	689,659	456,679	321,325	467,503,213
Total	179,482,133	11,374,089	215,749,510	-	1,966,390	1,502,335	45,454,807	395,048	9,606,922	504,316	689,659	456,679	321,325	467,503,213

FORM L-13-INVESTMENTS POLICYHOLDERS
Investments - Policyholders

(₹ '000)

Particulars	As at September 30, 2019													
	Par Life	Par Pension	Non Par Life	Non Par Pension	Non Par Variable Life	Non Par Variable Pension	Annuity Non Par	Health	Linked Life	Linked Pension	Linked Health	Linked Group Life	Linked Group Pension	Total
LONG TERM INVESTMENT														
Government securities and Government guaranteed bonds including Treasury Bills^{2,3} (Market value: ₹ 245,363,987 thousands)	86,247,931	5,445,814	112,516,428	-	-	-	29,176,384	153,422	2,282,487	125,572	151,952	-	-	236,099,990
Other approved securities (Market value: ₹ 18,284,409 thousands)	11,045,693	172,806	4,584,552	-	42,391	-	407,266	40,016	1,169,441	51,415	103,198	103,566	-	17,720,344
Other investments(approved investments)														
Equity shares ⁵ (Historical value: ₹ 52,301,628 thousands)	32,314,684	1,245,324	32,736,914	-	-	-	-	-	-	-	-	-	-	66,296,922
Preference shares (Market value: ₹ 64,942 thousands)	62,185	-	2,201	-	-	-	-	-	-	-	-	-	-	64,386
Mutual fund (Historical value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Derivative Instruments (Historical value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Debentures/Bonds (Market value: ₹ 16,338,528 thousands)	4,241,651	1,015,734	7,540,189	-	895,284	504,808	1,460,193	48,143	144,810	28,886	-	-	-	15,879,698
Fixed deposits (Market value: ₹ 3,151,100 thousands)	616,500	144,500	1,812,100	-	-	-	356,000	-	173,000	49,000	-	-	-	3,151,100
CCIL deposit	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Investments in subsidiary	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Property (Historical value: ₹ 185,521 thousands)	416,800	416,800	-	-	-	-	-	-	-	-	-	-	-	833,600
Investments in infrastructure/housing sector														
Other investments(approved investments)														
Equity shares ⁵ (Historical value: ₹ 4,032,108 thousands)	2,821,285	115,485	2,733,506	-	-	-	-	-	-	-	-	-	-	5,670,276
Debentures/Bonds (Market value: ₹ 62,999,156 thousands)	22,438,918	1,240,807	29,410,702	-	707,554	252,939	6,078,999	49,988	401,278	50,698	451,547	-	-	61,083,430
Other than approved investments														
Equity shares ⁵ (Historical value: ₹ 2,184 thousands)	326	-	748	-	-	-	-	-	-	-	-	-	-	1,074
Debentures/Bonds (Market value: ₹ 910,696 thousands)	450,098	-	500,244	-	-	-	-	-	-	-	-	-	-	950,342
Other than approved investments														
Equity shares ⁵ (Historical value: ₹ 5,912,553 thousands)	2,736,365	-	3,113,893	-	-	-	-	-	-	-	-	-	-	5,850,258
Mutual fund (Historical value: ₹ 26,725 thousands)	13,556	-	15,977	-	-	-	-	-	-	-	-	-	-	29,533
Debentures/Bonds (Market value: ₹ 561,770 thousands)	50,690	-	509,180	-	-	-	-	-	-	-	-	-	-	559,870
SHORT TERM INVESTMENT														
Government securities and Government guaranteed bonds including Treasury Bills (Market value: ₹ 165,863 thousands)	-	-	151,268	-	-	-	13,995	-	-	-	-	-	-	165,263
Other approved securities (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Other investments(approved investments)														
Equity shares (Historical value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Preference shares (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Mutual fund (Historical value: ₹ 5,540,098 thousands)	1,548,929	-	2,520,145	-	-	-	-	44,341	372,622	346,870	389,196	115,683	202,313	5,540,099
Derivative Instruments (Historical value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Debentures/Bonds (Market value: ₹ 848,360 thousands)	224,859	229,954	349,925	-	-	-	29,984	-	-	-	-	-	-	834,722
Certificate of deposits (Market value: ₹ 484,531 thousands)	484,531	-	-	-	-	-	-	-	-	-	-	-	-	484,531
Tripartly Repo (Market value: ₹ 7,802,140 thousands)	2,048,880	2,023,966	-	-	150,101	271,010	808,183	-	2,500,000	-	-	-	-	7,802,140
Fixed deposits (Market value: ₹ 9,900 thousands)	9,900	-	-	-	-	-	-	-	-	-	-	-	-	9,900
Investments in subsidiary	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Property (Historical value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Investments in infrastructure/housing sector														
Other investments(approved investments)														
Debentures/Bonds (Market value: ₹ 8,192,815 thousands)	2,042,273	88,895	3,940,085	-	-	-	-	-	1,941,009	24,985	9,933	110,001	-	8,157,181
Commercial papers (Market value: ₹ 1,912,903 thousands)	-	-	967,953	-	-	-	-	-	944,950	-	-	-	-	1,912,903
Certificate of deposits (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Other than approved investments														
Debentures/Bonds (Market value: ₹ 403,594 thousands)	200,309	-	200,234	-	-	-	-	-	-	-	-	-	-	400,543
Venture fund (Market value: ₹ 71,610 thousands)	53,114	-	-	-	-	-	-	-	-	-	-	-	-	53,114
Total	170,069,477	12,140,085	203,606,244	-	1,795,330	1,028,757	38,331,004	335,910	9,929,597	677,426	1,105,826	329,250	202,313	439,551,219
In India	170,069,477	12,140,085	203,606,244	-	1,795,330	1,028,757	38,331,004	335,910	9,929,597	677,426	1,105,826	329,250	202,313	439,551,219
Total	170,069,477	12,140,085	203,606,244	-	1,795,330	1,028,757	38,331,004	335,910	9,929,597	677,426	1,105,826	329,250	202,313	439,551,219

NOTES TO FORM L-13				(₹ '000)
Sr. No.	Particulars	As at September 30, 2020	As at March 31, 2020	As at September 30, 2019
1	Aggregate amount of company's investments:			
	a) Other than equity securities, mutual fund, investments in subsidiary, investment in property and derivative instruments	458,173,697	395,641,801	355,329,460
	b) Market value of above investments	482,075,693	418,574,916	367,566,305
	c) Aggregate amount of company's investments in mutual fund, equity and investments in subsidiary and investment in property (at cost subject to	66,028,618	73,731,518	66,601,541
2	Government Securities deposited with the Clearing Corporation of India Ltd (CCIL) as Settlement Guarantee Fund (SGF) deposit and Tri-Party Repo deposit			
	a) Amortised cost	4,872,736	4,019,257	1,241,892
	b) Market Value of above investment	5,323,783	4,353,318	1,294,374
3	Investment in holding company at amortised cost	Nil	Nil	Nil
4	Investment in subsidiary company at acquisition cost	Nil	Nil	Nil
5	Equity shares includes shares transferred under securities lending and borrowing scheme (SLB) where the Company retains all the associated risk and rewards on these securities	107,421	60,096	253,274
6	Investment made out of catastrophe reserve	Nil	Nil	Nil

Note:

1. Debt Securities are held to maturity and reduction in market values represent market conditions and not a permanent diminution in value of investments, if any.