

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ¹	Net Yield (%) ²
1	Government Securities													
	Central Government Bonds	CGSB	818,223.74	16,959.18	2.07%	2.07%	760,443.89	28,455.31	3.74%	3.74%	523,737.10	18,267.38	3.49%	3.49%
	Special Deposits	CSPD	-	-	-	-	-	-	-	-	-	-	-	-
	Deposit under Section 7 of Insurance Act, 1938	CDSS	1,090.04	11.24	1.03%	1.03%	1,063.52	24.46	2.30%	2.30%	1,040.32	39.80	3.83%	3.83%
	Treasury Bills	CTRB	571.45	10.48	1.83%	1.83%	2,412.33	101.04	4.19%	4.19%	8,846.18	394.33	4.46%	4.46%
			-	-	-	-	-	-	-	-	-	-	-	-
2	Government Securities / Other Approved Securities													
	Central Government Guaranteed Loans / Special / Non-SLR Bonds	CGSL	-	-	-	-	-	-	-	-	-	-	-	-
	State Government Bonds / Development Loans	SGGB	43,613.11	944.20	2.16%	2.16%	47,249.88	2,052.40	4.34%	4.34%	93,568.87	5,178.91	5.53%	5.53%
	State Government Guaranteed Loans	SGGL	-	-	-	-	-	-	-	-	-	-	-	-
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	45,645.78	1,264.72	2.77%	2.77%	45,577.74	2,303.83	5.05%	5.05%	22,287.70	1,061.88	4.76%	4.76%
	Guaranteed Equity	SGGE	-	-	-	-	-	-	-	-	-	-	-	-
			-	-	-	-	-	-	-	-	-	-	-	-
3	Investment Subject to Exposure Norms													
	(a) Housing and Loan to State Govt for Housing													
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HFDN	-	-	-	-	2,250.00	37.52	1.67%	1.67%	-	-	-	-
	Housing - Securitised Assets (Approved Investment)	HMBS	-	-	-	-	-	-	-	-	-	-	-	-
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	63,655.33	1,608.99	2.53%	2.53%	60,844.23	2,989.01	4.91%	4.91%	39,925.21	2,021.11	5.06%	5.06%
	Bonds / Debentures / CPs / Loans - Promoter Group	HDPG	-	-	-	-	-	-	-	-	-	-	-	-
	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	2,431.10	40.98	1.69%	1.69%	2,431.10	40.98	1.69%	1.69%	-	-	-	-
			-	-	-	-	-	-	-	-	-	-	-	-
	(b) Infrastructure Investments													
	Infrastructure - Other Approved Securities	ISAS	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - PSU - Equity shares - Quoted	ITPE	16,453.67	591.70	3.60%	3.60%	14,380.51	673.18	4.68%	4.68%	12,252.02	228.50	1.86%	1.86%
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	5,422.87	168.73	3.11%	3.11%	7,555.53	168.73	2.23%	2.23%	2,801.91	118.84	4.24%	4.24%
	Infrastructure - Debenture / Bonds / CPs / Loans - Promoter Group	IDPG	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Securitised Assets (Approved)	IESA	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - PSU - Debentures / Bonds	IPTD	118,622.47	2,682.09	2.26%	2.26%	120,076.43	5,450.04	4.54%	4.54%	125,239.11	4,905.29	3.92%	3.92%
	Infrastructure - PSU - CPs	IPCP	4,707.57	104.77	2.23%	2.23%	3,877.11	133.37	3.44%	3.44%	4,796.67	117.96	2.46%	2.46%
	Infrastructure - Other Corporate Securities - Debentures / Bonds	ICTD	34,468.41	835.58	2.42%	2.42%	32,174.16	1,557.57	4.84%	4.84%	27,174.13	1,348.32	4.96%	4.96%
	Infrastructure - Other Corporate Securities - CPs	ICCP	-	-	-	-	-	-	-	-	996.90	6.45	0.65%	0.65%
	Infrastructure - Term Loans (with charge)	ILWC	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - PSU - Debentures / Bonds	IPFD	35,692.71	724.05	2.03%	2.03%	35,532.45	1,432.84	4.03%	4.03%	21,088.34	855.60	4.06%	4.06%
	Infrastructure - Other Corporate Securities - Debentures / Bonds	ICFD	-	-	-	-	-	-	-	-	-	-	-	-
			-	-	-	-	-	-	-	-	-	-	-	-
	(c) Approved Investments													
	PSU - Equity shares - Quoted	EAEQ	19,485.16	258.30	1.33%	1.33%	19,388.92	333.90	1.72%	1.72%	17,796.55	32.17	0.18%	0.18%
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	119,814.14	4,309.35	3.60%	3.60%	118,935.00	6,720.26	5.65%	5.65%	104,333.86	316.65	0.30%	0.30%
	Corporate Securities - Bonds - (Taxable)	EPBT	10,306.41	290.31	2.82%	2.82%	12,098.43	454.83	3.76%	3.76%	14,717.12	658.85	4.48%	4.48%
	Corporate Securities - Bonds - (Tax Free)	EPBF	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - Preference Shares	EPNQ	3,546.66	95.63	2.70%	2.70%	2,613.84	96.76	3.70%	3.70%	-	-	-	-
	Corporate Securities - Debentures	ECOS	95,088.35	1,886.50	1.98%	1.98%	96,670.28	4,263.85	4.41%	4.41%	83,826.57	4,067.47	4.85%	4.85%
	Corporate Securities - Debentures / Bonds / CPs / Loans - Promoter Group	EDPG	592.73	12.61	2.13%	2.13%	322.29	13.75	4.27%	4.27%	67.08	6.39	9.53%	9.53%

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ¹	Net Yield (%) ²
	Corporate Securities - Derivative Instruments	ECDI	-	-	-	-	-	-	-	-	-	-	-	
	Investment properties - Immovable	EINP	928.00	66.21	7.14%	7.14%	928.00	132.43	14.27%	14.27%	927.63	132.43	14.28%	
	Loans - Policy Loans	ELPL	1,172.00	32.76	2.80%	2.80%	1,155.50	59.03	5.11%	5.11%	899.02	67.61	7.52%	
	Loans - Secured Loans - Mortgage of Property in India (Term Loan)	ELMI	-	-	-	-	-	-	-	-	-	-	-	
	Loans - Secured Loans - Mortgage of Property outside India (Term Loan)	ELMO	-	-	-	-	-	-	-	-	-	-	-	
	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	82,414.79	2,257.17	2.74%	2.74%	83,365.61	4,490.64	5.39%	5.39%	100,005.84	5,209.37	5.21%	
	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	42,931.19	824.70	1.92%	1.92%	9,423.95	541.76	5.75%	
	Deposits - Repo / Reverse Repo	ECMR	5,590.69	8.87	0.16%	0.16%	5,590.69	8.87	0.16%	0.16%	2,588.78	0.43	0.02%	
	CCIL - CBLO	ECBO	6,826.72	122.95	1.80%	1.80%	4,996.65	194.61	3.89%	3.89%	4,559.00	174.07	3.82%	
	Commercial Papers issued by a Company or All India Financial Institutions	ECCP	4,740.83	111.68	2.36%	2.36%	6,802.81	322.13	4.74%	4.74%	3,912.24	170.67	4.36%	
	Application Money	ECAM	3,816.83	23.92	0.63%	0.63%	3,816.83	23.92	0.63%	0.63%	2,918.60	32.03	1.10%	
	Perpetual Debt Instruments of Tier I and II Capital issued by PSU Banks	EUPD	9,913.55	233.16	2.35%	2.35%	9,914.82	463.78	4.68%	4.68%	10,076.81	470.54	4.67%	
	Perpetual Debt Instruments of Tier I and II Capital issued by Non-PSU Banks	EPPD	2,090.40	52.21	2.50%	2.50%	2,090.43	103.85	4.97%	4.97%	2,090.63	103.85	4.97%	
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	14,221.29	298.30	2.10%	2.10%	13,776.67	602.94	4.38%	4.38%	28,245.76	1,305.68	4.62%	
	Mutual Funds - (under Insurer's Promoter Group)	EMPG	7,916.28	165.72	2.09%	2.09%	7,737.00	347.22	4.49%	4.49%	16,961.69	796.21	4.69%	
	Corporate Securities - Investment in Subsidiaries	ECIS	2,700.00	-	0.00%	0.00%	2,700.00	-	0.00%	0.00%	2,700.00	-	0.00%	
	Net Current Assets (Only in respect of ULIP Business)	ENCA	-	-	-	-	-	-	-	-	-	-	-	
	(d) Other Investments		-	-	-	-	-	-	-	-	-	-	-	
	Bonds - PSU - Taxable	OBPT	504.55	11.09	2.20%	2.20%	504.82	22.05	4.37%	4.37%	-	-	-	
	Bonds - PSU - Tax Free	OBPF	-	-	-	-	-	-	-	-	-	-	-	
	Equity Shares (incl Co-op Societies)	OESH	12,134.48	374.42	3.09%	3.09%	11,767.14	374.42	3.18%	3.18%	11,390.56	435.68	3.82%	
	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	
	Debentures	OLDB	11,135.76	385.63	3.46%	3.46%	11,393.22	721.71	6.33%	6.33%	6,135.75	378.12	6.16%	
	Venture Fund	OVNF	3,403.45	24.88	0.73%	0.73%	3,934.96	(420.82)	(10.69%)	(10.69%)	5,752.65	11.47	0.20%	
	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	
	Term Loans (Without Charge)	OTLW	-	-	-	-	-	-	-	-	-	-	-	
	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	36,564.13	770.55	2.11%	2.11%	39,781.03	1,727.27	4.34%	4.34%	6,919.79	107.02	1.55%	
	Mutual Funds - (under Insurer's Promoter Group)	OMPG	20,334.09	428.82	2.11%	2.11%	22,035.06	982.05	4.46%	4.46%	3,730.11	58.50	1.57%	
	Derivative Instruments	OCDI	-	-	-	-	-	-	-	-	-	-	-	
	Securitized Assets (underlying assets Housing Loan / Infrastructure assets)	OPSA	1,266.31	-	0.00%	0.00%	1,266.66	-	-	-	1,271.29	-	0.00%	
	Equity Shares (PSUs and Unlisted)	OEPU	-	-	-	-	-	-	-	-	2,001.33	65.00	3.25%	
	Investment properties - Immovable	OIPI	-	-	-	-	-	-	-	-	-	-	-	
	Total		1,667,105.86	38,167.77	2.29%	2.29%	1,662,386.75	68,284.45	4.11%	4.11%	1,327,007.06	49,686.35	3.74%	

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed to the best of my knowledge and belief.

Date: October 31, 2014

Signature
Full name: Manish Kumar
Designation: EVP & CIO

Note: Category of investment (COI) shall be as per Guidelines, as amended from time to time

1 Based on daily simple average of Investments

2 Yield netted for Tax

3 In the previous year column, the figures of the corresponding Year to date of previous financial year shall be shown

4 FORM-1 shall be prepared in respect of each fund, In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.

FORM - 1

(Read with Regulation 10)

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Statement as on: September 30, 2014

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Name of the Fund: Pension Fund

₹ In Lakhs

100.00

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ¹	Net Yield (%) ²
1	Government Securities													
	Central Government Bonds	CGSB	169,124.00	3,356.49	1.98%	1.98%	163,441.74	6,445.27	3.94%	3.94%	125,630.52	4,510.34	3.59%	3.59%
	Special Deposits	CSPD	-	-	-	-	-	-	-	-	-	-	-	-
	Deposit under Section 7 of Insurance Act, 1938	CDSS	-	-	-	-	-	-	-	-	-	-	-	-
	Treasury Bills	CTRB	-	-	-	-	-	-	-	-	3,208.62	54.95	1.71%	1.71%
2	Government Securities / Other Approved Securities													
	Central Government Guaranteed Loans / Special / Non-SLR Bonds	CGSL	-	-	-	-	-	-	-	-	-	-	-	-
	State Government Bonds / Development Loans	SGGB	30,242.00	662.63	2.19%	2.19%	30,245.17	1,325.32	4.38%	4.38%	40,569.60	2,345.47	5.78%	5.78%
	State Government Guaranteed Loans	SGGL	-	-	-	-	-	-	-	-	-	-	-	-
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	11,028.00	315.84	2.86%	2.86%	10,345.28	542.30	5.24%	5.24%	6,581.16	272.75	4.14%	4.14%
	Guaranteed Equity	SGGE	-	-	-	-	-	-	-	-	-	-	-	-
3	Investment Subject to Exposure Norms													
	(a) Housing and Loan to State Govt. for Housing													
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HFDN	-	-	-	-	-	-	-	-	-	-	-	-
	Housing - Securitised Assets (Approved Investment)	HMBS	-	-	-	-	-	-	-	-	-	-	-	-
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	14,879.00	314.63	2.11%	2.11%	17,056.95	700.89	4.11%	4.11%	21,428.19	1,027.42	4.79%	4.79%
	Bonds / Debentures / CPs / Loans - Promoter Group	HDPG	-	-	-	-	-	-	-	-	-	-	-	-
	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	4,979.00	43.95	0.88%	0.88%	4,921.52	158.21	3.21%	3.21%	-	-	-	-
	(b) Infrastructure Investments													
	Infrastructure - Other Approved Securities	ISAS	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - PSU - Equity shares - Quoted	ITPE	389.00	5.01	1.29%	1.29%	543.46	63.20	11.63%	11.63%	861.38	11.31	1.31%	1.31%
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	136.00	2.48	1.82%	1.82%	135.78	2.48	1.83%	1.83%	145.71	2.66	1.83%	1.83%
	Infrastructure - Debenture / Bonds / CPs / Loans - Promoter Group	IDPG	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Securitised Assets (Approved)	IESA	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - PSU - Debentures / Bonds	IPTD	38,975.00	889.01	2.28%	2.28%	38,948.55	1,781.26	4.57%	4.57%	35,052.93	1,581.32	4.51%	4.51%
	Infrastructure - PSU - CPs	IPCP	3,879.00	85.62	2.21%	2.21%	4,254.29	192.55	4.53%	4.53%	2,499.18	2.45	0.10%	0.10%
	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	9,345.00	227.26	2.43%	2.43%	8,852.68	428.82	4.84%	4.84%	8,977.09	424.96	4.73%	4.73%
	Infrastructure - Term Loans (with charge)	ILWC	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - PSU - Debentures / Bonds	IPFD	5,000.00	4.99	0.10%	0.10%	5,000.00	4.99	0.10%	0.10%	-	-	-	-
	Infrastructure - Other Corporate Securities - Debentures / Bonds	ICFD	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Other Corporate Securities - CPs	ICCP	4,182.00	43.08	1.03%	1.03%	4,182.17	43.08	1.03%	1.03%	-	-	-	-
	(c) Approved Investments													
	PSU - Equity shares - Quoted	EAEQ	446.00	0.45	0.10%	0.10%	546.72	235.66	43.11%	43.11%	821.70	10.05	1.22%	1.22%
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	2,728.00	506.66	18.57%	18.57%	2,809.79	688.92	24.52%	24.52%	3,463.66	264.53	7.64%	7.64%
	PSU - Equity shares - Quoted	ETPE	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares (incl. Equity related Instruments) - Promoter Group	EEPG	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - Bonds - (Taxable)	EPBT	500.00	12.41	2.48%	2.48%	1,349.83	52.02	3.85%	3.85%	6,129.64	290.27	4.74%	4.74%
	Corporate Securities - Bonds - (Tax Free)	EPBF	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - Preference Shares	EPNQ	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - Investment in Subsidiaries	ECIS	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - Debentures	ECOS	45,595.00	1,118.14	2.45%	2.45%	45,747.59	2,232.70	4.88%	4.88%	41,012.01	2,032.28	4.96%	4.96%
	Corporate Securities - Debentures / Bonds / CPs / Loans - Promoter Group	EDPG	9,344.00	4.76	0.05%	0.05%	9,343.76	4.76	0.05%	0.05%	-	-	-	-

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	Corporate Securities - Derivative Instruments	ECDI	-	-	-	-	-	-	-	-	-	-	-	
	Investment properties - Immovable	EINP	928.00	66.21	7.14%	7.14%	927.59	132.43	14.28%	14.28%	927.59	132.43	14.28%	
	Loans - Policy Loans	ELPL	-	-	-	-	-	-	-	-	-	-	-	
	Loans - Secured Loans - Mortgage of Property in India (Term Loan)	ELMI	-	-	-	-	-	-	-	0.00	-	-	-	
	Loans - Secured Loans - Mortgage of Property outside India (Term Loan)	ELMO	-	-	-	-	-	-	-	-	-	-	-	
	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	9,485.00	294.31	3.10%	3.10%	9,485.00	578.59	6.10%	6.10%	40,377.32	2,171.83	5.38%	
	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	21,783.76	171.38	0.79%	0.79%	4,994.27	13.37	0.27%	
	Deposits - Repo / Reverse Repo	ECMR	10,041.17	21.85	0.22%	0.22%	10,041.17	21.85	0.22%	0.22%	4,901.97	1.41	0.03%	
	CCIL - CBLO	ECBO	14,219.00	267.55	1.88%	1.88%	13,432.21	524.10	3.90%	3.90%	10,644.47	409.94	3.85%	
	Commercial Papers issued by a Company or All India Financial Institutions	ECCP	10,175.88	264.82	2.60%	2.60%	10,175.88	329.42	3.24%	3.24%	-	-	-	
	Application Money	ECAM	1,527.85	2.60	0.17%	0.17%	1,527.85	2.60	0.17%	0.17%	3,222.22	27.95	0.87%	
	Deposit with Primary Dealers duly recognised by Reserve Bank of India	EDPD	-	-	-	-	-	-	-	-	-	-	-	
	Perpetual Debt Instruments of Tier I and II Capital issued by PSU Banks	EUPD	5,848.00	135.60	2.32%	2.32%	5,849.12	269.73	4.61%	4.61%	5,290.37	244.77	4.63%	
	Perpetual Debt Instruments of Tier I and II Capital issued by Non-PSU Banks	EPPD	1,411.00	35.10	2.49%	2.49%	1,411.37	69.81	4.95%	4.95%	1,411.99	69.82	4.94%	
	Perpetual Non-Cum. P.Shares and Redeemable Cumulative P.Shares of Tier 1 and 2 Capital issued by PSU Banks	EUPS	-	-	-	-	-	-	-	-	-	-	-	
	Total		404,406.89	8,681.46	2.15%	2.15%	422,359.19	17,002.37	4.03%	4.03%	368,151.61	15,902.28	4.32%	

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: October 31, 2014

Signature

Full name: Manish Kumar

Designation: EVP & CIO

Note: Category of investment (COI) shall be as per Guidelines, as amended from time to time

1 Based on daily simple average of Investments

2 Yield netted for Tax

3 In the previous year column, the figures of the corresponding Year to date of previous financial year shall be shown

4 FORM-1 shall be prepared in respect of each fund, In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.

FORM - 1

(Read with Regulation 10)

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Statement as on: September 30, 2014

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Name of the Fund: Linked Fund

₹ In Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ¹	Net Yield (%) ²
1	Government Securities													
	Central Government Bonds	CGSB	901,128.01	23,439.57	2.60%	2.60%	772,171.81	47,355.54	6.13%	6.13%	558,496.48	(10,896.55)	(1.95%)	(1.95%)
	Special Deposits	CSPD	-	-	-	-	-	-	-	-	-	-	-	-
	Deposit under Section 7 of Insurance Act, 1938	CDSS	-	-	-	-	-	-	-	-	-	-	-	-
	Treasury Bills	CTRB	83,267.51	1,771.25	2.13%	2.13%	64,539.06	2,862.60	4.44%	4.44%	44,444.58	1,174.22	2.64%	2.64%
			-	-	-	-	-	-	-	-	-	-	-	-
2	Government Securities / Other Approved Securities													
	Central Government Guaranteed Loans / Special / Non-SLR Bonds	CGSL	-	-	-	-	-	-	-	-	-	-	-	-
	State Government Bonds / Development Loans	SGGB	5,611.86	121.91	2.17%	2.17%	4,948.03	301.42	6.09%	6.09%	56,284.45	(2,332.91)	(4.14%)	(4.14%)
	State Government Guaranteed Loans	SGGL	-	-	-	-	-	-	-	-	-	-	-	-
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	61,866.78	1,330.98	2.15%	2.15%	66,122.78	4,877.88	7.38%	7.38%	10,957.31	571.80	5.22%	5.22%
	Guaranteed Equity	SGGE	-	-	-	-	-	-	-	-	-	-	-	-
			-	-	-	-	-	-	-	-	-	-	-	-
3	Investment Subject to Exposure Norms													
	(a) Housing and Loan to State Govt. for Housing													
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HFDN	-	-	-	-	-	-	-	-	-	-	-	-
	Housing - Securitised Assets (Approved Investment)	HMBS	-	-	-	-	-	-	-	-	-	-	-	-
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	142,825.99	3,649.92	2.56%	2.56%	80,697.74	9,324.93	11.56%	11.56%	181,340.10	4,702.11	2.59%	2.59%
	Bonds / Debentures / CPs / Loans - Promoter Group	HDPG	-	-	-	-	4,995.46	50.59	1.01%	1.01%	7,451.22	298.57	4.01%	4.01%
	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	52,733.31	1,228.89	2.33%	2.33%	47,488.47	2,249.39	4.74%	4.74%	18,354.84	794.95	4.33%	4.33%
			-	-	-	-	-	-	-	-	-	-	-	-
	(b) Infrastructure Investments													
	Infrastructure - Other Approved Securities	ISAS	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - PSU - Equity shares - Quoted	ITPE	131,757.39	(6,661.12)	(5.06%)	(5.06%)	157,596.19	42,837.97	27.18%	27.18%	222,828.24	1,480.58	0.66%	0.66%
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	106,629.28	8,524.07	7.99%	7.99%	103,775.52	35,678.34	34.38%	34.38%	82,944.00	(21,505.91)	(25.93%)	(25.93%)
	Infrastructure - Securitised Assets (Approved)	IESA	-	-	-	-	-	-	-	-	3,493.54	153.27	4.39%	4.39%
	Infrastructure - Debenture / Bonds / CPs / Loans - Promoter Group	IDPG	2,537.34	55.65	2.19%	2.19%	2,541.11	127.94	5.03%	5.03%	-	-	-	-
	Infrastructure - PSU - Debentures / Bonds	IPTD	88,947.17	2,181.41	2.45%	2.45%	47,171.63	5,602.48	11.88%	11.88%	153,827.95	2,481.11	1.61%	1.61%
	Infrastructure - PSU - CPs	IPCP	53,510.50	1,212.31	2.27%	2.27%	62,523.73	2,877.46	4.60%	4.60%	8,172.95	168.67	2.06%	2.06%
	Infrastructure - PSU - Debentures / Bonds	IPFD	6,887.02	377.08	5.48%	5.48%	8,898.40	699.71	7.86%	7.86%	2,334.41	103.09	4.42%	4.42%
	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	55,992.53	1,380.00	2.46%	2.46%	57,924.04	4,331.90	7.48%	7.48%	60,986.77	1,612.47	2.64%	2.64%
	Infrastructure - Other Corporate Securities - CPs	ICCP	44,095.79	1,055.20	2.39%	2.39%	41,745.29	2,038.51	4.88%	4.88%	19,815.87	935.30	4.72%	4.72%
	Infrastructure - Other Corporate Securities - Debentures / Bonds	ICFD	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Term Loans (with Charge)	ILWC	-	-	-	-	-	-	-	-	-	-	-	-
			-	-	-	-	-	-	-	-	-	-	-	-
	(c) Approved Investments													
	PSU - Equity shares - Quoted	EAEQ	324,225.90	(1,384.75)	(0.43%)	(0.43%)	331,800.94	105,799.66	31.89%	31.89%	331,356.31	(39,376.24)	(11.88%)	(11.88%)
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	3,166,180.69	300,981.58	9.51%	9.51%	2,994,119.48	664,352.21	22.19%	22.19%	2,529,755.08	133,345.25	5.27%	5.27%
	Corporate Securities - Bonds - (Taxable)	EPBT	12,246.14	299.38	2.44%	2.44%	8,887.78	1,014.52	11.41%	11.41%	36,661.73	490.55	1.34%	1.34%
	Corporate Securities - Bonds - (Tax Free)	EPBF	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - Preference Shares	EPNQ	7,297.56	462.70	6.34%	6.34%	5,638.04	588.07	10.43%	10.43%	-	-	-	-
	Corporate Securities - Investment in Subsidiaries	ECIS	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - Debentures	ECOS	211,869.89	5,829.36	2.75%	2.75%	170,035.25	13,646.39	8.03%	8.03%	243,498.36	4,347.44	1.79%	1.79%

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ¹	Net Yield (%) ²
	Corporate Securities - Debentures / Bonds / CPs / Lonas - Promoter Group	EDPG	8,958.86	416.42	4.65%	4.65%	7,730.81	708.50	9.16%	9.16%	7,928.48	57.07	0.72%	0.72%
	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	430,572.10	11,440.66	2.66%	2.66%	440,484.69	23,025.70	5.23%	5.23%	785,556.50	21,380.82	2.72%	2.72%
	Deposits - CDs with Scheduled Banks	EDCD	134,287.30	3,161.78	2.35%	2.35%	161,423.64	7,877.83	4.88%	4.88%	164,042.73	7,940.32	4.84%	4.84%
	Deposits - Repo / Reverse Repo	ECMR	11,581.71	34.12	0.29%	0.29%	11,581.71	34.12	0.29%	0.29%	-	-	-	-
	CCIL - CBLO	ECBO	18,197.46	342.52	1.88%	1.88%	14,713.79	580.07	3.94%	3.94%	9,941.71	401.37	4.04%	4.04%
	Commercial Papers issued by a Company or All India Financial Institutions	ECPP	86,514.70	2,036.60	2.35%	2.35%	93,010.00	4,424.12	4.76%	4.76%	30,412.60	1,343.00	4.42%	4.42%
	Application Money	ECAM	1,516.21	6.72	0.44%	0.44%	1,516.21	7.85	0.52%	0.52%	5,726.35	50.18	0.88%	0.88%
	Perpetual Debt Instruments of Tier I and II Capital issued by PSU Banks	EUPD	-	-	-	-	-	-	-	-	-	-	-	-
	Perpetual Debt Instruments of Tier I and II Capital issued by Non-PSU Banks	EPPD	8,273.47	197.60	2.39%	2.39%	8,270.67	485.35	5.87%	5.87%	8,432.52	124.66	1.48%	1.48%
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	41,879.01	888.87	2.12%	2.12%	39,910.99	1,734.25	4.35%	4.35%	38,358.73	1,792.99	4.67%	4.67%
	Mutual Funds - (under Insurer's Promoter Group)	EMPG	23,591.32	504.53	2.14%	2.14%	22,761.03	997.69	4.38%	4.38%	1,078.41	4,711.41	4.71%	4.71%
	Net Current Assets (Only in respect of ULIP Business)	ENCA	135,527.69	-	0.00%	0.00%	135,527.69	-	0.00%	0.00%	96,810.53	-	0.00%	0.00%
	(d) Other Investments		-	-	-	-	-	-	-	-	-	-	-	-
	Bonds - PSU - Taxable	OBPT	-	-	-	-	-	-	-	-	-	-	-	-
	Bonds - PSU - Tax Free	OBPF	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares (incl Co-op Societies)	OESH	266,430.33	47,222.76	17.72%	17.72%	243,184.82	73,284.91	30.14%	30.14%	247,189.26	19,114.45	7.73%	7.73%
	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-
	Debentures	OLDB	1,880.41	50.38	2.68%	2.68%	4,036.19	573.84	14.22%	14.22%	18,642.96	779.37	4.18%	4.18%
	Venture Fund	OVNF	155.30	0.75	0.48%	0.48%	156.34	1.33	0.85%	0.85%	159.44	(2.08)	(1.30)%	(1.30)%
	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
	Term Loans (without Charge)	OTLW	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	52,386.09	1,114.57	2.13%	2.13%	52,629.67	2,282.01	4.34%	4.34%	71,605.85	3,383.09	4.72%	4.72%
	Mutual Funds - (under Insurer's Promoter Group)	OMPG	28,111.84	602.01	2.14%	2.14%	28,761.39	1,255.83	4.37%	4.37%	40,689.90	1,902.09	4.67%	4.67%
	Derivative Instruments	OCDI	-	-	-	-	-	-	-	-	-	-	-	-
	Securitized Assets (underlying assets Housing Loan / Infrastructure assets)	OPSA	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares (PSUs and Unlisted)	OEPU	50.65	(15.03)	(29.67%)	(29.67%)	1,386.53	1,311.93	94.62%	94.62%	28,006.89	412.00	1.47%	1.47%
	Passively Managed Equity ETF (Non Promoter Group)	OETF	826.51	(22.52)	(2.72%)	(2.72%)	826.51	(22.52)	(2.72%)	(2.72%)	-	-	-	-
	Commercial Papers	OACP	16,390.61	40.00	0.24%	0.24%	16,390.61	40.00	0.24%	0.24%	-	-	-	-
	Investment properties - Immovable	OIPI	-	-	-	-	-	-	-	-	-	-	-	-
	Total		6,726,742.21	413,878.13	6.15%	6.15%	6,317,924.04	1,065,220.29	16.86%	16.86%	6,149,405.34	138,305.49	2.25%	2.25%

CERTIFICATION.

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: October 31, 2014

Signature

Full name: Manish Kumar

Designation: EVP & CIO

Note: Category of investment (COI) shall be as per Guidelines, as amended from time to time

1 Based on daily simple average of Investments

2 Yield netted for Tax

3 In the previous year column, the figures of the corresponding Year to date of previous financial year shall be shown

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