

FORM L-34-YIELD ON INVESTMENTS-1

(Read with Regulation 10)

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Statement as on: December 31, 2020

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Name of the Fund: Life Fund

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}
			₹ Lakhs											
1	Government Securities													
	Central Government Bonds	CGSB	3,075,905.29	57,252.82	7.59%	7.59%	2,794,284.23	179,434.24	8.61%	8.61%	2,102,267.82	141,073.05	9.03%	9.03%
	Treasury Bills	CTRB	12,612.82	30.13	3.16%	3.16%	29,130.72	301.59	3.13%	3.13%	18,103.57	265.00	6.38%	6.38%
2	Government Securities / Other Approved Securities													
	State Government Bonds / Development Loans	SGGB	222,978.18	4,142.19	7.58%	7.58%	194,267.29	11,604.08	8.00%	8.00%	187,998.16	11,172.48	7.99%	7.99%
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	37,324.91	750.38	8.22%	8.22%	41,490.57	3,765.54	12.22%	12.22%	44,146.27	2,521.27	7.67%	7.67%
3	Investment Subject to Exposure Norms													
	(a) Housing and Loan to State Govt for Housing													
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	122,460.92	2,480.16	8.28%	8.28%	133,580.20	12,552.40	12.66%	12.66%	248,098.49	17,036.42	9.24%	9.24%
	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	-	-	-	-	-	-	-	-	11,072.47	723.86	8.79%	8.79%
	Long term Bank Bonds Approved Investments-Affordable Housing	HLBH	54,111.76	1,833.35	14.13%	14.13%	55,201.31	4,066.01	9.89%	9.89%	51,442.43	3,378.37	8.83%	8.83%
	Bonds/Debentures issued by HUDCO	HTHD	-	-	-	-	-	-	-	-	2,567.32	120.84	8.07%	8.07%
	(b) Infrastructure Investments													
	Infrastructure - Other Approved Securities	ISAS	-	-	-	-	4,975.03	50.56	5.26%	5.26%	-	-	-	-
	Infrastructure - PSU - Equity shares - Quoted	ITPE	3,715.61	(115.16)	-11.74%	-11.74%	8,796.81	(1,520.80)	-22.27%	-22.27%	13,905.38	705.30	6.81%	6.81%
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	35,352.74	1,613.86	19.38%	19.38%	44,550.03	3,636.46	10.98%	10.98%	31,025.18	736.92	3.17%	3.17%
	Infrastructure - Debenture / Bonds / CPs / Loans - Promoter Group	IDPG	1,249.83	27.01	8.85%	8.85%	1,765.97	115.56	8.78%	8.78%	2,249.38	146.12	8.74%	8.74%
	Infrastructure - PSU - Debentures / Bonds	IPTD	590,122.66	11,908.18	8.25%	8.25%	535,199.45	35,217.60	8.83%	8.83%	394,053.60	24,961.15	8.52%	8.52%
	Infrastructure - PSU - CPs	IPCP	-	-	-	-	-	-	-	-	13,584.03	721.67	7.91%	7.91%
	Infrastructure - Other Corporate Securities - Debentures / Bonds	ICTD	29,921.80	644.14	8.82%	8.82%	29,700.34	1,937.64	8.75%	8.75%	48,211.38	3,478.49	9.72%	9.72%
	Infrastructure - PSU - Debentures / Bonds	IPFD	21,300.50	430.91	8.27%	8.27%	21,300.53	1,298.44	8.17%	8.17%	21,310.83	1,299.27	8.20%	8.20%
	Infrastructure - Long term Bank Bonds Approved Investments	ILBI	-	-	-	-	-	-	-	-	7,498.48	57.64	8.34%	8.34%
	Infrastructure - Debentures/Bonds/CPs/Loans	IODS	1,999.31	45.29	9.29%	9.29%	1,999.92	137.36	9.22%	9.22%	1,999.87	137.35	9.24%	9.24%
	Infrastructure - Equity (including unlisted)	IOEQ	-	-	-	-	-	-	-	-	31.48	(17.58)	-68.08%	-68.08%
	Infrastructure - Reclassified Approved Investments - Debt	IORD	-	-	-	-	-	-	-	-	7,500.85	395.09	8.14%	8.14%
	(c) Approved Investments													
	PSU - Equity shares - Quoted	EAEQ	-	-	-	-	10,028.16	(4,866.86)	-76.38%	-76.38%	33,102.20	(996.56)	-3.99%	-3.99%
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	589,080.75	39,170.75	29.10%	29.10%	632,250.10	70,645.10	15.10%	15.10%	523,042.75	15,994.91	4.09%	4.09%
	Corporate Securities - Preference Shares	EPNQ	1,803.02	50.20	11.51%	11.51%	1,754.22	145.98	11.19%	11.19%	2,575.33	170.61	8.91%	8.91%
	Corporate Securities - Debentures	ECOS	142,428.55	3,108.65	8.94%	8.94%	161,687.48	13,656.98	11.36%	11.36%	176,778.08	12,270.60	9.34%	9.34%
	Investment properties - Immovable	EINP	37,437.74	360.13	3.87%	3.87%	37,437.74	2,167.02	7.75%	7.75%	37,437.74	792.92	2.83%	2.83%
	Loans - Policy Loans	ELPL	55,710.56	1,056.44	7.74%	7.74%	51,122.83	2,998.73	7.86%	7.86%	33,154.54	2,192.03	8.93%	8.93%
	Deposits - Deposit with Scheduled Banks, Fls (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	71,268.77	2,077.25	12.07%	12.07%	76,980.95	6,380.20	11.15%	11.15%	55,204.15	4,950.03	12.11%	12.11%
	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	9,938.02	125.15	5.45%	5.45%	4,802.47	264.34	7.39%	7.39%
	Deposits - Repo / Reverse Repo	ECMR	264,955.85	2,008.18	3.04%	3.04%	246,455.11	5,577.87	3.02%	3.02%	128,488.52	3,413.31	5.04%	5.04%
	Commercial Papers issued by a Company or All India Financial Institutions	ECCP	-	-	-	-	-	-	-	-	9,950.77	100.25	7.46%	7.46%
	Perpetual Debt Instruments of Tier I and II Capital issued by PSU Banks	EUPD	2,481.65	54.40	8.98%	8.98%	2,481.42	159.31	8.61%	8.61%	4,423.39	313.66	9.55%	9.55%
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	-	-	-	-	-	-	-	-	87,598.50	3,307.14	6.77%	6.77%
	Mutual Funds - (under Insurer's Promoter Group)	EMPG	-	-	-	-	-	-	-	-	35,633.94	1,339.76	6.74%	6.74%
	Corporate Securities - Investment in Subsidiaries	ECIS	3,900.00	-	-	-	3,900.00	-	-	-	3,900.00	-	-	-
	Debt Capital Instruments (DCI-Basel III)	EDCI	10,004.18	378.71	16.26%	16.26%	10,004.38	401.91	14.82%	14.82%	-	-	-	-

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}
	(d) Other Investments													
	Bonds - PSU - Taxable	OBPT	1,967.70	52.26	10.96%	10.96%	3,428.32	248.08	9.72%	9.72%	5,832.93	406.94	9.39%	9.39%
	Equity Shares (incl Co-op Societies)	OESH	139,933.87	-	-	-	127,264.30	(3,550.34)	-3.69%	-3.69%	88,825.19	(9,483.52)	-13.95%	-13.95%
	Passively Managed Equity ETF (Promoter Group)	OETP	-	-	-	-	-	-	-	-	322.01	48.63	27.34%	27.34%
	Passively Managed Equity ETF (Non Promoter Group)	OETF	7,784.24	21.79	1.12%	1.12%	13,884.83	1,296.43	13.41%	13.41%	5,098.65	(680.01)	-17.35%	-17.35%
	Debentures	OLDB	8,614.30	234.09	11.22%	11.22%	8,616.19	695.48	10.85%	10.85%	7,979.50	643.49	10.87%	10.87%
	Securitized Assets (underlying assets Housing Loan / Infrastructure assets)	OPSA	-	-	-	-	-	13.29	-	-	-	150.31	-	-
	Equity Shares (PSUs and Unlisted)	OEPU	-	-	-	-	921.31	(746.36)	-100.00%	-100.00%	1,974.54	(87.27)	-5.84%	-5.84%
	Reclassified approved investments - Debt	ORAD	6,099.07	129.25	8.68%	8.68%	7,771.13	513.85	8.87%	8.87%	7,358.22	316.64	9.05%	9.05%
	SEBI approved Alternate Investment Fund (Category II)	OAFB	318.51	13.84	18.38%	18.38%	367.74	21.44	7.81%	7.81%	533.52	34.33	8.65%	8.65%
	Preference Shares	OPSH	3,500.00	-	-	-	3,500.00	196.11	7.50%	7.50%	3,500.00	-	-	-
	Reclassified approved investments - Equity	ORAE	-	-	-	-	8,329.49	(11,164.08)	-	-	30,547.51	6,364.97	28.65%	28.65%
	Total		5,556,345.09	129,759.20			5,314,366.12	337,511.96			4,495,131.40	250,740.23		

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed to the best of my knowledge and belief.

Date: January 29, 2021

Signature

Full name: Satyan Jambunathan

Designation: Chief Financial Officer

Note: Category of investment (COI) shall be as per Guidelines, as amended from time to time

1 Based on daily simple average of Investments at book value

2 Yield netted for Tax

3 In the previous year column, the figures of the corresponding Year to date of previous financial year have been shown

4 FORM-1 is prepared in respect of each fund. In case of ULIP FORM 1 is prepared at Segregated Fund (SFIN) level and also at consolidated level.

5 YTD Income on investment is reconciled with figures in P&L and Revenue account

6 Signifies annualised yield

FORM L-34-YIELD ON INVESTMENTS-1

(Read with Regulation 10)

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Statement as on: December 31, 2020

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Name of the Fund: Pension Fund

₹ Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}
1	Government Securities													
	Central Government Bonds	CGSB	431,662.77	7,470.84	7.04%	7.04%	400735.25	27020.79	9.05%	9.05%	336,879.09	29,947.07	12.00%	12.00%
2	Government Securities / Other Approved Securities													
	State Government Bonds / Development Loans	SGGB	14,694.43	269.44	7.47%	7.47%	12,828.78	718.02	7.50%	7.50%	6,126.53	378.84	8.31%	8.31%
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	3,158.07	57.52	7.42%	7.42%	2,424.48	143.43	7.93%	7.93%	1,254.38	112.50	12.11%	12.11%
3	Investment Subject to Exposure Norms													
	(a) Housing and Loan to State Govt. for Housing													
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	20,283.87	372.89	7.49%	7.49%	15,774.58	1,130.44	9.62%	9.62%	12,627.52	895.58	9.55%	9.55%
	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	-	-	-	-	-	-	-	-	4,056.20	35.47	8.29%	8.29%
	Long term Bank Bonds Approved Investments - Affordable Housing	HLBH	5,068.79	97.14	7.82%	7.82%	7,014.88	1,149.21	22.31%	22.31%	7,213.41	436.40	8.13%	8.13%
	Bonds/Debentures issued by HUDCO	HTHD	-	-	-	-	-	-	-	-	2,513.00	268.75	20.89%	20.89%
	(b) Infrastructure Investments													
	Infrastructure - PSU - Equity shares - Quoted	ITPE	-	-	-	-	229.31	(24.19)	-33.43%	-33.43%	226.14	3.22	1.90%	1.90%
	Infrastructure - Corporate Securities - Equity shares- Quoted	ITCE	453.03	74.20	82.54%	82.54%	556.66	134.87	33.37%	33.37%	399.13	152.93	53.99%	53.99%
	Infrastructure - PSU - Debentures / Bonds	IPTD	169,867.39	3,261.76	7.84%	7.84%	148,184.72	9,159.73	8.29%	8.29%	58,558.80	3,688.79	8.47%	8.47%
	Infrastructure - PSU - CPs	IPCP	-	-	-	-	-	-	-	-	738.22	5.81	7.63%	7.63%
	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	2,583.80	64.99	10.36%	10.36%	2,584.76	194.26	10.10%	10.10%	3,607.72	251.61	9.39%	9.39%
	Infrastructure - Long term Bank Bonds Approved	ILBI	-	-	-	-	-	-	-	-	1,013.84	7.55	8.07%	8.07%
	Infrastructure - Reclassified Approved Investments -	IORD	-	-	-	-	-	-	-	-	1,013.75	(60.14)	-50.32%	-50.32%
	(c) Approved Investments													
	PSU - Equity shares - Quoted	EAEQ	-	-	-	-	242.07	(59.68)	-67.07%	-67.07%	530.65	(9.91)	-2.48%	-2.48%
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	7,914.54	542.22	30.07%	30.07%	8,532.41	1,763.71	28.32%	28.32%	7,013.65	410.44	7.86%	7.86%
	Corporate Securities - Debentures	ECOS	38,570.80	1,639.01	17.95%	17.95%	37,888.11	3,318.24	11.79%	11.79%	41,928.92	3,156.10	10.14%	10.14%
	Investment properties - Immovable	EINP	927.59	40.58	18.52%	18.52%	927.59	121.75	17.79%	17.79%	927.59	96.88	14.13%	14.13%
	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	5,005.00	304.35	26.39%	26.39%	5,005.00	888.38	24.22%	24.22%	5,005.00	807.25	22.02%	22.02%
	Deposits - Repo / Reverse Repo	ECMR	20,799.53	158.36	3.05%	3.05%	19,360.40	435.04	2.99%	2.99%	23,071.57	916.17	5.32%	5.32%
	Commercial Papers issued by a Company or All India Financial Institutions	ECCP	-	-	-	-	1,791.48	17.24	4.77%	4.77%	-	-	-	-
	Perpetual Debt Instruments of Tier I and II Capital issued by PSU Banks	EUPD	-	-	-	-	-	-	-	-	599.80	41.00	9.20%	9.20%
	(d) Other Investments													
	Total		720,989.59	14,353.31			664,080.48	46,111.25			515,304.90	41,542.32		

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed to the best of my knowledge and belief.

Date: January 29, 2021

Signature

Full name: Satyan Jambunathan

Designation: Chief Financial Officer

Note: Category of investment (COI) shall be as per Guidelines, as amended from time to time

1 Based on daily simple average of Investments at book value

2 Yield netted for Tax

- 3 In the previous year column, the figures of the corresponding Year to date of previous financial year have been shown
- 4 FORM-1 is prepared in respect of each fund. In case of ULIP FORM 1 is prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 5 YTD Income on investment is reconciled with figures in P&L and Revenue account
- 6 Signifies annualised yield

FORM L-34-YIELD ON INVESTMENTS-1

(Read with Regulation 10)

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Statement as on: December 31, 2020

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Name of the Fund: Linked Fund

₹ Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³				
			Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}	
1	Government Securities														
	Central Government Bonds	CGSB	1,962,804.26	52,713.59	11.09%	11.09%	1,545,238.30	110,011.23	9.56%	9.56%	968,933.66	81,797.50	11.39%	11.39%	
	Treasury Bills	CTRB	321,926.15	3,146.10	3.93%	3.93%	482,925.89	17,815.31	4.93%	4.93%	574,754.21	26,083.96	6.08%	6.08%	
2	Government Securities / Other Approved Securities														
	State Government Bonds / Development Loans	SGGB	275,491.32	8,053.48	12.11%	12.11%	192,056.41	10,065.16	7.01%	7.01%	26,636.31	2,075.35	10.50%	10.50%	
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	61,552.15	1,128.39	7.47%	7.47%	29,563.96	2,348.41	10.68%	10.68%	14,938.83	1,426.61	12.91%	12.91%	
3	Investment Subject to Exposure Norms														
	(a) Housing and Loan to State Govt. for Housing														
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	279,992.15	6,907.40	10.15%	10.15%	329,966.67	20,904.05	8.49%	8.49%	534,083.97	40,402.99	10.19%	10.19%	
	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	93,648.14	1,331.30	5.76%	5.76%	82,886.01	3,623.49	5.84%	5.84%	92,820.38	5,521.79	7.99%	7.99%	
	Long term Bank Bonds Approved Investments- Affordable Housing	HLBH	67,130.73	2,399.78	14.95%	14.95%	81,603.59	9,863.66	16.35%	16.35%	45,560.70	4,552.59	13.51%	13.51%	
	Bonds/Debentures issued by HUDCO	HTHD	-	-	-	-	-	-	-	-	11,812.67	671.14	9.72%	9.72%	
	(b) Infrastructure Investments														
	Infrastructure - Other Approved Securities	ISAS	98,282.99	1,239.93	5.10%	5.10%	109,074.44	4,608.71	5.65%	5.65%	103,144.26	5,879.70	7.66%	7.66%	
	Infrastructure - PSU - Equity shares - Quoted	ITPE	55,846.82	9,217.41	83.32%	83.32%	52,018.85	10,067.88	26.47%	26.47%	80,722.49	(4,259.31)	-6.96%	-6.96%	
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	500,964.65	136,043.68	159.39%	159.39%	509,238.26	161,228.92	44.06%	44.06%	522,612.54	4,155.63	1.06%	1.06%	
	Infrastructure - Debenture / Bonds / CPs / Loans - Promoter Group	IDPG	1,266.24	15.30	4.88%	4.88%	2,568.68	136.14	7.09%	7.09%	4,301.54	308.85	9.67%	9.67%	
	Infrastructure - PSU - Debentures / Bonds	IPTD	434,783.58	14,316.29	13.72%	13.72%	431,912.54	39,561.33	12.34%	12.34%	412,167.65	38,047.70	12.47%	12.47%	
	Infrastructure - PSU - CPs	IPCP	33,967.12	340.19	4.03%	4.03%	26,556.06	607.88	4.22%	4.22%	29,762.46	1,654.54	7.47%	7.47%	
	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	92,520.65	2,704.05	12.11%	12.11%	112,433.31	11,238.63	13.48%	13.48%	110,060.22	7,960.28	9.74%	9.74%	
	Infrastructure - Other Corporate Securities - CPs	ICCP	47,736.08	784.05	6.68%	6.68%	58,485.50	2,645.61	6.05%	6.05%	29,096.01	1,309.87	7.26%	7.26%	
	Infrastructure - Long term bank Bonds Approved	ILBI	-	-	-	-	-	-	-	-	6,103.84	(22.52)	-3.79%	-3.79%	
	Infrastructure - Equity (including unlisted)	IOEQ	1,123.77	144.21	61.45%	61.45%	6,101.35	19,358.00	565.99%	565.99%	15,686.79	(32,934.41)	-	-	
	Infrastructure - Reclassified Approved Investments - Debt	IORD	-	-	-	-	-	-	-	-	6,963.78	405.90	9.02%	9.02%	
	Infrastructure - Reclassified Approved Investments - Equity	IORE	-	-	-	-	903.98	341.08	171.48%	171.48%	3,389.62	112.72	13.90%	13.90%	
	(c) Approved Investments														
	PSU - Equity shares - Quoted	EAEQ	65,325.60	13,555.06	111.29%	111.29%	75,238.97	20,898.65	38.45%	38.45%	174,575.36	(18,246.88)	-13.66%	-13.66%	
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	5,591,084.27	1,102,613.16	104.24%	104.24%	4,983,490.99	2,632,724.06	75.59%	75.59%	4,797,465.51	145,794.12	4.06%	4.06%	
	Corporate Securities - Preference Shares	EPNQ	2,788.96	258.32	42.11%	42.11%	2,857.83	830.56	40.30%	40.30%	4,917.59	(46.32)	-1.25%	-1.25%	
	Corporate Securities - Debentures	ECOS	483,512.17	13,762.00	11.78%	11.78%	524,092.79	43,842.24	11.25%	11.25%	490,565.80	40,369.91	11.10%	11.10%	
	Corporate Securities - Debentures / Bonds / CPs / Lonas - Promoter Group	EDPG	6,413.02	179.47	11.57%	11.57%	6,397.17	514.84	10.82%	10.82%	6,237.67	523.69	11.33%	11.33%	
	Deposits - Deposit with Scheduled Banks, Fls (incl. Bank Balance awaiting Investment), CCL, RBI	ECDB	21,834.38	530.86	10.00%	10.00%	22,606.05	1,647.11	9.78%	9.78%	6,712.97	803.96	16.25%	16.25%	
	Deposits - CDs with Scheduled Banks	ECDD	62,124.50	898.66	5.86%	5.86%	64,063.42	2,768.34	5.78%	5.78%	88,950.58	5,033.47	7.60%	7.60%	
	Deposits - Repo / Reverse Repo	ECMR	417,311.84	3,175.36	3.05%	3.05%	444,250.53	10,021.76	3.01%	3.01%	347,044.87	13,815.61	5.33%	5.33%	
	Commercial Papers issued by a Company or All India Financial Institutions	ECCP	164,178.50	2,218.77	5.47%	5.47%	188,861.07	8,206.18	5.81%	5.81%	238,262.89	13,147.85	7.41%	7.41%	
	Application Money	ECAM	4,250.00	-	-	-	16,991.76	-	-	-	16,055.51	-	-	-	
	Perpetual Debt Instruments of Tier I and II Capital issued by PSU Banks	EUPD	-	-	-	-	-	-	-	-	19,014.94	644.59	10.52%	10.52%	
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	-	-	-	-	-	-	-	-	117,663.93	4,479.03	6.83%	6.83%	
	Mutual Funds - (under Insurer's Promoter Group)	EMPG	-	-	-	-	-	-	-	-	51,707.18	1,988.39	6.90%	6.90%	
	Net Current Assets (Only in respect of ULIP Business)	ENCA	59,932.56	-	-	-	59,932.56	-	-	-	100,906.71	-	-	-	
	Debt Capital Instruments (DCI-Basel III)	EDCI	48,829.57	2,083.76	18.03%	18.03%	51,159.84	2,222.60	15.77%	15.77%	-	-	-	-	
	(d) Other Investments														
	Equity Shares (incl Co-op Societies)	OESH	230,019.19	54,370.86	132.06%	132.06%	189,682.53	115,575.63	88.05%	88.05%	143,744.71	(32,275.57)	-28.71%	-28.71%	

₹ Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}
	Debentures	OLDB	4,922.20	75.00	6.18%	6.18%	4,969.76	307.71	8.30%	8.30%	10,071.76	707.65	9.46%	9.46%
	Equity Shares (PSUs and Unlisted)	OEPU	-	-	-	-	395.22	(15.95)	-54.67%	-54.67%	2,205.07	(928.86)	-51.70%	-51.70%
	Passively Managed Equity ETF (Non Promoter Group)	OETF	658,756.19	233,434.82	233.15%	233.15%	541,583.43	271,219.25	71.41%	71.41%	711,663.54	39,888.23	7.53%	7.53%
	SEBI approved Alternate Investment Fund (Category II)	OAFB	18.94	-	-	-	23.90	(8.23)	-42.89%	-42.89%	24.66	5.84	32.68%	32.68%
	Reclassified Approved Investments - Equity (Point 6 under Note for Regulation 4 to 9)	ORAE	249,299.43	92,196.84	248.50%	248.50%	207,548.96	107,080.01	73.70%	73.70%	314,811.82	(17,851.51)	-7.48%	-7.48%
	Passively Managed Equity ETF (Promoter Group)	OETP	165,743.40	44,885.07	158.78%	158.78%	88,278.62	50,255.03	81.86%	81.86%	17,049.85	3,198.50	56.75%	56.75%
	Reclassified Approved Investments - Debt (Point 6 under Note for Regulation 4 to 9)	ORAD	24,971.13	782.97	13.03%	13.03%	33,780.06	1,847.50	7.32%	7.32%	33,544.87	1,815.87	10.87%	10.87%
	Total		12,590,352.66	1,805,506.11			11,559,739.24	3,694,362.78			11,286,749.70	388,018.46		

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed to the best of my knowledge and belief.

Date: January 29, 2021

Signature

Full name: Satyan Jambunathan

Designation: Chief Financial Officer

Note: Category of investment (COI) shall be as per Guidelines, as amended from time to time

1 Based on daily simple average of Investments at market value

2 Yield netted for Tax

3 In the previous year column, the figures of the corresponding Year to date of previous financial year have been shown

4 FORM-1 is prepared in respect of each fund. In case of ULIP FORM 1 is prepared at Segregated Fund (SFIN) level and also at consolidated level.

5 YTD Income on investment is reconciled with figures in P&L and Revenue account

6 Signifies annualised yield