



**IRDAI PUBLIC DISCLOSURES  
FOR THE SIX MONTHS ENDED SEPTEMBER 30, 2022**

<b>Version No.</b>	<b>Form Upload Date</b>	<b>Particulars of Change</b>
1.0	October 28, 2022	NA

**Name of the Insurer: ICICI Prudential Life Insurance Company Limited**

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## Condensed Standalone Revenue Account for the half year ended September 30, 2022

## Policyholders' Account (Technical Account)

(₹ Lakhs)

Particulars	Schedule Ref. Form No.	Linked Business					Non-Linked Business													Grand Total
							Participating						Non-Participating							
		Life	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total		
<b>Premiums earned – net</b>	L-4	801,350	21,331	2,680	-	<b>825,361</b>	190,714	-	861	-	-	<b>191,575</b>	502,005	130,685	64,202	1,836	365	<b>699,093</b>	<b>1,716,029</b>	
(a) Premium																				
(b) Reinsurance ceded		(1,380)	-	(2,707)	-	<b>(4,087)</b>	(290)	-	-	-	-	<b>(290)</b>	(64,643)	-	-	(457)	-	<b>(65,100)</b>	<b>(69,477)</b>	
(c) Reinsurance accepted		-	-	-	-	-	-	-	-	-	-	-	40	-	-	-	-	<b>40</b>	<b>40</b>	
<b>Income from Investments</b>																				
(a) Interest, Dividends & Rent – Gross		179,756	18,025	1,633	-	<b>199,414</b>	78,423	-	6,046	-	-	<b>84,469</b>	121,317	35,091	2,178	252	1,085	<b>159,923</b>	<b>443,806</b>	
(b) Profit on sale/redemption of investments		418,188	33,138	3,651	-	<b>454,977</b>	18,905	-	3,805	-	-	<b>22,710</b>	20,451	325	-	-	216	<b>20,992</b>	<b>498,679</b>	
(c) (Loss on sale/ redemption of investments)		(129,545)	(13,364)	(2,091)	-	<b>(145,000)</b>	(13,550)	-	(466)	-	-	<b>(14,016)</b>	(1,831)	(1,050)	-	-	(362)	<b>(3,243)</b>	<b>(162,259)</b>	
(d) Transfer/Gain on revaluation/change in fair value*		(355,975)	(34,459)	(2,800)	-	<b>(393,234)</b>	-	-	-	-	-	-	(3,041)	-	-	-	-	<b>(3,041)</b>	<b>(396,275)</b>	
(e) (Amortisation of Premium)/ Discount on investments		23,168	3,051	176	-	<b>26,395</b>	(966)	-	(144)	-	-	<b>(1,110)</b>	4,154	1,454	(8)	(1)	(30)	<b>5,569</b>	<b>30,854</b>	
<b>Other Income</b>																				
(a) Income on unclaimed amount of policyholders		2,407	-	-	-	<b>2,407</b>	-	-	-	-	-	-	-	-	-	-	-	-	<b>2,407</b>	
(b) Fees and charges		2	-	-	-	<b>2</b>	2,573	-	-	-	-	<b>2,573</b>	2,116	9	-	1	-	<b>2,126</b>	<b>4,701</b>	
(c) Miscellaneous income		13	1	-	-	<b>14</b>	3	-	-	-	-	<b>3</b>	9	3	-	-	-	<b>12</b>	<b>29</b>	
<b>Contribution from Shareholders' A/c</b>																				
(a) Towards Excess Expenses of Management		-	-	-	-	-	-	-	-	-	-	-	63,829	11,548	253	-	-	152	<b>75,782</b>	
(b) Towards deficit funding and others		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	<b>-</b>	
<b>Total (A)</b>		<b>937,984</b>	<b>27,723</b>	<b>542</b>	<b>-</b>	<b>966,249</b>	<b>275,812</b>	<b>-</b>	<b>10,102</b>	<b>-</b>	<b>-</b>	<b>285,914</b>	<b>644,406</b>	<b>178,065</b>	<b>66,625</b>	<b>1,631</b>	<b>1,426</b>	<b>892,153</b>	<b>2,144,316</b>	
Commission	L-5	23,304	25	6	-	<b>23,335</b>	13,804	-	3	-	-	<b>13,807</b>	31,859	2,805	10	83	-	<b>34,757</b>	<b>71,899</b>	
Operating Expenses related to Insurance Business	L-6	49,230	636	236	-	<b>50,102</b>	19,141	-	79	-	-	<b>19,220</b>	121,294	5,633	284	645	12	<b>127,868</b>	<b>197,190</b>	
Provision for doubtful debts		49	(1)	1	-	<b>49</b>	37	-	-	-	-	<b>37</b>	55	6	-	3	-	<b>64</b>	<b>150</b>	
Bad debts written off		125	-	-	-	<b>125</b>	43	-	-	-	-	<b>43</b>	93	23	-	1	-	<b>117</b>	<b>285</b>	
Provisions (other than taxation)																				
(a) For diminution in the value of investments (Net)		-	-	-	-	-	-	-	30	-	-	<b>30</b>	-	-	-	-	-	-	<b>30</b>	
(b) For others		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	<b>-</b>	
Goods and Services Tax on ULIP Charges		29,779	1,564	896	-	<b>32,239</b>	-	-	-	-	-	-	-	-	-	-	-	-	<b>32,239</b>	
<b>Total (B)</b>		<b>102,487</b>	<b>2,224</b>	<b>1,139</b>	<b>-</b>	<b>105,850</b>	<b>33,025</b>	<b>-</b>	<b>112</b>	<b>-</b>	<b>-</b>	<b>33,137</b>	<b>153,301</b>	<b>8,467</b>	<b>294</b>	<b>732</b>	<b>12</b>	<b>162,806</b>	<b>301,793</b>	
Benefits Paid (Net)	L-7	1,031,930	63,214	2,364	-	<b>1,097,508</b>	77,215	-	20,504	-	-	<b>97,719</b>	97,040	29,265	188	282	21,467	<b>148,242</b>	<b>1,343,469</b>	
Interim Bonuses Paid		-	-	-	-	-	9,948	-	20	-	-	<b>9,968</b>	-	-	-	-	-	-	<b>9,968</b>	
Change in valuation of liability in respect of life policies																				
(a) Gross**		3,892	2,400	187	-	<b>6,479</b>	137,616	-	(14,795)	-	-	<b>122,821</b>	418,554	140,333	66,143	820	(20,174)	<b>605,676</b>	<b>734,976</b>	
(b) Amount ceded in Reinsurance		-	-	-	-	-	-	-	-	-	-	-	(24,487)	-	-	(215)	-	<b>(24,702)</b>	<b>(24,702)</b>	
(c) Amount accepted in Reinsurance		-	-	-	-	-	-	-	-	-	-	-	(2)	-	-	-	-	<b>(2)</b>	<b>(2)</b>	
(d) Fund Reserve for Linked Policies		(207,471)	(44,702)	(4,116)	-	<b>(256,289)</b>	-	-	-	-	-	-	-	-	-	-	-	-	<b>(256,289)</b>	
(e) Fund for Discontinued Policies		(64,168)	(1,205)	-	-	<b>(65,373)</b>	-	-	-	-	-	-	-	-	-	-	-	-	<b>(65,373)</b>	
<b>Total (C)</b>		<b>764,183</b>	<b>19,707</b>	<b>(1,565)</b>	<b>-</b>	<b>782,325</b>	<b>224,779</b>	<b>-</b>	<b>5,729</b>	<b>-</b>	<b>-</b>	<b>230,508</b>	<b>491,105</b>	<b>169,598</b>	<b>66,331</b>	<b>887</b>	<b>1,293</b>	<b>729,214</b>	<b>1,742,047</b>	
<b>Surplus/(deficit) (D) =(A)-(B)-(C)</b>		<b>71,314</b>	<b>5,792</b>	<b>968</b>	<b>-</b>	<b>78,074</b>	<b>18,008</b>	<b>-</b>	<b>4,261</b>	<b>-</b>	<b>-</b>	<b>22,269</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>12</b>	<b>121</b>	<b>133</b>	<b>100,476</b>	
Provision for taxation																				
(a) Current tax credit/(charge)		-	-	-	-	-	(8,666)	-	-	-	-	<b>(8,666)</b>	-	-	-	-	-	-	<b>(8,666)</b>	
(b) Deferred tax credit/(charge)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	<b>-</b>	
<b>Surplus/(deficit) after tax</b>		<b>71,314</b>	<b>5,792</b>	<b>968</b>	<b>-</b>	<b>78,074</b>	<b>9,342</b>	<b>-</b>	<b>4,261</b>	<b>-</b>	<b>-</b>	<b>13,603</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>12</b>	<b>121</b>	<b>133</b>	<b>91,810</b>	
Amount transferred from Shareholders' Account (Non-technical Account)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	<b>-</b>	
<b>Amount available for appropriation</b>		<b>71,314</b>	<b>5,792</b>	<b>968</b>	<b>-</b>	<b>78,074</b>	<b>9,342</b>	<b>-</b>	<b>4,261</b>	<b>-</b>	<b>-</b>	<b>13,603</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>12</b>	<b>121</b>	<b>133</b>	<b>91,810</b>	
<b>Appropriations</b>																				
Transfer to Shareholders' Account		71,314	5,792	968	-	<b>78,074</b>	-	-	-	-	-	-	-	-	-	12	121	133	<b>78,207</b>	
Transfer to Other Reserves		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	<b>-</b>	
Balance being Funds for Future Appropriations		-	-	-	-	-	9,342	-	4,261	-	-	<b>13,603</b>	-	-	-	-	-	-	<b>13,603</b>	
<b>Total</b>		<b>71,314</b>	<b>5,792</b>	<b>968</b>	<b>-</b>	<b>78,074</b>	<b>9,342</b>	<b>-</b>	<b>4,261</b>	<b>-</b>	<b>-</b>	<b>13,603</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>12</b>	<b>121</b>	<b>133</b>	<b>91,810</b>	
<b>Funds for future appropriation</b>																				
Opening balance as at April 1, 2022		-	-	-	-	-	107,086	-	31,246	-	-	<b>138,332</b>	-	-	-	-	-	-	<b>138,332</b>	
Add: Current period appropriation		-	-	-	-	-	9,342	-	4,261	-	-	<b>13,603</b>	-	-	-	-	-	-	<b>13,603</b>	
<b>Balance carried forward to Balance Sheet</b>		<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>116,428</b>	<b>-</b>	<b>35,507</b>	<b>-</b>	<b>-</b>	<b>151,935</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>151,935</b>	

\* Represents the deemed realised gain as per norms specified by the Authority

\*\* Represents Mathematical Reserves after allocation of bonus

The schedules referred to herein form an integral part of the Condensed Standalone Revenue Account.

Condensed Standalone Revenue Account for the quarter ended September 30, 2021  
Policyholders' Account (Technical Account)

(₹ Lakhs)

Particulars	Schedule Ref. Form No.	Linked Business					Non-Linked Business													Grand Total
							Participating						Non-Participating							
		Life	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total		
<b>Premiums earned – net</b>	L-4	493,473	22,071	1,509	-	517,053	111,155	-	30,703	-	-	141,858	199,536	78,769	6,200	1,026	8,878	294,409	953,320	
(a) Premium		493,473	22,071	1,509	-	517,053	111,155	-	30,703	-	-	141,858	199,536	78,769	6,200	1,026	8,878	294,409	953,320	
(b) Reinsurance ceded		(776)	-	(1,396)	-	(2,172)	(122)	-	-	-	(122)	(22,139)	-	-	-	(234)	-	(22,373)	(24,667)	
(c) Reinsurance accepted		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
<b>Income from Investments</b>																				
(a) Interest, Dividends & Rent – Gross		88,281	9,579	739	-	98,599	36,643	-	2,435	-	-	39,078	48,806	13,069	186	117	678	62,856	200,533	
(b) Profit on sale/redemption of investments		340,307	28,905	1,986	-	371,198	7,874	-	434	-	-	8,308	17,329	-	27	-	388	17,744	397,250	
(c) (Loss on sale/ redemption of investments)		(7,163)	(937)	(50)	-	(8,150)	(549)	-	-	-	(549)	(72)	-	-	-	-	-	(72)	(8,771)	
(d) Transfer/Gain on revaluation/change in fair value*		709,004	40,923	6,389	-	756,316	-	-	-	-	-	(1,059)	-	-	-	-	-	(1,059)	755,257	
(e) (Amortisation of Premium)/ Discount on investments		7,128	690	53	-	7,871	(365)	-	(11)	-	(376)	2,188	663	(11)	-	(21)	-	2,819	10,314	
<b>Other Income</b>																				
(a) Income on unclaimed amount of policyholders		1,035	-	-	-	1,035	-	-	-	-	-	-	-	-	-	-	-	-	1,035	
(b) Fees and charges		1	-	-	-	1	823	-	-	-	823	768	-	-	-	-	-	768	1,592	
(c) Miscellaneous income		7	-	-	-	7	1	-	1	-	2	3	1	-	-	-	-	4	13	
<b>Contribution from Shareholders' A/c</b>																				
(a) Towards Excess Expenses of Management		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(b) Towards deficit funding and others		-	-	-	-	-	-	-	-	-	-	30,270	(3,139)	-	-	(68)	-	27,063	27,063	
<b>Total (A)</b>		<b>1,631,297</b>	<b>101,231</b>	<b>9,230</b>	-	<b>1,741,758</b>	<b>155,460</b>	-	<b>33,562</b>	-	-	<b>189,022</b>	<b>275,630</b>	<b>89,363</b>	<b>6,402</b>	<b>841</b>	<b>9,923</b>	<b>382,159</b>	<b>2,312,939</b>	
Commission	L-5	17,906	19	5	-	17,930	7,714	-	2	-	7,716	15,454	1,348	-	59	-	-	16,861	42,507	
Operating Expenses related to Insurance Business	L-6	22,311	376	95	-	22,782	8,380	-	111	-	8,491	43,393	1,745	19	396	30	-	45,583	76,856	
Provision for doubtful debts		(38)	-	-	-	(38)	-	-	-	-	-	(8)	(1)	-	(1)	-	-	(10)	(48)	
Bad debts written off		100	-	-	-	100	15	-	-	-	15	41	5	-	-	-	-	46	161	
Provisions (other than taxation)																				
(a) For diminution in the value of investments (Net)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(b) For others		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Goods and Services Tax on ULIP Charges		16,174	859	464	-	17,497	-	-	-	-	-	-	-	-	-	-	-	-	17,497	
<b>Total (B)</b>		<b>56,453</b>	<b>1,254</b>	<b>564</b>	-	<b>58,271</b>	<b>16,109</b>	-	<b>113</b>	-	-	<b>16,222</b>	<b>58,880</b>	<b>3,097</b>	<b>19</b>	<b>454</b>	<b>30</b>	<b>62,480</b>	<b>136,973</b>	
Benefits Paid (Net)	L-7	584,382	64,474	1,762	-	650,618	44,106	-	1,189	-	45,295	82,834	11,672	14	58	6,986	-	101,564	797,477	
Interim Bonuses Paid		-	-	-	-	-	4,769	-	40	-	4,809	-	-	-	-	-	-	-	4,809	
Change in valuation of liability in respect of life policies																				
(a) Gross**		415	938	63	-	1,416	85,063	-	30,815	-	115,878	120,039	66,601	6,263	(183)	2,352	-	195,072	312,366	
(b) Amount ceded in Reinsurance		-	-	-	-	-	-	-	-	-	-	13,879	-	-	211	-	-	-	14,090	
(c) Amount accepted in Reinsurance		-	-	-	-	-	-	-	-	-	-	(2)	-	-	-	-	-	(2)	(2)	
(d) Fund Reserve for Linked Policies		985,566	31,758	6,843	-	1,024,167	-	-	-	-	-	-	-	-	-	-	-	-	1,024,167	
(e) Fund for Discontinued Policies		(27,169)	(609)	-	-	(27,778)	-	-	-	-	-	-	-	-	-	-	-	-	(27,778)	
<b>Total (C)</b>		<b>1,543,194</b>	<b>96,561</b>	<b>8,668</b>	-	<b>1,648,423</b>	<b>133,938</b>	-	<b>32,044</b>	-	-	<b>165,982</b>	<b>216,750</b>	<b>78,273</b>	<b>6,277</b>	<b>86</b>	<b>9,338</b>	<b>310,724</b>	<b>2,125,129</b>	
<b>Surplus/(deficit) (D) =(A)-(B)-(C)</b>		<b>31,650</b>	<b>3,416</b>	<b>(2)</b>	-	<b>35,064</b>	<b>5,413</b>	-	<b>1,405</b>	-	-	<b>6,818</b>	-	<b>7,993</b>	<b>106</b>	<b>301</b>	<b>555</b>	<b>8,955</b>	<b>50,837</b>	
Provision for taxation																				
(a) Current tax credit/(charge)		-	-	-	-	-	(2,604)	-	-	-	(2,604)	-	-	-	-	-	-	-	(2,604)	
(b) Deferred tax credit/(charge)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
<b>Surplus/(deficit) after tax</b>		<b>31,650</b>	<b>3,416</b>	<b>(2)</b>	-	<b>35,064</b>	<b>2,809</b>	-	<b>1,405</b>	-	-	<b>4,214</b>	-	<b>7,993</b>	<b>106</b>	<b>301</b>	<b>555</b>	<b>8,955</b>	<b>48,233</b>	
Amount transferred from Shareholders' Account (Non-technical Account)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
<b>Amount available for appropriation</b>		<b>31,650</b>	<b>3,416</b>	<b>(2)</b>	-	<b>35,064</b>	<b>2,809</b>	-	<b>1,405</b>	-	-	<b>4,214</b>	-	<b>7,993</b>	<b>106</b>	<b>301</b>	<b>555</b>	<b>8,955</b>	<b>48,233</b>	
<b>Appropriations</b>																				
Transfer to Shareholders' Account		31,650	3,416	(2)	-	35,064	-	-	-	-	-	-	-	7,993	106	301	555	8,955	44,019	
Transfer to Other Reserves		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Balance being Funds for Future Appropriations		-	-	-	-	-	2,809	-	1,405	-	4,214	-	-	-	-	-	-	-	4,214	
<b>Total</b>		<b>31,650</b>	<b>3,416</b>	<b>(2)</b>	-	<b>35,064</b>	<b>2,809</b>	-	<b>1,405</b>	-	-	<b>4,214</b>	-	<b>7,993</b>	<b>106</b>	<b>301</b>	<b>555</b>	<b>8,955</b>	<b>48,233</b>	
<b>Funds for future appropriation</b>																				
Opening balance as at July 1, 2021		-	-	-	-	-	88,959	-	32,934	-	121,893	-	-	-	-	-	-	-	121,893	
Add: Current period appropriation		-	-	-	-	-	2,809	-	1,405	-	4,214	-	-	-	-	-	-	-	4,214	
<b>Balance carried forward to Balance Sheet</b>		-	-	-	-	-	<b>91,768</b>	-	<b>34,339</b>	-	<b>126,107</b>	-	-	-	-	-	-	-	<b>126,107</b>	

\* Represents the deemed realised gain as per norms specified by the Authority

\*\* Represents Mathematical Reserves after allocation of bonus

The schedules referred to herein form an integral part of the Condensed Standalone Revenue Account.

Condensed Standalone Revenue Account for the half year ended September 30, 2021  
Policyholders' Account (Technical Account)

₹ Lakhs)

Particulars	Schedule Ref. Form No.	Linked Business					Non-Linked Business													Grand Total	
		Life	Pension	Health	Variable Insurance	Total	Participating						Non-Participating								
							Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total			
<b>Premiums earned – net</b>	L-4																				
(a) Premium		882,019	32,526	2,950	-	917,495	185,833	-	30,960	-	-	-	216,793	350,699	134,682	8,200	1,972	10,478	506,031	1,640,319	
(b) Reinsurance ceded		(1,621)	-	(2,247)	-	(3,868)	(241)	-	-	-	-	(241)	(46,913)	-	-	-	(459)	-	(47,372)	(51,481)	
(c) Reinsurance accepted		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
<b>Income from Investments</b>																					
(a) Interest, Dividends & Rent – Gross		181,667	19,760	1,528	-	202,955	71,295	-	4,767	-	-	76,062	94,470	25,104	298	233	1,442	121,547	400,564		
(b) Profit on sale/redemption of investments		617,398	52,790	3,318	-	673,506	42,535	-	776	-	-	43,311	30,910	187	54	-	1,013	32,164	748,981		
(c) (Loss on sale/ redemption of investments)		(17,288)	(2,477)	(125)	-	(19,890)	(886)	-	-	-	(886)	(73)	-	-	-	-	-	(73)	(20,849)		
(d) Transfer/Gain on revaluation/change in fair value*		1,061,556	59,373	9,643	-	1,130,572	-	-	-	-	-	(3,221)	-	-	-	-	-	(3,221)	1,127,351		
(e) (Amortisation of Premium)/ Discount on investments		14,338	1,406	93	-	15,837	(890)	-	(27)	-	(917)	3,722	983	(19)	(1)	(42)	4,643	19,563			
<b>Other Income</b>																					
(b) Income on unclaimed amount of policyholders		1,889	-	-	-	1,889	-	-	-	-	-	-	-	-	-	-	-	-	1,889		
(c) Fees and charges		1	-	-	-	1	1,580	-	1	-	1,581	1,448	-	-	1	-	-	1,449	3,031		
(d) Miscellaneous income		92	2	-	-	94	17	-	1	-	18	29	12	-	-	-	1	42	154		
<b>Contribution from Shareholders' A/c</b>																					
(a) Towards Excess Expenses of Management		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-		
(b) Towards deficit funding and others		-	-	-	-	-	-	-	-	-	-	115,822	-	-	-	-	-	115,822	115,822		
<b>Total (A)</b>		<b>2,740,051</b>	<b>163,380</b>	<b>15,160</b>	<b>-</b>	<b>2,918,591</b>	<b>299,243</b>	<b>-</b>	<b>36,478</b>	<b>-</b>	<b>335,721</b>	<b>546,893</b>	<b>160,968</b>	<b>8,533</b>	<b>1,746</b>	<b>12,892</b>	<b>731,032</b>	<b>3,985,344</b>			
Commission	L-5	28,589	38	9	-	28,636	12,462	-	4	-	12,466	26,124	2,213	-	124	-	-	28,461	69,563		
Operating Expenses related to Insurance Business	L-6	41,633	790	195	-	42,618	14,794	-	147	-	14,941	91,822	3,328	29	865	46	-	96,090	153,649		
Provision for doubtful debts		(29)	-	-	-	(29)	9	-	-	-	9	5	-	-	1	-	-	6	(14)		
Bad debts written off		134	-	-	-	134	23	-	-	-	23	60	7	-	-	-	-	67	224		
Provisions (other than taxation)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-		
(a) For diminution in the value of investments (Net)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-		
(b) For others		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-		
Goods and Services Tax on ULIP Charges		30,768	1,688	927	-	33,383	-	-	-	-	-	-	-	-	-	-	-	-	33,383		
<b>Total (B)</b>		<b>101,095</b>	<b>2,516</b>	<b>1,131</b>	<b>-</b>	<b>104,742</b>	<b>27,288</b>	<b>-</b>	<b>151</b>	<b>-</b>	<b>27,439</b>	<b>118,011</b>	<b>5,548</b>	<b>29</b>	<b>990</b>	<b>46</b>	<b>124,624</b>	<b>256,805</b>			
Benefits Paid (Net)	L-7	978,462	109,474	3,616	-	1,091,552	77,410	-	2,310	-	79,720	149,713	22,404	366	168	16,787	189,438	1,360,710			
Interim Bonuses Paid		-	-	-	-	-	8,257	-	78	-	8,335	-	-	-	-	-	-	-	8,335		
Change in valuation of liability in respect of life policies																					
(a) Gross**		7,092	1,694	185	-	8,971	195,385	-	31,449	-	226,834	308,007	125,020	8,027	231	(5,172)	436,113	671,918			
(b) Amount ceded in Reinsurance		-	-	-	-	-	-	-	-	-	-	(28,835)	-	57	-	-	(28,778)	(28,778)			
(c) Amount accepted in Reinsurance		-	-	-	-	-	-	-	-	-	-	(3)	-	-	-	-	(3)	(3)			
(d) Fund Reserve for Linked Policies		1,552,785	43,461	9,985	-	1,606,231	-	-	-	-	-	-	-	-	-	-	-	1,606,231			
(e) Fund for Discontinued Policies		44,428	(641)	-	-	43,787	-	-	-	-	-	-	-	-	-	-	-	43,787			
<b>Total (C)</b>		<b>2,582,767</b>	<b>153,988</b>	<b>13,786</b>	<b>-</b>	<b>2,750,541</b>	<b>281,052</b>	<b>-</b>	<b>33,837</b>	<b>-</b>	<b>314,889</b>	<b>428,882</b>	<b>147,424</b>	<b>8,393</b>	<b>456</b>	<b>11,615</b>	<b>596,770</b>	<b>3,662,200</b>			
<b>Surplus/(deficit) (D) = (A)-(B)-(C)</b>		<b>56,189</b>	<b>6,876</b>	<b>243</b>	<b>-</b>	<b>63,308</b>	<b>(9,097)</b>	<b>-</b>	<b>2,490</b>	<b>-</b>	<b>(6,607)</b>	<b>-</b>	<b>7,996</b>	<b>111</b>	<b>300</b>	<b>1,231</b>	<b>9,638</b>	<b>66,339</b>			
Provision for taxation		-	-	-	-	-	(2,604)	-	-	-	(2,604)	-	-	-	-	-	-	(2,604)			
(a) Current tax credit/(charge)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-			
(b) Deferred tax credit/(charge)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-			
<b>Surplus/(deficit) after tax</b>		<b>56,189</b>	<b>6,876</b>	<b>243</b>	<b>-</b>	<b>63,308</b>	<b>(11,701)</b>	<b>-</b>	<b>2,490</b>	<b>-</b>	<b>(9,211)</b>	<b>-</b>	<b>7,996</b>	<b>111</b>	<b>300</b>	<b>1,231</b>	<b>9,638</b>	<b>63,735</b>			
Amount transferred from Shareholders' Account (Non-technical Account)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-			
<b>Amount available for appropriation</b>		<b>56,189</b>	<b>6,876</b>	<b>243</b>	<b>-</b>	<b>63,308</b>	<b>(11,701)</b>	<b>-</b>	<b>2,490</b>	<b>-</b>	<b>(9,211)</b>	<b>-</b>	<b>7,996</b>	<b>111</b>	<b>300</b>	<b>1,231</b>	<b>9,638</b>	<b>63,735</b>			
<b>Appropriations</b>																					
Transfer to Shareholders' Account		56,189	6,876	243	-	63,308	-	-	-	-	-	-	7,996	111	300	1,231	9,638	72,946			
Transfer to Other Reserves		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-			
Balance being Funds for Future Appropriations		-	-	-	-	-	(11,701)	-	2,490	-	(9,211)	-	-	-	-	-	-	(9,211)			
<b>Total</b>		<b>56,189</b>	<b>6,876</b>	<b>243</b>	<b>-</b>	<b>63,308</b>	<b>(11,701)</b>	<b>-</b>	<b>2,490</b>	<b>-</b>	<b>(9,211)</b>	<b>-</b>	<b>7,996</b>	<b>111</b>	<b>300</b>	<b>1,231</b>	<b>9,638</b>	<b>63,735</b>			
<b>Funds for future appropriation</b>																					
Opening balance as at April 1, 2021		-	-	-	-	-	103,469	-	31,849	-	135,318	-	-	-	-	-	-	135,318			
Add: Current period appropriation		-	-	-	-	-	(11,701)	-	2,490	-	(9,211)	-	-	-	-	-	-	(9,211)			
<b>Balance carried forward to Balance Sheet</b>		<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>91,768</b>	<b>-</b>	<b>34,339</b>	<b>-</b>	<b>126,107</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>126,107</b>			

\* Represents the deemed realised gain as per norms specified by the Authority

\*\* Represents Mathematical Reserves after allocation of bonus

The schedules referred to herein form an integral part of the Condensed Standalone Revenue Account.

FORM L-2-A-PL

Name of the Insurer: ICICI Prudential Life Insurance Company Limited  
Regn.No. 105 dated 24.11.2000

Condensed Standalone Profit & Loss Account for the quarter and half year ended September 30, 2022  
Shareholders' Account (Non-technical Account)

(₹ Lakhs)

Particulars	Schedule Ref. Form No.	Quarter ended September 30, 2022	Half year ended September 30, 2022	Quarter ended September 30, 2021	Half year ended September 30, 2021
Amounts transferred from the Policyholders Account (Technical Account)		48,607	78,207	44,019	72,946
<b>Income From Investments</b>					
(a) Interest, Dividends & Rent – Gross		14,316	32,183	13,152	25,481
(b) Profit on sale/redemption of investments		6,915	6,915	20,443	48,260
(c) (Loss on sale/ redemption of investments)		(595)	(595)	(472)	(473)
(d) Amortisation of Premium / Discount on Investments (Net)		(306)	(691)	(287)	(572)
Other Income		29	53	129	184
<b>Total (A)</b>		<b>68,966</b>	<b>116,072</b>	<b>76,984</b>	<b>145,826</b>
Expense other than those directly related to the insurance business	L-6A	142	282	149	267
Contribution to Policyholders' A/c					
(a) Towards Excess Expenses of Management		-	-	-	-
(b) towards deficit funding and others		46,570	75,782	27,063	115,822
Managerial Remuneration*		143	275	126	321
Interest on subordinated debt		2,072	4,121	2,072	4,121
Expenses towards CSR activities		91	95	107	202
Penalties		-	-	-	-
Bad debts written off		-	-	-	-
Amount Transferred to Policyholders' Account		-	-	-	-
Provisions (Other than taxation)					
(a) For diminution in the value of investments (Net)		-	-	-	-
(b) Provision for doubtful debts		-	-	-	(791)
(c) Others		-	-	-	-
<b>Total (B)</b>		<b>49,018</b>	<b>80,555</b>	<b>29,517</b>	<b>119,942</b>
Profit/ (Loss) before tax		19,948	35,517	47,467	25,884
Provision for Taxation					
(a) Current tax credit/(charge)		-	-	-	-
(b) Deferred tax credit/(charge)		-	-	(3,010)	-
<b>Profit / (Loss) after tax</b>		<b>19,948</b>	<b>35,517</b>	<b>44,457</b>	<b>25,884</b>
<b>Appropriations</b>					
(a) Balance at the beginning of the period		415,423	407,760	313,775	361,079
(b) Interim dividend paid		-	-	-	-
(c) Final dividend paid		-	7,906	-	28,731
(d) Transfer to reserves/ other accounts		-	-	-	-
<b>Profit/Loss carried forward to Balance Sheet</b>		<b>435,371</b>	<b>435,371</b>	<b>358,232</b>	<b>358,232</b>

\*in excess of the allowable limits as prescribed by IRDAI

The Schedules referred to herein form an integral part of the Condensed Standalone Profit and Loss Account.

## FORM L-3-A-BS

Name of the Insurer: ICICI Prudential Life Insurance Company Limited  
Regn.No. 105 dated 24.11.2000

## Condensed Standalone Balance Sheet as at September 30, 2022

(₹ Lakhs)				
Particulars	Schedule Ref. Form No.	As at September 30, 2022	As at March 31, 2022	As at September 30, 2021
<b>Sources Of Funds</b>				
Shareholders' Funds:				
Share Capital	L-8, L-9,L-9A	143,855	143,731	143,712
Share Application Money Pending Allotment		-	-	5
Reserves And Surplus	L-10	791,413	759,154	708,116
Credit/(Debit) Fair Value Change Account		27,685	13,421	23,676
<b>Sub-Total</b>		<b>962,953</b>	<b>916,306</b>	<b>875,509</b>
Borrowings				
Policyholders' Funds:				
Credit/(Debit) Fair Value Change Account*		285,741	289,543	367,594
Policy liabilities		8,078,486	7,368,215	6,664,693
Funds for discontinued policies				
(i) Discontinued on account of non-payment of premium		963,098	1,027,438	1,115,462
(ii) Others		4,019	5,051	6,200
Insurance Reserves		-	-	-
Provision for Linked Liabilities		13,797,852	14,054,141	14,383,271
<b>Sub-Total</b>		<b>23,249,196</b>	<b>22,864,388</b>	<b>22,657,220</b>
Funds For Future Appropriations				
Linked		-	-	-
Non-Linked (Non-Par)		-	-	-
Non-Linked (Par)		151,935	138,332	126,107
Deferred Tax Liabilities (Net)		-	-	-
<b>Total</b>		<b>24,364,084</b>	<b>23,919,026</b>	<b>23,658,836</b>
<b>Application Of Funds</b>				
Investments				
Shareholders'	L-12	958,653	985,347	856,829
Policyholders'	L-13	8,417,212	7,738,800	7,104,902
Assets Held To Cover Linked Liabilities	L-14,L-14A	14,764,969	15,086,630	15,504,933
Loans	L-15	109,452	94,012	78,572
Fixed Assets - net block	L-16	52,380.00	48,724	45,641
Deferred Tax Assets (Net)		-	-	-
Current Assets				
Cash And Bank Balances	L-17	53,989	66,995	91,507
Advances And Other Assets	L-18	442,499	423,513	495,467
Sub-Total (A)		496,488	490,508	586,974
Current Liabilities	L-19	433,161	522,395	516,459
Provisions	L-20	1,909	2,600	2,556
Sub-Total (B)		435,070	524,995	519,015
Net Current Assets (C) = (A-B)		61,418	(34,487)	67,959
Miscellaneous Expenditure (To The Extent Not Written Off Or Adjusted)	L-21	-	-	-
Debit Balance In Profit & Loss Account (Shareholders' Account)		-	-	-
Deficit In Revenue Account (Policyholders' Account)		-	-	-
<b>Total</b>		<b>24,364,084</b>	<b>23,919,026</b>	<b>23,658,836</b>

\*Includes Revaluation reserve on Investment property

The Schedules referred to herein form an integral part of the Condensed Standalone Balance Sheet.

## Contingent Liabilities

(₹ Lakhs)			
Particulars	As at September 30, 2022	As at March 31, 2022	As at September 30, 2021
Partly paid-up investments*	63,360	69,967	102,747
Claims, other than against policies, not acknowledged as debts by the company comprising of:			
-Claims made by vendors for disputed payments	5	5	12
-Claims for damages made by landlords (of premises taken on lease)	75	75	414
-Claims made by employees and advisors for disputed dues and compensation	89	93	110
Underwriting commitments outstanding (in respect of shares and securities)	-	-	-
Guarantees given by or on behalf of the Company by various banks in favour of government authorities, hospital and court	-	-	-
Statutory demands/ liabilities in dispute, not provided for#	-	15,370	15,370
Reinsurance obligations to the extent not provided for in accounts	-	-	-
Others			
(a) Policy related claims under litigation in different consumer forums:			
-Claims for service deficiency	904	808	794
-Claims against repudiation	18,465	12,019	9,813
<b>Total</b>	<b>82,898</b>	<b>98,337</b>	<b>129,260</b>

\*in respect of partly paid debentures &amp; equity shares

#amount pertains to objections raised by office of the Commissioner of Service tax, Goods and Service tax Mumbai on certain tax positions taken by the Company.



Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Schedules forming part of condensed standalone financial statements

FORM L-4-PREMIUM SCHEDULE

PREMIUM

For the quarter ended September 30, 2022

(₹ Lakhs)

Particulars	Linked Business					Non-Linked Business												Grand Total
						Participating						Non-Participating						
	Life	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	
First year premiums	74,935	194	-	-	75,129	18,962	-	-	-	-	18,962	43,618	6,632	-	98	-	50,348	144,439
Renewal premiums	362,687	5,281	1,394	-	369,362	95,793	-	168	-	-	95,961	98,086	-	-	853	-	98,939	564,262
Single premiums	46,457	5,551	-	-	52,008	-	-	-	-	-	-	138,587	63,650	26,225	22	365	228,849	280,857
<b>Total Premium</b>	<b>484,079</b>	<b>11,026</b>	<b>1,394</b>	<b>-</b>	<b>496,499</b>	<b>114,755</b>	<b>-</b>	<b>168</b>	<b>-</b>	<b>-</b>	<b>114,923</b>	<b>280,291</b>	<b>70,282</b>	<b>26,225</b>	<b>973</b>	<b>365</b>	<b>378,136</b>	<b>989,558</b>
Premium Income from business written:																		
In India	484,079	11,026	1,394	-	496,499	114,755	-	168	-	-	114,923	280,291	70,282	26,225	973	365	378,136	989,558
Outside India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Total Premium</b>	<b>484,079</b>	<b>11,026</b>	<b>1,394</b>	<b>-</b>	<b>496,499</b>	<b>114,755</b>	<b>-</b>	<b>168</b>	<b>-</b>	<b>-</b>	<b>114,923</b>	<b>280,291</b>	<b>70,282</b>	<b>26,225</b>	<b>973</b>	<b>365</b>	<b>378,136</b>	<b>989,558</b>

For the half year ended September 30, 2022

(₹ Lakhs)

Particulars	Linked Business					Non-Linked Business												Grand Total
						Participating						Non-Participating						
	Life	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	
First year premiums	129,931	439	-	-	130,370	31,003	-	-	-	-	31,003	76,472	10,261	-	184	-	86,917	248,290
Renewal premiums	612,842	10,177	2,680	-	625,699	159,711	-	861	-	-	160,572	165,783	-	-	1,614	-	167,397	953,668
Single premiums	58,577	10,715	-	-	69,292	-	-	-	-	-	-	259,750	120,424	64,202	38	365	444,779	514,071
<b>Total Premium</b>	<b>801,350</b>	<b>21,331</b>	<b>2,680</b>	<b>-</b>	<b>825,361</b>	<b>190,714</b>	<b>-</b>	<b>861</b>	<b>-</b>	<b>-</b>	<b>191,575</b>	<b>502,005</b>	<b>130,685</b>	<b>64,202</b>	<b>1,836</b>	<b>365</b>	<b>699,093</b>	<b>1,716,029</b>
Premium Income from business written:																		
In India	801,350	21,331	2,680	-	825,361	190,714	-	861	-	-	191,575	502,005	130,685	64,202	1,836	365	699,093	1,716,029
Outside India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Total Premium</b>	<b>801,350</b>	<b>21,331</b>	<b>2,680</b>	<b>-</b>	<b>825,361</b>	<b>190,714</b>	<b>-</b>	<b>861</b>	<b>-</b>	<b>-</b>	<b>191,575</b>	<b>502,005</b>	<b>130,685</b>	<b>64,202</b>	<b>1,836</b>	<b>365</b>	<b>699,093</b>	<b>1,716,029</b>

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Schedules forming part of condensed standalone financial statements

FORM L-4-PREMIUM SCHEDULE

PREMIUM

For the quarter ended September 30, 2021

(₹ Lakhs)

Particulars	Linked Business					Non-Linked Business												Grand Total
						Participating						Non-Participating						
	Life	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	
First year premiums	95,520	493	-	-	96,013	16,509	-	-	-	-	16,509	43,002	-	-	200	-	43,202	155,724
Renewal premiums	361,100	5,671	1,509	-	368,280	94,646	-	30,703	-	-	125,349	62,601	-	-	805	-	63,406	557,035
Single premiums	36,853	15,907	-	-	52,760	-	-	-	-	-	-	93,933	78,769	6,200	21	8,878	187,801	240,561
<b>Total Premium</b>	<b>493,473</b>	<b>22,071</b>	<b>1,509</b>	<b>-</b>	<b>517,053</b>	<b>111,155</b>	<b>-</b>	<b>30,703</b>	<b>-</b>	<b>-</b>	<b>141,858</b>	<b>199,536</b>	<b>78,769</b>	<b>6,200</b>	<b>1,026</b>	<b>8,878</b>	<b>294,409</b>	<b>953,320</b>
Premium Income from business written:																		
In India	493,473	22,071	1,509	-	517,053	111,155	-	30,703	-	-	141,858	199,536	78,769	6,200	1,026	8,878	294,409	953,320
Outside India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Total Premium</b>	<b>493,473</b>	<b>22,071</b>	<b>1,509</b>	<b>-</b>	<b>517,053</b>	<b>111,155</b>	<b>-</b>	<b>30,703</b>	<b>-</b>	<b>-</b>	<b>141,858</b>	<b>199,536</b>	<b>78,769</b>	<b>6,200</b>	<b>1,026</b>	<b>8,878</b>	<b>294,409</b>	<b>953,320</b>

For the half year ended September 30, 2021

(₹ Lakhs)

Particulars	Linked Business					Non-Linked Business												Grand Total
						Participating						Non-Participating						
	Life	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	
First year premiums	144,362	697	-	-	145,059	26,765	-	-	-	-	26,765	71,541	-	-	385	-	71,926	243,750
Renewal premiums	656,000	11,915	2,950	-	670,865	159,068	-	30,960	-	-	190,028	108,336	-	-	1,552	-	109,888	970,781
Single premiums	81,657	19,914	-	-	101,571	-	-	-	-	-	-	170,822	134,682	8,200	35	10,478	324,217	425,788
<b>Total Premium</b>	<b>882,019</b>	<b>32,526</b>	<b>2,950</b>	<b>-</b>	<b>917,495</b>	<b>185,833</b>	<b>-</b>	<b>30,960</b>	<b>-</b>	<b>-</b>	<b>216,793</b>	<b>350,699</b>	<b>134,682</b>	<b>8,200</b>	<b>1,972</b>	<b>10,478</b>	<b>506,031</b>	<b>1,640,319</b>
Premium Income from business written:																		
In India	882,019	32,526	2,950	-	917,495	185,833	-	30,960	-	-	216,793	350,699	134,682	8,200	1,972	10,478	506,031	1,640,319
Outside India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Total Premium</b>	<b>882,019</b>	<b>32,526</b>	<b>2,950</b>	<b>-</b>	<b>917,495</b>	<b>185,833</b>	<b>-</b>	<b>30,960</b>	<b>-</b>	<b>-</b>	<b>216,793</b>	<b>350,699</b>	<b>134,682</b>	<b>8,200</b>	<b>1,972</b>	<b>10,478</b>	<b>506,031</b>	<b>1,640,319</b>

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Schedules forming part of condensed standalone financial statements

FORM L-5-COMMISSION SCHEDULE  
COMMISSION EXPENSES

For the quarter ended September 30, 2022

(₹ Lakhs)

Particulars	Linked Business					Non-Linked Business												Grand Total
						Participating						Non-Participating						
	Life	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	
<b>Commission</b>																		
Direct – First year premiums	7,751	1	-	-	7,752	4,403	-	-	-	-	4,403	10,925	474	-	17	-	11,416	23,571
– Renewal premiums	4,213	7	2	-	4,222	3,578	-	2	-	-	3,580	2,922	-	-	24	-	2,946	10,748
– Single premiums	44	-	-	-	44	-	-	-	-	-	-	2,822	986	-	-	-	3,808	3,852
<b>Gross Commission</b>	<b>12,008</b>	<b>8</b>	<b>2</b>	<b>-</b>	<b>12,018</b>	<b>7,981</b>	<b>-</b>	<b>2</b>	<b>-</b>	<b>-</b>	<b>7,983</b>	<b>16,669</b>	<b>1,460</b>	<b>-</b>	<b>41</b>	<b>-</b>	<b>18,170</b>	<b>38,171</b>
Add: Commission on re-insurance accepted	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Less: Commission on re-insurance ceded	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Net Commission</b>	<b>12,008</b>	<b>8</b>	<b>2</b>	<b>-</b>	<b>12,018</b>	<b>7,981</b>	<b>-</b>	<b>2</b>	<b>-</b>	<b>-</b>	<b>7,983</b>	<b>16,669</b>	<b>1,460</b>	<b>-</b>	<b>41</b>	<b>-</b>	<b>18,170</b>	<b>38,171</b>
Rewards	864	3	1	-	868	523	-	-	-	-	523	1,609	135	-	2	-	1,746	3,137
<b>Net Commission including rewards</b>	<b>12,872</b>	<b>11</b>	<b>3</b>	<b>-</b>	<b>12,886</b>	<b>8,504</b>	<b>-</b>	<b>2</b>	<b>-</b>	<b>-</b>	<b>8,506</b>	<b>18,278</b>	<b>1,595</b>	<b>-</b>	<b>43</b>	<b>-</b>	<b>19,916</b>	<b>41,308</b>
<b>Channel wise break-up of Commission and Rewards (Excluding Reinsurance commission):</b>																		
Individual agents	3,133	10	3	-	3,146	5,090	-	2	-	-	5,092	6,024	670	-	21	-	6,715	14,953
Corporate Agents - Others	9,696	1	-	-	9,697	2,311	-	-	-	-	2,311	8,419	808	-	21	-	9,248	21,256
Brokers	42	-	-	-	42	1,060	-	-	-	-	1,060	3,666	96	-	1	-	3,763	4,865
Micro Agents	-	-	-	-	-	-	-	-	-	-	-	78	-	-	-	-	78	78
Direct Business - Online	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Direct Business - Others	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Common Service Centre (CSC)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Web Aggregators	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Insurance Marketing Firm	1	-	-	-	1	42	-	-	-	-	42	81	15	-	-	-	96	139
Others	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
-Point of sale (POS)	-	-	-	-	-	-	-	-	-	-	-	-	6	-	-	-	6	6
<b>Net Commission including rewards</b>	<b>12,872</b>	<b>11</b>	<b>3</b>	<b>-</b>	<b>12,886</b>	<b>8,504</b>	<b>-</b>	<b>2</b>	<b>-</b>	<b>-</b>	<b>8,506</b>	<b>18,278</b>	<b>1,595</b>	<b>-</b>	<b>43</b>	<b>-</b>	<b>19,916</b>	<b>41,308</b>
<b>Commission and Rewards on (Excluding Reinsurance) Business written :</b>																		
In India	12,872	11	3	-	12,886	8,504	-	2	-	-	8,506	18,278	1,595	-	43	-	19,916	41,308
Outside India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Net Commission including rewards</b>	<b>12,872</b>	<b>11</b>	<b>3</b>	<b>-</b>	<b>12,886</b>	<b>8,504</b>	<b>-</b>	<b>2</b>	<b>-</b>	<b>-</b>	<b>8,506</b>	<b>18,278</b>	<b>1,595</b>	<b>-</b>	<b>43</b>	<b>-</b>	<b>19,916</b>	<b>41,308</b>

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Schedules forming part of condensed standalone financial statements

FORM L-5-COMMISSION SCHEDULE  
COMMISSION EXPENSES

For the half year ended September 30, 2022

(₹ Lakhs)

Particulars	Linked Business					Non-Linked Business												Grand Total
						Participating						Non-Participating						
	Life	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	
<b>Commission</b>																		
Direct – First year premiums	14,772	4	-	-	14,776	7,114	-	-	-	-	7,114	18,925	729	-	32	-	19,686	41,576
– Renewal premiums	7,095	15	4	-	7,114	5,929	-	3	-	-	5,932	4,796	-	-	48	-	4,844	17,890
– Single premiums	116	1	-	-	117	-	-	-	-	-	-	5,534	1,874	10	-	-	7,418	7,535
<b>Gross Commission</b>	<b>21,983</b>	<b>20</b>	<b>4</b>	<b>-</b>	<b>22,007</b>	<b>13,043</b>	<b>-</b>	<b>3</b>	<b>-</b>	<b>-</b>	<b>13,046</b>	<b>29,255</b>	<b>2,603</b>	<b>10</b>	<b>80</b>	<b>-</b>	<b>31,948</b>	<b>67,001</b>
Add: Commission on re-insurance accepted	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Less: Commission on re-insurance ceded	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Net Commission</b>	<b>21,983</b>	<b>20</b>	<b>4</b>	<b>-</b>	<b>22,007</b>	<b>13,043</b>	<b>-</b>	<b>3</b>	<b>-</b>	<b>-</b>	<b>13,046</b>	<b>29,255</b>	<b>2,603</b>	<b>10</b>	<b>80</b>	<b>-</b>	<b>31,948</b>	<b>67,001</b>
Rewards	1,321	5	2	-	1,328	761	-	-	-	-	761	2,604	202	-	3	-	2,809	4,898
<b>Net Commission including rewards</b>	<b>23,304</b>	<b>25</b>	<b>6</b>	<b>-</b>	<b>23,335</b>	<b>13,804</b>	<b>-</b>	<b>3</b>	<b>-</b>	<b>-</b>	<b>13,807</b>	<b>31,859</b>	<b>2,805</b>	<b>10</b>	<b>83</b>	<b>-</b>	<b>34,757</b>	<b>71,899</b>
<b>Channel wise break-up of Commission and Rewards (Excluding Reinsurance commission):</b>																		
Individual agents	5,351	23	6	-	5,380	8,104	-	3	-	-	8,107	10,289	1,155	10	35	-	11,489	24,976
Corporate Agents -Others	17,859	2	-	-	17,861	3,729	-	-	-	-	3,729	14,388	1,492	-	46	-	15,926	37,516
Brokers	90	-	-	-	90	1,907	-	-	-	-	1,907	6,850	131	-	2	-	6,983	8,980
Micro Agents	-	-	-	-	-	-	-	-	-	-	-	131	-	-	-	-	131	131
Direct Business - Online	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Direct Business - Others	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Common Service Centre (CSC)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Web Aggregators	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Insurance Marketing Firm	4	-	-	-	4	63	-	-	-	-	63	63	-	-	-	-	63	64
Others	-	-	-	-	-	-	-	-	-	-	-	138	21	-	-	-	159	226
-Point of sale (POS)	-	-	-	-	-	-	-	-	-	-	-	-	6	-	-	-	6	6
<b>Net Commission including rewards</b>	<b>23,304</b>	<b>25</b>	<b>6</b>	<b>-</b>	<b>23,335</b>	<b>13,804</b>	<b>-</b>	<b>3</b>	<b>-</b>	<b>-</b>	<b>13,807</b>	<b>31,859</b>	<b>2,805</b>	<b>10</b>	<b>83</b>	<b>-</b>	<b>34,757</b>	<b>71,899</b>
<b>Commission and Rewards on (Excluding Reinsurance) Business</b>																		
In India	23,304	25	6	-	23,335	13,804	-	3	-	-	13,807	31,859	2,805	10	83	-	34,757	71,899
Outside India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Net Commission including rewards</b>	<b>23,304</b>	<b>25</b>	<b>6</b>	<b>-</b>	<b>23,335</b>	<b>13,804</b>	<b>-</b>	<b>3</b>	<b>-</b>	<b>-</b>	<b>13,807</b>	<b>31,859</b>	<b>2,805</b>	<b>10</b>	<b>83</b>	<b>-</b>	<b>34,757</b>	<b>71,899</b>

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Schedules forming part of condensed standalone financial statements

FORM L-5-COMMISSION SCHEDULE  
COMMISSION EXPENSES

For the quarter ended September 30, 2021

(₹ Lakhs)

Particulars	Linked Business					Non-Linked Business												Grand Total
						Participating						Non-Participating						
	Life	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	
<b>Commission</b>																		
Direct – First year premiums	12,356	4	-	-	12,360	3,857	-	-	-	-	3,857	10,236	-	-	33	-	10,269	26,486
– Renewal premiums	4,575	12	4	-	4,591	3,525	-	2	-	-	3,527	1,965	-	-	23	-	1,988	10,106
– Single premiums	82	-	-	-	82	-	-	-	-	-	-	2,227	1,270	-	-	-	3,497	3,579
<b>Gross Commission</b>	<b>17,013</b>	<b>16</b>	<b>4</b>	<b>-</b>	<b>17,033</b>	<b>7,382</b>	<b>-</b>	<b>2</b>	<b>-</b>	<b>-</b>	<b>7,384</b>	<b>14,428</b>	<b>1,270</b>	<b>-</b>	<b>56</b>	<b>-</b>	<b>15,754</b>	<b>40,171</b>
Add: Commission on re-insurance accepted	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Less: Commission on re-insurance ceded	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Net Commission</b>	<b>17,013</b>	<b>16</b>	<b>4</b>	<b>-</b>	<b>17,033</b>	<b>7,382</b>	<b>-</b>	<b>2</b>	<b>-</b>	<b>-</b>	<b>7,384</b>	<b>14,428</b>	<b>1,270</b>	<b>-</b>	<b>56</b>	<b>-</b>	<b>15,754</b>	<b>40,171</b>
Rewards	893	3	1	-	897	332	-	-	-	-	332	1,026	78	-	3	-	1,107	2,336
<b>Net Commission including rewards</b>	<b>17,906</b>	<b>19</b>	<b>5</b>	<b>-</b>	<b>17,930</b>	<b>7,714</b>	<b>-</b>	<b>2</b>	<b>-</b>	<b>-</b>	<b>7,716</b>	<b>15,454</b>	<b>1,348</b>	<b>-</b>	<b>59</b>	<b>-</b>	<b>16,861</b>	<b>42,507</b>
<b>Channel wise break-up of Commission and Rewards (Excluding Reinsurance commission):</b>																		
Individual agents	3,505	17	5	-	3,527	4,282	-	2	-	-	4,284	5,291	513	-	22	-	5,826	13,637
Corporate Agents - Others	14,373	2	-	-	14,375	2,252	-	-	-	-	2,252	7,629	766	-	36	-	8,431	25,058
Brokers	25	-	-	-	25	1,053	-	-	-	-	1,053	2,230	46	-	1	-	2,277	3,355
Micro Agents	-	-	-	-	-	-	-	-	-	-	-	20	-	-	-	-	20	20
Direct Business - Online	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Direct Business - Others	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Common Service Centre (CSC)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Web Aggregators	2	-	-	-	2	101	-	-	-	-	101	228	-	-	-	-	228	331
Insurance Marketing Firm	1	-	-	-	1	26	-	-	-	-	26	56	23	-	-	-	79	106
Others	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
-Point of sale (POS)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Net Commission including rewards</b>	<b>17,906</b>	<b>19</b>	<b>5</b>	<b>-</b>	<b>17,930</b>	<b>7,714</b>	<b>-</b>	<b>2</b>	<b>-</b>	<b>-</b>	<b>7,716</b>	<b>15,454</b>	<b>1,348</b>	<b>-</b>	<b>59</b>	<b>-</b>	<b>16,861</b>	<b>42,507</b>
<b>Commission and Rewards on (Excluding Reinsurance) Business</b>																		
In India	17,906	19	5	-	17,930	7,714	-	2	-	-	7,716	15,454	1,348	-	59	-	16,861	42,507
Outside India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Net Commission including rewards</b>	<b>17,906</b>	<b>19</b>	<b>5</b>	<b>-</b>	<b>17,930</b>	<b>7,714</b>	<b>-</b>	<b>2</b>	<b>-</b>	<b>-</b>	<b>7,716</b>	<b>15,454</b>	<b>1,348</b>	<b>-</b>	<b>59</b>	<b>-</b>	<b>16,861</b>	<b>42,507</b>

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Schedules forming part of condensed standalone financial statements

FORM L-5-COMMISSION SCHEDULE  
COMMISSION EXPENSES

For the half year ended September 30, 2021

(₹ Lakhs)

Particulars	Linked Business					Non-Linked Business												Grand Total
						Participating						Non-Participating						
	Life	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	
<b>Commission</b>																		
Direct – First year premiums	18,862	7	-	-	18,869	6,047	-	-	-	-	6,047	17,323	-	-	71	-	17,394	42,310
– Renewal premiums	8,285	26	8	-	8,319	5,909	-	4	-	-	5,913	3,277	-	-	48	-	3,325	17,557
– Single premiums	128	1	-	-	129	-	-	-	-	-	-	3,796	2,094	-	-	-	5,890	6,019
<b>Gross Commission</b>	<b>27,275</b>	<b>34</b>	<b>8</b>	<b>-</b>	<b>27,317</b>	<b>11,956</b>	<b>-</b>	<b>4</b>	<b>-</b>	<b>-</b>	<b>11,960</b>	<b>24,396</b>	<b>2,094</b>	<b>-</b>	<b>119</b>	<b>-</b>	<b>26,609</b>	<b>65,886</b>
Add: Commission on re-insurance accepted	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Less: Commission on re-insurance ceded	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Net Commission</b>	<b>27,275</b>	<b>34</b>	<b>8</b>	<b>-</b>	<b>27,317</b>	<b>11,956</b>	<b>-</b>	<b>4</b>	<b>-</b>	<b>-</b>	<b>11,960</b>	<b>24,396</b>	<b>2,094</b>	<b>-</b>	<b>119</b>	<b>-</b>	<b>26,609</b>	<b>65,886</b>
Rewards	1,314	4	1	-	1,319	506	-	-	-	-	506	1,728	119	-	5	-	1,852	3,677
<b>Net Commission including rewards</b>	<b>28,589</b>	<b>38</b>	<b>9</b>	<b>-</b>	<b>28,636</b>	<b>12,462</b>	<b>-</b>	<b>4</b>	<b>-</b>	<b>-</b>	<b>12,466</b>	<b>26,124</b>	<b>2,213</b>	<b>-</b>	<b>124</b>	<b>-</b>	<b>28,461</b>	<b>69,563</b>
<b>Channel wise break-up of Commission and Rewards (Excluding Reinsurance commission):</b>																		
Individual agents	5,734	32	9	-	5,775	7,019	-	3	-	-	7,022	8,859	768	-	41	-	9,668	22,465
Corporate Agents - Others	22,802	6	-	-	22,808	3,457	-	1	-	-	3,458	12,626	1,338	-	81	-	14,045	40,311
Brokers	47	-	-	-	47	1,848	-	-	-	-	1,848	3,863	63	-	2	-	3,928	5,823
Micro Agents	-	-	-	-	-	-	-	-	-	-	-	42	-	-	-	-	42	42
Direct Business - Online	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Direct Business - Others	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Common Service Centre (CSC)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Web Aggregators	3	-	-	-	3	105	-	-	-	-	105	612	2	-	-	-	614	722
Insurance Marketing Firm	3	-	-	-	3	33	-	-	-	-	33	122	42	-	-	-	164	200
Others	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
-Point of sale (POS)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Net Commission including rewards</b>	<b>28,589</b>	<b>38</b>	<b>9</b>	<b>-</b>	<b>28,636</b>	<b>12,462</b>	<b>-</b>	<b>4</b>	<b>-</b>	<b>-</b>	<b>12,466</b>	<b>26,124</b>	<b>2,213</b>	<b>-</b>	<b>124</b>	<b>-</b>	<b>28,461</b>	<b>69,563</b>
<b>Commission and Rewards on (Excluding Reinsurance) Business</b>																		
written :																		
In India	28,589	38	9	-	28,636	12,462	-	4	-	-	12,466	26,124	2,213	-	124	-	28,461	69,563
Outside India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Net Commission including rewards</b>	<b>28,589</b>	<b>38</b>	<b>9</b>	<b>-</b>	<b>28,636</b>	<b>12,462</b>	<b>-</b>	<b>4</b>	<b>-</b>	<b>-</b>	<b>12,466</b>	<b>26,124</b>	<b>2,213</b>	<b>-</b>	<b>124</b>	<b>-</b>	<b>28,461</b>	<b>69,563</b>

Name of the Insurer: ICICI Prudential Life Insurance Company Limited  
Schedules forming part of condensed standalone financial statements

FORM L-6-OPERATING EXPENSES SCHEDULE  
OPERATING EXPENSES RELATED TO INSURANCE BUSINESS

For the quarter ended September 30, 2022

(₹ Lakhs)

Particulars	Linked Business					Non-Linked Business												Grand Total
	Life	Pension	Health	Variable Insurance	Total	Participating					Non-Participating							
						Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	
Employees' remuneration and welfare benefits	13,604	175	62	-	13,841	5,298	-	11	-	-	5,309	13,946	1,704	75	146	-	15,872	35,022
Travel, conveyance and vehicle running expenses	742	9	3	-	754	202	-	-	-	-	202	547	90	5	7	-	649	1,605
Training expenses	157	1	-	-	158	70	-	-	-	-	70	111	25	-	4	-	140	368
Rents, rates and taxes	854	8	3	-	865	213	-	4	-	-	217	605	105	2	4	-	716	1,798
Repairs	429	4	2	-	435	131	-	9	-	-	140	314	54	1	4	-	373	948
Printing and stationery	42	1	1	-	44	28	-	-	-	-	28	39	5	-	3	-	47	119
Communication expenses	1,040	30	6	-	1,076	689	-	3	-	-	692	1,800	111	1	33	-	1,945	3,713
Legal and professional charges	2,217	28	11	-	2,256	737	-	4	-	-	741	6,061	290	4	23	1	6,379	9,376
Medical fees	68	-	2	-	70	32	-	-	-	-	32	603	-	1	-	-	604	706
Auditors' fees, expenses etc.																		
(a) as auditor	23	1	-	-	24	16	-	-	-	-	16	22	3	-	1	-	26	66
(b) as advisor or in any other capacity, in respect of																		
(i) Taxation matters	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(ii) Insurance matters	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(iii) Management Services; and	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c) in any other capacity (for Certification)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Advertisement and publicity	522	2	1	-	525	1,447	-	-	-	-	1,447	40,458	64	-	1	-	40,523	42,495
Interest and bank charges	499	7	2	-	508	122	-	1	-	-	123	151	71	-	1	-	223	854
Depreciation	966	9	3	-	978	236	-	-	-	-	236	684	118	2	5	-	809	2,023
Brand/Trade Mark usage fee/charges	99	3	-	-	102	24	-	-	-	-	24	58	14	5	-	-	77	203
Business Development and Sales Promotion Expenses	929	3	1	-	933	434	-	-	-	-	434	1,094	141	-	2	-	1,237	2,604
Stamp duty on policies	179	1	-	-	180	43	-	-	-	-	43	3,861	23	-	4	-	3,888	4,111
Information Technology Expenses	1,650	28	13	-	1,691	909	-	3	-	-	912	1,326	235	1	61	-	1,623	4,226
Goods and Services Tax (GST)	92	3	2	-	97	54	-	-	-	-	54	77	9	-	5	3	94	245
<b>Others</b>																		
Business conferences and meetings	(1,583)	(5)	(2)	-	(1,590)	(664)	-	-	-	-	(664)	(3,870)	(635)	-	(2)	-	(4,507)	(6,761)
Office running expenses	272	2	1	-	275	77	-	-	-	-	77	200	34	1	3	-	238	590
Data entry related expenses	449	21	10	-	480	262	-	2	-	-	264	472	43	19	21	-	555	1,299
Miscellaneous expenses	(78)	(88)	(7)	-	(173)	52	-	(3)	-	-	49	(76)	(53)	-	(4)	-	(133)	(257)
<b>Total</b>	<b>23,172</b>	<b>243</b>	<b>114</b>	<b>-</b>	<b>23,529</b>	<b>10,412</b>	<b>-</b>	<b>34</b>	<b>-</b>	<b>-</b>	<b>10,446</b>	<b>68,483</b>	<b>2,451</b>	<b>117</b>	<b>322</b>	<b>5</b>	<b>71,378</b>	<b>105,353</b>
<b>Operating Expenses Related To Insurance Business</b>																		
In India	23,143	243	114	-	23,500	10,399	-	34	-	-	10,433	68,397	2,448	117	322	5	71,289	105,222
Outside India	29	-	-	-	29	13	-	-	-	-	13	86	3	-	-	-	89	131
<b>Total</b>	<b>23,172</b>	<b>243</b>	<b>114</b>	<b>-</b>	<b>23,529</b>	<b>10,412</b>	<b>-</b>	<b>34</b>	<b>-</b>	<b>-</b>	<b>10,446</b>	<b>68,483</b>	<b>2,451</b>	<b>117</b>	<b>322</b>	<b>5</b>	<b>71,378</b>	<b>105,353</b>

Name of the Insurer: ICICI Prudential Life Insurance Company Limited  
Schedules forming part of condensed standalone financial statements

FORM L-6-OPERATING EXPENSES SCHEDULE  
OPERATING EXPENSES RELATED TO INSURANCE BUSINESS

For the half year ended September 30, 2022

(₹ Lakhs)

Particulars	Linked Business					Non-Linked Business											Grand Total	
	Life	Pension	Health	Variable Insurance	Total	Participating					Non-Participating							
						Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance		Total
Employees' remuneration and welfare benefits	27,884	378	128	-	28,390	9,714	-	27	-	-	9,741	27,375	3,368	183	302	2	31,230	69,361
Travel, conveyance and vehicle running expenses	1,319	17	5	-	1,341	343	-	1	-	-	344	985	159	12	12	-	1,168	2,853
Training expenses	230	1	-	-	231	90	-	-	-	-	90	159	37	-	4	-	200	521
Rents, rates and taxes	1,658	17	5	-	1,680	389	-	8	-	-	397	1,191	197	5	10	-	1,403	3,480
Repairs	831	9	3	-	843	236	-	16	-	-	252	619	103	3	8	-	733	1,828
Printing and stationery	92	2	1	-	95	55	-	-	-	-	55	86	12	-	5	-	103	253
Communication expenses	1,964	55	11	-	2,030	1,223	-	5	-	-	1,228	3,560	216	2	63	-	3,841	7,099
Legal and professional charges	4,453	61	22	-	4,536	1,528	-	9	-	-	1,537	10,827	600	6	48	1	11,482	17,555
Medical fees	120	1	2	-	123	56	-	-	-	-	56	1,335	-	4	-	-	1,339	1,518
Auditors' fees, expenses etc.																		
(a) as auditor	47	1	1	-	49	29	-	-	-	-	29	43	6	-	2	-	51	129
(b) as advisor or in any other capacity, in respect of	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(i) Taxation matters	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(ii) Insurance matters	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(iii) Management Services; and	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c) in any other capacity (for Certification)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Advertisement and publicity	1,071	5	1	-	1,077	1,968	-	-	-	-	1,968	63,846	135	-	2	-	63,983	67,028
Interest and bank charges	834	13	4	-	851	200	-	1	-	-	201	254	126	1	2	-	383	1,435
Depreciation	1,802	19	5	-	1,826	416	-	1	-	-	417	1,296	216	5	10	-	1,527	3,770
Brand/Trade Mark usage fee/charges	186	6	1	-	193	44	-	-	-	-	44	118	31	16	-	-	165	402
Business Development and Sales Promotion Expenses	926	3	1	-	930	433	-	-	-	-	433	1,091	141	-	2	-	1,234	2,597
Stamp duty on policies	318	1	-	-	319	71	-	-	-	-	71	6,982	39	-	7	-	7,028	7,418
Information Technology Expenses	3,087	55	23	-	3,165	1,546	-	6	-	-	1,552	2,519	443	3	113	-	3,078	7,795
Goods and Services Tax (GST)	218	8	5	-	231	133	-	1	-	-	134	200	25	-	11	8	244	609
<b>Others</b>																		
Business conferences and meetings	650	4	1	-	655	(47)	-	-	-	-	(47)	(2,525)	(382)	7	2	-	(2,898)	(2,290)
Office running expenses	521	5	2	-	528	138	-	-	-	-	138	389	65	2	5	-	461	1,127
Data entry related expenses	873	40	19	-	932	495	-	4	-	-	499	904	87	35	43	1	1,070	2,501
Miscellaneous expenses	146	(65)	(4)	-	77	81	-	-	-	-	81	40	9	(6)	-	-	43	201
<b>Total</b>	<b>49,230</b>	<b>636</b>	<b>236</b>	<b>-</b>	<b>50,102</b>	<b>19,141</b>	<b>-</b>	<b>79</b>	<b>-</b>	<b>-</b>	<b>19,220</b>	<b>121,294</b>	<b>5,633</b>	<b>284</b>	<b>645</b>	<b>12</b>	<b>127,868</b>	<b>197,190</b>
<b>Operating Expenses Related To Insurance Business</b>																		
In India	49,162	635	236	-	50,033	19,115	-	79	-	-	19,194	121,127	5,625	284	644	12	127,692	196,919
Outside India	68	1	-	-	69	26	-	-	-	-	26	167	8	-	1	-	176	271
<b>Total</b>	<b>49,230</b>	<b>636</b>	<b>236</b>	<b>-</b>	<b>50,102</b>	<b>19,141</b>	<b>-</b>	<b>79</b>	<b>-</b>	<b>-</b>	<b>19,220</b>	<b>121,294</b>	<b>5,633</b>	<b>284</b>	<b>645</b>	<b>12</b>	<b>127,868</b>	<b>197,190</b>



Name of the Insurer: ICICI Prudential Life Insurance Company Limited  
Schedules forming part of condensed standalone financial statements

FORM L-6-OPERATING EXPENSES SCHEDULE  
OPERATING EXPENSES RELATED TO INSURANCE BUSINESS

For the quarter ended September 30, 2021

(₹ Lakhs)

Particulars	Linked Business					Non-Linked Business											Grand Total	
	Life	Pension	Health	Variable Insurance	Total	Participating					Non-Participating							
						Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance		Total
Employees' remuneration and welfare benefits	13,641	216	53	-	13,910	3,502	-	67	-	-	3,569	10,339	953	14	163	18	11,487	28,966
Travel, conveyance and vehicle running expenses	351	5	1	-	357	62	-	2	-	-	64	187	22	-	2	1	212	633
Training expenses	98	1	-	-	99	23	-	-	-	-	23	55	8	-	2	-	65	187
Rents, rates and taxes	888	12	3	-	903	162	-	6	-	-	168	516	54	-	6	1	577	1,648
Repairs	445	6	1	-	452	94	-	7	-	-	101	268	28	-	5	-	301	854
Printing and stationery	58	2	1	-	61	28	-	-	-	-	28	49	4	-	4	-	57	146
Communication expenses	908	32	6	-	946	487	-	3	-	-	490	830	49	-	36	-	915	2,351
Legal and professional charges	904	22	7	-	933	471	-	4	-	-	475	4,890	99	-	26	1	5,016	6,424
Medical fees	82	-	-	-	82	39	-	4	-	-	43	963	-	1	-	1	965	1,090
Auditors' fees, expenses etc.	23	1	-	-	24	11	-	-	-	-	11	20	2	-	2	-	24	59
(a) as auditor	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(b) as advisor or in any other capacity, in respect of	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(i) Taxation matters	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(ii) Insurance matters	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(iii) Management Services; and	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c) in any other capacity (for Certification)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Advertisement and publicity	431	2	-	-	433	1,966	-	-	-	-	1,966	20,306	30	-	1	-	20,337	22,736
Interest and bank charges	419	6	2	-	427	303	-	1	-	-	304	331	41	-	28	-	400	1,131
Depreciation	867	11	2	-	880	155	-	2	-	-	157	504	53	-	6	-	563	1,600
Brand/Trade Mark usage fee/charges	129	6	-	-	135	29	-	7	-	-	36	52	20	2	-	2	76	247
Business Development and Sales Promotion Expenses	2	-	-	-	2	-	-	-	-	-	-	1	-	-	-	-	1	3
Stamp duty on policies	224	2	-	-	226	38	-	-	-	-	38	2,065	68	-	15	-	2,148	2,412
Information Technology Expenses	1,120	22	8	-	1,150	406	-	2	-	-	408	799	89	-	60	-	948	2,506
Goods and Services Tax (GST)	75	2	2	-	79	37	-	-	-	-	37	62	5	-	4	5	76	192
<b>Others</b>																		
Business conferences and meetings	728	2	1	-	731	206	-	-	-	-	206	529	63	-	2	-	594	1,531
Office running expenses	247	3	1	-	251	49	-	1	-	-	50	149	16	-	3	-	168	469
Data entry related expenses	437	20	8	-	465	210	-	5	-	-	215	381	31	2	29	1	444	1,124
Miscellaneous expenses	234	3	(1)	-	236	102	-	-	-	-	102	97	110	-	2	-	209	547
<b>Total</b>	<b>22,311</b>	<b>376</b>	<b>95</b>	<b>-</b>	<b>22,782</b>	<b>8,380</b>	<b>-</b>	<b>111</b>	<b>-</b>	<b>-</b>	<b>8,491</b>	<b>43,393</b>	<b>1,745</b>	<b>19</b>	<b>396</b>	<b>30</b>	<b>45,583</b>	<b>76,856</b>
<b>Operating Expenses Related To Insurance Business</b>																		
In India	22,285	376	95	-	22,756	8,370	-	111	-	-	8,481	43,340	1,743	19	396	30	45,528	76,765
Outside India	26	-	-	-	26	10	-	-	-	-	10	53	2	-	-	-	55	91
<b>Total</b>	<b>22,311</b>	<b>376</b>	<b>95</b>	<b>-</b>	<b>22,782</b>	<b>8,380</b>	<b>-</b>	<b>111</b>	<b>-</b>	<b>-</b>	<b>8,491</b>	<b>43,393</b>	<b>1,745</b>	<b>19</b>	<b>396</b>	<b>30</b>	<b>45,583</b>	<b>76,856</b>

Name of the Insurer: ICICI Prudential Life Insurance Company Limited  
Schedules forming part of condensed standalone financial statements

FORM L-6-OPERATING EXPENSES SCHEDULE  
OPERATING EXPENSES RELATED TO INSURANCE BUSINESS

For the half year ended September 30, 2021

(₹ Lakhs)

Particulars	Linked Business						Non-Linked Business											Grand Total
							Participating					Non-Participating						
	Life	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	
Employees' remuneration and welfare benefits	26,141	411	108	-	26,660	7,033	-	79	-	-	7,112	21,887	2,000	21	389	27	24,324	58,096
Travel, conveyance and vehicle running expenses	453	6	1	-	460	83	-	2	-	-	85	252	30	-	4	1	287	832
Training expenses	156	1	-	-	157	38	-	-	-	-	38	95	14	-	4	-	113	308
Rents, rates and taxes	1,725	20	6	-	1,751	331	-	10	-	-	341	1,115	121	1	15	1	1,253	3,345
Repairs	831	10	3	-	844	182	-	14	-	-	196	555	59	-	11	-	625	1,665
Printing and stationery	97	3	2	-	102	49	-	-	-	-	49	95	8	-	9	-	112	263
Communication expenses	1,587	61	10	-	1,658	902	-	4	-	-	906	2,338	94	-	79	-	2,511	5,075
Legal and professional charges	1,499	41	13	-	1,553	836	-	6	-	-	842	8,954	164	1	52	2	9,173	11,568
Medical fees	113	1	-	-	114	63	-	4	-	-	67	1,772	-	1	-	1	1,774	1,955
Auditors' fees, expenses etc.																		
(a) as auditor	42	2	1	-	45	21	-	-	-	-	21	40	3	-	3	-	46	112
(b) as advisor or in any other capacity, in respect of																		
(i) Taxation matters	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(ii) Insurance matters	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(iii) Management Services; and	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c) in any other capacity (for Certification)																		
Advertisement and publicity	821	5	1	-	827	2,723	-	-	-	-	2,723	44,595	83	-	3	-	44,681	48,231
Interest and bank charges	785	13	3	-	801	347	-	2	-	-	349	349	76	-	20	-	445	1,595
Depreciation	1,625	20	5	-	1,650	304	-	2	-	-	306	1,045	113	1	15	1	1,175	3,131
Brand/Trade Mark usage fee/charges	259	10	1	-	270	54	-	8	-	-	62	104	39	2	1	2	148	480
Business Development and Sales Promotion Expenses	21	-	-	-	21	7	-	-	-	-	7	20	2	-	-	-	22	50
Stamp duty on policies	340	4	-	-	344	62	-	-	-	-	62	4,642	70	-	37	-	4,749	5,155
Information Technology Expenses	2,102	43	16	-	2,161	784	-	4	-	-	788	1,717	182	-	132	-	2,031	4,980
Goods and Services Tax (GST)	173	6	4	-	183	87	-	1	-	-	88	157	12	-	12	10	191	462
<b>Others</b>																		
Business conferences and meetings	875	3	1	-	879	251	-	-	-	-	251	820	80	-	3	-	903	2,033
Office running expenses	441	6	2	-	449	93	-	1	-	-	94	299	32	-	7	-	338	881
Data entry related expenses	800	37	16	-	853	402	-	7	-	-	409	768	58	2	60	1	889	2,151
Miscellaneous expenses	747	87	2	-	836	142	-	3	-	-	145	203	88	-	9	-	300	1,281
<b>Total</b>	<b>41,633</b>	<b>790</b>	<b>195</b>	<b>-</b>	<b>42,618</b>	<b>14,794</b>	<b>-</b>	<b>147</b>	<b>-</b>	<b>-</b>	<b>14,941</b>	<b>91,822</b>	<b>3,328</b>	<b>29</b>	<b>865</b>	<b>46</b>	<b>96,090</b>	<b>153,649</b>
<b>Operating Expenses Related To Insurance Business</b>																		
In India	41,560	789	195	-	42,544	14,768	-	147	-	-	14,915	91,659	3,322	29	863	46	95,919	153,378
Outside India	73	1	-	-	74	26	-	-	-	-	26	163	6	-	2	-	171	271
<b>Total</b>	<b>41,633</b>	<b>790</b>	<b>195</b>	<b>-</b>	<b>42,618</b>	<b>14,794</b>	<b>-</b>	<b>147</b>	<b>-</b>	<b>-</b>	<b>14,941</b>	<b>91,822</b>	<b>3,328</b>	<b>29</b>	<b>865</b>	<b>46</b>	<b>96,090</b>	<b>153,649</b>

Name of the Insurer: ICICI Prudential Life Insurance Company Limited  
Schedules forming part of condensed standalone financial statements

FORM L-6A-OPERATING EXPENSES SCHEDULE  
EXPENSES OTHER THAN THOSE DIRECTLY RELATED TO THE INSURANCE BUSINESS

For the half year ended September 30, 2022

(₹ Lakhs)

Particulars	For the quarter ended September 30, 2022	For the half year ended September 30, 2022	For the quarter ended September 30, 2021	For the half year ended September 30, 2021
Employees' remuneration and welfare benefits	21	49	25	50
Travel, conveyance and vehicle running expenses	1	1	-	-
Rents, rates and taxes	39	77	45	85
Repairs	32	58	15	27
Legal and professional charges	44	78	59	92
Interest and bank charges	3	5	2	4
Information Technology Expenses	5	11	4	9
Others				
- Miscellaneous expenses	(3)	3	(1)	-
<b>Total</b>	<b>142</b>	<b>282</b>	<b>149</b>	<b>267</b>

FORM L-7-BENEFITS PAID SCHEDULE  
BENEFITS PAID (NET)

For the quarter ended September 30, 2022

(₹ Lakhs)

Particulars	Linked Business					Non-Linked Business												Grand Total	
						Participating						Non-Participating							
	Life	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total		
<b>1 Insurance claims</b>																			
(a) Claims by death	12,181	761	61	-	13,003	6,241	-	37	-	-	6,278	67,131	2,036	-	-	-	2	69,169	88,450
(b) Claims by maturity	56,672	5,349	-	-	62,021	14,256	-	956	-	-	15,212	202	-	-	-	-	-	202	77,436
(c) Annuities/Pension payment	-	-	-	-	-	-	-	-	-	-	-	12,990	-	-	-	-	-	12,990	12,990
(d) Periodical Benefit	-	-	-	-	-	10,907	-	-	-	-	10,907	1,656	-	-	-	-	-	1,656	12,563
(e) Health	-	-	2,087	-	2,087	-	-	-	-	-	-	412	-	-	-	512	-	924	3,011
(f) Surrenders/Withdrawal	540,896	30,065	-	-	570,961	12,252	-	16,190	-	-	28,442	7,648	238	94	-	-	20,617	28,597	628,000
(g) Others	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
- Rider	132	-	6	-	138	53	-	6	-	-	59	(26)	-	-	-	-	-	(26)	171
- Interest on unclaimed amounts	1,225	-	-	-	1,225	-	-	-	-	-	-	-	-	-	-	-	-	-	1,225
<b>Sub Total (A)</b>	<b>611,106</b>	<b>36,175</b>	<b>2,154</b>	<b>-</b>	<b>649,435</b>	<b>43,709</b>	<b>-</b>	<b>17,189</b>	<b>-</b>	<b>-</b>	<b>60,898</b>	<b>77,023</b>	<b>15,264</b>	<b>94</b>	<b>512</b>	<b>20,619</b>	<b>113,512</b>	<b>823,845</b>	
Benefits Paid (Gross)																			
In India	611,106	36,175	2,154	-	649,435	43,709	-	17,189	-	-	60,898	77,023	15,264	94	512	20,619	113,512	823,845	
Outside India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Benefits Paid (Gross)</b>	<b>611,106</b>	<b>36,175</b>	<b>2,154</b>	<b>-</b>	<b>649,435</b>	<b>43,709</b>	<b>-</b>	<b>17,189</b>	<b>-</b>	<b>-</b>	<b>60,898</b>	<b>77,023</b>	<b>15,264</b>	<b>94</b>	<b>512</b>	<b>20,619</b>	<b>113,512</b>	<b>823,845</b>	
<b>2 (Amount ceded in reinsurance)</b>																			
(a) Claims by death	(626)	-	-	-	(626)	(1,786)	-	-	-	-	(1,786)	(23,438)	-	-	-	-	-	(23,438)	(25,850)
(b) Claims by maturity	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c) Annuities/Pension payment	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d) Periodical Benefit	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e) Health	-	-	(930)	-	(930)	-	-	-	-	-	-	(13)	-	-	(318)	-	-	(331)	(1,261)
(f) Others	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
- Rider	15	-	-	-	15	-	-	-	-	-	-	-	-	-	-	-	-	-	15
<b>Sub Total (B)</b>	<b>(611)</b>	<b>-</b>	<b>(930)</b>	<b>-</b>	<b>(1,541)</b>	<b>(1,786)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(1,786)</b>	<b>(23,451)</b>	<b>-</b>	<b>-</b>	<b>(318)</b>	<b>-</b>	<b>(23,769)</b>	<b>(27,096)</b>	
<b>3 Amount accepted in reinsurance</b>																			
(a) Claims by death	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(b) Claims by maturity	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c) Annuities/Pension payment	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d) Periodical Benefit	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e) Health	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(f) Others	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
- Rider	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Sub Total (C)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Total (A) + (B) + (C)</b>	<b>610,495</b>	<b>36,175</b>	<b>1,224</b>	<b>-</b>	<b>647,894</b>	<b>41,923</b>	<b>-</b>	<b>17,189</b>	<b>-</b>	<b>-</b>	<b>59,112</b>	<b>53,572</b>	<b>15,264</b>	<b>94</b>	<b>194</b>	<b>20,619</b>	<b>89,743</b>	<b>796,749</b>	
Benefits paid (Net)																			
In India	610,495	36,175	1,224	-	647,894	41,923	-	17,189	-	-	59,112	53,572	15,264	94	194	20,619	89,743	796,749	
Outside India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Benefits paid (Net)</b>	<b>610,495</b>	<b>36,175</b>	<b>1,224</b>	<b>-</b>	<b>647,894</b>	<b>41,923</b>	<b>-</b>	<b>17,189</b>	<b>-</b>	<b>-</b>	<b>59,112</b>	<b>53,572</b>	<b>15,264</b>	<b>94</b>	<b>194</b>	<b>20,619</b>	<b>89,743</b>	<b>796,749</b>	

FORM L-7-BENEFITS PAID SCHEDULE  
BENEFITS PAID (NET)

For the half year ended September 30, 2022

(₹ Lakhs)

Particulars	Linked Business					Non-Linked Business												Grand Total	
						Participating						Non-Participating							
	Life	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total		
<b>1 Insurance claims</b>																			
(a) Claims by death	22,914	1,362	109	-	24,385	9,893	-	87	-	-	9,980	118,387	4,035	-	2	4	122,428	156,793	
(b) Claims by maturity	100,885	9,299	-	-	110,184	26,807	-	3,274	-	-	30,081	407	-	-	-	-	407	140,672	
(c) Annuities/Pension payment	-	-	-	-	-	-	-	-	-	-	-	24,623	-	-	-	-	24,623	24,623	
(d) Periodical Benefit	-	-	-	-	-	18,397	-	-	-	-	18,397	2,915	-	-	-	-	2,915	21,312	
(e) Health	-	-	4,031	-	4,031	-	-	-	-	-	-	985	-	-	769	-	1,754	5,785	
(f) Surrenders/Withdrawal	906,499	52,553	-	-	959,052	23,856	-	17,137	-	-	40,993	13,319	607	188	-	21,463	35,577	1,035,622	
(g) Others	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
- Rider	293	-	7	-	300	88	-	6	-	-	94	63	-	-	-	-	63	457	
- Interest on unclaimed amounts	2,278	-	-	-	2,278	-	-	-	-	-	-	-	-	-	-	-	-	2,278	
<b>Sub Total (A)</b>	<b>1,032,869</b>	<b>63,214</b>	<b>4,147</b>	<b>-</b>	<b>1,100,230</b>	<b>79,041</b>	<b>-</b>	<b>20,504</b>	<b>-</b>	<b>-</b>	<b>99,545</b>	<b>136,076</b>	<b>29,265</b>	<b>188</b>	<b>771</b>	<b>21,467</b>	<b>187,767</b>	<b>1,387,542</b>	
<b>Benefits Paid (Gross)</b>																			
In India	1,032,869	63,214	4,147	-	1,100,230	79,041	-	20,504	-	-	99,545	136,076	29,265	188	771	21,467	187,767	1,387,542	
Outside India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
<b>Benefits Paid (Gross)</b>	<b>1,032,869</b>	<b>63,214</b>	<b>4,147</b>	<b>-</b>	<b>1,100,230</b>	<b>79,041</b>	<b>-</b>	<b>20,504</b>	<b>-</b>	<b>-</b>	<b>99,545</b>	<b>136,076</b>	<b>29,265</b>	<b>188</b>	<b>771</b>	<b>21,467</b>	<b>187,767</b>	<b>1,387,542</b>	
<b>2 (Amount ceded in reinsurance)</b>																			
(a) Claims by death	(924)	-	-	-	(924)	(1,826)	-	-	-	-	(1,826)	(38,726)	-	-	-	-	(38,726)	(41,476)	
(b) Claims by maturity	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(c) Annuities/Pension payment	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(d) Periodical Benefit	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(e) Health	-	-	(1,783)	-	(1,783)	-	-	-	-	-	-	(311)	-	-	(489)	-	(800)	(2,583)	
(f) Others	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
- Rider	(15)	-	-	-	(15)	-	-	-	-	-	-	-	-	-	-	-	-	(15)	
<b>Sub Total (B)</b>	<b>(939)</b>	<b>-</b>	<b>(1,783)</b>	<b>-</b>	<b>(2,722)</b>	<b>(1,826)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(1,826)</b>	<b>(39,037)</b>	<b>-</b>	<b>-</b>	<b>(489)</b>	<b>-</b>	<b>(39,526)</b>	<b>(44,074)</b>	
<b>3 Amount accepted in reinsurance</b>																			
(a) Claims by death	-	-	-	-	-	-	-	-	-	-	-	1	-	-	-	-	1	1	
(b) Claims by maturity	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(c) Annuities/Pension payment	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(d) Periodical Benefit	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(e) Health	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(f) Others	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
- Rider	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
<b>Sub Total (C)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1</b>	<b>1</b>	
<b>Total (A) + (B) + (C)</b>	<b>1,031,930</b>	<b>63,214</b>	<b>2,364</b>	<b>-</b>	<b>1,097,508</b>	<b>77,215</b>	<b>-</b>	<b>20,504</b>	<b>-</b>	<b>-</b>	<b>97,719</b>	<b>97,040</b>	<b>29,265</b>	<b>188</b>	<b>282</b>	<b>21,467</b>	<b>148,242</b>	<b>1,343,469</b>	
<b>Benefits paid (Net)</b>																			
In India	1,031,930	63,214	2,364	-	1,097,508	77,215	-	20,504	-	-	97,719	97,040	29,265	188	282	21,467	148,242	1,343,469	
Outside India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
<b>Benefits paid (Net)</b>	<b>1,031,930</b>	<b>63,214</b>	<b>2,364</b>	<b>-</b>	<b>1,097,508</b>	<b>77,215</b>	<b>-</b>	<b>20,504</b>	<b>-</b>	<b>-</b>	<b>97,719</b>	<b>97,040</b>	<b>29,265</b>	<b>188</b>	<b>282</b>	<b>21,467</b>	<b>148,242</b>	<b>1,343,469</b>	

FORM L-7-BENEFITS PAID SCHEDULE  
BENEFITS PAID (NET)

For the quarter ended September 30, 2021

(₹ Lakhs)

Particulars	Linked Business					Non-Linked Business												Grand Total
						Participating						Non-Participating						
	Life	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	
<b>1 Insurance claims</b>																		
(a) Claims by death	30,239	1,770	188	-	32,197	8,005	-	144	-	-	8,149	136,070	1,752	-	-	22	137,844	178,190
(b) Claims by maturity	71,646	5,050	-	-	76,696	13,681	-	546	-	-	14,227	191	-	-	-	-	191	91,114
(c) Annuities/Pension payment	-	-	-	-	-	-	-	-	-	-	-	-	9,891	-	-	-	9,891	9,891
(d) Periodical Benefit	-	-	-	-	-	-	-	-	-	-	10,589	-	-	-	-	-	-	10,589
(e) Health	-	-	2,868	-	2,868	-	-	-	-	-	-	1,030	-	-	315	-	1,345	4,213
(f) Surrenders/Withdrawal	483,517	57,654	-	-	541,171	11,788	-	497	-	-	12,285	5,532	29	14	-	6,964	12,539	565,995
(g) Others	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
- Rider	82	-	2	-	84	52	-	2	-	-	54	52	-	-	-	-	52	190
- Interest on unclaimed amounts	970	-	-	-	970	-	-	-	-	-	-	-	-	-	-	-	-	970
<b>Sub Total (A)</b>	<b>586,454</b>	<b>64,474</b>	<b>3,058</b>	<b>-</b>	<b>653,986</b>	<b>44,115</b>	<b>-</b>	<b>1,189</b>	<b>-</b>	<b>-</b>	<b>45,304</b>	<b>142,875</b>	<b>11,672</b>	<b>14</b>	<b>315</b>	<b>6,986</b>	<b>161,862</b>	<b>861,152</b>
<b>Benefits Paid (Gross)</b>																		
In India	586,454	64,474	3,058	-	653,986	44,115	-	1,189	-	-	45,304	142,875	11,672	14	315	6,986	161,862	861,152
Outside India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Benefits Paid (Gross)</b>	<b>586,454</b>	<b>64,474</b>	<b>3,058</b>	<b>-</b>	<b>653,986</b>	<b>44,115</b>	<b>-</b>	<b>1,189</b>	<b>-</b>	<b>-</b>	<b>45,304</b>	<b>142,875</b>	<b>11,672</b>	<b>14</b>	<b>315</b>	<b>6,986</b>	<b>161,862</b>	<b>861,152</b>
<b>2 (Amount ceded in reinsurance)</b>																		
(a) Claims by death	(2,067)	-	-	-	(2,067)	(9)	-	-	-	-	(9)	(59,337)	-	-	-	-	(59,337)	(61,413)
(b) Claims by maturity	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c) Annuities/Pension payment	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d) Periodical Benefit	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e) Health	-	-	(1,296)	-	(1,296)	-	-	-	-	-	-	(704)	-	-	(257)	-	(961)	(2,257)
(f) Others	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
- Rider	(5)	-	-	-	(5)	-	-	-	-	-	-	-	-	-	-	-	-	(5)
<b>Sub Total (B)</b>	<b>(2,072)</b>	<b>-</b>	<b>(1,296)</b>	<b>-</b>	<b>(3,368)</b>	<b>(9)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(9)</b>	<b>(60,041)</b>	<b>-</b>	<b>-</b>	<b>(257)</b>	<b>-</b>	<b>(60,298)</b>	<b>(63,675)</b>
<b>3 Amount accepted in reinsurance</b>																		
(a) Claims by death	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(b) Claims by maturity	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c) Annuities/Pension payment	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d) Periodical Benefit	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e) Health	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(f) Others	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
- Rider	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Sub Total (C)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Total (A) + (B) + (C)</b>	<b>584,382</b>	<b>64,474</b>	<b>1,762</b>	<b>-</b>	<b>650,618</b>	<b>44,106</b>	<b>-</b>	<b>1,189</b>	<b>-</b>	<b>-</b>	<b>45,295</b>	<b>82,834</b>	<b>11,672</b>	<b>14</b>	<b>58</b>	<b>6,986</b>	<b>101,564</b>	<b>797,477</b>
<b>Benefits paid (Net)</b>																		
In India	584,382	64,474	1,762	-	650,618	44,106	-	1,189	-	-	45,295	82,834	11,672	14	58	6,986	101,564	797,477
Outside India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Benefits paid (Net)</b>	<b>584,382</b>	<b>64,474</b>	<b>1,762</b>	<b>-</b>	<b>650,618</b>	<b>44,106</b>	<b>-</b>	<b>1,189</b>	<b>-</b>	<b>-</b>	<b>45,295</b>	<b>82,834</b>	<b>11,672</b>	<b>14</b>	<b>58</b>	<b>6,986</b>	<b>101,564</b>	<b>797,477</b>

FORM L-7-BENEFITS PAID SCHEDULE  
BENEFITS PAID (NET)

For the half year ended September 30, 2021

(₹ Lakhs)

Particulars	Linked Business					Non-Linked Business												Grand Total
						Participating						Non-Participating						
	Life	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	
<b>1 Insurance claims</b>																		
(a) Claims by death	65,341	2,621	287	-	68,249	16,891	-	285	-	-	17,176	293,187	3,512	-	-	-	32	296,731
(b) Claims by maturity	112,965	9,477	-	-	122,442	23,924	-	1,278	-	-	25,202	391	-	-	-	-	-	391
(c) Annuities/Pension payment	-	-	-	-	-	-	-	-	-	-	-	-	18,857	-	-	-	-	18,857
(d) Periodical Benefit	-	-	-	-	-	-	-	-	-	-	18,236	-	-	-	-	-	-	18,236
(e) Health	-	-	6,140	-	6,140	-	-	-	-	-	-	1,348	-	-	-	598	-	1,946
(f) Surrenders/Withdrawal	802,677	97,376	-	-	900,053	19,150	-	744	-	-	19,894	8,749	35	366	-	-	16,755	25,905
(g) Others	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
- Rider	147	-	4	-	151	100	-	3	-	-	103	100	-	-	-	-	-	100
- Interest on unclaimed amounts	1,771	-	-	-	1,771	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Sub Total (A)</b>	<b>982,901</b>	<b>109,474</b>	<b>6,431</b>	<b>-</b>	<b>1,098,806</b>	<b>78,301</b>	<b>-</b>	<b>2,310</b>	<b>-</b>	<b>-</b>	<b>80,611</b>	<b>303,775</b>	<b>22,404</b>	<b>366</b>	<b>598</b>	<b>16,787</b>	<b>343,930</b>	<b>1,523,347</b>
<b>Benefits Paid (Gross)</b>																		
In India	982,901	109,474	6,431	-	1,098,806	78,301	-	2,310	-	-	80,611	303,775	22,404	366	598	16,787	343,930	1,523,347
Outside India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Benefits Paid (Gross)</b>	<b>982,901</b>	<b>109,474</b>	<b>6,431</b>	<b>-</b>	<b>1,098,806</b>	<b>78,301</b>	<b>-</b>	<b>2,310</b>	<b>-</b>	<b>-</b>	<b>80,611</b>	<b>303,775</b>	<b>22,404</b>	<b>366</b>	<b>598</b>	<b>16,787</b>	<b>343,930</b>	<b>1,523,347</b>
<b>2 (Amount ceded in reinsurance)</b>																		
(a) Claims by death	(4,429)	-	-	-	(4,429)	(890)	-	-	-	-	(890)	(153,243)	-	-	-	-	-	(153,243)
(b) Claims by maturity	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c) Annuities/Pension payment	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d) Periodical Benefit	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e) Health	-	-	(2,815)	-	(2,815)	-	-	-	-	-	-	(819)	-	-	(430)	-	-	(1,249)
(f) Others	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
- Rider	(10)	-	-	-	(10)	(1)	-	-	-	-	(1)	-	-	-	-	-	-	(11)
<b>Sub Total (B)</b>	<b>(4,439)</b>	<b>-</b>	<b>(2,815)</b>	<b>-</b>	<b>(7,254)</b>	<b>(891)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(891)</b>	<b>(154,062)</b>	<b>-</b>	<b>-</b>	<b>(430)</b>	<b>-</b>	<b>(154,492)</b>	<b>(162,637)</b>
<b>Amount accepted in reinsurance</b>																		
(a) Claims by death	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(b) Claims by maturity	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c) Annuities/Pension payment	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d) Periodical Benefit	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e) Health	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(f) Others	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
- Rider	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Sub Total (C)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Total (A) + (B) + (C)</b>	<b>978,462</b>	<b>109,474</b>	<b>3,616</b>	<b>-</b>	<b>1,091,552</b>	<b>77,410</b>	<b>-</b>	<b>2,310</b>	<b>-</b>	<b>-</b>	<b>79,720</b>	<b>149,713</b>	<b>22,404</b>	<b>366</b>	<b>168</b>	<b>16,787</b>	<b>189,438</b>	<b>1,360,710</b>
<b>Benefits paid (Net)</b>																		
In India	978,462	109,474	3,616	-	1,091,552	77,410	-	2,310	-	-	79,720	149,713	22,404	366	168	16,787	189,438	1,360,710
Outside India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Benefits paid (Net)</b>	<b>978,462</b>	<b>109,474</b>	<b>3,616</b>	<b>-</b>	<b>1,091,552</b>	<b>77,410</b>	<b>-</b>	<b>2,310</b>	<b>-</b>	<b>-</b>	<b>79,720</b>	<b>149,713</b>	<b>22,404</b>	<b>366</b>	<b>168</b>	<b>16,787</b>	<b>189,438</b>	<b>1,360,710</b>

Name of the Insurer: ICICI Prudential Life Insurance Company Limited  
Schedules forming part of condensed standalone financial statements

FORM L-8- SHARE CAPITAL SCHEDULE  
SHARE CAPITAL

(₹ Lakhs)

Particulars	As at September 30, 2022	As at March 31, 2022	As at September 30, 2021
<b>Authorised capital</b>			
1,500,000,000 Equity shares of ₹ 10/- each	150,000	150,000	150,000
Preference shares of ₹ ..... each	-	-	-
<b>Issued Capital</b>			
1,438,545,246 Equity shares of ₹ 10/- each fully paid up (March 31, 2022: 1,437,306,101; September 30, 2021: 1,437,121,151)	143,855	143,731	143,712
Preference shares of ₹ ..... each	-	-	-
<b>Subscribed Capital</b>			
1,438,545,246 Equity shares of ₹ 10/- each fully paid up (March 31, 2022: 1,437,306,101; September 30, 2021: 1,437,121,151)	143,855	143,731	143,712
Preference shares of ₹ ..... each	-	-	-
<b>Called up Capital</b>			
1,438,545,246 Equity shares of ₹ 10/- each fully paid up (March 31, 2022: 1,437,306,101; September 30, 2021: 1,437,121,151)	143,855	143,731	143,712
Less : Calls unpaid	-	-	-
Add : Shares forfeited (Amount originally paid up)	-	-	-
Less : Par value of Equity Shares bought back	-	-	-
Less : Preliminary Expenses	-	-	-
Less : Expenses including commission or brokerage	-	-	-
Less : Underwriting or subscription of shares	-	-	-
Preference shares of ₹ ..... Each	-	-	-
<b>Total</b>	<b>143,855</b>	<b>143,731</b>	<b>143,712</b>

Out of the total equity share capital, 737,605,504 equity shares (March 31, 2022 - 737,605,504 equity shares; September 30, 2021 - 737,605,504 equity shares) of ₹ 10 each are held by the holding company, ICICI Bank Limited.



Name of the Insurer: ICICI Prudential Life Insurance Company Limited  
Schedules forming part of condensed standalone financial statements

FORM L9- PATTERN OF SHAREHOLDING SCHEDULE

PATTERN OF SHAREHOLDING

[As certified by the Management]

Particular	As at September 30, 2022		As at March 31, 2022		As at September 30, 2021	
	Number of Shares	% of Holding	Number of Shares	% of Holding	Number of Shares	% of Holding
Promoters						
Indian (ICICI Bank Limited)	737,605,504	51.27	737,605,504	51.32	737,605,504	51.33
Foreign (Prudential Corporation Holdings Limited)	317,517,279	22.07	317,517,279	22.09	317,517,279	22.09
Investors						
Indian	112,623,635	7.83	115,705,773	8.05	103,986,505	7.23
Foreign	270,798,828	18.82	266,477,545	18.54	278,011,863	19.35
Others	-	-	-	-	-	-
<b>Total</b>	<b>1,438,545,246</b>	<b>100.00</b>	<b>1,437,306,101</b>	<b>100.00</b>	<b>1,437,121,151</b>	<b>100.00</b>

## FORM L-9A-SHAREHOLDING PATTERN

## Details Of Equity Holding Of Insurers

## Part A:

Particulars Of The Shareholding Pattern Of ICICI Prudential Life Insurance Company  
As at Quarter ended September 30, 2022

Sr. No.	Category	No. of Investors	No. of shares held	% of share-holdings	Paid up equity (Rs. In lakhs)	Shares pledged or otherwise encumbered		Shares under Lock in Period	
						Number of shares (VI)	As a percentage of Total Shares held (VII) = (VI)/(III)*100	Number of shares (VIII)	As a percentage of Total Shares held (IX) = (VIII)/(III)*100
(I)	(II)		(III)	(IV)	(V)				
<b>A</b>	<b>Promoters &amp; Promoters Group</b>								
<b>A.1</b>	<b>Indian Promoters</b>								
i)	Individuals/HUF (Names of major shareholders):								
	(i)	-	-	-	-	-	-	-	-
	(ii)	-	-	-	-	-	-	-	-
	(iii)	-	-	-	-	-	-	-	-
ii)	Bodies Corporate:								
	(i)	-	-	-	-	-	-	-	-
	(ii)	-	-	-	-	-	-	-	-
	(iii)	-	-	-	-	-	-	-	-
iii)	Financial Institutions/ Banks	1	737,605,504	51.27	73,761				
	ICICI Bank Limited	1	737,605,504	51.27	73,761				
iv)	Central Government/ State Government(s) / President of India	-	-	-	-	-	-	-	-
v)	Persons acting in concert (Please specify)	-	-	-	-	-	-	-	-
vi)	Any other (Please specify)	-	-	-	-	-	-	-	-
<b>A.2</b>	<b>Foreign Promoters</b>								
i)	Individuals (Name of major shareholders):								
	(i)	-	-	-	-	-	-	-	-
	(ii)	-	-	-	-	-	-	-	-
	(iii)	-	-	-	-	-	-	-	-
ii)	Bodies Corporate:	1	317,517,279	22.07	31,752				
	(i) PRUDENTIAL CORPORATION HOLDINGS LIMITED	1	317,517,279	22.07	31,752				
	(ii)	-	-	-	-	-	-	-	-
	(iii)	-	-	-	-	-	-	-	-
iii)	Any other (Please specify)	-	-	-	-	-	-	-	-
<b>B.</b>	<b>Non Promoters</b>								
<b>B.1</b>	<b>Public Shareholders</b>								
1.1)	Institutions								
i)	Mutual Funds	22	54,435,815	3.78	5,444	NA	NA	-	-
	SBI FUNDS MANAGEMENT PRIVATE LIMITED								
	AND VARIOUS MUTUAL FUND ACCOUNTS	1	33,211,006	2.31	3,321	NA	NA	-	-
ii)	Foreign Portfolio Investors	584	239,034,108	16.62	23,903	NA	NA	-	-
iii)	Financial Institutions/Banks	2	1,650,389	0.11	165	NA	NA	-	-
iv)	Insurance Companies	17	10,619,434	0.74	1,062	NA	NA	-	-
v)	FI belonging to Foreign promoter	-	-	-	-	NA	NA	-	-
vi)	FI belonging to Foreign Promoter of Indian Promoter	-	-	-	-	NA	NA	-	-
vii)	Provident Fund/Pension Fund	1	5,357,568	0.37	536	NA	NA	-	-
viii)	Alternative Investment Fund	4	336,606	0.02	34	NA	NA	-	-
ix)	Any other (Please specify)	1	211,061	0.01	21	NA	NA	-	-
	Qualified Institutional Buyer	1	211,061	0.01	21	NA	NA	-	-
1.2)	Central Government/ State Government(s)/ President of India	-	-	-	-	NA	NA	-	-
1.3)	Shareholding by Companies or Bodies								
	Corporate where Central / State Government is a promoter	1	4488	-	-	NA	NA	-	-
1.4)	Non-Institutions								
i)	Individual share capital upto Rs. 2 Lacs	348,581	29,440,680	2.05	2,944	NA	NA	-	-
ii)	Individual share capital in excess of Rs. 2 Lacs	99	5,015,295	0.35	502	NA	NA	-	-
iii)	NBFCs registered with RBI	1	21,000	0.00	2	NA	NA	-	-
iv)	Others:	16,625	8,578,271	0.60	858	NA	NA	-	-
	- Trusts	18	73,226	0.01	7	NA	NA	-	-
	- Non Resident Indian	5,078	2,835,911	0.20	284	NA	NA	-	-
	- Clearing Members	66	1,418,870	0.10	142	NA	NA	-	-
	- HUF	10,576	1,118,286	0.08	112	NA	NA	-	-
	- Bodies Corporate	883	2,776,362	0.19	278	NA	NA	-	-
	- Key Managerial Personnel	1	146,875	0.01	15	NA	NA	-	-
	- Directors and their relatives								
	(excluding independent directors and nominee directors)	3	208,741	0.01	21	NA	NA	-	-
	- IEPF	-	-	-	-	NA	NA	-	-
v)	Any other (Please Specify)	1	28,717,748	2.00	2,872	NA	NA	-	-
	FOREIGN NATIONALS	-	-	-	-	NA	NA	-	-
	FOREIGN BODIES	1	28,717,748	2.00	2,872	NA	NA	-	-
	COMPASSVALE INVESTMENTS PTE. LTD.	1	28,717,748	2.00	2,872	NA	NA	-	-
<b>B.2</b>	<b>Non Public Shareholders</b>								
2.1)	Custodian/DR Holder	-	-	-	-	NA	NA	-	-
2.2)	Employee Benefit Trust	-	-	-	-	NA	NA	-	-
2.3)	Any other (Please specify)	-	-	-	-	NA	NA	-	-
<b>Total</b>		<b>365,941</b>	<b>1,438,545,246</b>	<b>100</b>	<b>143,854</b>	<b>NA</b>	<b>NA</b>	<b>-</b>	<b>-</b>

## FORM L-9A-SHAREHOLDING PATTERN

## Details Of Equity Holding Of Insurers

## Particulars Of The Shareholding Pattern In The Indian Promoter Company(S) /Indian Investor(S) As Indicated At (A) Above

## Part B:

Name of the Indian Promoter / Indian Investor: ICICI Bank Limited

Sl. No.	Category	No. of Investors	No. of shares held	% of share-holdings	Paid up equity (Rs. In lakhs)	Shares pledged or otherwise encumbered		Shares under Lock in Period	
(I)	(II)		(III)	(IV)	(V)	Number of shares (VI)	As a percentage of Total Shares held (VII) = (VI)/(III)*100	Number of shares (VIII)	As a percentage of Total Shares held (IX) = (VIII)/(III)*100
<b>A</b>	<b>Promoters &amp; Promoters Group</b>								
<b>A.1</b>	<b>Indian Promoters</b>								
i)	Individuals/HUF (Names of major shareholders):								
	(i)	-	-	-	-	-	-	-	-
	(ii)	-	-	-	-	-	-	-	-
	(iii)	-	-	-	-	-	-	-	-
ii)	Bodies Corporate:								
	(i)	-	-	-	-	-	-	-	-
	(ii)	-	-	-	-	-	-	-	-
	(iii)	-	-	-	-	-	-	-	-
iii)	Financial Institutions/ Banks	-	-	-	-	-	-	-	-
iv)	Central Government/ State Government(s) / President of India	-	-	-	-	-	-	-	-
v)	Persons acting in concert (Please specify)	-	-	-	-	-	-	-	-
vi)	Any other (Please specify)	-	-	-	-	-	-	-	-
<b>A.2</b>	<b>Foreign Promoters</b>								
i)	Individuals (Name of major shareholders):								
	(i)	-	-	-	-	-	-	-	-
	(ii)	-	-	-	-	-	-	-	-
	(iii)	-	-	-	-	-	-	-	-
ii)	Bodies Corporate:								
	(i)	-	-	-	-	-	-	-	-
	(ii)	-	-	-	-	-	-	-	-
	(iii)	-	-	-	-	-	-	-	-
iii)	Any other (Please specify)	-	-	-	-	-	-	-	-
<b>B.</b>	<b>Non Promoters</b>								
<b>B.1</b>	<b>Public Shareholders</b>								
1.1)	Institutions								
i)	Mutual Funds	84	1,665,125,632	23.90	33,303	13,117,322	0.79	-	-
	SBI MUTUAL FUND	1	390,755,003	5.61	7,815	-	-	-	-
	ICICI PRUDENTIAL MUTUAL FUND	1	201,302,162	2.89	4,026	-	-	-	-
	HDFC MUTUAL FUND	1	149,674,223	2.15	2,993	-	-	-	-
	UTI MUTUAL FUND	1	125,184,889	1.80	2,504	-	-	-	-
	KOTAK MAHINDRA MUTUAL FUND	1	104,139,867	1.49	2,083	-	-	-	-
	ADITYA BIRLA SUN LIFE MUTUAL FUND	1	98,079,589	1.41	1,962	-	-	-	-
	NIPPON INDIA MUTUAL FUND	1	90,068,926	1.29	1,801	-	-	-	-
	AXIS MUTUAL FUND	1	90,773,088	1.30	1,815	-	-	-	-
	MIRAE ASSET MUTUAL FUND	1	78,217,692	1.12	1,564	-	-	-	-
ii)	Foreign Portfolio Investors	1,553	2,588,923,649	37.16	51,778	-	-	-	-
	GOVERNMENT OF SINGAPORE	1	213,930,935	3.07	4,279	-	-	-	-
	DODGE & COX INTERNATIONAL STOCK FUND	1	96,186,676	1.38	1,924	-	-	-	-
	EUROPACIFIC GROWTH FUND	1	85,842,532	1.23	1,717	-	-	-	-
iii)	Financial Institutions/Banks	183	5,287,999	0.08	106	-	-	-	-
iv)	Insurance Companies	44	734,926,855	10.55	14,699	-	-	-	-
	LIFE INSURANCE CORPORATION OF INDIA	1	457,715,803	6.57	9,154	-	-	-	-
	SBI LIFE INSURANCE COMPANY LIMITED	1	80,048,890	1.15	1,601	-	-	-	-
v)	FII belonging to Foreign promoter	-	-	-	-	-	-	-	-
vi)	FII belonging to Foreign Promoter of Indian Promoter	-	-	-	-	-	-	-	-
vii)	Provident Fund/Pension Fund	1	131,792,360	1.89	2,636	-	-	-	-
	NPS Trust	1	131,792,360	1.89	2,636	-	-	-	-
viii)	Alternative Investment Fund	94	39,151,231	0.56	783	1,310,389	3.35	-	-
ix)	Any other (Please specify)	6	220,546	0.00	4	-	-	-	-
	Foreign banks	6	220,546	0.00	4	-	-	-	-
1.2)	Central Government/ State Government(s)/ President of India	13	13,854,775	0.20	277	-	-	-	-
1.3)	Non-Institutions								
i)	Individual share capital upto Rs. 2 Lacs	1,728,587	385,354,767	5.53	7,707	25,610,534	6.65	-	-
ii)	Individual share capital in excess of Rs. 2 Lacs	223	72,050,376	1.03	1,441	4,313,140	5.99	-	-
iii)	NBFCs registered with RBI	31	14,704,049	0.21	294	156,850	1.07	-	-
iv)	Others:	45,174	113,215,358	1.62	2,264	10,010,901	8.84	-	-
	- Trusts	99	2,008,032	0.03	40	52,123	2.60	-	-
	- Non Resident Indian	21,893	14,744,589	0.21	295	217,028	1.47	-	-
	- Clearing Members	189	3,630,163	0.05	73	-	-	-	-
	- Non Resident Indian Non Repatriable	15,787	12,801,456	0.18	256	61,020	0.48	-	-
	- Bodies Corporate	7,205	71,819,031	1.03	1,436	9,680,730	13.48	-	-
	- IEPF	1	8,212,117	0.12	164	-	-	-	-
v)	Any other (Please Specify)	21,484	19,556,101	0.28	391	1,184,093	6.05	-	-
	HUF	21,455	8,962,852	0.13	179	1,184,093	13.21	-	-
	OCBs2	1	3,300	0.00	0	-	-	-	-
	FOREIGN COMPANIES	3	155,019	0.00	3	-	-	-	-
	FOREIGN NATIONALS/ FOREIGN PORTFOLIO INVESTOR (INDIVIDUAL)	19	17,896	0.00	0	-	-	-	-
	FOREIGN BODIES - DR	6	10,417,034	0.15	208	-	-	-	-
<b>B.2</b>	<b>Non Public Shareholders</b>								
2.1)	Custodian/DR Holder	1	1,183,713,049	16.99	23,674	-	-	-	-
	Deutsche Bank Trust Company Americas (Depository for ADS holders)	1	1,183,713,049	16.99	23,674	-	-	-	-
2.2)	Employee Benefit Trust	-	-	-	-	-	-	-	-
2.3)	Any other (Please specify)	-	-	-	-	-	-	-	-
<b>Total</b>		<b>1,797,478</b>	<b>6,967,876,747</b>	<b>100</b>	<b>139,358</b>	<b>55,703,229</b>	<b>1</b>		

Name of the Insurer: ICICI Prudential Life Insurance Company Limited  
Schedules forming part of condensed standalone financial statements

FORM L-10-RESERVES AND SURPLUS SCHEDULE  
RESERVES AND SURPLUS

(₹ Lakhs)

Particulars	As at September 30, 2022	As at March 31, 2022	As at September 30, 2021
Capital reserves	-	-	-
Capital redemption reserve	-	-	-
Share premium :			
- Opening balance	348,251	343,299	343,299
- Add:- Addition made during the period ended	4,648	4,952	4,250
- Less:- Reduction made during the period ended	-	-	-
Closing balance	352,899	348,251	347,549
Revaluation reserve:			
- Opening balance	3,143	2,335	2,335
- Add:- Addition made during the period ended	-	808	-
- Less:- Reduction made during the period ended	-	-	-
Closing balance	3,143	3,143	2,335
General reserve			
Opening balance	-	-	-
Less: Transfer to Profit and Loss	-	-	-
Closing balance	-	-	-
Less: Debit balance in Profit and Loss Account	-	-	-
Less: Amount utilized for buy-back	-	-	-
Less: Amount utilized for issue of Bonus shares	-	-	-
Catastrophe reserve	-	-	-
Other reserves	-	-	-
Balance of profit in Profit and Loss Account	435,371	407,760	358,232
<b>Total</b>	<b>791,413</b>	<b>759,154</b>	<b>708,116</b>

Name of the Insurer: ICICI Prudential Life Insurance Company Limited  
Schedules forming part of condensed standalone financial statements

FORM L11-BORROWING SCHEDULE  
BORROWINGS

(₹ Lakhs)

Particulars	As at September 30, 2022	As at March 31, 2022	As at September 30, 2021
In the form of Debentures/ Bonds*	120,000	120,000	120,000
From Banks	-	-	-
From Financial Institutions	-	-	-
From Others	-	-	-
<b>Total</b>	<b>120,000</b>	<b>120,000</b>	<b>120,000</b>

\*Amounts due within 12 months from the date of Balance Sheet: Nil

Unsecured, subordinated, nonconvertible debentures issued as per IRDAI (Other Forms of Capital) Regulations, 2015

Name of the Insurer: ICICI Prudential Life Insurance Company Limited  
Schedules forming part of condensed standalone financial statements

FORM L-12-INVESTMENTS SHAREHOLDERS SCHEDULE  
INVESTMENTS- SHAREHOLDERS

(₹ Lakhs)

Particulars	As at September 30, 2022	As at March 31, 2022	As at September 30, 2021
<b>LONG TERM INVESTMENT</b>			
<b>Government securities and Government guaranteed bonds including Treasury Bills<sup>2,3</sup></b>	392,952	378,719	328,260
(Market value at September 30, 2022: ₹ 363,692 Lakhs)			
(Market value at March 31, 2022: ₹ 362,441 Lakhs)			
(Market value at September 30, 2021: ₹ 322,750 Lakhs)			
<b>Other approved securities</b>	49,181	52,273	53,454
(Market value at September 30, 2022: ₹ 47,802 Lakhs)			
(Market value at March 31, 2022: ₹ 52,702 Lakhs)			
(Market value at September 30, 2021: ₹ 54,913 Lakhs)			
<b>Other investments (Approved investments)</b>			
Equity shares	60,031	71,094	59,515
(Historical value at September 30, 2022: ₹ 49,352 Lakhs)			
(Historical value at March 31, 2022: ₹ 65,666 Lakhs)			
(Historical value at September 30, 2021: ₹ 45,983 Lakhs)			
Preference shares	-	-	-
(Market value at September 30, 2022: Nil)			
(Market value at March 31, 2022: Nil)			
(Market value at September 30, 2021: Nil)			
Mutual fund	-	-	-
(Historical value at September 30, 2022: Nil)			
(Historical value at March 31, 2022: Nil)			
(Historical value at September 30, 2021: Nil)			
Derivative Instruments	-	-	-
(Historical value at September 30, 2022: Nil)			
(Historical value at March 31, 2022: Nil)			
(Historical value at September 30, 2021: Nil)			
Debentures/Bonds	34,633	34,714	26,797
(Market value at September 30, 2022: ₹ 33,904 Lakhs)			
(Market value at March 31, 2022: ₹ 35,498 Lakhs)			
(Market value at September 30, 2021: ₹ 27,373 Lakhs)			
CCIL deposit	2,425	2,225	2,225
(Market value at September 30, 2022: ₹ 2,425 Lakhs)			
(Market value at March 31, 2022: ₹ 2,225 Lakhs)			
(Market value at September 30, 2021: ₹ 2,225 Lakhs)			
Fixed deposits	14,225	13,325	12,425
(Market value at September 30, 2022: ₹ 14,225 Lakhs)			
(Market value at March 31, 2022: ₹ 13,325 Lakhs)			
(Market value at September 30, 2021: ₹ 12,425 Lakhs)			
Investments in subsidiary	6,000	6,000	6,000
Investment Properties - Real Estate	39,654	39,654	38,845
(Historical value at September 30, 2022: ₹ 36,510 Lakhs)			
(Historical value at March 31, 2022: ₹ 36,510 Lakhs)			
(Historical value at September 30, 2021: ₹ 36,510 Lakhs)			
<b>Investments in infrastructure/housing sector</b>			
Other investments (Approved investments)			
Equity shares <sup>7</sup>	7,180	6,979	3,139
(Historical value at September 30, 2022: ₹ 64,566 Lakhs)			
(Historical value at March 31, 2022: ₹ 6,683 Lakhs)			
(Historical value at September 30, 2021: ₹ 2,988 Lakhs)			

Name of the Insurer: ICICI Prudential Life Insurance Company Limited  
Schedules forming part of condensed standalone financial statements

FORM L-12-INVESTMENTS SHAREHOLDERS SCHEDULE  
INVESTMENTS- SHAREHOLDERS

(₹ Lakhs)

Particulars	As at September 30, 2022	As at March 31, 2022	As at September 30, 2021
Debentures/Bonds (Market value at September 30, 2022: ₹ 121,638 Lakhs) (Market value at March 31, 2022: ₹ 121,050 Lakhs) (Market value at September 30, 2021: ₹ 132,975 Lakhs)	121,440	116,642	125,880
<b>Other than approved investments</b>			
Equity shares (Historical value at September 30, 2022: Nil) (Historical value at March 31, 2022: Nil) (Historical value at September 30, 2021: Nil)	-	-	-
<b>Other than approved investments</b>			
Equity shares <sup>7</sup> (Historical value at September 30, 2022: ₹ 123,997 Lakhs) (Historical value at March 31, 2022: ₹ 108,096 Lakhs) (Historical value at September 30, 2021: ₹ 126,391 Lakhs)	139,666	115,492	136,262
Preference shares (Market value at September 30, 2022: ₹ 3,500 Lakhs) (Market value at March 31, 2022: ₹ 3,500 Lakhs) (Market value at September 30, 2021: ₹ 3,500 Lakhs)	3,500	3,500	3,500
Mutual fund (Historical value at September 30, 2022: Nil) (Historical value at March 31, 2022: Nil) (Historical value at September 30, 2021: Nil)	-	-	-
Debentures/Bonds (Market value at September 30, 2022: Nil) (Market value at March 31, 2022: Nil) (Market value at September 30, 2021: Nil)	-	-	-
<b>SHORT TERM INVESTMENT</b>			
<b>Government securities and Government guaranteed bonds including Treasury Bills<sup>2,3</sup></b> (Market value at September 30, 2022: ₹ 5,284 Lakhs) (Market value at March 31, 2022: Nil) (Market value at September 30, 2021: Nil)	4,584	-	-
<b>Other approved securities</b> (Market value at September 30, 2022: ₹ 3,977 Lakhs) (Market value at March 31, 2022: ₹ 4,997 Lakhs) (Market value at September 30, 2021: ₹ 4,052 Lakhs)	3,951	4,962	3,972
<b>Other investments (Approved investments)</b>			
Equity shares (Historical value at September 30, 2022: Nil) (Historical value at March 31, 2022: Nil) (Historical value at September 30, 2021: Nil)	-	-	-
Preference shares (Market value at September 30, 2022: Nil) (Market value at March 31, 2022: Nil) (Market value at September 30, 2021: ₹ 755 Lakhs)	-	-	699
Mutual fund (Historical value at September 30, 2022: ₹ 20,001 Lakhs) (Historical value at March 31, 2022: ₹ 10,001 Lakhs) (Historical value at September 30, 2021: ₹ 10,001 Lakhs)	20,614	10,301	10,124
Derivative Instruments (Historical value at September 30, 2022: Nil) (Historical value at March 31, 2022: Nil) (Historical value at September 30, 2021: Nil)	-	-	-
Debentures/Bonds (Market value at September 30, 2022: Nil) (Market value at March 31, 2022: ₹ 10,048 Lakhs) (Market value at September 30, 2021: ₹ 7,658 Lakhs)	-	10,001	7,499

Name of the Insurer: ICICI Prudential Life Insurance Company Limited  
Schedules forming part of condensed standalone financial statements

FORM L-12-INVESTMENTS SHAREHOLDERS SCHEDULE  
INVESTMENTS- SHAREHOLDERS

(₹ Lakhs)

Particulars	As at September 30, 2022	As at March 31, 2022	As at September 30, 2021
Fixed deposits <sup>4</sup> (Market value at September 30, 2022: ₹ 20,055 Lakhs) (Market value at March 31, 2022: ₹ 20,055 Lakhs) (Market value at September 30, 2021: ₹ 5,055 Lakhs)	20,055	20,055	5,055
Triparty Repo (Market value at September 30, 2022: ₹ 29,532 Lakhs) (Market value at March 31, 2022: ₹ 92,642 Lakhs) (Market value at September 30, 2021: ₹ 24,409 Lakhs)	29,532	92,642	24,409
Commercial papers (Market value at September 30, 2022: Nil) (Market value at March 31, 2022: Nil) (Market value at September 30, 2021: Nil)	-	-	-
Investments in subsidiary	-	-	-
Investment Properties - Real Estate (Historical value at September 30, 2022: Nil) (Historical value at March 31, 2022: Nil) (Historical value at June 30, 2021: Nil)	-	-	-
<b>Investments in infrastructure/housing sector</b>			
<b>Other investments (Approved investments)</b>			
Debentures/Bonds (Market value at September 30, 2022: ₹ 9,034 Lakhs) (Market value at March 31, 2022: ₹ 6,981 Lakhs) (Market value at September 30, 2021: ₹ 1,288 Lakhs)	9,031	6,769	1,275
Commercial papers (Market value at September 30, 2022: Nil) (Market value at March 31, 2022: Nil) (Market value at September 30, 2021: Nil)	-	-	-
Certificate of deposits (Market value at September 30, 2022: Nil) (Market value at March 31, 2022: Nil) (Market value at September 30, 2021: Nil)	-	-	-
<b>Other than approved investments</b>			
Debentures/Bonds (Market value at September 30, 2022: Nil) (Market value at March 31, 2022: Nil) (Market value at September 30, 2021: ₹ 7,673 Lakhs)	-	-	7,493
<b>Total</b>	<b>958,653</b>	<b>985,347</b>	<b>856,829</b>
In India	958,653	985,347	856,829
<b>Total</b>	<b>958,653</b>	<b>985,347</b>	<b>856,829</b>



NOTES TO FORM L-12

(₹ Lakhs)

Sr. No.	Particulars	As at September 30, 2022	As at March 31, 2022	As at September 30, 2021
1	Aggregate amount of company's investments:			
	a) Other than equity securities, mutual fund, investments in subsidiary, investment in property and derivative instruments	685,508	735,827	602,945
	b) Market value of above Investments	655,068	725,466	607,050
	c) Aggregate amount of company's investments in mutual fund, equity and investments in subsidiary and investment in property (at cost subject to impairment)	242,316	232,956	227,873
2	Government Securities deposited with the Clearing Corporation of India Ltd (CCIL) as Settlement Guarantee Fund (SGF) deposit and Tri-Party Repo deposit			
	a) Amortised cost	Nil	Nil	Nil
	b) Market Value of above investment	Nil	Nil	Nil
3	Government Securities deposited with the Clearing Corporation of India Ltd (CCIL) as CCIL default fund deposit			
	a) Amortised cost	796	794	791
	b) Market Value of above investment	802	822	834
4	Deposits towards margin requirement for equity trade settlement - Deposited with National Securities Clearing Corporation Limited (NSCCL)	10,536	10,301	10,124
5	Investment in holding company at amortised cost	Nil	Nil	Nil
6	Investment in subsidiary company at acquisition cost	6,000	6,000	6,000
7	Equity shares includes shares transferred under securities lending and borrowing scheme (SLB) where the Company retains all the associated risk and rewards on these securities	Nil	Nil	Nil
8	Investment made out of catastrophe reserve	Nil	Nil	Nil

Note:

1. Debt Securities are held to maturity and reduction in market values represent market conditions and not a permanent diminution in value of investments, if any.

FORM L-13-INVESTMENTS POLICYHOLDERS SCHEDULE  
INVESTMENTS - POLICYHOLDERS

(₹ Lakhs)

Particulars	As at September 30, 2022												Grand Total	
	Non-Linked Business													
	Participating						Non-Participating							
	Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total		
<b>LONG TERM INVESTMENT</b>														
<b>Government securities and Government guaranteed bonds including Treasury Bills<sup>2</sup></b> (Market value: ₹ 46,58,515 Lakhs)	1,108,369	-	71,739	-	-	1,180,108	2,806,066	856,285	16,721	17,132	-	3,696,204	4,876,312	
<b>Other approved securities</b> (Market value: ₹ 586,973 Lakhs)	164,312	-	22,882	-	-	187,194	302,893	72,697	31,069	2,255	2,717	411,631	598,825	
<b>Other investments (approved investments)</b>														
Equity shares (Historical value: ₹ 437,534 Lakhs)	426,418	-	10,546	-	-	436,964	203,539	-	-	-	-	203,539	640,503	
Preference shares (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-	
Mutual fund (Historical value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-	
Derivative Instruments (Historical value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-	
Debentures/Bonds (Market value: ₹ 214,442 Lakhs)	40,393	-	7,765	-	-	48,157	134,841	5,141	19,926	-	1,859	161,767	209,924	
Fixed deposits (Market value: ₹ 35,156 Lakhs)	-	-	-	-	-	-	35,156	-	-	-	-	35,156	35,156	
Investments in subsidiary Investment Properties - Real Estate (Historical value: ₹ 54,04 Lakhs)	8,900	-	-	-	-	8,900	-	-	-	-	-	-	8,900	
<b>Investments in infrastructure/housing sector</b>														
<b>Other investments (approved investments)</b>														
Equity shares (Historical value: ₹ 47,905 Lakhs)	49,221	-	1,146	-	-	50,366	22,647	-	-	-	-	22,647	73,014	
Debentures/Bonds (Market value: ₹ 1,182,738 Lakhs)	560,275	-	38,179	-	-	598,454	399,732	170,141	31,361	506	7,105	608,845	1,207,299	
<b>Other than approved investments</b>														
Equity shares (Historical value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-	
Debentures/Bonds (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-	
<b>Other than approved investments</b>														
Equity shares (Historical value: ₹ 97,170 Lakhs)	85,892	-	-	-	-	85,892	59,307	-	-	-	-	59,307	145,199	
Mutual fund (Historical value: ₹ 38,990 Lakhs)	42,940	-	-	-	-	42,940	-	-	-	-	-	-	42,940	
Debentures/Bonds (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-	
<b>SHORT TERM INVESTMENT</b>														
<b>Government securities and Government guaranteed bonds including Treasury Bills</b> (Market value: ₹ 35,307 Lakhs)	22,359	-	1,002	-	-	23,361	4,792	-	1,384	1,113	-	7,289	30,650	
<b>Other approved securities</b> (Market value: ₹ 31,572 Lakhs)	28,276	-	48	-	-	28,324	2,566	584	-	-	-	3,150	31,474	
<b>Other investments (approved investments)</b>														
Equity shares (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-	
Preference shares (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-	
Mutual fund (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-	
Derivative Instruments (Historical value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-	
Debentures/Bonds (Market value: ₹ 1,504 Lakhs)	500	-	-	-	-	500	-	-	-	-	1,000	1,000	1,499	
Certificate of deposits (Market value: ₹ 9,418 Lakhs)	-	-	-	-	-	-	9,418	-	-	-	-	9,418	9,418	
Commercial papers (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-	
Triparty Repo (Market value: ₹ 359,697 Lakhs)	223,722	-	20,737	-	-	244,459	100,025	4,717	7,513	256	2,727	115,238	359,697	
Fixed deposits (Market value: ₹ 13,000 Lakhs)	7,000	-	-	-	-	7,000	6,000	-	-	-	-	6,000	13,000	
Investments in subsidiary Investment Properties - Real Estate (Historical value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-	
<b>Investments in infrastructure/housing sector</b>														
<b>Other investments (approved investments)</b>														
Debentures/Bonds (Market value: ₹ 112,402 Lakhs)	91,301	-	499	-	-	91,800	20,674	499	-	-	-	21,174	112,974	
Commercial papers (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-	
Certificate of deposits (Market value: ₹ 14,740 Lakhs)	14,740	-	-	-	-	14,740	-	-	-	-	-	-	14,740	
<b>Other than approved investments</b>														
Debentures/Bonds (Market value: ₹ 5,480 Lakhs)	500	-	-	-	-	500	4,997	-	-	-	-	4,997	5,498	
Venture fund (Market value: ₹ 330 Lakhs)	193	-	-	-	-	193	-	-	-	-	-	-	193	
<b>Total</b>	<b>2,875,310</b>	<b>-</b>	<b>174,543</b>	<b>-</b>	<b>-</b>	<b>3,049,853</b>	<b>4,112,652</b>	<b>1,110,064</b>	<b>107,974</b>	<b>21,263</b>	<b>15,407</b>	<b>5,367,359</b>	<b>8,417,212</b>	
In India	2,875,310	-	174,543	-	-	3,049,853	4,112,652	1,110,064	107,974	21,263	15,407	5,367,359	8,417,212	
<b>Total</b>	<b>2,875,310</b>	<b>-</b>	<b>174,543</b>	<b>-</b>	<b>-</b>	<b>3,049,853</b>	<b>4,112,652</b>	<b>1,110,064</b>	<b>107,974</b>	<b>21,263</b>	<b>15,407</b>	<b>5,367,359</b>	<b>8,417,212</b>	

FORM L-13-INVESTMENTS POLICYHOLDERS SCHEDULE  
INVESTMENTS - POLICYHOLDERS

(₹ Lakhs)

Particulars	As at March 31, 2022												Grand Total
	Non-Linked Business						Non-Participating						
	Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	
<b>LONG TERM INVESTMENT</b>													
<b>Government securities and Government guaranteed bonds including Treasury Bills 2</b> (Market value: ₹ 4,508,665 lakhs)	1,190,540	-	78,590	-	-	1,269,130	2,518,980	770,219	13,521	16,857	-	3,319,577	4,588,707
<b>Other approved securities</b> (Market value: ₹ 448,426 lakhs)	145,242	-	22,960	-	-	168,202	232,143	34,893	4,849	907	5,232	278,025	446,227
<b>Other investments(approved investments)</b>													
Equity shares <sup>2</sup> (Historical value: ₹ 467,455 lakhs)	430,820	-	11,756	-	-	442,576	239,997	-	-	-	-	239,997	682,573
Preference shares (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
Mutual fund (Historical value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
Derivative Instruments (Historical value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
Debentures/Bonds (Market value: ₹ 204,478 lakhs)	41,430	-	7,769	-	-	49,200	122,959	5,150	2,525	-	10,342	140,976	190,176
Fixed deposits (Market value: ₹ 30,476 lakhs)	-	-	-	-	-	-	30,476	-	-	-	-	30,476	30,476
Investments in subsidiary Investment Properties - Real Estate (Historical value: ₹ 1,855 lakhs)	4,325	-	4,325	-	-	8,651	-	-	-	-	-	-	8,651
<b>Investments in infrastructure/housing sector</b>													
<b>Other investments(approved investments)</b>													
Equity shares <sup>2</sup> (Historical value: ₹ 48,573 lakhs)	45,984	-	1,186	-	-	47,169	23,862	-	-	-	-	23,862	71,031
Debentures/Bonds (Market value: ₹ 1,206,330 lakhs)	545,856	-	43,797	-	-	589,653	388,883	177,699	7,630	506	17,215	591,934	1,181,587
<b>Other than approved investments</b>													
Equity shares (Historical value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
Debentures/Bonds (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Other than approved investments</b>													
Equity shares (Historical value: ₹ 69,837 lakhs)	66,835	-	-	-	-	66,835	45,689	-	-	-	-	45,689	112,524
Mutual fund (Historical value: ₹ 61,918 lakhs)	68,922	-	-	-	-	68,922	-	-	-	-	-	-	68,922
Debentures/Bonds (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>SHORT TERM INVESTMENT</b>													
<b>Government securities and Government guaranteed bonds including Treasury Bills</b> (Market value: ₹ 4,914 lakhs)	4,203	-	-	-	-	4,203	705	-	-	-	-	705	4,908
<b>Other approved securities</b> (Market value: ₹ 26,179 lakhs)	19,612	-	384	-	-	19,997	4,561	1,136	-	-	-	5,697	25,693
<b>Other investments(approved investments)</b>													
Equity shares (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
Preference shares (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
Mutual fund (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
Derivative Instruments (Historical value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
Debentures/Bonds (Market value: ₹ 5,539 lakhs)	5,503	-	0.05	-	-	5,503	-	-	-	-	-	-	5,503
Certificate of deposits (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
Commercial papers (Market value: ₹ 24,992 lakhs)	24,992	-	-	-	-	24,992	-	-	-	-	-	-	24,992
Triparty Repo (Market value: ₹ 215,323 lakhs)	120,240	-	17,289	-	-	137,529	66,675	4,116	4,754	259	1,990	77,793	215,323
Fixed deposits (Market value: ₹ 13,000 lakhs)	7,000	-	-	-	-	7,000	6,000	-	-	-	-	6,000	13,000
Investments in subsidiary Investment Properties - Real Estate (Historical value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Investments in infrastructure/housing sector</b>													
<b>Other investments(approved investments)</b>													
Debentures/Bonds (Market value: ₹ 48,801 lakhs)	32,564	-	2,001	-	-	34,565	13,337	500	-	-	-	13,837	48,402
Commercial papers (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
Certificate of deposits (Market value: ₹ 14,396 lakhs)	14,396	-	-	-	-	14,396	-	-	-	-	-	-	14,396
<b>Other than approved investments</b>													
Debentures/Bonds (Market value: ₹ 5,629 lakhs)	501	-	-	-	-	501	5,012	-	-	-	-	5,012	5,514
Venture fund (Market value: ₹ 374 lakhs)	194	-	-	-	-	194	-	-	-	-	-	-	194
<b>Total</b>	<b>2,769,162</b>	<b>-</b>	<b>190,058</b>	<b>-</b>	<b>-</b>	<b>2,959,220</b>	<b>3,699,278</b>	<b>993,713</b>	<b>33,280</b>	<b>18,530</b>	<b>34,780</b>	<b>4,779,580</b>	<b>7,738,800</b>
<b>In India</b>	<b>2,769,162</b>	<b>-</b>	<b>190,058</b>	<b>-</b>	<b>-</b>	<b>2,959,220</b>	<b>3,699,278</b>	<b>993,713</b>	<b>33,280</b>	<b>18,530</b>	<b>34,780</b>	<b>4,779,580</b>	<b>7,738,800</b>
<b>Total</b>	<b>2,769,162</b>	<b>-</b>	<b>190,058</b>	<b>-</b>	<b>-</b>	<b>2,959,220</b>	<b>3,699,278</b>	<b>993,713</b>	<b>33,280</b>	<b>18,530</b>	<b>34,780</b>	<b>4,779,580</b>	<b>7,738,800</b>

FORM L-13-INVESTMENTS POLICYHOLDERS SCHEDULE  
INVESTMENTS - POLICYHOLDERS

(₹ Lakhs)

Particulars	As at September 30, 2021												Grand Total
	Participating						Non-Linked Business						
	Life	Annuity	Pension	Health	Variable Insurance	Total	Life	Annuity	Pension	Health	Variable Insurance	Total	
<b>LONG TERM INVESTMENT</b>													
<b>Government securities and Government guaranteed bonds including Treasury Bills 2,3</b> (Market value: ₹ 4,254,759 lakhs)	1,208,519	-	76,514	-	-	1,285,033	2,236,187	644,461	9,740	15,826	-	2,906,214	4,191,247
<b>Other approved securities</b> (Market value: ₹ 364,132 lakhs)	122,369	-	23,090	-	-	145,458	183,085	10,430	4,772	910	7,933	207,130	352,588
<b>Other investments(approved investments)</b>													
Equity shares (Historical value: ₹ 401,240 lakhs)	405,307	-	12,383	-	-	417,690	266,895	-	-	-	-	266,895	684,585
Preference shares (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
Mutual fund (Historical value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
Derivative Instruments (Historical value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
Debentures/Bonds (Market value: ₹ 180,722 lakhs)	42,110	-	4,773	-	-	46,884	103,113	5,160	526	-	8,878	117,677	164,561
Fixed deposits (Market value: ₹ 38,796 lakhs)	7,000	-	-	-	-	7,000	31,796	-	-	-	-	31,796	38,796
Investments in subsidiary Property (Historical value: ₹ 1,855 lakhs)	4,361	-	4,361	-	-	8,722	-	-	-	-	-	-	8,722
<b>Investments in infrastructure/housing sector</b>													
<b>Other investments(approved investments)</b>													
Equity shares (Historical value: ₹ 37,534 lakhs)	35,146	-	1,142	-	-	36,288	23,473	-	-	-	-	23,473	59,761
Debentures/Bonds (Market value: ₹ 1,169,126 lakhs)	509,746	-	45,010	-	-	554,756	366,392	174,242	6,043	506	17,440	564,623	1,119,379
<b>Other than approved investments</b>													
Equity shares (Historical value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
Debentures/Bonds (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Other than approved investments</b>													
Equity shares (Historical value: ₹ 62,237 lakhs)	59,266	-	-	-	-	59,266	48,032	-	-	-	-	48,032	107,298
Mutual fund (Historical value: ₹ 53,002 lakhs)	60,822	-	-	-	-	60,822	-	-	-	-	-	-	60,822
Debentures/Bonds (Market value: ₹ 5,756 lakhs)	503	-	-	-	-	503	5,031	-	-	-	-	5,031	5,534
<b>SHORT TERM INVESTMENT</b>													
<b>Government securities and Government guaranteed bonds including Treasury Bills</b> (Market value: ₹ 3,427 lakhs)	-	-	-	-	-	-	1,387	64	1,964	-	-	3,415	3,415
<b>Other approved securities</b> (Market value: ₹ 12,065 lakhs)	4,788	-	365	-	-	5,153	5,946	647	-	-	-	6,592	11,745
<b>Other investments(approved investments)</b>													
Equity shares (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
Preference shares (Market value: ₹ 251 lakhs)	224	-	-	-	-	224	9	-	-	-	-	9	233
Mutual fund (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
Derivative Instruments (Historical value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
Debentures/Bonds (Market value: ₹ 7,154 lakhs)	7,000	-	0	-	-	7,000	-	-	-	-	-	-	7,000
Certificate of deposits (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
Commercial papers (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
Triparty Repo (Market value: ₹ 258,259 lakhs)	138,597	-	9,858	-	-	148,455	102,743	1,981	3,669	34	1,377	109,804	258,259
Fixed deposits (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
Investments in subsidiary Property (Historical value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Investments in infrastructure/housing sector</b>													
<b>Other investments(approved investments)</b>													
Debentures/Bonds (Market value: ₹ 30,949 lakhs)	23,856	-	3,510	-	-	27,366	3,365	-	-	-	-	3,365	30,731
Commercial papers (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
Certificate of deposits (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Other than approved investments</b>													
Debentures/Bonds (Market value: Nil)	-	-	-	-	-	-	-	-	-	-	-	-	-
Venture fund (Market value: ₹ 374 lakhs)	227	-	-	-	-	227	-	-	-	-	-	-	227
<b>Total</b>	<b>2,629,841</b>	<b>-</b>	<b>181,006</b>	<b>-</b>	<b>-</b>	<b>2,810,846</b>	<b>3,377,453</b>	<b>836,985</b>	<b>26,715</b>	<b>17,276</b>	<b>35,628</b>	<b>4,294,056</b>	<b>7,104,902</b>
<b>In India</b>	<b>2,629,841</b>	<b>-</b>	<b>181,006</b>	<b>-</b>	<b>-</b>	<b>2,810,846</b>	<b>3,377,453</b>	<b>836,985</b>	<b>26,715</b>	<b>17,276</b>	<b>35,628</b>	<b>4,294,056</b>	<b>7,104,902</b>
<b>Total</b>	<b>2,629,841</b>	<b>-</b>	<b>181,006</b>	<b>-</b>	<b>-</b>	<b>2,810,846</b>	<b>3,377,453</b>	<b>836,985</b>	<b>26,715</b>	<b>17,276</b>	<b>35,628</b>	<b>4,294,056</b>	<b>7,104,902</b>

NOTES TO FORM L-13

(₹ Lakhs)

Sr. No.	Particulars	As at September 30, 2022	As at March 31, 2022	As at September 30, 2021
1	Aggregate amount of company's investments:			
	a) Other than equity securities, mutual fund, investments in subsidiary, investment in property and derivative instruments	7,506,657	6,795,100	6,183,715
	b) Market value of above investments	7,261,273	6,757,522	6,325,771
	c) Aggregate amount of company's investments in mutual fund, equity and investments in subsidiary and investment in property (at cost subject to impairment)	627,004	649,638	555,868
2	Government Securities deposited with the Clearing Corporation of India Ltd (CCIL) as Settlement Guarantee Fund (SGF) deposit and Tri-Party Repo deposit			
	a) Amortised cost	49,135	49,030	49,208
	b) Market Value of above investment	46,097	47,496	51,529
3	Investment in holding company at amortised cost	Nil	Nil	Nil
4	Investment in subsidiary company at acquisition cost	Nil	Nil	Nil
5	Equity shares includes shares transferred under securities lending and borrowing scheme (SLB) where the Company retains all the associated risk and rewards on these securities	Nil	Nil	Nil
6	Investment made out of catastrophe reserve	Nil	Nil	Nil

Note:

1. Debt Securities are held to maturity and reduction in market values represent market conditions and not a permanent diminution in value of investments, if any.

Particulars	As at September 30, 2022				
	Linked Business				
	Life	Pension	Health	Variable Insurance	Total
<b>LONG TERM INVESTMENTS</b>					
Government securities and Government guaranteed bonds including Treasury Bills (Historical value: ₹ 1,173,890 Lakhs)	974,598	158,534	11,642	-	1,144,774
Other approved securities (Historical value: ₹ 126,670 Lakhs)	101,346	18,929	993	-	121,268
<b>Other investments (approved investments)</b>					
Equity shares <sup>4</sup> (Historical value: ₹ 5,681,190 Lakhs)	6,658,584	376,442	48,472	-	7,083,497
Preference shares (Historical value: Nil)	-	-	-	-	-
Mutual fund (Historical value: ₹ 3,167 Lakhs)	2,660	-	-	-	2,660
Derivative Instruments (Historical value: Nil)	-	-	-	-	-
Debentures/Bonds (Historical value: ₹ 435,714 Lakhs)	373,431	52,977	3,358	-	429,767
Fixed deposits (Historical value: Nil)	-	-	-	-	-
Investments in subsidiary Investment Properties - Real Estate (Historical value: Nil)	-	-	-	-	-
<b>Investments in infrastructure/housing sector</b>					
<b>Other investments (approved investments)</b>					
Equity shares (Historical value: ₹ 534,039 Lakhs)	753,183	34,940	4,076	-	792,198
Debentures/Bonds (Historical value: ₹ 666,561 Lakhs)	554,386	99,094	5,720	-	659,200
<b>Other than approved investments</b>					
Equity shares (Historical value: ₹ 6,684 Lakhs)	314	-	-	-	314
Debentures/Bonds (Historical value: Nil)	-	-	-	-	-
<b>Other than approved investments</b>					
Equity shares (Historical value: ₹ 963,852 Lakhs)	1,058,109	53,275	7,199	-	1,118,583
Mutual fund (Historical value: ₹ 970,261 Lakhs)	1,154,412	68,131	9,083	-	1,231,625
Debentures/Bonds (Historical value: ₹ 11,450 Lakhs)	9,295	1,843	-	-	11,138
<b>SHORT TERM INVESTMENTS</b>					
Government securities and Government guaranteed bonds including Treasury Bills (Historical value: ₹ 545,824 Lakhs)	511,895	39,765	1,205	-	552,865
Other approved securities (Historical value: ₹ 71,117 Lakhs)	65,137	807	-	-	65,945
<b>Other investments (approved investments)</b>					
Equity shares (Historical value: Nil)	-	-	-	-	-
Preference shares (Historical value: Nil)	-	-	-	-	-
Mutual fund (Historical value: Nil)	-	-	-	-	-
Derivative Instruments (Historical value: Nil)	-	-	-	-	-
Debentures/Bonds (Historical value: ₹ 100,081 Lakhs)	81,592	17,173	381	-	99,146
Certificate of deposits (Historical value: ₹ 360,050 Lakhs)	330,408	33,067	1,057	-	364,532
Commercial papers (Historical value: ₹ 30,499 Lakhs)	24,960	5,744	155	-	30,860
Fixed deposits (Historical value: ₹ 18,000 Lakhs)	18,000	-	-	-	18,000
Triparty Repo (Historical value: ₹ 561,778 Lakhs)	496,980	60,853	4,034	-	561,867
Investments in subsidiary Investment Properties - Real Estate (Historical value: Nil)	-	-	-	-	-
<b>Investments in infrastructure/housing sector</b>					
<b>Other investments (approved investments)</b>					
Debentures/Bonds (Historical value: ₹ 144,634 Lakhs)	115,667	26,740	522	-	142,929
Certificate of deposits (Historical value: ₹ 110,346 Lakhs)	103,041	9,626	69	-	112,735
Commercial papers (Historical value: ₹ 178,329 Lakhs)	164,539	15,567	640	-	180,746
<b>Other than approved investments</b>					
Debentures/Bonds (Historical value: ₹ 7,795 Lakhs)	6,949	572	-	-	7,520
Venture Fund (Historical value: ₹ 40 Lakhs)	16	-	-	-	16
<b>Net current asset</b>	28,524	4,611	351	-	32,784
<b>Total</b>	<b>13,588,026</b>	<b>1,078,688</b>	<b>98,255</b>	<b>-</b>	<b>14,764,969</b>
<b>In India</b>	<b>13,588,026</b>	<b>1,078,688</b>	<b>98,255</b>	<b>-</b>	<b>14,764,969</b>
<b>Total</b>	<b>13,588,026</b>	<b>1,078,688</b>	<b>98,255</b>	<b>-</b>	<b>14,764,969</b>

Particulars	As at March 31, 2022				
	Linked Business				Total
	Life	Pension	Health	Variable Insurance	
<b>LONG TERM INVESTMENTS</b>					
<b>Government securities and Government guaranteed bonds including Treasury Bills</b>					
(Historical value: ₹ 1,384,198 lakhs)	1,210,773	137,930	8,579	-	1,357,283
<b>Other approved securities</b>	184,901	31,936	1,652	-	218,490
(Historical value: ₹ 220,054 lakhs)					
<b>Other investments (approved investments)</b>					
Equity shares <sup>4</sup>	6,546,603	397,657	50,235	-	6,994,495
(Historical value: ₹ 5,277,643 lakhs)					
Preference shares	-	-	-	-	-
(Historical value: Nil)					
Mutual fund	-	-	-	-	-
(Historical value: Nil)					
Derivative Instruments	-	-	-	-	-
(Historical value: Nil)					
Debentures/Bonds	348,048	47,588	2,983	-	398,619
(Historical value: ₹ 389,523 lakhs)					
Fixed deposits	-	-	-	-	-
(Historical value: Nil)					
Investments in subsidiary	-	-	-	-	-
Investment Properties - Real Estate	-	-	-	-	-
(Historical value: Nil)					
<b>Investments in infrastructure/housing sector</b>					
<b>Other investments (approved investments)</b>					
Equity shares <sup>4</sup>	759,605	37,794	4,349	-	801,747
(Historical value: ₹ 556,131 lakhs)					
Debentures/Bonds	579,598	97,732	5,753	-	683,083
(Historical value: ₹ 667,637 lakhs)					
<b>Other than approved investments</b>					
Equity shares	616	-	-	-	616
(Historical value: ₹ 6,684 lakhs)					
Debentures/Bonds	-	-	-	-	-
(Historical value: Nil)					
<b>Other than approved investments</b>					
Equity shares	1,148,473	65,079	7,748	-	1,221,300
(Historical value: ₹ 943,466 lakhs)					
Mutual fund	1,108,323	68,502	9,094	-	1,185,919
(Historical value: ₹ 991,107 lakhs)					
Debentures/Bonds	9,651	1,913	-	-	11,565
(Historical value: ₹ 11,450 lakhs)					
<b>SHORT TERM INVESTMENTS</b>					
<b>Government securities and Government guaranteed bonds including Treasury Bills</b>					
(Historical value: ₹ 598,985 lakhs)	543,052	49,485	1,229	-	593,766
<b>Other approved securities</b>	166,351	1,035	-	-	167,386
(Historical value: ₹ 176,795 lakhs)					
<b>Other investments (approved investments)</b>					
Equity shares	-	-	-	-	-
(Historical value: Nil)					
Preference shares	-	-	-	-	-
(Historical value: Nil)					
Mutual fund	-	-	-	-	-
(Historical value: Nil)					
Derivative Instruments	-	-	-	-	-
(Historical value: Nil)					
Debentures/Bonds	68,827	16,575	154	-	85,556
(Historical value: ₹ 85,887 lakhs)					
Certificate of deposits	159,308	29,964	1,112	-	190,384
(Historical value: ₹ 188,181 lakhs)					
Commercial papers	121,865	23,067	968	-	145,900
(Historical value: ₹ 144,587 lakhs)					
Fixed deposits	18,000	-	-	-	18,000
(Historical value: ₹ 18,000 lakhs)					
Triparty Repo	447,868	59,737	6,694	-	514,300
(Historical value: ₹ 514,245 lakhs)					
Investments in subsidiary	-	-	-	-	-
Investment Properties - Real Estate	-	-	-	-	-
(Historical value: Nil)					
<b>Investments in infrastructure/housing sector</b>					
<b>Other investments (approved investments)</b>					
Debentures/Bonds	140,471	26,468	534	-	167,473
(Historical value: ₹ 168,502 lakhs)					
Certificate of deposits	69,919	8,948	302	-	79,170
(Historical value: ₹ 78,814 lakhs)					
Commercial papers	136,114	5,182	45	-	141,340
(Historical value: ₹ 138,208 lakhs)					
<b>Other than approved investments</b>					
Debentures/Bonds	7,085	583	-	-	7,667
(Historical value: ₹ 7,795 lakhs)					
Venture Fund	19	-	-	-	19
(Historical value: ₹ 41 lakhs)					
<b>Net current asset</b>	84,194	17,420	939	-	102,554
<b>Total</b>	<b>13,859,664</b>	<b>1,124,595</b>	<b>102,371</b>	<b>-</b>	<b>15,086,630</b>
In India	13,859,664	1,124,595	102,371	-	15,086,630
<b>Total</b>	<b>13,859,664</b>	<b>1,124,595</b>	<b>102,371</b>	<b>-</b>	<b>15,086,630</b>

FORM L-14-ASSETS HELD TO COVER LINKED LIABILITIES SCHEDULE  
ASSETS HELD TO COVER LINKED LIABILITIES

(₹ Lakhs)

Particulars	As at September 30, 2021				
	Linked Business				
	Life	Pension	Health	Variable Insurance	Total
<b>LONG TERM INVESTMENTS</b>					
<b>Government securities and Government guaranteed bonds including Treasury Bills</b>	1,442,931	203,368	13,094	-	1,659,394
(Historical value: ₹ 1,661,256 lakhs)					
<b>Other approved securities</b>	481,167	48,635	2,479	-	532,280
(Historical value: ₹ 534,009 lakhs)					
<b>Other investments (approved investments)</b>					
Equity shares <sup>4</sup>	6,834,039	436,661	55,038	-	7,325,738
(Historical value: ₹ 4,872,838 lakhs)					
Preference shares	-	-	-	-	-
(Historical value: Nil)					
Mutual fund	-	-	-	-	-
(Historical value: Nil)					
Derivative Instruments	-	-	-	-	-
(Historical value: Nil)					
Debentures/Bonds	296,729	34,768	2,408	-	333,905
(Historical value: ₹ 321,579 lakhs)					
Fixed deposits	18,000	-	-	-	18,000
(Historical value: ₹ 18,000 lakhs)					
Investments in subsidiary	-	-	-	-	-
Property	-	-	-	-	-
(Historical value: Nil)					
<b>Investments in infrastructure/housing sector</b>					
<b>Other investments (approved investments)</b>					
Equity shares <sup>4</sup>	705,780	41,559	4,827	-	752,166
(Historical value: ₹ 516,364 lakhs)					
Debentures/Bonds	695,633	114,844	7,362	-	817,839
(Historical value: ₹ 784,888 lakhs)					
<b>Other than approved investments</b>					
Equity shares	1,136	-	-	-	1,136
(Historical value: ₹ 6,684 lakhs)					
Debentures/Bonds	-	-	-	-	-
(Historical value: Nil)					
<b>Other than approved investments</b>					
Equity shares	943,627	56,006	5,420	-	1,005,053
(Historical value: ₹ 702,531 lakhs)					
Mutual fund	1,082,705	74,168	9,305	-	1,166,178
(Historical value: ₹ 877,347 lakhs)					
Debentures/Bonds	17,141	2,556	-	-	19,697
(Historical value: ₹ 19,245 lakhs)					
<b>SHORT TERM INVESTMENTS</b>					
<b>Government securities and Government guaranteed bonds including Treasury Bills</b>	559,205	40,492	447	-	600,143
(Historical value: ₹ 601,378 lakhs)					
<b>Other approved securities</b>	61,509	1,537	-	-	63,046
(Historical value: ₹ 65,079 lakhs)					
<b>Other investments (approved investments)</b>					
Equity shares	-	-	-	-	-
(Historical value: Nil)					
Preference shares	997	499	17	-	1,513
(Historical value: ₹ 1,172 lakhs)					
Mutual fund	-	-	-	-	-
(Historical value: Nil)					
Derivative Instruments	-	-	-	-	-
(Historical value: Nil)					
Debentures/Bonds	82,432	16,882	191	-	99,506
(Historical value: ₹ 99,640 lakhs)					
Certificate of deposits	78,033	10,501	107	-	88,642
(Historical value: ₹ 87,267 lakhs)					
Commercial papers	101,867	13,988	88	-	115,943
(Historical value: ₹ 114,087 lakhs)					
Fixed deposits	-	-	-	-	-
(Historical value: Nil)					
Triparty Repo	434,491	61,905	4,560	-	500,957
(Historical value: ₹ 500,911 lakhs)					
Investments in subsidiary	-	-	-	-	-
Property	-	-	-	-	-
(Historical value: Nil)					
<b>Investments in infrastructure/housing sector</b>					
<b>Other investments (approved investments)</b>					
Debentures/Bonds	125,031	20,661	124	-	145,816
(Historical value: ₹ 147,536 lakhs)					
Certificate of deposits	21,680	-	-	-	21,680
(Historical value: ₹ 21,152 lakhs)					
Commercial papers	89,142	-	-	-	89,142
(Historical value: ₹ 88,172 lakhs)					
<b>Other than approved investments</b>					
Debentures/Bonds	4,676	191	10	-	4,878
(Historical value: ₹ 4,920 lakhs)					
Venture Fund	19	-	-	-	19
(Historical value: ₹ 47 lakhs)					
<b>Net current asset</b>	124,205	16,952	1,104	-	142,262
<b>Total</b>	<b>14,202,176</b>	<b>1,196,174</b>	<b>106,583</b>	<b>-</b>	<b>15,504,933</b>
In India	14,202,176	1,196,174	106,583	-	15,504,933
<b>Total</b>	<b>14,202,176</b>	<b>1,196,174</b>	<b>106,583</b>	<b>-</b>	<b>15,504,933</b>



NOTES TO FORM L-14

(₹ Lakhs)

Sr. No.	Particulars	As at September 30, 2022	As at March 31, 2022	As at September 30, 2021
1	Aggregate amount of company's investments: a) other than equity securities, mutual fund, investments in subsidiary, investment in property and derivative instruments b) Market value of above investments c) Aggregate amount of company's investments in mutual fund, equity and investments in subsidiary and investment in property (at Historical cost)	4,560,879 4,503,307 8,159,191	4,803,696 4,779,999 7,775,030	5,079,917 5,112,400 6,975,764
2	Investment in holding company at amortised cost	Nil	Nil	Nil
3	Investment in subsidiary company at acquisition cost	Nil	Nil	Nil
4	Equity shares includes shares transferred under securities lending and borrowing scheme (SLB) where the Company retains all the associated risk and rewards on these securities	Nil	28,361	23,964
5	Investment made out of catastrophe reserve	Nil	Nil	Nil

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

L-14A - Aggregate value of Investments other than Listed Equity Securities and Derivative Instruments

(₹ Lakhs)

Particulars	Shareholders			Policyholders			Assets held to cover Linked Liabilities			Total		
	As at September 30, 2022	As at March 31, 2022	As at September 30, 2021	As at September 30, 2022	As at March 31, 2022	As at September 30, 2021	As at September 30, 2022	As at March 31, 2022	As at September 30, 2021	As at September 30, 2022	As at March 31, 2022	As at September 30, 2021
<b>Long Term Investments:</b>												
Book Value	618,356	601,398	552,542	6,927,515	6,437,174	5,872,106	2,414,285	2,672,862	3,338,977	9,960,157	9,711,434	9,763,625
Market Value	587,187	590,743	556,161	6,677,824	6,398,375	6,013,291	2,366,148	2,669,039	3,381,115	9,631,158	9,658,158	9,950,567
<b>Short Term Investments:</b>												
Book Value	67,152	134,429	50,403	579,141	357,927	311,609	2,146,594	2,130,834	1,740,940	2,792,888	2,623,190	2,102,952
Market Value	67,881	134,723	50,889	583,449	359,147	312,480	2,137,160	2,110,960	1,731,285	2,788,490	2,604,830	2,094,654

Note:

Aggregate amount of company's investments other than equity securities, mutual fund, investments in subsidiary, investment in property and derivative instruments.

Name of the Insurer: ICICI Prudential Life Insurance Company Limited  
Schedules forming part of condensed standalone financial statements

FORM L-15-LOANS SCHEDULE

LOANS

(₹ Lakhs)

Particulars	As at September 30, 2022	As at March 31, 2022	As at September 30, 2021
<b>1. Security-wise classifications</b>			
Secured			
(a) On mortgage of property			
(aa) In India	-	-	-
(bb) Outside India	-	-	-
(b) On Shares, Bonds, Govt Securities, etc.	-	-	-
(c) Loans against policies	109,452	94,012	78,572
(d) Others	-	-	-
Unsecured	-	-	-
<b>Total</b>	<b>109,452</b>	<b>94,012</b>	<b>78,572</b>
<b>2. Borrower wise classification</b>			
(a) Central and State Governments	-	-	-
(b) Banks and Financial Institutions	-	-	-
(c) Subsidiaries	-	-	-
(d) Companies	-	-	-
(e) Policyholders - Loans against policies	109,452	94,012	78,572
(f) Others	-	-	-
<b>Total</b>	<b>109,452</b>	<b>94,012</b>	<b>78,572</b>
<b>3. Performance-wise classification</b>			
(a) Loans classified as standard			
(aa) In India	109,452	94,012	78,572
(bb) Outside India	-	-	-
(b) Non-standard loans less provisions			
(aa) In India	-	-	-
(bb) Outside India	-	-	-
<b>Total</b>	<b>109,452</b>	<b>94,012</b>	<b>78,572</b>
<b>4. Maturity-wise classification</b>			
(a) Short-term	994	684	1,063
(b) Long-term	108,458	93,328	77,509
<b>Total</b>	<b>109,452</b>	<b>94,012</b>	<b>78,572</b>

Note:

(₹ Lakhs)

Provisions against Non-performing Loans		
Non-Performing Loans	Loan Amount	Provision
Sub-standard	-	-
Doubtful	-	-
Loss	-	-
<b>Total</b>	<b>-</b>	<b>-</b>

Name of the Insurer: ICICI Prudential Life Insurance Company Limited  
Schedules forming part of condensed standalone financial statements

FORM L-16-FIXED ASSETS SCHEDULE  
FIXED ASSETS

(₹ Lakhs)

Particulars	Gross Block				Depreciation				Net Block		
	As at April 1, 2022	Additions	Deductions	As at September 30, 2022	As at April 1, 2022	For the period	On Sales/ Adjustment	As at September 30, 2022	As at September 30, 2022	As at March 31, 2022	As at September 30, 2021
	Goodwill	-	-	-	-	-	-	-	-	-	-
<b>Intangible assets</b>											
Software <sup>1</sup>	17,805	2,066	-	19,871	13,254	1,238	-	14,492	5,379	4,551	4,095
<b>Tangible assets</b>											
Land-Freehold	9,033	-	-	9,033	-	-	-	-	9,033	9,033	9,033
Improvements to leasehold property	13,201	241	145	13,297	10,806	412	145	11,073	2,224	2,394	2,730
Buildings	21,265	-	-	21,265	1,720	187	-	1,907	19,358	19,545	19,731
Furniture & Fittings	3,064	82	46	3,100	2,636	113	42	2,707	393	427	473
Information technology equipment	6,402	922	181	7,143	4,909	502	177	5,234	1,909	1,493	1,244
Vehicles	540	-	18	522	449	37	12	474	48	92	146
Office equipment	5,353	156	133	5,376	4,206	252	124	4,334	1,042	1,147	897
Communication networks	13,264	1,992	-	15,256	8,651	1,029	-	9,680	5,576	4,614	5,072
<b>Total</b>	<b>89,927</b>	<b>5,459</b>	<b>523</b>	<b>94,863</b>	<b>46,631</b>	<b>3,770</b>	<b>500</b>	<b>49,901</b>	<b>44,962</b>	<b>43,296</b>	<b>43,421</b>
Work in progress	-	-	-	-	-	-	-	-	7,418	5,428	2,220
<b>Total</b>	<b>89,927</b>	<b>5,459</b>	<b>523</b>	<b>94,863</b>	<b>46,631</b>	<b>3,770</b>	<b>500</b>	<b>49,901</b>	<b>52,380</b>	<b>48,724</b>	<b>45,641</b>
<b>As at March 31, 2022</b>	<b>87,983</b>	<b>6,586</b>	<b>4,642</b>	<b>89,927</b>	<b>44,436</b>	<b>6,691</b>	<b>4,498</b>	<b>46,629</b>			
<b>As at September 30, 2021</b>	<b>87,983</b>	<b>3,052</b>	<b>1,122</b>	<b>89,913</b>	<b>44,437</b>	<b>3,130</b>	<b>1,075</b>	<b>46,492</b>			

<sup>1</sup> All software are other than those generated internally.

Name of the Insurer: ICICI Prudential Life Insurance Company Limited  
Schedules forming part of condensed standalone financial statements

FORM L-17-CASH AND BANK BALANCE SCHEDULE  
CASH AND BANK BALANCES

(₹ Lakhs)

Particulars	As at September 30, 2022	As at March 31, 2022	As at September 30, 2021
<b>Cash (including cheques, drafts and stamps)*</b>	6,335	12,195	6,393
<b>Bank Balance</b>			
(a) Deposit Account :			
(aa) Short-term (due within 12 months of the date of balance sheet)	-	-	-
(bb) Others	-	-	-
(b) Current accounts	47,578	54,725	85,041
(c) Unclaimed dividend accounts	76	75	73
<b>Money at call and short notice</b>			
(a) With Banks	-	-	-
(b) With other Institutions	-	-	-
<b>Others</b>	-	-	-
<b>Total</b>	<b>53,989</b>	<b>66,995</b>	<b>91,507</b>
<b>Balances with non-scheduled banks included above</b>	-	-	-
<b>Cash and Bank Balances</b>			
In India	53,945	66,964	91,473
Outside India	44	31	34
<b>Total</b>	<b>53,989</b>	<b>66,995</b>	<b>91,507</b>

\*includes cheques in hand amounting to ₹ 3,416 Lakhs (₹ 8,835 Lakhs as on March 31, 2022, ₹ 3,757 Lakhs as on September 30, 2021)

Name of the Insurer: ICICI Prudential Life Insurance Company Limited  
Schedules forming part of condensed standalone financial statements

FORM L-18-ADVANCE AND OTHER ASSETS SCHEDULE  
ADVANCES AND OTHER ASSETS

(₹ Lakhs)

Particulars	As at September 30, 2022	As at March 31, 2022	As at September 30, 2021
Advances			
Reserve deposits with ceding companies	-	-	-
Application money for investments	-	-	-
Prepayments	4,148	4,658	2,672
Advances to Directors/Officers	-	-	-
Advance tax paid and taxes deducted at source (Net of provision for taxation)	16,384	14,790	14,923
Others			
- Advances to Employees	-	3	3
- Deposits			
Gross	13,929	3,872	3,903
Less: Provision for doubtful deposits	(98)	(82)	(91)
Net	13,831	3,791	3,812
- Other advances			
Gross	17,364	2,994	3,380
Less: Provision for doubtful advances	(61)	(61)	(65)
Net	17,303	2,933	3,315
- Other receivables			
Gross	12,468	9,290	9,122
Less: Provision for doubtful receivables	(522)	(406)	(214)
Net	11,946	8,884	8,908
<b>Total (A)</b>	<b>63,612</b>	<b>35,059</b>	<b>33,633</b>
<b>OTHER ASSETS</b>			
Income accrued on investments	184,272	148,900	148,714
Outstanding premiums	24,377	28,583	19,968
Agents' balances			
Gross	149	120	131
Less: Provision for doubtful agents' balance	(98)	(81)	(106)
Net	51	39	25
Foreign agencies balances	-	-	-
Due from other entities carrying on insurance business (including reinsurers)	25,891	51,073	130,846
Due from subsidiary / holding company	53	174	142
Assets held for unclaimed amount of policyholders*	109,183	99,316	110,051
Income on unclaimed amount of policyholders (net of fund administration expenses)	7,570	6,714	6,117
Others			
- Receivable towards investments sold	2,344	10,649	19,929
- Goods & Service tax un-utilised credit	21,324	28,588	18,371
- Margin money paid**	3,822	14,418	7,671
<b>Total (B)</b>	<b>378,887</b>	<b>388,454</b>	<b>461,834</b>
<b>Total (A+B)</b>	<b>442,499</b>	<b>423,513</b>	<b>495,467</b>

\*excluding Income on unclaimed amount of policyholders (net of fund administration expenses).

\*\*including interest receivable on margin paid

Name of the Insurer: ICICI Prudential Life Insurance Company Limited  
Schedules forming part of condensed standalone financial statements

FORM L-19-CURRENT LIABILITIES SCHEDULE  
CURRENT LIABILITIES

(₹ Lakhs)

Particulars	As at September 30, 2022	As at March 31, 2022	As at September 30, 2021
Agents' balances	11,628	18,346	9,651
Balance due to other insurance companies (including reinsurers)	527	1,263	-
Deposits held on re-insurance ceded	-	-	-
Premium received in advance	20,546	24,908	18,350
Unallocated premium	24,127	55,325	31,181
Sundry creditors	848	552	951
Due to subsidiaries/ holding company	10,091	18,891	27,290
Claims outstanding	59,765	86,612	175,897
Annuities Due	14	8	11
Due to Officers/Directors	-	-	-
Unclaimed amount of Policyholders <sup>1</sup>	109,183	99,316	110,051
Income accrued on Unclaimed amounts	7,570	6,714	6,117
Interest accrued but not due on borrowings	7,409	3,288	7,409
<b>Others:</b>			
- Deposits	1,430	1,430	1,430
- Expenses payable	88,161	75,853	49,877
- TDS payable	2,895	5,021	3,274
- Payable towards investments purchased	4,426	15,140	10,868
- Payable to unit fund	8,679	18,660	2,644
- Goods & Service tax/Service tax payable	26,582	34,432	26,125
- Payable to Policyholders	40,285	41,808	32,646
- Other liabilities <sup>2</sup>	1,105	897	973
- Derivatives Liabilities	7,890	13,931	1,714
<b>Total</b>	<b>433,161</b>	<b>522,395</b>	<b>516,459</b>

<sup>1</sup> excluding interest on unclaimed amount of policyholders.

<sup>2</sup> Includes unclaimed dividend amounting to ₹ 76 Lakhs (₹ 75 Lakhs March 31, 2022, ₹ 73 Lakhs September 30, 2021)

Name of the Insurer: ICICI Prudential Life Insurance Company Limited  
Schedules forming part of condensed standalone financial statements

FORM L-20-PROVISIONS SCHEDULE  
PROVISIONS

(₹ Lakhs)

Particulars	As at September 30, 2022	As at March 31, 2022	As at September 30, 2021
For taxation (less payments and taxes deducted at source)	-	-	-
For Employee Benefits	1,909	2,600	2,556
<b>Total</b>	<b>1,909</b>	<b>2,600</b>	<b>2,556</b>



Name of the Insurer: ICICI Prudential Life Insurance Company Limited  
Schedules forming part of condensed standalone financial statements

FORM L-21-MISC EXPENDITURE SCHEDULE  
MISCELLANEOUS EXPENDITURE  
(To the extent not written off or adjusted)

(₹ Lakhs)

Particulars	As at September 30, 2022	As at March 31, 2022	As at September 30, 2021
Discount allowed in issue of shares/debentures	-	-	-
Others	-	-	-
<b>Total</b>	-	-	-

FORM L-22 Standalone Analytical Ratios  
For the quarter ended September 30, 2022

Sr No.	Particulars	For the quarter ended September 30, 2022	Upto the quarter ended September 30, 2022	For the quarter ended September 30, 2021	Upto the quarter ended September 30, 2021
1	<b>New business premium income growth (segment-wise)</b>				
	<b>(i) Linked Business:</b>				
	a) Life	(8.3%)	(16.6%)	1.2%	13.2%
	b) Pension	(65.0%)	(45.9%)	389.0%	45.9%
	c) Health	(220.0%)	(14.3%)	(10.7%)	(39.7%)
	d) Variable Insurance	NA	NA	NA	NA
	<b>(ii) Non-Linked Business:</b>				
	<b>Participating:</b>				
	a) Life	14.9%	15.8%	(29.4%)	(26.5%)
	b) Annuity	NA	NA	NA	NA
	c) Pension	(100.0%)	(350.0%)	NA	NA
	d) Health	NA	NA	NA	NA
	e) Variable Insurance	NA	NA	NA	NA
	<b>Non Participating:</b>				
	a) Life	33.1%	38.7%	51.9%	81.8%
	b) Annuity	(10.8%)	(3.0%)	65.3%	94.6%
	c) Pension	323.0%	682.9%	NA	228.0%
	d) Health	(45.6%)	(47.1%)	(21.5%)	(28.8%)
	e) Variable Insurance	(95.9%)	(96.5%)	2,804.8%	1,332.2%
2	<b>Percentage of Single Premium (Individual Business) to Total New Business Premium (Individual Business)</b>	32.3%	34.7%	36.6%	38.4%
3	<b>Percentage of Linked New Business Premium (Individual Business) to Total New Business Premium (Individual Business)</b>	37.5%	37.0%	43.0%	40.6%
4	<b>Net retention ratio</b>	96.8%	96.0%	97.4%	96.9%
5	<b>Conservation Ratio (Segment wise)</b>				
	<b>(i) Linked Business:</b>				
	a) Life	79.4%	76.6%	75.9%	79.7%
	b) Pension	85.7%	80.7%	69.3%	77.4%
	c) Health	92.4%	90.9%	87.0%	89.9%
	d) Variable Insurance	NA	NA	NA	NA
	<b>(ii) Non-Linked Business:</b>				
	<b>Participating:</b>				
	a) Life	86.2%	85.9%	84.2%	86.6%
	b) Annuity	NA	NA	NA	NA
	c) Pension	0.5%	2.8%	121.6%	121.4%
	d) Health	NA	NA	NA	NA
	e) Variable Insurance	NA	NA	NA	NA
	<b>Non Participating:</b>				
	a) Life	92.9%	92.2%	89.4%	91.9%
	b) Annuity	NA	NA	NA	NA
	c) Pension	NA	NA	NA	NA
	d) Health	84.9%	83.3%	77.9%	80.8%
	e) Variable Insurance	NA	NA	NA	NA
6	<b>Expense of Management to Gross Direct Premium Ratio</b>	14.8%	15.7%	12.5%	13.6%
7	<b>Commission Ratio</b> (Gross commission paid to Gross premium)	4.2%	4.2%	4.5%	4.2%
8	<b>Business Development and Sales Promotion Expenses to New Business Premium</b>	0.6%	0.3%	0.0%	0.0%
9	<b>Brand/Trade Mark usage fee/charges to New Business Premium</b>	0.0%	0.1%	0.1%	0.1%
10	<b>Ratio of policyholders fund to shareholders funds</b>	2,417.7%	2,417.7%	2,588.6%	2,588.6%
11	<b>Change in net worth (Amount in Rs. Lakhs)</b>	46,646	46,646	(36,433)	(36,433)
12	<b>Growth in Networth</b>	5.1%	5.1%	(4.0%)	(4.0%)
13	<b>Ratio of surplus to policyholders liability</b>				
	<b>(i) Linked Business:</b>				
	a) Life	0.3%	0.5%	0.2%	0.4%
	b) Pension	0.3%	0.5%	0.3%	0.6%
	c) Health	0.2%	0.9%	(0.0%)	0.2%
	d) Variable Insurance	NA	NA	NA	NA
	<b>(ii) Non-Linked Business:</b>				
	<b>Participating:</b>				
	a) Life	0.0%	0.3%	0.1%	(0.4%)
	b) Annuity	NA	NA	NA	NA
	c) Pension	1.5%	2.5%	0.8%	1.4%
	d) Health	NA	NA	NA	NA
	e) Variable Insurance	NA	NA	NA	NA
	<b>Non Participating:</b>				
	a) Life	(1.0%)	(1.6%)	(0.9%)	(3.5%)
	b) Annuity	(0.6%)	(1.0%)	1.3%	1.0%
	c) Pension	(0.0%)	(0.3%)	0.8%	0.8%
	d) Health	(0.8%)	0.2%	9.7%	7.9%
	e) Variable Insurance	0.4%	(0.2%)	1.6%	3.5%
14	<b>Profit after tax/Total income</b>	0.9%	1.7%	1.9%	0.7%
15	<b>(Total Real Estate + Loans)/(Cash &amp; Invested Assets)</b>	0.8%	0.8%	0.7%	0.7%
16	<b>Total Investments/(Capital + Reserves and Surplus)</b>	2,507.0%	2,507.0%	2,680.3%	2,680.3%
17	<b>Total Affiliated Investments/(Capital+ Reserves and Surplus)</b>	0.9%	0.9%	1.4%	1.4%

FORM L-22 Standalone Analytical Ratios  
For the quarter ended September 30, 2022

Sr No.	Particulars	For the quarter ended September 30, 2022	Upto the quarter ended September 30, 2022	For the quarter ended September 30, 2021	Upto the quarter ended September 30, 2021
18	<b>Investment Yield (Gross and Net)</b>				
	<b>A. Without unrealised gains</b>				
	- Shareholders' Fund	8.6%	8.1%	15.3%	17.1%
	- Policyholders' Fund				
	Non Linked Par	7.8%	6.6%	7.4%	9.7%
	Non Linked Non Par	8.0%	7.7%	8.7%	8.5%
	Linked Non Par	7.7%	6.8%	14.3%	13.4%
	<b>B. With unrealised gains</b>				
	- Shareholders' Fund	27.0%	6.7%	8.1%	6.1%
	- Policyholders' Fund				
	Non Linked Par	20.1%	2.0%	15.9%	11.3%
	Non Linked Non Par	18.9%	1.5%	14.4%	7.2%
	Linked Non Par	34.4%	0.3%	36.1%	29.2%
15	<b>Persistency Ratio<sup>1</sup></b>				
	<b>Premium Basis (Regular Premium/Limited Premium Payment under Individual category)</b>				
	13th month	84.0%	85.9%	81.2%	85.0%
	25th month	74.5%	77.2%	74.6%	75.0%
	37th month	70.5%	68.7%	64.6%	66.3%
	49th month	63.3%	65.4%	60.6%	62.9%
	61st month	65.4%	61.2%	50.2%	51.8%
	<b>Premium Basis (Single Premium/Fully paid-up under Individual category)</b>				
	13th month	100.0%	100.0%	100.0%	100.0%
	25th month	99.9%	100.0%	100.0%	100.0%
	37th month	100.0%	99.8%	97.8%	97.8%
	49th month	96.9%	96.7%	96.3%	96.1%
	61st month	98.3%	99.2%	99.2%	99.0%
	<b>Number of Policy Basis (Regular Premium/Limited Premium Payment under Individual category)</b>				
	13th month	76.9%	76.8%	71.5%	75.2%
	25th month	65.4%	68.6%	66.7%	65.0%
	37th month	62.1%	59.9%	55.7%	57.5%
	49th month	54.6%	55.4%	51.4%	54.4%
	61st month	46.8%	41.9%	42.3%	44.2%
	<b>Number of Policy Basis (Single Premium/Fully paid-up under Individual category)</b>				
	13th month	99.9%	99.9%	100.0%	100.0%
	25th month	99.9%	100.0%	100.0%	99.7%
	37th month	100.0%	98.6%	96.1%	96.1%
	49th month	94.6%	94.4%	94.0%	94.0%
	61st month	98.0%	99.0%	98.8%	98.4%
16	<b>NPA Ratio</b>				
	<b>Policyholders' Funds</b>				
	Gross NPA Ratio	NIL	NIL	NIL	NIL
	Net NPA Ratio	NIL	NIL	NIL	NIL
	<b>Shareholders' Funds</b>				
	Gross NPA Ratio	NIL	NIL	NIL	NIL
	Net NPA Ratio	NIL	NIL	NIL	NIL
17	<b>Solvency Ratio</b>	200.7%	200.7%	199.9%	199.9%
18	<b>Debt Equity Ratio</b>	0.12	0.12	0.14	0.14
19	<b>Debt Service Coverage Ratio</b>	11.60	10.53	24.68	8.04
20	<b>Interest Service Coverage Ratio</b>	11.60	10.53	24.68	8.04
21	<b>Average ticket size in Rs. - Individual premium (Non-Single)</b>	111,164	106,620	101,743	94,105
<b>Equity Holding Pattern for Life Insurers</b>					
1	No. of shares	1,438,545,246	1,438,545,246	1,437,121,151	1,437,121,151
2	Percentage of shareholding				
	a. Indian	59.1%	59.4%	58.6%	58.6%
	b. Foreign	40.9%	40.6%	41.4%	41.4%
3	Percentage of Government holding (in case of public sector insurance companies)	NA	NA	NA	NA
4	Basic and diluted EPS before extraordinary items (net of tax expense) for the period (not to be annualized)				
	- Basic	1.39	2.47	3.09	1.80
	- Diluted	1.38	2.46	3.08	1.79
5	Basic and diluted EPS after extraordinary items (net of tax expense) for the period (not to be annualized)				
	- Basic	1.39	2.47	3.09	1.80
	- Diluted	1.38	2.46	3.08	1.79
6	Book value per share (Rs)	66.94	66.94	60.92	60.92

**Notes:-**

- 1) Calculations are in accordance with the IRDAI circular IRDA/ACT/CIR/GEN/21/02/2010 dated February 11, 2010 .
  - a) Persistency ratios for the quarter ended September 30, 2022 have been calculated on September 30, 2022 for the policies issued in June to August period of the relevant years. For example, the 13th month persistency for quarter ended September 30, 2022 is calculated for policies issued from June 1, 2021 to August 31, 2021
  - b) Persistency ratios for the year ended September 30, 2022 have been calculated on September 30, 2022 for the policies issued in September to August period of the relevant years. For example, the 13th month persistency for year ended September 30, 2022 is calculated for policies issued from September 1, 2020 to August 31, 2021
  - c) Persistency ratios for the quarter ended September 30, 2021 have been calculated on October 31, 2021 for the policies issued in July to December period of the relevant years. For example, the 13th month persistency for quarter ended September 30, 2021 is calculated for policies issued from July 1, 2020 to December 31, 2020.
  - e) Persistency ratios for year ended September 30, 2021 have been calculated on October 31, 2021 for the policies issued in October to September period of the relevant years. For example, the 13th month persistency for year ended September 30, 2021 is calculated for policies issued from October 1, 2019 to September 30, 2020.
  - e) Group policies and policies under micro insurance products are excluded.

## FORM L-23-RECEIPT AND PAYMENTS SCHEDULE

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Condensed Standalone Receipt &amp; Payment Account for the half year ended September 30, 2022

(₹ Lakhs)

Particulars	April 1, 2022 to September 30, 2022	April 1, 2021 to March 31, 2022	April 1, 2021 to September 30, 2021
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>			
Premium and other receipts (net of Goods and Service tax)	1,874,613	4,170,098	1,837,484
Interest received on tax refund	-	-	-
Payments to the re-insurers, net of commissions and claims/ Benefits	(918)	73,425	8,016
Payments to co-insurers, net of claims / benefit recovery	-	-	-
Payments of claims/benefits	(1,421,912)	(3,067,316)	(1,353,324)
Payments of commission and brokerage <sup>1</sup>	(79,341)	(165,055)	(76,706)
Payments of other operating expenses <sup>2</sup>	(370,236)	(678,255)	(320,996)
Preliminary and pre-operative expenses	-	-	-
Deposits and advances	(10,257)	(181)	(212)
Income taxes paid (Net)	(10,008)	(22,968)	(5,701)
Goods and Service tax paid (Net)	(52,904)	(126,676)	(57,708)
Other payments	-	-	-
<b>Cash flows before extraordinary items</b>	<b>(1,945,576)</b>	<b>(3,987,026)</b>	<b>(1,806,631)</b>
Cash flow from extraordinary operations	-	-	-
<b>Net cash flow from / (for) operating activities (A)</b>	<b>(70,963)</b>	<b>183,072</b>	<b>30,853</b>
<b>CASH FLOWS FROM INVESTING ACTIVITIES</b>			
Purchase of fixed assets	(7,473)	(18,870)	(3,159)
Sale of fixed assets	75	9,364	233
Purchase of investments	(5,599,427)	(11,149,051)	(5,546,744)
Investment in Subsidiary	-	(2,100)	(2,100)
Loans disbursed	-	-	-
Loans against policies	(15,440)	(27,730)	(12,290)
Sale of investments	5,582,772	10,302,405	4,972,587
Repayments received	-	-	-
Advance/deposit for investment property	-	-	-
Interest & rent received (net of tax deducted at source)	366,314	721,668	329,593
Dividend received	86,269	97,467	59,475
Investments in money market instruments and in liquid mutual funds (Net)	(335,510)	(11,791)	139,351
Expense related to investment	(1,507)	(2,898)	(1,414)
<b>Net cashflow from/ (for) investing activities (B)</b>	<b>76,073</b>	<b>(81,536)</b>	<b>(64,468)</b>
<b>CASH FLOWS FROM FINANCING ACTIVITIES</b>			
Proceeds from issuance of share capital <sup>3</sup>	4,772	5,030	4,314
Proceeds from borrowing	-	-	-
Repayments of borrowing	-	-	-
Interest paid	-	(8,220)	-
Final Dividend	(7,906)	(28,726)	(28,728)
Interim Dividend paid	-	-	-
<b>Net cashflow from / (for) financing activities (C)</b>	<b>(3,134)</b>	<b>(31,916)</b>	<b>(24,414)</b>
<b>Effect of foreign exchange rates on cash and cash equivalents (net) (D)</b>	<b>1</b>	<b>-</b>	<b>1</b>
<b>Net increase/(decrease) in cash and cash equivalents (A+B+C+D)</b>	<b>1,977</b>	<b>69,620</b>	<b>(58,028)</b>
<b>Cash and cash equivalents at beginning of the year</b>	<b>1,014,499</b>	<b>944,879</b>	<b>944,879</b>
<b>Cash and cash equivalents at the end of the period/ end of the year</b>	<b>1,016,476</b>	<b>1,014,499</b>	<b>886,851</b>

**Note:**

Components of Cash and cash equivalents at the end of the year

- Cash (Including cheques in hand and stamps in hand)	6,335	12,195	6,393
- Bank Balances and Money at call and short notice <sup>4</sup>	48,010	55,045	85,278
[Including bank balance for linked business of ₹ 356 lakhs at September 30, 2022 (₹ 245 lakhs at March 31, 2022, ₹ 164 lakhs at September 30, 2021)]			
- Other short term liquid investment			
[Forming part of investments in financials and unclaimed assets as disclosed in Note L-18 ]	965,037	950,604	797,800
[Forming part of Other Liabilities under Schedule 13 in financials]	-	-	-
- Stamps on Hand			
[Part of Cash (including cheques, drafts and stamps) under Note L-17, however not a part of cash and cash equivalents]	(2,906)	(3,345)	(2,620)
	<b>1,016,476</b>	<b>1,014,499</b>	<b>886,851</b>

**Reconciliation of Cash and Cash Equivalents with Cash & Bank Balances (Note L-17)**

Cash and cash equivalents	1,016,476	1,014,499	886,851
Add: Stamps on hand and others	2,906	3,345	2,620
Less: Linked business bank balance	(356)	(245)	(164)
Less: Other short term liquid investment	(965,037)	(950,604)	(797,800)
<b>Cash and Bank Balance as per Note L-17</b>	<b>53,989</b>	<b>66,995</b>	<b>91,507</b>

<sup>1</sup> Including rewards and/or remuneration to agents, brokers or other intermediaries<sup>2</sup> Includes CSR expenses paid during the period amounting to ₹ 166 lakhs (₹ 619 lakhs for year ended March 31, 2022, ₹ 203 lakhs for year half year ended September 30, 2021)<sup>3</sup> Includes movement in share application money and share issue expenses if any<sup>4</sup> Includes balance in dividend account which is unclaimed amounting to ₹ 76 lakhs (₹ 75 lakhs at March 31, 2022, ₹ 72 lakhs at September 30, 2021).

The above Receipts and payments account has been prepared as prescribed by Insurance Regulatory and Development Authority (Preparation of financial statements and auditor's report of insurance companies) Regulations, 2002 under the "Direct method" in accordance with Accounting Standard 3 Cash Flow Statements.

## Form L-24 :- VALUATION OF NET LIABILITIES

Name of the Insurer :- ICICI Prudential Life Insurance Co. Ltd.

Date: 30th September 2022

Net Liabilities (Rs. lakhs) (Frequency - Quarterly)			
Type	Category of business	Mathematical Reserves as at 30th September 2022	Mathematical Reserves as at 30th September 2021
Par	<b>Non-Linked -VIP</b>		
	Life	-	-
	General Annuity	-	-
	Pension	-	-
	Health	-	-
	<b>Non-Linked -Others</b>		
	Life *	26,62,912	23,76,233
	General Annuity	-	-
	Pension*	1,30,704	1,41,755
	Health	-	-
	<b>Linked -VIP</b>		
	Life	-	-
	General Annuity	-	-
	Pension	-	-
	Health	-	-
	<b>Linked-Others</b>		
	Life	-	-
General Annuity	-	-	
Pension	-	-	
Health	-	-	
<b>Total Par</b>		<b>27,93,616</b>	<b>25,17,988</b>
Non-Par	<b>Non-Linked -VIP</b>		
	Life	10,204	13,621
	General Annuity	-	-
	Pension	3,899	21,676
	Health	-	-
	<b>Non-Linked -Others</b>		
	Life*	39,76,883	31,74,449
	General Annuity	11,21,950	8,36,403
	Pension*	98,583	13,658
	Health	5,263	3,804
	<b>Linked -VIP</b>		
	Life	-	-
	General Annuity	-	-
	Pension	-	-
	Health	-	-
	<b>Linked-Others</b>		
	Life*	1,36,38,326	1,42,74,893
General Annuity	-	-	
Pension*	10,83,237	11,99,836	
Health	1,11,492	1,13,298	
<b>Total Non Par</b>		<b>2,00,49,839</b>	<b>1,96,51,638</b>
Total Business	<b>Non-Linked -VIP</b>		
	Life	10,204	13,621
	General Annuity	-	-
	Pension	3,899	21,676
	Health	-	-
	<b>Non-Linked -Others</b>		
	Life	66,39,796	55,50,682
	General Annuity	11,21,950	8,36,403
	Pension	2,29,286	1,55,413
	Health	5,263	3,804
	<b>Linked -VIP</b>		
	Life	-	-
	General Annuity	-	-
	Pension	-	-
	Health	-	-
	<b>Linked-Others</b>		
	Life	1,36,38,326	1,42,74,893
General Annuity	-	-	
Pension	10,83,237	11,99,836	
Health	1,11,492	1,13,298	
<b>Total</b>		<b>2,28,43,455</b>	<b>2,21,69,626</b>

\* Include liability of Group line of business.



8	Puducherry	66	48	944	371	591	8,894	437	639	9,838	1,834	2,474
	TOTAL	1,229	1,617	17,893	17,353	36,749	483,657	18,582	38,366	501,550	94,067	132,433
	GRAND TOTAL	66,095	47,057	815,722	199,740	332,938	6,518,614	265,835	379,995	7,334,336	947,269	1,327,263
		IN INDIA						265,835	379,995	7,334,336	947,269	1,327,263
		OUTSIDE INDIA						-	-	-	-	-





8	Puducherry	76	52	1,013	408	759	11,923	484	812	12,937	1,867	2,678
	TOTAL	1,497	1,136	32,202	17,327	35,143	576,758	18,824	36,279	608,961	92,436	128,714
	GRAND TOTAL	61,215	46,694	1,283,765	225,063	348,951	8,507,356	286,278	395,645	9,791,121	932,936	1,328,581
		IN INDIA						286,278	395,645	9,791,121	932,936	1,328,581
		OUTSIDE INDIA						-	-	-	-	-



8	Puducherry	33	23	443	214	349	5,383	247	373	5,826	1,115	1,488
	TOTAL	661	814	8,941	9,642	20,412	256,964	10,303	21,226	265,905	55,322	76,548
	GRAND TOTAL	34,602	24,325	400,137	110,866	189,096	3,436,314	145,468	213,421	3,836,452	561,070	774,491
		IN INDIA						145,468	213,421	3,836,452	561,070	774,491
		OUTSIDE INDIA						-	-	-	-	-



7	Lakshadweep	-	-	-	-	-	-	-	-	-	-	-	
8	Puducherry	42	34	648	250	375	7,455	292	409	8,104	1,087	1,496	
	TOTAL	871	720	18,513	10,569	21,218	335,000	11,440	21,938	353,513	50,418	72,356	
	GRAND TOTAL	36,313	30,139	652,592	130,699	215,388	4,390,723	167,012	245,527	5,043,315	522,681	768,207	
		IN INDIA						167,012	245,527	5,043,315	522,681	768,207	
		OUTSIDE INDIA						-	-	-	-	-	-

Geographical Distribution of Total Business - GROUP															
Sl.No.	State / Union Territory	New Business - Rural (Group)				New Business - Urban (Group)				Total Business (Group)				Renewal Premium (Rs. Lakhs)	Total Premium (New Business and Renewal) (Rs. Lakhs)
		No. of Schemes	No. of Lives	Premium (Rs Lakhs)	Sum Assured (Rs Lakhs)	No. of Schemes	No. of Lives	Premium (Rs Lakhs)	Sum Assured (Rs Lakhs)	No. of Schemes	No. of Lives	Premium (Rs Lakhs)	Sum Assured (Rs Lakhs)		
	STATES														
1	Andhra Pradesh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2	Arunachal Pradesh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
3	Assam	-	-	-	-	-	-	-	-	-	-	-	-	-	-
4	Bihar	-	-	-	-	-	-	-	-	-	-	-	-	-	-
5	Chhattisgarh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
6	Goa	-	-	-	-	-	-	-	-	-	-	-	-	-	-
7	Gujarat	-	-	-	-	89	92,739	8,224	1,327,895	89	92,739	8,224	1,327,895	115	8,339
8	Haryana	-	-	-	-	10	1,841,302	15,763	969,216	10	1,841,302	15,763	969,216	-	15,763
9	Himachal Pradesh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
10	Jharkhand	-	-	-	-	-	-	-	-	-	-	-	-	-	-
11	Karnataka	-	-	-	-	364	416,989	16,221	10,670,597	364	416,989	16,221	10,670,597	3,051	19,272
12	Kerala	-	-	-	-	-	925	44	2,952	-	925	44	2,952	-	44
13	Madhya Pradesh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
14	Maharashtra	-	-	-	-	666	16,258,331	263,869	30,861,321	666	16,258,331	263,869	30,861,321	1,495	265,364
15	Manipur	-	-	-	-	-	-	-	-	-	-	-	-	-	-
16	Meghalaya	-	-	-	-	-	-	-	-	-	-	-	-	-	-
17	Mizoram	-	-	-	-	-	-	-	-	-	-	-	-	-	-
18	Nagaland	-	-	-	-	-	-	-	-	-	-	-	-	-	-
19	Odisha	-	-	-	-	-	614	215	12,894	-	614	215	12,894	-	215
20	Punjab	-	-	-	-	1	632	0	6	1	632	0	6	(0)	(0)
21	Rajasthan	-	-	-	-	1	22,821	3,227	190,089	1	22,821	3,227	190,089	-	3,227
22	Sikkim	-	-	-	-	-	-	-	-	-	-	-	-	-	-
23	Tamil Nadu	-	-	-	-	212	301,222	15,620	5,087,170	212	301,222	15,620	5,087,170	49	15,669
24	Telangana	-	-	-	-	126	135,636	12,181	1,921,305	126	135,636	12,181	1,921,305	188	12,369
25	Tripura	-	-	-	-	-	-	-	-	-	-	-	-	-	-
26	Uttarakhand	-	-	-	-	-	-	-	-	-	-	-	-	-	-
27	Uttar Pradesh	-	-	-	-	-	2,090	461	21,974	-	2,090	461	21,974	-	461
28	West Bengal	-	-	-	-	107	408,874	7,519	1,011,172	107	408,874	7,519	1,011,172	129	7,648
	TOTAL	-	-	-	-	1,576	19,482,175	343,344	52,076,592	1,576	19,482,175	343,344	52,076,592	5,027	348,371
	UNION TERRITORIES														
1	Andaman & Nicobar Islands	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2	Chandigarh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
3	Dadra and Nagar Haveli and Daman & Diu	-	-	-	-	-	-	-	-	-	-	-	-	-	-
4	Govt. of NCT of Delhi	-	-	-	-	414	530,075	39,023	7,633,836	414	530,075	39,023	7,633,836	1,372	40,395
5	Jammu & Kashmir	-	-	-	-	-	-	-	-	-	-	-	-	-	-
6	Ladakh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
7	Lakshadweep	-	-	-	-	-	-	-	-	-	-	-	-	-	-
8	Puducherry	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	TOTAL	-	-	-	-	414	530,075	39,023	7,633,836	414	530,075	39,023	7,633,836	1,372	40,395
	GRAND TOTAL	-	-	-	-	1,990	20,012,250	382,367	59,710,428	1,990	20,012,250	382,367	59,710,428	6,399	388,766
	IN INDIA														
	OUTSIDE INDIA														

Note: The report reflects unique count of lives at a State, Sector level

Geographical Distribution of Total Business - GROUP															
Sl.No.	State / Union Territory	New Business - Rural (Group)				New Business - Urban (Group)				Total Business (Group)				Renewal Premium (Rs. Lakhs)	Total Premium (New Business and Renewal) (Rs. Lakhs)
		No. of Schemes	No. of Lives	Premium (Rs Lakhs)	Sum Assured (Rs Lakhs)	No. of Schemes	No. of Lives	Premium (Rs Lakhs)	Sum Assured (Rs Lakhs)	No. of Schemes	No. of Lives	Premium (Rs Lakhs)	Sum Assured (Rs Lakhs)		
	STATES														-
1	Andhra Pradesh	-	-	-	-	-	3	0	37	-	3	0	37	-	0
2	Arunachal Pradesh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
3	Assam	-	-	-	-	-	-	-	-	-	-	-	-	-	-
4	Bihar	-	-	-	-	-	-	-	-	-	-	-	-	-	-
5	Chhattisgarh	-	-	-	-	-	-	-	-	-	-	-	-	(0)	(0)
6	Goa	-	-	-	-	4	2,660	46	20,334	4	2,660	46	20,334	-	46
7	Gujarat	-	-	-	-	63	39,281	1,858	236,724	63	39,281	1,858	236,724	99	1,957
8	Haryana	-	-	-	-	16	1,192,993	8,259	628,394	16	1,192,993	8,259	628,394	-	8,259
9	Himachal Pradesh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
10	Jharkhand	-	-	-	-	-	-	-	-	-	-	-	-	-	-
11	Karnataka	-	-	-	-	259	250,448	12,866	5,794,067	259	250,448	12,866	5,794,067	3,548	16,415
12	Kerala	-	-	-	-	-	6,156	28	2,990	-	6,156	28	2,990	-	28
13	Madhya Pradesh	-	-	-	-	5	160	26,593	2,275	5	160	26,593	2,275	13	26,606
14	Maharashtra	-	-	-	-	669	7,924,479	164,680	20,423,660	669	7,924,479	164,680	20,423,660	31,950	196,630
15	Manipur	-	-	-	-	-	-	-	-	-	-	-	-	-	-
16	Meghalaya	-	-	-	-	-	-	-	-	-	-	-	-	-	-
17	Mizoram	-	-	-	-	-	-	-	-	-	-	-	-	-	-
18	Nagaland	-	-	-	-	-	-	-	-	-	-	-	-	-	-
19	Orissa	-	-	-	-	-	310	67	5,779	-	310	67	5,779	-	67
20	Punjab	-	-	-	-	4	3,309	11	6,153	4	3,309	11	6,153	14	25
21	Rajasthan	-	-	-	-	-	296	9	683	-	296	9	683	-	9
22	Sikkim	-	-	-	-	-	-	-	-	-	-	-	-	-	-
23	Tamil Nadu	-	-	-	-	178	237,278	14,402	3,397,410	178	237,278	14,402	3,397,410	123	14,525
24	Telangana	-	-	-	-	87	96,731	15,492	1,295,519	87	96,731	15,492	1,295,519	96	15,588
25	Tripura	-	-	-	-	-	-	-	-	-	-	-	-	-	-
26	Uttarakhand	-	-	-	-	-	-	-	-	-	-	-	-	-	-
27	Uttar Pradesh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
28	West Bengal	-	-	-	-	99	85,850	4,796	1,013,913	99	85,850	4,796	1,013,913	116	4,912
	TOTAL	-	-	-	-	1,384	9,839,954	249,108	32,827,937	1,384	9,839,954	249,108	32,827,937	35,960	285,068
	UNION TERRITORIES														
1	Andaman & Nicobar Islands	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2	Chandigarh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
3	Dadra and Nagar Haveli and Daman & Diu	-	-	-	-	-	-	-	-	-	-	-	-	-	-
4	Govt. of NCT of Delhi	-	-	-	-	378	609,153	24,785	4,736,464	378	609,153	24,785	4,736,464	1,884	26,669
5	Jammu & Kashmir	-	-	-	-	-	-	-	-	-	-	-	-	-	-
6	Ladakh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
7	Lakshadweep	-	-	-	-	-	-	-	-	-	-	-	-	-	-
8	Puducherry	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	TOTAL	-	-	-	-	378	609,153	24,785	4,736,464	378	609,153	24,785	4,736,464	1,884	26,669
	GRAND TOTAL	-	-	-	-	1,762	10,449,107	273,893	37,564,401	1,762	10,449,107	273,893	37,564,401	37,844	311,737
	IN INDIA														
	OUTSIDE INDIA														

Note: The report reflects unique count of lives at a State, Sector level

Geographical Distribution of Total Business - GROUP															
Sl.No.	State / Union Territory	New Business - Rural (Group)				New Business - Urban (Group)				Total Business (Group)				Renewal Premium (Rs. Lakhs)	Total Premium (New Business and Renewal) (Rs. Lakhs)
		No. of Schemes	No. of Lives	Premium (Rs Lakhs)	Sum Assured (Rs Lakhs)	No. of Schemes	No. of Lives	Premium (Rs Lakhs)	Sum Assured (Rs Lakhs)	No. of Schemes	No. of Lives	Premium (Rs Lakhs)	Sum Assured (Rs Lakhs)		
STATES															
1	Andhra Pradesh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2	Arunachal Pradesh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
3	Assam	-	-	-	-	-	-	-	-	-	-	-	-	-	-
4	Bihar	-	-	-	-	-	-	-	-	-	-	-	-	-	-
5	Chhattisgarh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
6	Goa	-	-	-	-	-	-	-	-	-	-	-	-	-	-
7	Gujarat	-	-	-	-	43	52,207	6,039	380,537	43	52,207	6,039	380,537	47	6,087
8	Haryana	-	-	-	-	10	948,181	8,576	496,289	10	948,181	8,576	496,289	-	8,576
9	Himachal Pradesh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
10	Jharkhand	-	-	-	-	-	-	-	-	-	-	-	-	-	-
11	Karnataka	-	-	-	-	175	229,591	7,549	7,661,738	175	229,591	7,549	7,661,738	1,808	9,357
12	Kerala	-	-	-	-	-	(2,691)	12	349	-	(2,691)	12	349	-	12
13	Madhya Pradesh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
14	Maharashtra	-	-	-	-	311	9,365,234	141,634	13,389,882	311	9,365,234	141,634	13,389,882	571	142,205
15	Manipur	-	-	-	-	-	-	-	-	-	-	-	-	-	-
16	Meghalaya	-	-	-	-	-	-	-	-	-	-	-	-	-	-
17	Mizoram	-	-	-	-	-	-	-	-	-	-	-	-	-	-
18	Nagaland	-	-	-	-	-	-	-	-	-	-	-	-	-	-
19	Orissa	-	-	-	-	-	(224)	9	1,352	-	(224)	9	1,352	-	9
20	Punjab	-	-	-	-	1	632	0	6	1	632	0	6	(0)	(0)
21	Rajasthan	-	-	-	-	1	21,676	3,158	184,799	1	21,676	3,158	184,799	-	3,158
22	Sikkim	-	-	-	-	-	-	-	-	-	-	-	-	-	-
23	Tamil Nadu	-	-	-	-	87	108,620	10,299	1,263,023	87	108,620	10,299	1,263,023	28	10,326
24	Telangana	-	-	-	-	60	65,094	3,497	1,314,405	60	65,094	3,497	1,314,405	176	3,673
25	Tripura	-	-	-	-	-	-	-	-	-	-	-	-	-	-
26	Uttarakhand	-	-	-	-	-	-	-	-	-	-	-	-	-	-
27	Uttar Pradesh	-	-	-	-	-	1,096	261	12,335	-	1,096	261	12,335	-	261
28	West Bengal	-	-	-	-	41	348,669	5,120	507,279	41	348,669	5,120	507,279	108	5,228
TOTAL		-	-	-	-	729	11,138,085	186,154	25,211,993	729	11,138,085	186,154	25,211,993	2,738	188,891
UNION TERRITORIES															
1	Andaman & Nicobar Islands	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2	Chandigarh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
3	Dadra and Nagar Haveli and Daman & Diu	-	-	-	-	-	-	-	-	-	-	-	-	-	-
4	Govt. of NCT of Delhi	-	-	-	-	213	201,188	25,721	3,272,864	213	201,188	25,721	3,272,864	454	26,175
5	Jammu & Kashmir	-	-	-	-	-	-	-	-	-	-	-	-	-	-
6	Ladakh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
7	Lakshadweep	-	-	-	-	-	-	-	-	-	-	-	-	-	-
8	Puducherry	-	-	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL		-	-	-	-	213	201,188	25,721	3,272,864	213	201,188	25,721	3,272,864	454	26,175
GRAND TOTAL		-	-	-	-	942	11,339,273	211,875	28,484,857	942	11,339,273	211,875	28,484,857	3,192	215,066
IN INDIA															
OUTSIDE INDIA															

Note: The report reflects unique count of lives at a State, Sector level



Geographical Distribution of Total Business - GROUP															
Sl.No.	State / Union Territory	New Business - Rural (Group)			New Business - Urban (Group)			Total Business (Group)			Renewal Premium (Rs. Lakhs)	Total Premium (New Business and Renewal) (Rs. Lakhs)			
		No. of Schemes	No. of Lives	Premium (Rs Lakhs)	Sum Assured (Rs Lakhs)	No. of Schemes	No. of Lives	Premium (Rs Lakhs)	Sum Assured (Rs Lakhs)	No. of Schemes			No. of Lives	Premium (Rs Lakhs)	Sum Assured (Rs Lakhs)
	STATES														
1	Andhra Pradesh	-	-	-	-	-	1	(0)	7	-	1	(0)	7	(0)	
2	Arunachal Pradesh	-	-	-	-	-	-	-	-	-	-	-	-	-	
3	Assam	-	-	-	-	-	-	-	-	-	-	-	-	-	
4	Bihar	-	-	-	-	-	-	-	-	-	-	-	-	-	
5	Chhattisgarh	-	-	-	-	-	-	-	-	-	-	-	(0)	(0)	
6	Goa	-	-	-	-	-	(5)	1	354	-	(5)	1	354	1	
7	Gujarat	-	-	-	-	17	18,852	827	35,475	17	18,852	827	35,475	49	876
8	Haryana	-	-	-	-	8	633,474	5,461	340,224	8	633,474	5,461	340,224	-	5,461
9	Himachal Pradesh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
10	Jharkhand	-	-	-	-	-	-	-	-	-	-	-	-	-	-
11	Karnataka	-	-	-	-	74	122,245	8,585	3,087,247	74	122,245	8,585	3,087,247	1,936	10,521
12	Kerala	-	-	-	-	-	5,908	22	2,488	-	5,908	22	2,488	-	22
13	Madhya Pradesh	-	-	-	-	1	(3)	5,993	(109)	1	(3)	5,993	(109)	9	6,001
14	Maharashtra	-	-	-	-	182	4,193,303	97,504	6,326,795	182	4,193,303	97,504	6,326,795	30,941	128,445
15	Manipur	-	-	-	-	-	-	-	-	-	-	-	-	-	-
16	Meghalaya	-	-	-	-	-	-	-	-	-	-	-	-	-	-
17	Mizoram	-	-	-	-	-	-	-	-	-	-	-	-	-	-
18	Nagaland	-	-	-	-	-	-	-	-	-	-	-	-	-	-
19	Orissa	-	-	-	-	-	183	36	3,289	-	183	36	3,289	-	36
20	Punjab	-	-	-	-	3	2,763	2	1,041	3	2,763	2	1,041	4	6
21	Rajasthan	-	-	-	-	-	179	6	433	-	179	6	433	-	6
22	Sikkim	-	-	-	-	-	-	-	-	-	-	-	-	-	-
23	Tamil Nadu	-	-	-	-	63	73,091	9,411	846,883	63	73,091	9,411	846,883	12	9,423
24	Telangana	-	-	-	-	35	40,048	6,547	502,002	35	40,048	6,547	502,002	83	6,630
25	Tripura	-	-	-	-	-	-	-	-	-	-	-	-	-	-
26	Uttarakhand	-	-	-	-	-	-	-	-	-	-	-	-	-	-
27	Uttar Pradesh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
28	West Bengal	-	-	-	-	30	29,321	2,777	399,974	30	29,321	2,777	399,974	71	2,848
	TOTAL	-	-	-	-	413	5,119,360	137,172	11,546,100	413	5,119,360	137,172	11,546,100	33,106	170,278
	UNION TERRITORIES														
1	Andaman & Nicobar Islands	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2	Chandigarh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
3	Dadra and Nagar Haveli and Daman & Diu	-	-	-	-	-	-	-	-	-	-	-	-	-	-
4	Govt. of NCT of Delhi	-	-	-	-	152	267,890	13,586	2,083,195	152	267,890	13,586	2,083,195	1,248	14,834
5	Jammu & Kashmir	-	-	-	-	-	-	-	-	-	-	-	-	-	-
6	Ladakh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
7	Lakshadweep	-	-	-	-	-	-	-	-	-	-	-	-	-	-
8	Puducherry	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	TOTAL	-	-	-	-	152	267,890	13,586	2,083,195	152	267,890	13,586	2,083,195	1,248	14,834
	GRAND TOTAL	-	-	-	-	565	5,387,250	150,757	13,629,295	565	5,387,250	150,757	13,629,295	34,354	185,111
	IN INDIA														
	OUTSIDE INDIA														

Note: The report reflects unique count of lives at a State, Sector level

FORM L-26-INVESTMENT ASSETS(LIFE INSURERS)-3A

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Statement as on: September 30, 2022

Statement of Investment Assets (Life Insurers)

(Business within India)

Periodicity of Submission: Quarterly

PART - A

₹ Lakhs

Section I

No	PARTICULARS	SCH	Amount
1	Investments (Shareholders)	8	958,652.66
	Investments (Policyholders)	8A	8,417,212.19
	Investments (Linked Liabilities)	8B	14,764,969.14
2	Loans	9	109,452.22
3	Fixed Assets	10	52,379.31
4	Current Assets		
	a. Cash & Bank Balance	11	53,989.24
	b. Advances & Other Assets	12	442,498.57
	Deferred tax asset		-
5	Current Liabilities		
	a. Current Liabilities	13	433,161.41
	b. Provisions	14	1,908.57
	c. Misc. Exp not Written Off	15	-
	d. Debit Balance of P&L A/c		-

Application of Funds as per Balance Sheet (A) 24,364,083.35

	SCH	Amount
Less: Other Assets		
1 Loans (if any)	9	-
2 Fixed Assets (if any)	10	52,379.31
3 Cash & Bank Balance (if any)	11	53,989.22
4 Advances & Other Assets (if any)	12	442,498.57
5 Deferred tax asset		-
6 Current Liabilities	13	433,161.41
7 Provisions	14	1,908.57
8 Misc. Exp not Written Off	15	-
9 Investments held outside India		-
10 Debit Balance of P&L A/c		-

TOTAL (B) 113,797.12  
Investment Assets (A-B) 24,250,286.23

Reconciliation of Investment Assets

Total Investment Assets (as per Balance Sheet)

24,250,286.23

Balance Sheet Value of:

A. Life Fund	8,046,719.88
B. Pension & General Annuity and Group Business	1,438,597.20
C. Unit Linked Funds	14,764,969.14
	<u>24,250,286.23</u>

## Section II

₹ Lakhs

## NON - LINKED BUSINESS

A. LIFE FUND		% as per Reg	SH		PH			Book Value (SH+PH)	Actual %	FVC Amount	Total Fund	Market Value
			Balance	FRSM+	UL-Non Unit Res	PAR	NON PAR					
			(a)	(b)	(c)	(d)	(e)					
1	Central Govt. Sec	Not Less than 25%	-	397,535.46	115,058.75	1,130,728.29	2,719,439.81	4,362,762.31	56.73%	-	4,362,762.31	4,179,611.99
2	Central Govt Sec, State Govt Sec or Other Approved Securities (incl (1) above)	Not Less than 50%	-	450,668.01	124,715.16	1,321,916.01	3,011,930.38	4,909,229.56	63.83%	-	4,909,229.56	4,714,721.39
3	Investment subject to Exposure Norms											
	a. Infrastructure/ Social/ Housing Sector											
	1. Approved Investments	Not Less than 15%	-	136,926.96	3,035.40	698,927.12	413,595.41	1,252,484.89	16.29%	25,425.21	1,277,910.10	1,258,247.52
	2. Other Investments		-	-	-	-	-	-	0.00%	-	0.00	0.00
	b. i) Approved Investments	Not exceeding 35%	6,000.00	206,733.03	38,913.08	646,416.76	405,937.40	1,304,000.26	16.88%	218,584.98	1,522,585.24	1,526,436.23
	ii) Other Investments		38,273.73	89,222.99	-	99,646.62	42,204.52	269,347.86	3.00%	67,647.12	336,994.98	337,114.94
	<b>TOTAL LIFE FUND</b>	<b>100%</b>	<b>44,273.73</b>	<b>883,550.98</b>	<b>166,663.64</b>	<b>2,766,906.51</b>	<b>3,873,667.72</b>	<b>7,735,062.57</b>	<b>100.00%</b>	<b>311,657.31</b>	<b>8,046,719.88</b>	<b>7,836,520.08</b>

B. PENSION & GENERAL ANNUITY AND GROUP BUSINESS		% as per Reg	PH		Book Value	Actual %	FVC Amount	Total Fund	Market Value
			PAR	NON PAR					
			(a)	(b)					
1	Central Govt. Sec	Not Less than 20%	72,741.02	868,993.72	941,734.75	65.59%	-	941,734.75	883,185.38
2	Central Govt Sec, State Govt Sec or Other Approved Securities (incl (1) above)	Not Less than 40%	97,070.94	981,627.41	1,078,698.34	75.12%	-	1,078,698.34	1,018,400.84
3	Balance in Approved investment	Not Exceeding 60%	78,169.58	279,006.76	357,176.34	24.88%	2,722.52	359,898.86	354,571.81
	<b>TOTAL PENSION, GENERAL ANNUITY FUND</b>	<b>100%</b>	<b>175,240.52</b>	<b>1,260,634.16</b>	<b>1,435,874.68</b>	<b>100.00%</b>	<b>2,722.52</b>	<b>1,438,597.20</b>	<b>1,372,972.65</b>

## LINKED BUSINESS

C. LINKED FUNDS		% as per Reg	PH		Total Fund	Actual %
			PAR	NON PAR		
			(a)	(b)		
1	Approved Investments	Not Less than 75%	-	12,395,772.72	12,395,772.72	83.95%
2	Other Investments	Not More than 25%	-	2,369,196.43	2,369,196.43	16.05%
	<b>TOTAL LINKED INSURANCE FUND</b>	<b>100%</b>	<b>-</b>	<b>14,764,969.14</b>	<b>14,764,969.14</b>	<b>100.00%</b>

- Note:**
- (+) FRSM refers to 'Funds representing Solvency Margin'
  - Funds beyond Solvency Margin have a separate Custody Account.
  - Other Investments is as permitted as per Sec 27A (2) of Insurance Act, 1938 as amended from time to time
  - Pattern of Investment is applicable to both Shareholders funds representing solvency margin and policyholders funds.
  - Exposure Norms apply to Funds held beyond Solvency Margin, held in a separate Custody Account

## FORM L-27-UNIT LINKED BUSINESS-3A

## FORM 3A

## Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2022

₹ Lakhs

Particulars	ULIF 002 22/10/01 LBalancer1 105	ULIF 014 17/05/04 LBalancer2 105	ULIF 023 13/03/06 LBalancer3 105	ULIF 039 27/08/07 LBalancer4 105	ULIF 003 22/10/01 LProtect1 105	ULIF 016 17/05/04 LProtect2 105
	Balancer Fund	Balancer Fund II	Balancer Fund III	Balancer Fund IV	Protector Fund	Protector Fund II
Opening Balance (Market value)	74,775.28	27,687.47	1,638.84	6,019.92	40,998.84	24,077.50
Add: Inflows during the quarter	508.64	923.43	17.32	54.93	2,024.37	1,005.38
Increase / (Decrease) value of investment (Net)	3,105.97	1,267.09	69.04	274.76	620.49	415.13
Less : Outflow during the quarter	(1,983.21)	(1,567.96)	(18.66)	(136.41)	(3,152.58)	(2,430.11)
<b>Total Investible Funds (Market value)</b>	<b>76,406.67</b>	<b>28,310.04</b>	<b>1,706.54</b>	<b>6,213.21</b>	<b>40,491.13</b>	<b>23,067.91</b>

Investment of Unit Fund	ULIF 002 22/10/01 LBalancer1 105		ULIF 014 17/05/04 LBalancer2 105		ULIF 023 13/03/06 LBalancer3 105		ULIF 039 27/08/07 LBalancer4 105		ULIF 003 22/10/01 LProtect1 105		ULIF 016 17/05/04 LProtect2 105	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
<b>Approved Investments (&gt;=75%)</b>												
Central Government Securities	22,798.99	30%	8,275.33	29%	494.10	29%	1,820.30	29%	15,595.81	39%	7,971.36	35%
State Government Securities	296.85	0%	110.59	0%	6.41	0%	22.09	0%	481.92	1%	278.92	1%
Other Approved Securities	1,455.22	2%	529.33	2%	38.82	2%	117.61	2%	936.36	2%	626.77	3%
Corporate Bonds	9,388.95	12%	3,457.82	12%	179.45	11%	614.76	10%	13,139.77	32%	6,129.31	27%
Infrastructure Bonds	5,985.35	8%	2,558.60	9%	163.40	10%	510.36	8%	7,140.85	18%	6,132.42	27%
Equity	20,321.74	27%	7,430.97	26%	453.15	27%	1,647.64	27%	-	0%	-	0%
Money Market Investments	10,234.36	13%	3,806.15	13%	239.26	14%	1,001.67	16%	2,692.74	7%	1,554.98	7%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Deposit with Banks	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (A)</b>	<b>70,481.47</b>	<b>92%</b>	<b>26,168.78</b>	<b>92%</b>	<b>1,574.59</b>	<b>92%</b>	<b>5,734.43</b>	<b>92%</b>	<b>39,987.44</b>	<b>99%</b>	<b>22,693.75</b>	<b>98%</b>
<b>Current Assets:</b>												
Accrued Interest	1,000.10	1%	369.87	1%	21.90	1%	76.66	1%	1,141.09	3%	631.72	3%
Dividend Receivable	4.49	0%	1.64	0%	0.10	0%	0.36	0%	-	0%	-	0%
Bank Balance	2.74	0%	1.20	0%	0.12	0%	0.37	0%	1.40	0%	0.83	0%
Receivable for sale of Investments	196.99	0%	58.19	0%	4.34	0%	15.75	0%	-	0%	335.72	1%
Other Current Assets (For Investments)	0.05	0%	0.02	0%	0.60	0%	0.00	0%	0.00	0%	0.00	0%
<b>Less : Current Liabilities</b>												
Payable for Investments	(1,259.02)	-2%	(467.15)	-2%	(29.19)	-2%	(102.64)	-2%	(1,494.94)	-4%	(537.19)	-2%
Fund Management Charges Payable	(4.70)	0%	(0.77)	0%	(0.10)	0%	(0.17)	0%	(1.67)	0%	(0.48)	0%
Other Current Liabilities (For Investments)	(29.25)	0%	(45.00)	0%	(0.02)	0%	(0.16)	0%	(185.02)	0%	(56.44)	0%
<b>Sub Total (B)</b>	<b>(88.59)</b>	<b>0%</b>	<b>(82.00)</b>	<b>0%</b>	<b>(2.26)</b>	<b>0%</b>	<b>(9.82)</b>	<b>0%</b>	<b>(539.14)</b>	<b>-1%</b>	<b>374.16</b>	<b>2%</b>
<b>Other Investments (&lt;=25%)</b>												
Corporate Bonds	-	0%	-	0%	-	0%	-	0%	1,042.83	3%	-	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	6,013.79	8%	2,223.26	8%	134.21	8%	488.60	8%	-	0%	-	0%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Venture Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Others	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (C)</b>	<b>6,013.79</b>	<b>8%</b>	<b>2,223.26</b>	<b>8%</b>	<b>134.21</b>	<b>8%</b>	<b>488.60</b>	<b>8%</b>	<b>1,042.83</b>	<b>3%</b>	<b>-</b>	<b>0%</b>
<b>Total (A+B+C)</b>	<b>76,406.67</b>	<b>100%</b>	<b>28,310.04</b>	<b>100%</b>	<b>1,706.54</b>	<b>100%</b>	<b>6,213.21</b>	<b>100%</b>	<b>40,491.13</b>	<b>100%</b>	<b>23,067.91</b>	<b>100%</b>
<b>Fund carried forward (as per LB2)</b>	<b>76,406.67</b>		<b>28,310.04</b>		<b>1,706.54</b>		<b>6,213.21</b>		<b>40,491.13</b>		<b>23,067.91</b>	

## Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of item 13 of FORM ULB2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

## FORM L-27-UNIT LINKED BUSINESS-3A

## FORM 3A

## Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2022

₹ Lakhs

Particulars	ULIF 024 13/03/06 LProtect3 105	ULIF 041 27/08/07 LProtect4 105	ULIF 001 22/10/01 LMaximis1 105	ULIF 012 17/05/04 LMaximis2 105	ULIF 022 13/03/06 LMaximis3 105	ULIF 037 27/08/07 LMaximis4 105
	Protector Fund III	Protector Fund IV	Maximiser Fund	Maximiser Fund II	Maximiser Fund III	Maximiser Fund IV
Opening Balance (Market value)	2,297.34	27,023.00	305,767.15	88,664.45	12,060.14	1,697.96
Add: Inflows during the quarter	143.97	1,590.53	2,177.94	1,097.95	75.92	40.89
Increase / (Decrease) value of investment (Net)	30.07	450.28	32,199.33	9,592.07	1,274.56	182.60
Less : Outflow during the quarter	(109.90)	(604.59)	(8,684.66)	(4,300.35)	(547.62)	(143.12)
<b>Total Investible Funds (Market value)</b>	<b>2,361.48</b>	<b>28,459.22</b>	<b>331,459.76</b>	<b>95,054.12</b>	<b>12,862.99</b>	<b>1,778.34</b>

Investment of Unit Fund	ULIF 024 13/03/06 LProtect3 105		ULIF 041 27/08/07 LProtect4 105		ULIF 001 22/10/01 LMaximis1 105		ULIF 012 17/05/04 LMaximis2 105		ULIF 022 13/03/06 LMaximis3 105		ULIF 037 27/08/07 LMaximis4 105	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
<b>Approved Investments (&gt;=75%)</b>												
Central Government Securities	1,046.46	44%	11,461.39	40%	-	0%	-	0%	-	0%	-	0%
State Government Securities	29.18	1%	203.40	1%	-	0%	-	0%	-	0%	-	0%
Other Approved Securities	51.64	2%	804.04	3%	-	0%	-	0%	-	0%	-	0%
Corporate Bonds	567.62	24%	6,384.35	22%	-	0%	-	0%	-	0%	-	0%
Infrastructure Bonds	399.30	17%	3,806.90	13%	-	0%	-	0%	-	0%	-	0%
Equity	-	0%	-	0%	249,153.11	75%	71,985.97	76%	9,723.10	76%	1,328.86	75%
Money Market Investments	302.84	13%	6,300.25	22%	6,159.82	2%	1,065.31	1%	188.52	1%	53.48	3%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Deposit with Banks	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (A)</b>	<b>2,397.04</b>	<b>102%</b>	<b>28,960.33</b>	<b>102%</b>	<b>255,312.93</b>	<b>77%</b>	<b>73,051.28</b>	<b>77%</b>	<b>9,911.61</b>	<b>77%</b>	<b>1,382.33</b>	<b>78%</b>
<b>Current Assets:</b>												
Accrued Interest	55.70	2%	535.40	2%	-	0%	-	0%	-	0%	-	0%
Dividend Receivable	-	0%	-	0%	57.96	0%	16.89	0%	2.28	0%	0.31	0%
Bank Balance	0.11	0%	2.04	0%	2.97	0%	0.58	0%	0.14	0%	0.08	0%
Receivable for sale of Investments	-	0%	-	0%	-	0%	46.46	0%	6.25	0%	-	0%
Other Current Assets (For Investments)	0.00	0%	5.78	0%	122.52	0%	0.03	0%	0.01	0%	0.20	0%
<b>Less : Current Liabilities</b>												
Payable for Investments	(85.78)	-4%	(1,043.55)	-4%	(902.77)	0%	(153.53)	0%	(27.75)	0%	(5.91)	0%
Fund Management Charges Payable	(0.10)	0%	(0.58)	0%	(20.24)	0%	(3.86)	0%	(0.79)	0%	(0.07)	0%
Other Current Liabilities (For Investments)	(5.49)	0%	(0.19)	0%	(3.70)	0%	(48.91)	0%	(7.89)	0%	(0.01)	0%
<b>Sub Total (B)</b>	<b>(35.56)</b>	<b>-2%</b>	<b>(501.11)</b>	<b>-2%</b>	<b>(743.27)</b>	<b>0%</b>	<b>(142.32)</b>	<b>0%</b>	<b>(27.75)</b>	<b>0%</b>	<b>(5.41)</b>	<b>0%</b>
<b>Other Investments (&lt;=25%)</b>												
Corporate Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	-	0%	-	0%	76,890.09	23%	22,145.16	23%	2,979.13	23%	401.42	23%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Venture Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Others	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (C)</b>	<b>-</b>	<b>0%</b>	<b>-</b>	<b>0%</b>	<b>76,890.09</b>	<b>23%</b>	<b>22,145.16</b>	<b>23%</b>	<b>2,979.13</b>	<b>23%</b>	<b>401.42</b>	<b>23%</b>
<b>Total (A+B+C)</b>	<b>2,361.48</b>	<b>100%</b>	<b>28,459.22</b>	<b>100%</b>	<b>331,459.76</b>	<b>100%</b>	<b>95,054.12</b>	<b>100%</b>	<b>12,862.99</b>	<b>100%</b>	<b>1,778.34</b>	<b>100%</b>
<b>Fund carried forward (as per LB2)</b>	<b>2,361.48</b>		<b>28,459.22</b>		<b>331,459.76</b>		<b>95,054.12</b>		<b>12,862.99</b>		<b>1,778.34</b>	

## Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of item 13 of FORM ULB2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

## FORM L-27-UNIT LINKED BUSINESS-3A

## FORM 3A

## Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2022

₹ Lakhs

Particulars	ULIF 114 15/03/11 LMaximis5 105	ULIF 010 17/05/04 LPreserv1 105	ULIF 021 13/03/06 LPreserv3 105	ULIF 036 27/08/07 LPreserv4 105	ULIF 031 20/03/07 LFlexiBal1 105	ULIF 032 20/03/07 LFlexiBal2 105
	Maximiser Fund V	Preserver Fund	Preserver Fund III	Preserver Fund IV	Flexi Balanced Fund	Flexi Balanced Fund II
Opening Balance (Market value)	4,388,273.77	25,253.84	1,330.53	2,739.56	5,141.10	7,416.57
Add: Inflows during the quarter	113,636.13	3,690.28	206.87	242.11	141.66	929.65
Increase / (Decrease) value of investment (Net)	475,631.77	240.54	12.83	27.13	337.09	525.58
Less : Outflow during the quarter	(184,550.47)	(4,708.33)	(289.47)	(229.26)	(410.00)	(1,015.25)
<b>Total Investible Funds (Market value)</b>	<b>4,792,991.20</b>	<b>24,476.33</b>	<b>1,260.76</b>	<b>2,779.53</b>	<b>5,209.85</b>	<b>7,856.56</b>

Investment of Unit Fund	ULIF 114 15/03/11 LMaximis5 105		ULIF 010 17/05/04 LPreserv1 105		ULIF 021 13/03/06 LPreserv3 105		ULIF 036 27/08/07 LPreserv4 105		ULIF 031 20/03/07 LFlexiBal1 105		ULIF 032 20/03/07 LFlexiBal2 105	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
<b>Approved Investments (&gt;=75%)</b>												
Central Government Securities	-	0%	-	0%	-	0%	-	0%	1,074.91	21%	1,597.16	20%
State Government Securities	-	0%	-	0%	-	0%	-	0%	12.82	0%	17.84	0%
Other Approved Securities	-	0%	-	0%	-	0%	-	0%	94.57	2%	156.60	2%
Corporate Bonds	-	0%	5,882.34	24%	318.47	25%	696.30	25%	440.30	8%	659.41	8%
Infrastructure Bonds	-	0%	1,520.71	6%	68.98	5%	177.97	6%	292.29	6%	507.86	6%
Equity	3,614,449.33	75%	-	0%	-	0%	-	0%	2,258.84	43%	3,492.94	44%
Money Market Investments	87,097.42	2%	16,971.80	69%	859.91	68%	1,875.75	67%	326.52	6%	399.09	5%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Deposit with Banks	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (A)</b>	<b>3,701,546.75</b>	<b>77%</b>	<b>24,374.85</b>	<b>100%</b>	<b>1,247.36</b>	<b>99%</b>	<b>2,750.02</b>	<b>99%</b>	<b>4,500.24</b>	<b>86%</b>	<b>6,830.89</b>	<b>87%</b>
<b>Current Assets:</b>												
Accrued Interest	0.00	0%	167.01	1%	10.18	1%	22.04	1%	49.23	1%	71.00	1%
Dividend Receivable	839.72	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Bank Balance	123.08	0%	0.06	0%	0.07	0%	0.05	0%	0.12	0%	0.16	0%
Receivable for sale of Investments	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Other Current Assets (For Investments)	4,440.78	0%	0.00	0%	3.18	0%	7.49	0%	3.39	0%	0.00	0%
<b>Less : Current Liabilities</b>												
Payable for Investments	(12,137.83)	0%	-	0%	-	0%	-	0%	(49.94)	-1%	(84.90)	-1%
Fund Management Charges Payable	(174.49)	0%	(0.50)	0%	(0.03)	0%	(0.06)	0%	(0.32)	0%	(0.21)	0%
Other Current Liabilities (For Investments)	(32.26)	0%	(65.09)	0%	(0.01)	0%	(0.01)	0%	(0.06)	0%	(1.87)	0%
<b>Sub Total (B)</b>	<b>(6,941.00)</b>	<b>0%</b>	<b>101.48</b>	<b>0%</b>	<b>13.40</b>	<b>1%</b>	<b>29.52</b>	<b>1%</b>	<b>2.42</b>	<b>0%</b>	<b>(15.82)</b>	<b>0%</b>
<b>Other Investments (&lt;=25%)</b>												
Corporate Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	1,098,385.45	23%	-	0%	-	0%	-	0%	707.19	14%	1,041.48	13%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Venture Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Others	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (C)</b>	<b>1,098,385.45</b>	<b>23%</b>	<b>-</b>	<b>0%</b>	<b>-</b>	<b>0%</b>	<b>-</b>	<b>0%</b>	<b>707.19</b>	<b>14%</b>	<b>1,041.48</b>	<b>13%</b>
<b>Total (A+B+C)</b>	<b>4,792,991.20</b>	<b>100%</b>	<b>24,476.33</b>	<b>100%</b>	<b>1,260.76</b>	<b>100%</b>	<b>2,779.53</b>	<b>100%</b>	<b>5,209.85</b>	<b>100%</b>	<b>7,856.56</b>	<b>100%</b>
<b>Fund carried forward (as per LB2)</b>	<b>4,792,991.20</b>		<b>24,476.33</b>		<b>1,260.76</b>		<b>2,779.53</b>		<b>5,209.85</b>		<b>7,856.56</b>	

## Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of item 13 of FORM ULB2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

## FORM L-27-UNIT LINKED BUSINESS-3A

## FORM 3A

## Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2022

₹ Lakhs

Particulars	ULIF 033 20/03/07 LFlexiBal3 105	ULIF 040 27/08/07 LFlexiBal4 105	ULIF 026 20/03/07 LFlexiGro1 105	ULIF 027 20/03/07 LFlexiGro2 105	ULIF 028 20/03/07 LFlexiGro3 105	ULIF 038 27/08/07 LFlexiGro4 105
	Flexi Balanced Fund III	Flexi Balanced Fund IV	Flexi Growth Fund	Flexi Growth Fund II	Flexi Growth Fund III	Flexi Growth Fund IV
Opening Balance (Market value)	347.20	2,273.95	51,285.12	74,955.64	5,259.28	36,666.58
Add: Inflows during the quarter	22.01	31.41	245.60	336.53	22.28	16.32
Increase / (Decrease) value of investment (Net)	23.44	157.04	5,558.79	8,424.73	577.03	4,067.52
Less : Outflow during the quarter	(10.96)	(100.52)	(3,073.17)	(3,654.25)	(133.69)	(3,160.02)
<b>Total Investible Funds (Market value)</b>	<b>381.69</b>	<b>2,361.87</b>	<b>54,016.34</b>	<b>80,062.64</b>	<b>5,724.89</b>	<b>37,590.40</b>

Investment of Unit Fund	ULIF 033 20/03/07 LFlexiBal3 105		ULIF 040 27/08/07 LFlexiBal4 105		ULIF 026 20/03/07 LFlexiGro1 105		ULIF 027 20/03/07 LFlexiGro2 105		ULIF 028 20/03/07 LFlexiGro3 105		ULIF 038 27/08/07 LFlexiGro4 105	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
<b>Approved Investments (&gt;=75%)</b>												
Central Government Securities	77.74	20%	468.01	20%	-	0%	-	0%	-	0%	-	0%
State Government Securities	0.69	0%	5.02	0%	-	0%	-	0%	-	0%	-	0%
Other Approved Securities	13.00	3%	38.82	2%	-	0%	-	0%	-	0%	-	0%
Corporate Bonds	30.03	8%	160.10	7%	2.58	0%	3.73	0%	0.27	0%	2.02	0%
Infrastructure Bonds	-	0%	150.89	6%	-	0%	-	0%	-	0%	-	0%
Equity	158.29	41%	984.65	42%	40,816.55	76%	60,114.60	75%	4,228.01	74%	28,832.48	77%
Money Market Investments	56.22	15%	265.23	11%	1,085.30	2%	1,653.55	2%	191.10	3%	590.24	2%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Deposit with Banks	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (A)</b>	<b>335.97</b>	<b>88%</b>	<b>2,072.72</b>	<b>88%</b>	<b>41,904.43</b>	<b>78%</b>	<b>61,771.88</b>	<b>77%</b>	<b>4,419.38</b>	<b>77%</b>	<b>29,424.74</b>	<b>78%</b>
<b>Current Assets:</b>												
Accrued Interest	2.71	1%	20.26	1%	0.05	0%	0.07	0%	0.00	0%	0.04	0%
Dividend Receivable	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Bank Balance	0.07	0%	0.13	0%	0.59	0%	0.88	0%	0.15	0%	0.35	0%
Receivable for sale of Investments	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Other Current Assets (For Investments)	0.00	0%	0.00	0%	-	0%	-	0%	-	0%	-	0%
<b>Less : Current Liabilities</b>												
Payable for Investments	(4.99)	-1%	(22.47)	-1%	-	0%	-	0%	-	0%	-	0%
Fund Management Charges Payable	(0.02)	0%	(0.06)	0%	(3.30)	0%	(3.25)	0%	(0.35)	0%	(1.53)	0%
Other Current Liabilities (For Investments)	(0.36)	0%	(0.30)	0%	(97.79)	0%	(89.97)	0%	(2.53)	0%	(57.63)	0%
<b>Sub Total (B)</b>	<b>(2.60)</b>	<b>-1%</b>	<b>(2.45)</b>	<b>0%</b>	<b>(100.45)</b>	<b>0%</b>	<b>(92.27)</b>	<b>0%</b>	<b>(2.73)</b>	<b>0%</b>	<b>(58.77)</b>	<b>0%</b>
<b>Other Investments (&lt;=25%)</b>												
Corporate Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	48.33	13%	291.59	12%	12,212.36	23%	18,383.03	23%	1,308.24	23%	8,224.43	22%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Venture Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Others	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (C)</b>	<b>48.33</b>	<b>13%</b>	<b>291.59</b>	<b>12%</b>	<b>12,212.36</b>	<b>23%</b>	<b>18,383.03</b>	<b>23%</b>	<b>1,308.24</b>	<b>23%</b>	<b>8,224.43</b>	<b>22%</b>
<b>Total (A+B+C)</b>	<b>381.69</b>	<b>100%</b>	<b>2,361.87</b>	<b>100%</b>	<b>54,016.34</b>	<b>100%</b>	<b>80,062.64</b>	<b>100%</b>	<b>5,724.89</b>	<b>100%</b>	<b>37,590.40</b>	<b>100%</b>
<b>Fund carried forward (as per LB2)</b>	<b>381.69</b>		<b>2,361.87</b>		<b>54,016.34</b>		<b>80,062.64</b>		<b>5,724.89</b>		<b>37,590.40</b>	

## Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of item 13 of FORM ULB2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

## FORM L-27-UNIT LINKED BUSINESS-3A

## FORM 3A

## Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2022

₹ Lakhs

Particulars	ULIF 005 03/05/02 PBalancer1 105	ULIF 015 17/05/04 PBalancer2 105	ULIF 006 03/05/02 PProtect1 105	ULIF 017 17/05/04 PProtect2 105	ULIF 004 03/05/02 PMaximis1 105	ULIF 013 17/05/04 PMaximis2 105
	Pension Balancer Fund	Pension Balancer Fund II	Pension Protector Fund	Pension Protector Fund II	Pension Maximiser Fund	Pension Maximiser Fund II
Opening Balance (Market value)	23,801.16	24,911.22	47,995.21	19,842.25	49,080.96	81,387.48
Add: Inflows during the quarter	105.53	69.45	738.57	1,479.36	278.56	327.97
Increase / (Decrease) value of investment (Net)	998.05	1,140.61	674.04	333.00	4,796.76	8,152.42
Less : Outflow during the quarter	(777.50)	(832.00)	(1,652.80)	(1,558.62)	(1,493.93)	(3,166.09)
<b>Total Investible Funds (Market value)</b>	<b>24,127.24</b>	<b>25,289.28</b>	<b>47,755.02</b>	<b>20,095.99</b>	<b>52,662.34</b>	<b>86,701.79</b>

Investment of Unit Fund	ULIF 005 03/05/02 PBalancer1 105		ULIF 015 17/05/04 PBalancer2 105		ULIF 006 03/05/02 PProtect1 105		ULIF 017 17/05/04 PProtect2 105		ULIF 004 03/05/02 PMaximis1 105		ULIF 013 17/05/04 PMaximis2 105	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
<b>Approved Investments (&gt;=75%)</b>												
Central Government Securities	5,964.90	25%	6,557.06	26%	17,652.99	37%	6,296.66	31%	-	0%	-	0%
State Government Securities	101.32	0%	105.57	0%	610.72	1%	255.11	1%	-	0%	-	0%
Other Approved Securities	1,135.37	5%	1,254.00	5%	1,847.69	4%	571.82	3%	-	0%	-	0%
Corporate Bonds	2,982.44	12%	3,098.64	12%	13,181.04	28%	4,728.16	24%	1.81	0%	3.16	0%
Infrastructure Bonds	2,374.03	10%	2,549.98	10%	8,877.53	19%	6,503.59	32%	-	0%	-	0%
Equity	6,415.06	27%	6,738.36	27%	-	0%	-	0%	39,888.40	76%	65,612.10	76%
Money Market Investments	2,932.97	12%	2,672.57	11%	6,095.80	13%	1,417.16	7%	875.26	2%	1,507.57	2%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Deposit with Banks	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (A)</b>	<b>21,906.09</b>	<b>91%</b>	<b>22,976.16</b>	<b>91%</b>	<b>48,265.77</b>	<b>101%</b>	<b>19,772.50</b>	<b>98%</b>	<b>40,765.47</b>	<b>77%</b>	<b>67,122.83</b>	<b>77%</b>
<b>Current Assets:</b>												
Accrued Interest	361.62	1%	397.92	2%	1,187.71	2%	583.89	3%	0.03	0%	0.06	0%
Dividend Receivable	1.42	0%	1.49	0%	-	0%	-	0%	8.88	0%	14.60	0%
Bank Balance	0.87	0%	0.92	0%	1.58	0%	0.70	0%	0.49	0%	0.81	0%
Receivable for sale of Investments	62.00	0%	68.16	0%	-	0%	255.74	1%	511.54	1%	815.25	1%
Other Current Assets (For Investments)	19.57	0%	3.96	0%	0.00	0%	0.00	0%	0.10	0%	0.16	0%
<b>Less : Current Liabilities</b>												
Payable for Investments	(394.40)	-2%	(413.10)	-2%	(1,755.93)	-4%	(468.06)	-2%	(248.09)	0%	(411.42)	0%
Fund Management Charges Payable	(1.48)	0%	(0.69)	0%	(1.96)	0%	(0.41)	0%	(3.22)	0%	(3.52)	0%
Other Current Liabilities (For Investments)	(0.31)	0%	(0.17)	0%	(12.35)	0%	(48.37)	0%	(16.79)	0%	(0.65)	0%
<b>Sub Total (B)</b>	<b>49.30</b>	<b>0%</b>	<b>58.50</b>	<b>0%</b>	<b>(580.95)</b>	<b>-1%</b>	<b>323.48</b>	<b>2%</b>	<b>252.94</b>	<b>0%</b>	<b>415.28</b>	<b>0%</b>
<b>Other Investments (&lt;=25%)</b>												
Corporate Bonds	273.39	1%	263.27	1%	70.19	0%	-	0%	-	0%	-	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	1,898.46	8%	1,991.34	8%	-	0%	-	0%	11,643.94	22%	19,163.67	22%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Venture Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Others	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (C)</b>	<b>2,171.85</b>	<b>9%</b>	<b>2,254.61</b>	<b>9%</b>	<b>70.19</b>	<b>0%</b>	<b>-</b>	<b>0%</b>	<b>11,643.94</b>	<b>22%</b>	<b>19,163.67</b>	<b>22%</b>
<b>Total (A+B+C)</b>	<b>24,127.24</b>	<b>100%</b>	<b>25,289.28</b>	<b>100%</b>	<b>47,755.02</b>	<b>100%</b>	<b>20,095.99</b>	<b>100%</b>	<b>52,662.34</b>	<b>100%</b>	<b>86,701.79</b>	<b>100%</b>
<b>Fund carried forward (as per LB2)</b>	<b>24,127.24</b>		<b>25,289.28</b>		<b>47,755.02</b>		<b>20,095.99</b>		<b>52,662.34</b>		<b>86,701.79</b>	

## Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of item 13 of FORM ULB2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)



## FORM L-27-UNIT LINKED BUSINESS-3A

## FORM 3A

## Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2022

₹ Lakhs

Particulars	ULGF 001 03/04/03 GBalancer 105	ULGF 002 03/04/03 GDebt 105	ULGF 040 30/04/13 GDebt2 105	ULGF 003 03/04/03 GSTDebt 105	ULGF 006 03/10/05 GCGBal1 105	ULGF 010 21/03/07 GCGBal2 105
	Group Balanced Fund	Group Debt Fund	Group Debt Fund II	Group Short Term Debt fund	Group Capital Guarantee Balanced Fund	Group Capital Guarantee Balanced Fund II
Opening Balance (Market value)	86,231.77	31,344.71	112,515.27	5,141.04	1,033.60	12,728.74
Add: Inflows during the quarter	246.34	770.27	5,522.42	159.26	1.71	109.96
Increase / (Decrease) value of investment (Net)	2,271.86	463.26	1,558.25	39.87	24.13	320.81
Less : Outflow during the quarter	(5,627.81)	(4,636.36)	(3,221.69)	(183.99)	(4.60)	(449.06)
<b>Total Investible Funds (Market value)</b>	<b>83,122.15</b>	<b>27,941.87</b>	<b>116,374.25</b>	<b>5,156.17</b>	<b>1,054.85</b>	<b>12,710.44</b>

Investment of Unit Fund	ULGF 001 03/04/03 GBalancer 105		ULGF 002 03/04/03 GDebt 105		ULGF 040 30/04/13 GDebt2 105		ULGF 003 03/04/03 GSTDebt 105		ULGF 006 03/10/05 GCGBal1 105		ULGF 010 21/03/07 GCGBal2 105	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
<b>Approved Investments (&gt;=75%)</b>												
Central Government Securities	27,694.69	33%	9,862.59	35%	36,367.45	31%	-	0%	340.44	32%	4,413.34	35%
State Government Securities	760.07	1%	403.69	1%	844.13	1%	-	0%	5.71	1%	99.16	1%
Other Approved Securities	2,721.14	3%	1,416.66	5%	3,599.66	3%	-	0%	42.07	4%	400.09	3%
Corporate Bonds	15,233.34	18%	8,201.39	29%	28,200.03	24%	1,162.40	23%	151.18	14%	2,098.31	17%
Infrastructure Bonds	12,716.38	15%	7,631.67	27%	13,840.54	12%	336.07	7%	62.28	6%	1,744.51	14%
Equity	9,585.06	12%	-	0%	-	0%	-	0%	119.80	11%	1,446.12	11%
Money Market Investments	10,829.30	13%	2,442.61	9%	28,786.46	25%	3,624.77	70%	278.77	26%	1,764.27	14%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Deposit with Banks	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (A)</b>	<b>79,539.99</b>	<b>96%</b>	<b>29,958.61</b>	<b>107%</b>	<b>111,638.26</b>	<b>96%</b>	<b>5,123.24</b>	<b>99%</b>	<b>1,000.25</b>	<b>95%</b>	<b>11,965.80</b>	<b>94%</b>
<b>Current Assets:</b>												
Accrued Interest	1,642.17	2%	692.09	2%	2,208.57	2%	32.96	1%	13.60	1%	217.19	2%
Dividend Receivable	2.12	0%	-	0%	-	0%	-	0%	0.03	0%	0.32	0%
Bank Balance	2.72	0%	1.27	0%	8.25	0%	0.19	0%	0.13	0%	0.40	0%
Receivable for sale of Investments	1,376.67	2%	406.44	1%	1,555.44	1%	-	0%	17.48	2%	210.20	2%
Other Current Assets (For Investments)	0.03	0%	0.00	0%	2,412.28	2%	0.00	0%	0.44	0%	0.00	0%
<b>Less : Current Liabilities</b>												
Payable for Investments	(1,770.51)	-2%	(707.41)	-3%	(2,648.75)	-2%	-	0%	(22.73)	-2%	(264.19)	-2%
Fund Management Charges Payable	(3.00)	0%	(1.08)	0%	(4.06)	0%	(0.18)	0%	(0.06)	0%	(0.59)	0%
Other Current Liabilities (For Investments)	(1,374.97)	-2%	(2,408.05)	-9%	(0.99)	0%	(0.04)	0%	(0.01)	0%	(0.12)	0%
<b>Sub Total (B)</b>	<b>(124.78)</b>	<b>0%</b>	<b>(2,016.74)</b>	<b>-7%</b>	<b>3,530.75</b>	<b>3%</b>	<b>32.93</b>	<b>1%</b>	<b>8.87</b>	<b>1%</b>	<b>163.21</b>	<b>1%</b>
<b>Other Investments (&lt;=25%)</b>												
Corporate Bonds	854.97	1%	-	0%	1,205.24	1%	-	0%	10.13	1%	151.88	1%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	2,851.97	3%	-	0%	-	0%	-	0%	35.60	3%	429.55	3%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Venture Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Others	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (C)</b>	<b>3,706.94</b>	<b>4%</b>	<b>-</b>	<b>0%</b>	<b>1,205.24</b>	<b>1%</b>	<b>-</b>	<b>0%</b>	<b>45.72</b>	<b>4%</b>	<b>581.44</b>	<b>5%</b>
<b>Total (A+B+C)</b>	<b>83,122.15</b>	<b>100%</b>	<b>27,941.87</b>	<b>100%</b>	<b>116,374.25</b>	<b>100%</b>	<b>5,156.17</b>	<b>100%</b>	<b>1,054.85</b>	<b>100%</b>	<b>12,710.44</b>	<b>100%</b>
<b>Fund carried forward (as per LB2)</b>	<b>83,122.15</b>		<b>27,941.87</b>		<b>116,374.25</b>		<b>5,156.17</b>		<b>1,054.85</b>		<b>12,710.44</b>	

## Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of item 13 of FORM ULB2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

## FORM L-27-UNIT LINKED BUSINESS-3A

## FORM 3A

## Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2022

₹ Lakhs

Particulars	ULGF 049 27/08/13 GCGBal3 105	ULGF 007 28/10/05 GCGDebt1 105	ULGF 011 21/03/07 GCGDebt2 105	ULGF 048 27/08/13 GCGDebt3 105	ULGF 005 24/02/04 GCGSTDebt1 105	ULGF 009 16/03/07 GCGSTDebt2 105
	Group Capital Guarantee Balanced Fund III	Group Capital Guarantee Debt Fund	Group Capital Guarantee Debt Fund II	Group Capital Guarantee Debt Fund III	Group Capital Guarantee Short Term Debt Fund	Group Capital Guarantee Short Term Debt Fund II
Opening Balance (Market value)	69,716.42	445.12	7,917.73	26,875.35	59.98	47,613.79
Add: Inflows during the quarter	2,879.99	1.07	50.77	944.64	-	320.79
Increase / (Decrease) value of investment (Net)	1,795.45	6.00	99.24	357.41	0.65	356.61
Less : Outflow during the quarter	(1,351.35)	(36.60)	(224.67)	(398.32)	-	(1,139.26)
<b>Total Investible Funds (Market value)</b>	<b>73,040.51</b>	<b>415.59</b>	<b>7,843.06</b>	<b>27,779.07</b>	<b>60.63</b>	<b>47,151.93</b>

Investment of Unit Fund	ULGF 049 27/08/13 GCGBal3 105		ULGF 007 28/10/05 GCGDebt1 105		ULGF 011 21/03/07 GCGDebt2 105		ULGF 048 27/08/13 GCGDebt3 105		ULGF 005 24/02/04 GCGSTDebt1 105		ULGF 009 16/03/07 GCGSTDebt2 105	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
<b>Approved Investments (&gt;=75%)</b>												
Central Government Securities	21,982.51	30%	168.12	40%	2,653.87	34%	9,744.35	35%	-	0%	-	0%
State Government Securities	207.58	0%	54.39	13%	181.73	2%	266.21	1%	-	0%	-	0%
Other Approved Securities	2,191.22	3%	22.47	5%	152.55	2%	911.85	3%	-	0%	-	0%
Corporate Bonds	12,721.02	17%	79.41	19%	1,932.45	25%	5,516.19	20%	-	0%	10,446.93	22%
Infrastructure Bonds	8,302.67	11%	39.44	9%	1,296.69	17%	3,462.21	12%	-	0%	2,884.01	6%
Equity	8,258.02	11%	-	0%	-	0%	-	0%	-	0%	-	0%
Money Market Investments	15,572.23	21%	47.52	11%	1,544.66	20%	7,605.54	27%	60.57	100%	33,551.34	71%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Deposit with Banks	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (A)</b>	<b>69,235.25</b>	<b>95%</b>	<b>411.34</b>	<b>99%</b>	<b>7,761.94</b>	<b>99%</b>	<b>27,506.35</b>	<b>99%</b>	<b>60.57</b>	<b>100%</b>	<b>46,882.27</b>	<b>99%</b>
<b>Current Assets:</b>												
Accrued Interest	1,057.87	1%	8.45	2%	156.37	2%	512.13	2%	(0.00)	0%	287.83	1%
Dividend Receivable	1.82	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Bank Balance	4.74	0%	0.06	0%	0.42	0%	2.46	0%	0.05	0%	0.05	0%
Receivable for sale of Investments	1,113.62	2%	6.40	2%	105.58	1%	348.58	1%	-	0%	-	0%
Other Current Assets (For Investments)	28.80	0%	0.00	0%	0.00	0%	54.45	0%	-	0%	-	0%
<b>Less : Current Liabilities</b>												
Payable for Investments	(1,532.43)	-2%	(10.64)	-3%	(180.84)	-2%	(643.59)	-2%	-	0%	-	0%
Fund Management Charges Payable	(2.79)	0%	(0.02)	0%	(0.33)	0%	(1.06)	0%	(0.00)	0%	(1.87)	0%
Other Current Liabilities (For Investments)	(0.65)	0%	(0.01)	0%	(0.07)	0%	(0.26)	0%	(0.00)	0%	(16.36)	0%
<b>Sub Total (B)</b>	<b>670.97</b>	<b>1%</b>	<b>4.25</b>	<b>1%</b>	<b>81.12</b>	<b>1%</b>	<b>272.72</b>	<b>1%</b>	<b>0.05</b>	<b>0%</b>	<b>269.66</b>	<b>1%</b>
<b>Other Investments (&lt;=25%)</b>												
Corporate Bonds	678.42	1%	-	0%	-	0%	-	0%	-	0%	-	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	2,455.87	3%	-	0%	-	0%	-	0%	-	0%	-	0%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Venture Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Others	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (C)</b>	<b>3,134.29</b>	<b>4%</b>	<b>-</b>	<b>0%</b>	<b>-</b>	<b>0%</b>	<b>-</b>	<b>0%</b>	<b>-</b>	<b>0%</b>	<b>-</b>	<b>0%</b>
<b>Total (A+B+C)</b>	<b>73,040.51</b>	<b>100%</b>	<b>415.59</b>	<b>100%</b>	<b>7,843.06</b>	<b>100%</b>	<b>27,779.07</b>	<b>100%</b>	<b>60.63</b>	<b>100%</b>	<b>47,151.93</b>	<b>100%</b>
<b>Fund carried forward (as per LB2)</b>	<b>73,040.51</b>		<b>415.59</b>		<b>7,843.06</b>		<b>27,779.07</b>		<b>60.63</b>		<b>47,151.93</b>	

## Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of item 13 of FORM ULB2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

## FORM L-27-UNIT LINKED BUSINESS-3A

## FORM 3A

## Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2022

₹ Lakhs

Particulars	ULGF 047 27/08/13 GCGSTDebt3 105	ULGF 008 11/12/06 GCGGrowth1 105	ULGF 012 05/07/07 GCGGrowth2 105	ULGF 004 30/10/03 GCGrowth 105	ULIF 018 03/01/05 LInvShld 105	ULIF 025 21/08/06 LInvShldNw 105
	Group Capital Guarantee Short Term Debt Fund III	Group Capital Guarantee Growth Fund	Group Capital Guarantee Growth Fund II	Group Growth Fund	Invest Shield Fund - Life	New Invest Shield Balanced Fund
Opening Balance (Market value)	22,937.90	654.54	2,544.50	8,711.72	3,340.16	3,403.59
Add: Inflows during the quarter	1,126.34	1.62	25.61	7.70	15.98	4.49
Increase / (Decrease) value of investment (Net)	179.57	25.59	105.32	503.10	92.74	87.53
Less : Outflow during the quarter	(666.65)	(5.44)	(15.79)	(711.61)	(71.62)	(147.74)
<b>Total Investible Funds (Market value)</b>	<b>23,577.17</b>	<b>676.31</b>	<b>2,659.64</b>	<b>8,510.91</b>	<b>3,377.26</b>	<b>3,347.88</b>

Investment of Unit Fund	ULGF 047 27/08/13 GCGSTDebt3 105		ULGF 008 11/12/06 GCGGrowth1 105		ULGF 012 05/07/07 GCGGrowth2 105		ULGF 004 30/10/03 GCGrowth 105		ULIF 018 03/01/05 LInvShld 105		ULIF 025 21/08/06 LInvShldNw 105	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
<b>Approved Investments (&gt;=75%)</b>												
Central Government Securities	-	0%	176.89	26%	656.14	25%	1,420.93	17%	1,324.43	39%	1,358.53	41%
State Government Securities	-	0%	50.91	8%	8.57	0%	29.27	0%	32.05	1%	23.55	1%
Other Approved Securities	-	0%	22.64	3%	52.13	2%	175.54	2%	74.86	2%	97.90	3%
Corporate Bonds	6,056.76	26%	59.69	9%	319.66	12%	678.43	8%	496.42	15%	548.62	16%
Infrastructure Bonds	1,502.11	6%	30.57	5%	202.65	8%	462.41	5%	337.10	10%	500.36	15%
Equity	-	0%	179.56	27%	705.12	27%	3,552.65	42%	424.24	13%	407.73	12%
Money Market Investments	15,824.95	67%	98.55	15%	481.76	18%	1,099.05	13%	596.41	18%	301.03	9%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Deposit with Banks	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (A)</b>	<b>23,383.82</b>	<b>99%</b>	<b>618.81</b>	<b>91%</b>	<b>2,426.03</b>	<b>91%</b>	<b>7,418.28</b>	<b>87%</b>	<b>3,285.51</b>	<b>97%</b>	<b>3,237.73</b>	<b>97%</b>
<b>Current Assets:</b>												
Accrued Interest	198.24	1%	6.93	1%	27.84	1%	60.44	1%	57.99	2%	60.71	2%
Dividend Receivable	-	0%	0.04	0%	0.16	0%	0.78	0%	0.00	0%	0.00	0%
Bank Balance	0.52	0%	0.07	0%	0.20	0%	0.39	0%	0.22	0%	0.18	0%
Receivable for sale of Investments	-	0%	9.75	1%	35.93	1%	103.70	1%	-	0%	-	0%
Other Current Assets (For Investments)	-	0%	0.00	0%	0.00	0%	0.01	0%	0.09	0%	0.00	0%
<b>Less : Current Liabilities</b>												
Payable for Investments	-	0%	(12.41)	-2%	(49.41)	-2%	(119.67)	-1%	(62.42)	-2%	(59.93)	-2%
Fund Management Charges Payable	(0.90)	0%	(0.05)	0%	(0.15)	0%	(0.30)	0%	(0.12)	0%	(0.11)	0%
Other Current Liabilities (For Investments)	(4.51)	0%	(0.01)	0%	(0.03)	0%	(0.06)	0%	(0.03)	0%	(1.55)	0%
<b>Sub Total (B)</b>	<b>193.34</b>	<b>1%</b>	<b>4.33</b>	<b>1%</b>	<b>14.54</b>	<b>1%</b>	<b>45.28</b>	<b>1%</b>	<b>(4.26)</b>	<b>0%</b>	<b>(0.70)</b>	<b>0%</b>
<b>Other Investments (&lt;=25%)</b>												
Corporate Bonds	-	0%	-	0%	10.13	0%	-	0%	-	0%	-	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	-	0%	53.17	8%	208.94	8%	1,047.34	12%	96.02	3%	95.22	3%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Venture Funds	-	0%	-	0%	-	0%	-	0%	-	0%	15.63	0%
Others	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (C)</b>	<b>-</b>	<b>0%</b>	<b>53.17</b>	<b>8%</b>	<b>219.06</b>	<b>8%</b>	<b>1,047.34</b>	<b>12%</b>	<b>96.02</b>	<b>3%</b>	<b>110.84</b>	<b>3%</b>
<b>Total (A+B+C)</b>	<b>23,577.17</b>	<b>100%</b>	<b>676.31</b>	<b>100%</b>	<b>2,659.63</b>	<b>100%</b>	<b>8,510.91</b>	<b>100%</b>	<b>3,377.26</b>	<b>100%</b>	<b>3,347.88</b>	<b>100%</b>
<b>Fund carried forward (as per LB2)</b>	<b>23,577.17</b>		<b>676.31</b>		<b>2,659.63</b>		<b>8,510.91</b>		<b>3,377.26</b>		<b>3,347.88</b>	

## Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of item 13 of FORM ULB2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

## FORM L-27-UNIT LINKED BUSINESS-3A

## FORM 3A

## Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2022

₹ Lakhs

Particulars	ULIF 034 20/03/07 PFlexiBal1 105	ULIF 035 20/03/07 PFlexiBal2 105	ULIF 029 20/03/07 PFlexiGro1 105	ULIF 030 20/03/07 PFlexiGro2 105	ULIF 019 03/01/05 PlnvShld 105	ULIF 011 17/05/04 PPreserv 105
	Pension Flexi Balanced Fund	Pension Flexi Balanced Fund II	Pension Flexi Growth Fund	Pension Flexi Growth Fund II	Invest Shield Fund - Pension	Pension Preserver Fund
Opening Balance (Market value)	3,819.70	4,082.63	65,588.35	51,697.50	1,803.12	14,182.11
Add: Inflows during the quarter	190.77	88.12	347.90	433.71	6.27	1,805.37
Increase / (Decrease) value of investment (Net)	250.26	287.74	7,125.21	5,748.68	47.00	135.41
Less : Outflow during the quarter	(392.50)	(323.12)	(3,060.91)	(3,624.82)	(42.56)	(2,440.89)
<b>Total Investible Funds (Market value)</b>	<b>3,868.23</b>	<b>4,135.37</b>	<b>70,000.55</b>	<b>54,255.08</b>	<b>1,813.82</b>	<b>13,682.00</b>

Investment of Unit Fund	ULIF 034 20/03/07 PFlexiBal1 105		ULIF 035 20/03/07 PFlexiBal2 105		ULIF 029 20/03/07 PFlexiGro1 105		ULIF 030 20/03/07 PFlexiGro2 105		ULIF 019 03/01/05 PlnvShld 105		ULIF 011 17/05/04 PPreserv 105	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
<b>Approved Investments (&gt;=75%)</b>												
Central Government Securities	762.25	20%	746.88	18%	-	0%	-	0%	646.62	36%	-	0%
State Government Securities	12.12	0%	12.12	0%	-	0%	-	0%	11.43	1%	-	0%
Other Approved Securities	77.82	2%	90.64	2%	-	0%	-	0%	58.73	3%	-	0%
Corporate Bonds	279.91	7%	310.19	8%	3.69	0%	2.86	0%	290.51	16%	3,960.33	29%
Infrastructure Bonds	148.73	4%	293.25	7%	-	0%	-	0%	243.15	13%	949.38	7%
Equity	1,716.28	44%	1,797.84	43%	52,330.83	75%	41,176.85	76%	224.71	12%	-	0%
Money Market Investments	375.05	10%	341.83	8%	1,218.68	2%	967.33	2%	263.49	15%	8,723.47	64%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Deposit with Banks	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (A)</b>	<b>3,372.17</b>	<b>87%</b>	<b>3,592.75</b>	<b>87%</b>	<b>53,553.20</b>	<b>77%</b>	<b>42,147.04</b>	<b>78%</b>	<b>1,738.65</b>	<b>96%</b>	<b>13,633.18</b>	<b>100%</b>
<b>Current Assets:</b>												
Accrued Interest	29.60	1%	37.10	1%	0.07	0%	0.05	0%	34.33	2%	140.28	1%
Dividend Receivable	-	0%	-	0%	-	0%	-	0%	0.00	0%	-	0%
Bank Balance	0.16	0%	0.10	0%	0.66	0%	0.53	0%	0.14	0%	0.28	0%
Receivable for sale of Investments	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Other Current Assets (For Investments)	0.60	0%	0.06	0%	33.30	0%	-	0%	1.00	0%	0.00	0%
<b>Less : Current Liabilities</b>												
Payable for Investments	(34.96)	-1%	(39.95)	-1%	-	0%	-	0%	(32.46)	-2%	-	0%
Fund Management Charges Payable	(0.24)	0%	(0.11)	0%	(4.27)	0%	(2.20)	0%	(0.06)	0%	(0.28)	0%
Other Current Liabilities (For Investments)	(0.05)	0%	(0.02)	0%	(0.79)	0%	(12.06)	0%	(0.02)	0%	(91.46)	-1%
<b>Sub Total (B)</b>	<b>(4.88)</b>	<b>0%</b>	<b>(2.82)</b>	<b>0%</b>	<b>28.98</b>	<b>0%</b>	<b>(13.67)</b>	<b>0%</b>	<b>2.94</b>	<b>0%</b>	<b>48.82</b>	<b>0%</b>
<b>Other Investments (&lt;=25%)</b>												
Corporate Bonds	-	0%	-	0%	-	0%	-	0%	20.25	1%	-	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	500.95	13%	545.44	13%	16,418.37	23%	12,121.71	22%	51.98	3%	-	0%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Venture Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Others	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (C)</b>	<b>500.95</b>	<b>13%</b>	<b>545.44</b>	<b>13%</b>	<b>16,418.37</b>	<b>23%</b>	<b>12,121.71</b>	<b>22%</b>	<b>72.23</b>	<b>4%</b>	<b>-</b>	<b>0%</b>
<b>Total (A+B+C)</b>	<b>3,868.23</b>	<b>100%</b>	<b>4,135.37</b>	<b>100%</b>	<b>70,000.55</b>	<b>100%</b>	<b>54,255.08</b>	<b>100%</b>	<b>1,813.82</b>	<b>100%</b>	<b>13,682.00</b>	<b>100%</b>
<b>Fund carried forward (as per LB2)</b>	<b>3,868.23</b>		<b>4,135.37</b>		<b>70,000.55</b>		<b>54,255.08</b>		<b>1,813.82</b>		<b>13,682.00</b>	

## Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of item 13 of FORM ULB2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

## FORM L-27-UNIT LINKED BUSINESS-3A

## FORM 3A

## Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2022

₹ Lakhs

Particulars	ULIF 008 11/08/03 LCashPlus 105	ULIF 020 03/01/05 LInvCash 105	ULIF 007 11/08/03 LSecPlus 105	ULIF 042 22/11/07 LMultip1 105	ULIF 044 25/02/08 LMultip2 105	ULIF 046 25/02/08 LMultip3 105
	Cash Plus Fund	Invest Shield Cash Fund	Secure Plus Fund	Multiplier Fund	Multiplier Fund II	Multiplier Fund III
Opening Balance (Market value)	9,895.67	20,800.57	2,164.55	21,478.58	16,733.34	1,033.34
Add: Inflows during the quarter	29.10	1.03	8.27	392.46	212.42	9.31
Increase / (Decrease) value of investment (Net)	159.19	338.66	58.03	1,901.24	1,523.19	92.23
Less : Outflow during the quarter	(164.43)	(2,002.48)	(50.53)	(795.07)	(752.31)	(97.86)
<b>Total Investible Funds (Market value)</b>	<b>9,919.53</b>	<b>19,137.78</b>	<b>2,180.31</b>	<b>22,977.21</b>	<b>17,716.64</b>	<b>1,037.01</b>

Investment of Unit Fund	ULIF 008 11/08/03 LCashPlus 105		ULIF 020 03/01/05 LInvCash 105		ULIF 007 11/08/03 LSecPlus 105		ULIF 042 22/11/07 LMultip1 105		ULIF 044 25/02/08 LMultip2 105		ULIF 046 25/02/08 LMultip3 105	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
<b>Approved Investments (&gt;=75%)</b>												
Central Government Securities	4,472.01	45%	7,470.91	39%	835.37	38%	-	0%	-	0%	-	0%
State Government Securities	307.23	3%	299.36	2%	14.29	1%	-	0%	-	0%	-	0%
Other Approved Securities	272.57	3%	552.43	3%	48.47	2%	-	0%	-	0%	-	0%
Corporate Bonds	2,049.87	21%	5,479.77	29%	283.80	13%	0.88	0%	0.72	0%	0.04	0%
Infrastructure Bonds	1,205.00	12%	4,307.31	23%	270.84	12%	-	0%	-	0%	-	0%
Equity	-	0%	-	0%	271.56	12%	17,928.17	78%	13,818.52	78%	799.37	77%
Money Market Investments	1,759.33	18%	1,243.00	6%	396.67	18%	437.87	2%	393.29	2%	12.23	1%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Deposit with Banks	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (A)</b>	<b>10,066.00</b>	<b>101%</b>	<b>19,352.78</b>	<b>101%</b>	<b>2,120.99</b>	<b>97%</b>	<b>18,366.92</b>	<b>80%</b>	<b>14,212.53</b>	<b>80%</b>	<b>811.64</b>	<b>78%</b>
<b>Current Assets:</b>												
Accrued Interest	218.79	2%	529.41	3%	36.99	2%	0.02	0%	0.01	0%	0.00	0%
Dividend Receivable	-	0%	-	0%	0.00	0%	1.09	0%	0.84	0%	0.05	0%
Bank Balance	0.54	0%	0.62	0%	0.17	0%	0.27	0%	0.25	0%	0.06	0%
Receivable for sale of Investments	-	0%	-	0%	-	0%	165.35	1%	109.95	1%	21.75	2%
Other Current Assets (For Investments)	0.26	0%	0.00	0%	0.32	0%	34.66	0%	0.03	0%	4.42	0%
<b>Less : Current Liabilities</b>												
Payable for Investments	(365.61)	-4%	(706.99)	-4%	(39.95)	-2%	(131.10)	-1%	(101.72)	-1%	(4.82)	0%
Fund Management Charges Payable	(0.34)	0%	(0.66)	0%	(0.07)	0%	(1.40)	0%	(0.72)	0%	(0.06)	0%
Other Current Liabilities (For Investments)	(0.10)	0%	(37.38)	0%	(0.02)	0%	(0.25)	0%	(7.16)	0%	(0.01)	0%
<b>Sub Total (B)</b>	<b>(146.46)</b>	<b>-1%</b>	<b>(215.00)</b>	<b>-1%</b>	<b>(2.56)</b>	<b>0%</b>	<b>68.64</b>	<b>0%</b>	<b>1.49</b>	<b>0%</b>	<b>21.38</b>	<b>2%</b>
<b>Other Investments (&lt;=25%)</b>												
Corporate Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	-	0%	-	0%	61.89	3%	4,541.65	20%	3,502.62	20%	203.99	20%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Venture Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Others	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (C)</b>	<b>-</b>	<b>0%</b>	<b>-</b>	<b>0%</b>	<b>61.89</b>	<b>3%</b>	<b>4,541.65</b>	<b>20%</b>	<b>3,502.62</b>	<b>20%</b>	<b>203.99</b>	<b>20%</b>
<b>Total (A+B+C)</b>	<b>9,919.53</b>	<b>100%</b>	<b>19,137.78</b>	<b>100%</b>	<b>2,180.31</b>	<b>100%</b>	<b>22,977.21</b>	<b>100%</b>	<b>17,716.64</b>	<b>100%</b>	<b>1,037.01</b>	<b>100%</b>
<b>Fund carried forward (as per LB2)</b>	<b>9,919.53</b>		<b>19,137.78</b>		<b>2,180.31</b>		<b>22,977.21</b>		<b>17,716.64</b>		<b>1,037.01</b>	

## Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of item 13 of FORM ULB2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

## FORM L-27-UNIT LINKED BUSINESS-3A

## FORM 3A

## Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2022

₹ Lakhs

Particulars	ULIF 047 25/02/08 LMultip4 105	ULIF 043 25/02/08 PMultip1 105	ULIF 045 25/02/08 PMultip2 105	ULIF 048 17/03/08 LRICH1 105	ULIF 049 17/03/08 LRICH2 105	ULIF 050 17/03/08 LRICH3 105
	Multiplier Fund IV	Pension Multiplier Fund	Pension Multiplier Fund II	RICH Fund	RICH Fund II	RICH Fund III
Opening Balance (Market value)	5,001.12	16,421.21	8,016.15	11,711.34	59,052.53	2,219.15
Add: Inflows during the quarter	70.55	270.77	123.95	72.25	2.14	110.27
Increase / (Decrease) value of investment (Net)	452.15	1,447.31	730.44	1,231.82	6,371.87	233.99
Less : Outflow during the quarter	(93.92)	(690.68)	(453.94)	(341.45)	(2,649.63)	(129.20)
<b>Total Investible Funds (Market value)</b>	<b>5,429.89</b>	<b>17,448.61</b>	<b>8,416.60</b>	<b>12,673.95</b>	<b>62,776.91</b>	<b>2,434.21</b>

Investment of Unit Fund	ULIF 047 25/02/08 LMultip4 105		ULIF 043 25/02/08 PMultip1 105		ULIF 045 25/02/08 PMultip2 105		ULIF 048 17/03/08 LRICH1 105		ULIF 049 17/03/08 LRICH2 105		ULIF 050 17/03/08 LRICH3 105	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
<b>Approved Investments (&gt;=75%)</b>												
Central Government Securities	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
State Government Securities	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Other Approved Securities	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Corporate Bonds	0.20	0%	0.72	0%	0.34	0%	-	0%	-	0%	-	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	4,237.22	78%	13,624.45	78%	6,583.39	78%	9,377.29	74%	46,553.41	74%	1,783.50	73%
Money Market Investments	109.47	2%	331.56	2%	144.80	2%	408.20	3%	1,826.67	3%	102.57	4%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Deposit with Banks	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (A)</b>	<b>4,346.89</b>	<b>80%</b>	<b>13,956.72</b>	<b>80%</b>	<b>6,728.54</b>	<b>80%</b>	<b>9,785.49</b>	<b>77%</b>	<b>48,380.08</b>	<b>77%</b>	<b>1,886.06</b>	<b>77%</b>
<b>Current Assets:</b>												
Accrued Interest	0.00	0%	0.01	0%	0.01	0%	-	0%	-	0%	-	0%
Dividend Receivable	0.26	0%	0.83	0%	0.41	0%	2.83	0%	14.14	0%	0.54	0%
Bank Balance	0.10	0%	0.22	0%	0.12	0%	0.25	0%	0.96	0%	0.10	0%
Receivable for sale of Investments	36.56	1%	122.37	1%	57.79	1%	88.53	1%	464.75	1%	15.79	1%
Other Current Assets (For Investments)	0.57	0%	11.51	0%	3.90	0%	0.02	0%	0.10	0%	0.00	0%
<b>Less : Current Liabilities</b>												
Payable for Investments	(28.96)	-1%	(94.54)	-1%	(40.29)	0%	(76.17)	-1%	(355.84)	-1%	(18.38)	-1%
Fund Management Charges Payable	(0.22)	0%	(1.06)	0%	(0.34)	0%	(0.78)	0%	(2.55)	0%	(0.15)	0%
Other Current Liabilities (For Investments)	(0.04)	0%	(0.19)	0%	(0.06)	0%	(10.14)	0%	(29.31)	0%	(0.80)	0%
<b>Sub Total (B)</b>	<b>8.28</b>	<b>0%</b>	<b>39.14</b>	<b>0%</b>	<b>21.54</b>	<b>0%</b>	<b>4.55</b>	<b>0%</b>	<b>92.26</b>	<b>0%</b>	<b>(2.89)</b>	<b>0%</b>
<b>Other Investments (&lt;=25%)</b>												
Corporate Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	1,074.72	20%	3,452.75	20%	1,666.52	20%	2,883.92	23%	14,304.57	23%	551.03	23%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Venture Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Others	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (C)</b>	<b>1,074.72</b>	<b>20%</b>	<b>3,452.75</b>	<b>20%</b>	<b>1,666.52</b>	<b>20%</b>	<b>2,883.92</b>	<b>23%</b>	<b>14,304.57</b>	<b>23%</b>	<b>551.03</b>	<b>23%</b>
<b>Total (A+B+C)</b>	<b>5,429.89</b>	<b>100%</b>	<b>17,448.61</b>	<b>100%</b>	<b>8,416.60</b>	<b>100%</b>	<b>12,673.95</b>	<b>100%</b>	<b>62,776.91</b>	<b>100%</b>	<b>2,434.21</b>	<b>100%</b>
<b>Fund carried forward (as per LB2)</b>	<b>5,429.89</b>		<b>17,448.61</b>		<b>8,416.60</b>		<b>12,673.95</b>		<b>62,776.91</b>		<b>2,434.21</b>	

## Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of item 13 of FORM ULB2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

## FORM L-27-UNIT LINKED BUSINESS-3A

## FORM 3A

## Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2022

₹ Lakhs

Particulars	ULIF 051 17/03/08 LRICH4 105	ULIF 052 17/03/08 PRICH1 105	ULIF 053 17/03/08 PRICH2 105	ULGF 013 02/04/08 GLEBal 105	ULGF 014 02/04/08 GLEIncome 105	ULIF 009 17/11/03 PSecPlus 105
	RICH Fund IV	Pension RICH Fund	Pension RICH Fund II	Group Leave Encashment Balance Fund	Group Leave Encashment Income Fund	Secure Plus Pension Fund
Opening Balance (Market value)	20,612.49	43,758.62	26,308.45	7,130.71	1,246.54	1,359.73
Add: Inflows during the quarter	77.26	188.60	193.56	-	0.00	3.17
Increase / (Decrease) value of investment (Net)	2,214.34	4,609.51	2,827.10	193.84	17.20	34.12
Less : Outflow during the quarter	(587.03)	(1,694.02)	(1,511.02)	-	-	(18.41)
<b>Total Investible Funds (Market value)</b>	<b>22,317.05</b>	<b>46,862.72</b>	<b>27,818.10</b>	<b>7,324.54</b>	<b>1,263.74</b>	<b>1,378.60</b>

Investment of Unit Fund	ULIF 051 17/03/08 LRICH4 105		ULIF 052 17/03/08 PRICH1 105		ULIF 053 17/03/08 PRICH2 105		ULGF 013 02/04/08 GLEBal 105		ULGF 014 02/04/08 GLEIncome 105		ULIF 009 17/11/03 PSecPlus 105	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
<b>Approved Investments (&gt;=75%)</b>												
Central Government Securities	-	0%	-	0%	-	0%	2,671.31	36%	405.67	32%	525.54	38%
State Government Securities	-	0%	-	0%	-	0%	54.21	1%	17.11	1%	6.41	0%
Other Approved Securities	-	0%	-	0%	-	0%	300.88	4%	58.24	5%	45.42	3%
Corporate Bonds	-	0%	-	0%	-	0%	1,389.78	19%	237.07	19%	179.99	13%
Infrastructure Bonds	-	0%	-	0%	-	0%	1,100.93	15%	212.98	17%	201.15	15%
Equity	16,534.11	74%	34,656.32	74%	20,653.29	74%	830.84	11%	-	0%	167.76	12%
Money Market Investments	652.56	3%	1,490.65	3%	821.37	3%	549.06	7%	324.17	26%	197.84	14%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Deposit with Banks	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (A)</b>	<b>17,186.67</b>	<b>77%</b>	<b>36,146.97</b>	<b>77%</b>	<b>21,474.66</b>	<b>77%</b>	<b>6,897.01</b>	<b>94%</b>	<b>1,255.23</b>	<b>99%</b>	<b>1,324.12</b>	<b>96%</b>
<b>Current Assets:</b>												
Accrued Interest	-	0%	-	0%	-	0%	136.10	2%	23.64	2%	30.40	2%
Dividend Receivable	5.00	0%	10.49	0%	6.27	0%	0.18	0%	-	0%	0.00	0%
Bank Balance	0.38	0%	0.80	0%	0.46	0%	0.27	0%	0.15	0%	0.10	0%
Receivable for sale of Investments	175.03	1%	318.82	1%	204.42	1%	125.30	2%	16.70	1%	-	0%
Other Current Assets (For Investments)	2.98	0%	2.12	0%	0.05	0%	0.00	0%	0.00	0%	0.02	0%
<b>Less : Current Liabilities</b>												
Payable for Investments	(126.25)	-1%	(277.73)	-1%	(158.37)	-1%	(151.97)	-2%	(31.91)	-3%	(24.97)	-2%
Fund Management Charges Payable	(0.91)	0%	(2.86)	0%	(1.13)	0%	(0.26)	0%	(0.05)	0%	(0.05)	0%
Other Current Liabilities (For Investments)	(0.17)	0%	(0.53)	0%	(56.60)	0%	(0.06)	0%	(0.02)	0%	(0.01)	0%
<b>Sub Total (B)</b>	<b>56.06</b>	<b>0%</b>	<b>51.10</b>	<b>0%</b>	<b>(4.91)</b>	<b>0%</b>	<b>109.56</b>	<b>1%</b>	<b>8.51</b>	<b>1%</b>	<b>5.48</b>	<b>0%</b>
<b>Other Investments (&lt;=25%)</b>												
Corporate Bonds	-	0%	-	0%	-	0%	70.88	1%	-	0%	10.13	1%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	5,074.33	23%	10,664.64	23%	6,348.36	23%	247.10	3%	-	0%	38.88	3%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Venture Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Others	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (C)</b>	<b>5,074.33</b>	<b>23%</b>	<b>10,664.64</b>	<b>23%</b>	<b>6,348.36</b>	<b>23%</b>	<b>317.98</b>	<b>4%</b>	<b>-</b>	<b>0%</b>	<b>49.00</b>	<b>4%</b>
<b>Total (A+B+C)</b>	<b>22,317.05</b>	<b>100%</b>	<b>46,862.72</b>	<b>100%</b>	<b>27,818.10</b>	<b>100%</b>	<b>7,324.54</b>	<b>100%</b>	<b>1,263.74</b>	<b>100%</b>	<b>1,378.60</b>	<b>100%</b>
<b>Fund carried forward (as per LB2)</b>	<b>22,317.05</b>		<b>46,862.72</b>		<b>27,818.10</b>		<b>7,324.54</b>		<b>1,263.74</b>		<b>1,378.60</b>	

## Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of item 13 of FORM ULB2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

## FORM L-27-UNIT LINKED BUSINESS-3A

## FORM 3A

## Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2022

₹ Lakhs

Particulars	ULIF 059 15/01/09 HBalancer 105	ULIF 061 15/01/09 HProtect 105	ULIF 057 15/01/09 HFlexiGro 105	ULIF 060 15/01/09 HFlexiBal 105	ULIF 058 15/01/09 HMultipl 105	ULIF 056 15/01/09 HPreserv 105
	Health Balancer Fund	Health Protector Fund	Health Flexi Growth Fund	Health Flexi Balanced Fund	Health Multiplier Fund	Health Preserver Fund
Opening Balance (Market value)	4,608.84	20,892.52	52,020.94	6,001.86	8,007.96	794.05
Add: Inflows during the quarter	2.85	324.87	10.06	4.43	73.25	93.54
Increase / (Decrease) value of investment (Net)	209.04	337.61	5,784.82	420.76	725.82	7.76
Less : Outflow during the quarter	(88.33)	(275.92)	(1,305.08)	(106.19)	(165.11)	(125.55)
<b>Total Investible Funds (Market value)</b>	<b>4,732.39</b>	<b>21,279.08</b>	<b>56,510.75</b>	<b>6,320.86</b>	<b>8,641.93</b>	<b>769.80</b>

Investment of Unit Fund	ULIF 059 15/01/09 HBalancer 105		ULIF 061 15/01/09 HProtect 105		ULIF 057 15/01/09 HFlexiGro 105		ULIF 060 15/01/09 HFlexiBal 105		ULIF 058 15/01/09 HMultipl 105		ULIF 056 15/01/09 HPreserv 105	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
<b>Approved Investments (&gt;=75%)</b>												
Central Government Securities	1,380.11	29%	9,051.16	43%	-	0%	1,270.81	20%	-	0%	-	0%
State Government Securities	17.14	0%	180.51	1%	-	0%	14.29	0%	-	0%	-	0%
Other Approved Securities	101.26	2%	562.86	3%	-	0%	117.21	2%	-	0%	-	0%
Corporate Bonds	587.69	12%	4,515.70	21%	2.43	0%	528.68	8%	0.32	0%	119.27	15%
Infrastructure Bonds	363.48	8%	3,444.12	16%	-	0%	360.55	6%	-	0%	59.27	8%
Equity	1,258.59	27%	-	0%	41,876.20	74%	2,658.37	42%	6,754.04	78%	-	0%
Money Market Investments	659.44	14%	3,820.16	18%	1,259.97	2%	602.86	10%	168.79	2%	588.37	76%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Deposit with Banks	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (A)</b>	<b>4,367.71</b>	<b>92%</b>	<b>21,574.51</b>	<b>101%</b>	<b>43,138.60</b>	<b>76%</b>	<b>5,552.76</b>	<b>88%</b>	<b>6,923.15</b>	<b>80%</b>	<b>766.91</b>	<b>100%</b>
<b>Current Assets:</b>												
Accrued Interest	60.97	1%	507.59	2%	0.05	0%	57.56	1%	0.01	0%	3.19	0%
Dividend Receivable	0.28	0%	-	0%	-	0%	-	0%	0.41	0%	-	0%
Bank Balance	0.24	0%	0.99	0%	0.68	0%	0.23	0%	0.13	0%	0.05	0%
Receivable for sale of Investments	12.02	0%	-	0%	-	0%	-	0%	59.15	1%	-	0%
Other Current Assets (For Investments)	0.00	0%	0.00	0%	-	0%	0.00	0%	0.01	0%	-	0%
<b>Less : Current Liabilities</b>												
Payable for Investments	(78.37)	-2%	(783.14)	-4%	-	0%	(62.42)	-1%	(46.89)	-1%	-	0%
Fund Management Charges Payable	(0.13)	0%	(0.44)	0%	(2.29)	0%	(0.17)	0%	(0.35)	0%	(0.02)	0%
Other Current Liabilities (For Investments)	(2.88)	0%	(20.43)	0%	(46.84)	0%	(4.73)	0%	(5.38)	0%	(0.33)	0%
<b>Sub Total (B)</b>	<b>(7.87)</b>	<b>0%</b>	<b>(295.43)</b>	<b>-1%</b>	<b>(48.40)</b>	<b>0%</b>	<b>(9.54)</b>	<b>0%</b>	<b>7.10</b>	<b>0%</b>	<b>2.89</b>	<b>0%</b>
<b>Other Investments (&lt;=25%)</b>												
Corporate Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	372.55	8%	-	0%	13,420.55	24%	777.63	12%	1,711.69	20%	-	0%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Venture Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Others	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (C)</b>	<b>372.55</b>	<b>8%</b>	<b>-</b>	<b>0%</b>	<b>13,420.55</b>	<b>24%</b>	<b>777.63</b>	<b>12%</b>	<b>1,711.69</b>	<b>20%</b>	<b>-</b>	<b>0%</b>
<b>Total (A+B+C)</b>	<b>4,732.39</b>	<b>100%</b>	<b>21,279.08</b>	<b>100%</b>	<b>56,510.75</b>	<b>100%</b>	<b>6,320.86</b>	<b>100%</b>	<b>8,641.93</b>	<b>100%</b>	<b>769.80</b>	<b>100%</b>
<b>Fund carried forward (as per LB2)</b>	<b>4,732.39</b>		<b>21,279.08</b>		<b>56,510.75</b>		<b>6,320.86</b>		<b>8,641.93</b>		<b>769.80</b>	

## Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of item 13 of FORM ULB2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)



## FORM L-27-UNIT LINKED BUSINESS-3A

## FORM 3A

## Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2022

₹ Lakhs

Particulars	ULIF 077 29/05/09 LSSavBuil 105	ULIF 076 29/05/09 LSSavGtee 105	ULIF 081 26/10/09 LPinnacle 105	ULIF 116 15/03/11 LHighNavB 105	ULIF 085 24/11/09 LMCapGro 105	ULIF 088 24/11/09 LMCapBal 105
	Secure Save Builder Fund	Secure Save Guarantee Fund	Pinnacle Fund	Highest NAV Fund B	Multi Cap Growth Fund	Multi Cap Balanced Fund
Opening Balance (Market value)	122.72	388.47	5.58	82,690.20	1,670,859.08	220,507.44
Add: Inflows during the quarter	2.55	-	-	16.80	35,225.26	8,496.77
Increase / (Decrease) value of investment (Net)	8.47	16.20	0.05	316.13	177,153.86	14,506.59
Less : Outflow during the quarter	-	(5.85)	-	(24,994.89)	(66,444.32)	(15,798.58)
<b>Total Investible Funds (Market value)</b>	<b>133.73</b>	<b>398.81</b>	<b>5.63</b>	<b>58,028.25</b>	<b>1,816,793.89</b>	<b>227,712.22</b>

Investment of Unit Fund	ULIF 077 29/05/09 LSSavBuil 105		ULIF 076 29/05/09 LSSavGtee 105		ULIF 081 26/10/09 LPinnacle 105		ULIF 116 15/03/11 LHighNavB 105		ULIF 085 24/11/09 LMCapGro 105		ULIF 088 24/11/09 LMCapBal 105	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
<b>Approved Investments (&gt;=75%)</b>												
Central Government Securities	16.17	12%	124.88	31%	-	0%	-	0%	-	0%	44,095.53	19%
State Government Securities	-	0%	1.39	0%	-	0%	-	0%	-	0%	519.60	0%
Other Approved Securities	-	0%	13.00	3%	-	0%	-	0%	-	0%	3,283.06	1%
Corporate Bonds	0.00	0%	40.71	10%	-	0%	-	0%	-	0%	20,922.88	9%
Infrastructure Bonds	-	0%	10.19	3%	-	0%	-	0%	-	0%	9,794.15	4%
Equity	74.75	56%	117.48	29%	-	0%	-	0%	1,351,022.22	74%	98,271.18	43%
Money Market Investments	23.41	18%	61.26	15%	5.57	99%	58,459.34	101%	29,427.23	2%	15,172.80	7%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Deposit with Banks	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (A)</b>	<b>114.33</b>	<b>85%</b>	<b>368.92</b>	<b>93%</b>	<b>5.57</b>	<b>99%</b>	<b>58,459.34</b>	<b>101%</b>	<b>1,380,449.45</b>	<b>76%</b>	<b>192,059.20</b>	<b>84%</b>
<b>Current Assets:</b>												
Accrued Interest	0.34	0%	4.95	1%	-	0%	-	0%	-	0%	1,877.63	1%
Dividend Receivable	0.00	0%	0.01	0%	-	0%	-	0%	56.81	0%	3.89	0%
Bank Balance	0.06	0%	0.06	0%	0.05	1%	4.79	0%	13.96	0%	2.74	0%
Receivable for sale of Investments	0.57	0%	0.99	0%	-	0%	-	0%	18,889.17	1%	3,435.45	2%
Other Current Assets (For Investments)	0.00	0%	0.00	0%	-	0%	-	0%	1,276.35	0%	0.34	0%
<b>Less : Current Liabilities</b>												
Payable for Investments	(0.69)	-1%	(6.39)	-2%	-	0%	-	0%	(16,287.16)	-1%	(3,222.10)	-1%
Fund Management Charges Payable	(0.00)	0%	(0.02)	0%	(0.00)	0%	(2.96)	0%	(66.13)	0%	(8.34)	0%
Other Current Liabilities (For Investments)	(0.00)	0%	(0.00)	0%	(0.00)	0%	(432.92)	-1%	(12.08)	0%	(103.34)	0%
<b>Sub Total (B)</b>	<b>0.28</b>	<b>0%</b>	<b>(0.40)</b>	<b>0%</b>	<b>0.05</b>	<b>1%</b>	<b>(431.10)</b>	<b>-1%</b>	<b>3,870.92</b>	<b>0%</b>	<b>1,986.28</b>	<b>1%</b>
<b>Other Investments (&lt;=25%)</b>												
Corporate Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	19.12	14%	30.30	8%	-	0%	-	0%	432,473.53	24%	33,666.73	15%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Venture Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Others	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (C)</b>	<b>19.12</b>	<b>14%</b>	<b>30.30</b>	<b>8%</b>	<b>-</b>	<b>0%</b>	<b>-</b>	<b>0%</b>	<b>432,473.53</b>	<b>24%</b>	<b>33,666.73</b>	<b>15%</b>
<b>Total (A+B+C)</b>	<b>133.73</b>	<b>100%</b>	<b>398.81</b>	<b>100%</b>	<b>5.63</b>	<b>100%</b>	<b>58,028.25</b>	<b>100%</b>	<b>1,816,793.89</b>	<b>100%</b>	<b>227,712.22</b>	<b>100%</b>
<b>Fund carried forward (as per LB2)</b>	<b>133.73</b>		<b>398.81</b>		<b>5.63</b>		<b>58,028.25</b>		<b>1,816,793.89</b>		<b>227,712.22</b>	

## Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of item 13 of FORM ULB2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

## FORM L-27-UNIT LINKED BUSINESS-3A

## FORM 3A

## Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2022

₹ Lakhs

Particulars	ULIF 089 24/11/09 LIncome 105	ULIF 090 24/11/09 LMoneyMkt 105	ULIF 086 24/11/09 LOpport 105	ULIF 087 24/11/09 LBluChip 105	ULIF 097 11/01/10 LDynamicPE 105	ULIF 093 11/01/10 PBluChip 105
	Income Fund	Money Market Fund	Opportunities Fund	Bluechip Fund	Dynamic P/E Fund	Pension Bluechip Fund
Opening Balance (Market value)	1,021,159.43	333,226.86	182,504.67	338,376.88	52,140.28	6,302.16
Add: Inflows during the quarter	84,879.38	70,033.23	16,070.85	20,595.85	247.32	299.52
Increase / (Decrease) value of investment (Net)	14,465.24	3,461.97	19,688.28	30,867.27	4,160.46	577.42
Less : Outflow during the quarter	(105,898.98)	(65,029.53)	(14,266.49)	(21,168.33)	(4,804.86)	(580.11)
<b>Total Investible Funds (Market value)</b>	<b>1,014,605.07</b>	<b>341,692.53</b>	<b>203,997.31</b>	<b>368,671.67</b>	<b>51,743.20</b>	<b>6,599.00</b>

Investment of Unit Fund	ULIF 089 24/11/09 LIncome 105		ULIF 090 24/11/09 LMoneyMkt 105		ULIF 086 24/11/09 LOpport 105		ULIF 087 24/11/09 LBluChip 105		ULIF 097 11/01/10 LDynamicPE 105		ULIF 093 11/01/10 PBluChip 105	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
<b>Approved Investments (&gt;=75%)</b>												
Central Government Securities	273,672.42	27%	1,007.92	0%	-	0%	-	0%	14,283.40	28%	-	0%
State Government Securities	11,683.54	1%	-	0%	-	0%	-	0%	-	0%	-	0%
Other Approved Securities	28,487.87	3%	-	0%	-	0%	-	0%	-	0%	-	0%
Corporate Bonds	367,072.08	36%	68,035.15	20%	-	0%	10.82	0%	1.76	0%	0.25	0%
Infrastructure Bonds	165,273.85	16%	13,117.94	4%	-	0%	-	0%	7.86	0%	-	0%
Equity	-	0%	-	0%	150,064.33	74%	287,209.87	78%	16,425.44	32%	5,145.17	78%
Money Market Investments	148,812.49	15%	243,039.13	71%	7,313.28	4%	7,804.89	2%	15,144.70	29%	131.76	2%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Deposit with Banks	6,000.00	1%	12,000.00	4%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (A)</b>	<b>1,001,002.25</b>	<b>99%</b>	<b>337,200.14</b>	<b>99%</b>	<b>157,377.61</b>	<b>77%</b>	<b>295,025.57</b>	<b>80%</b>	<b>45,863.16</b>	<b>89%</b>	<b>5,277.18</b>	<b>80%</b>
<b>Current Assets:</b>												
Accrued Interest	27,271.75	3%	4,487.04	1%	-	0%	0.20	0%	145.37	0%	0.00	0%
Dividend Receivable	-	0%	-	0%	44.90	0%	17.55	0%	-	0%	0.32	0%
Bank Balance	46.63	0%	5.10	0%	3.55	0%	3.76	0%	7.14	0%	0.12	0%
Receivable for sale of Investments	12,088.26	1%	-	0%	1,360.53	1%	2,350.42	1%	69.89	0%	51.07	1%
Other Current Assets (For Investments)	2,880.55	0%	0.00	0%	310.45	0%	383.97	0%	0.02	0%	4.12	0%
<b>Less : Current Liabilities</b>												
Payable for Investments	(35,158.73)	-3%	(0.11)	0%	(1,183.75)	-1%	(1,982.00)	-1%	(64.52)	0%	(39.03)	-1%
Fund Management Charges Payable	(37.42)	0%	(7.03)	0%	(7.43)	0%	(13.40)	0%	(1.89)	0%	(0.24)	0%
Other Current Liabilities (For Investments)	(8.32)	0%	(564.15)	0%	(1.39)	0%	(2.45)	0%	(47.97)	0%	(0.04)	0%
<b>Sub Total (B)</b>	<b>7,082.71</b>	<b>1%</b>	<b>3,920.84</b>	<b>1%</b>	<b>526.87</b>	<b>0%</b>	<b>758.04</b>	<b>0%</b>	<b>108.03</b>	<b>0%</b>	<b>16.31</b>	<b>0%</b>
<b>Other Investments (&lt;=25%)</b>												
Corporate Bonds	6,520.11	1%	571.55	0%	-	0%	-	0%	-	0%	-	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	-	0%	-	0%	46,092.84	23%	72,888.06	20%	5,772.01	11%	1,305.51	20%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Venture Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Others	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (C)</b>	<b>6,520.11</b>	<b>1%</b>	<b>571.55</b>	<b>0%</b>	<b>46,092.84</b>	<b>23%</b>	<b>72,888.06</b>	<b>20%</b>	<b>5,772.01</b>	<b>11%</b>	<b>1,305.51</b>	<b>20%</b>
<b>Total (A+B+C)</b>	<b>1,014,605.07</b>	<b>100%</b>	<b>341,692.53</b>	<b>100%</b>	<b>203,997.31</b>	<b>100%</b>	<b>368,671.67</b>	<b>100%</b>	<b>51,743.20</b>	<b>100%</b>	<b>6,599.00</b>	<b>100%</b>
<b>Fund carried forward (as per LB2)</b>	<b>1,014,605.07</b>		<b>341,692.53</b>		<b>203,997.31</b>		<b>368,671.67</b>		<b>51,743.20</b>		<b>6,599.00</b>	

## Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of item 13 of FORM ULB2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

## FORM L-27-UNIT LINKED BUSINESS-3A

## FORM 3A

## Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2022

₹ Lakhs

Particulars	ULIF 091 11/01/10 PMCapGro 105	ULIF 095 11/01/10 Plncome 105	ULIF 094 11/01/10 PMCapBal 105	ULIF 096 11/01/10 PMoneyMkt 105	ULIF 092 11/01/10 Poport 105	ULIF 098 11/01/10 PDynamicPE 105
	Pension Multi Cap Growth Fund	Pension Income Fund	Pension Multi Cap Balanced Fund	Pension Money Market Fund	Pension Opportunities Fund	Pension Dynamic P/E Fund
Opening Balance (Market value)	41,620.77	20,986.54	5,143.69	6,256.08	12,573.75	22,154.63
Add: Inflows during the quarter	831.77	706.28	131.70	1,137.60	560.71	221.49
Increase / (Decrease) value of investment (Net)	4,580.51	317.13	356.62	58.98	1,364.87	1,685.74
Less : Outflow during the quarter	(2,869.94)	(893.72)	(417.50)	(1,310.74)	(995.73)	(588.22)
<b>Total Investible Funds (Market value)</b>	<b>44,163.11</b>	<b>21,116.23</b>	<b>5,214.51</b>	<b>6,141.92</b>	<b>13,503.61</b>	<b>23,473.65</b>

Investment of Unit Fund	ULIF 091 11/01/10 PMCapGro 105		ULIF 095 11/01/10 Plncome 105		ULIF 094 11/01/10 PMCapBal 105		ULIF 096 11/01/10 PMoneyMkt 105		ULIF 092 11/01/10 Poport 105		ULIF 098 11/01/10 PDynamicPE 105	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
<b>Approved Investments (&gt;=75%)</b>												
Central Government Securities	-	0%	7,066.21	33%	1,001.79	19%	-	0%	-	0%	7,617.38	32%
State Government Securities	-	0%	261.17	1%	18.53	0%	-	0%	-	0%	-	0%
Other Approved Securities	-	0%	581.07	3%	94.57	2%	-	0%	-	0%	-	0%
Corporate Bonds	2.19	0%	6,090.77	29%	390.84	7%	1,601.94	26%	-	0%	0.50	0%
Infrastructure Bonds	-	0%	4,661.44	22%	206.69	4%	375.35	6%	-	0%	-	0%
Equity	33,298.50	75%	-	0%	2,277.60	44%	-	0%	10,036.46	74%	7,525.06	32%
Money Market Investments	507.09	1%	2,101.20	10%	536.33	10%	4,121.82	67%	363.81	3%	5,778.54	25%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Deposit with Banks	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (A)</b>	<b>33,807.78</b>	<b>77%</b>	<b>20,761.86</b>	<b>98%</b>	<b>4,526.35</b>	<b>87%</b>	<b>6,099.11</b>	<b>99%</b>	<b>10,400.26</b>	<b>77%</b>	<b>20,921.49</b>	<b>89%</b>
<b>Current Assets:</b>												
Accrued Interest	0.04	0%	573.99	3%	42.39	1%	51.78	1%	-	0%	100.86	0%
Dividend Receivable	-	0%	-	0%	-	0%	-	0%	3.06	0%	-	0%
Bank Balance	0.30	0%	0.67	0%	0.20	0%	0.19	0%	0.23	0%	2.78	0%
Receivable for sale of Investments	42.95	0%	288.23	1%	-	0%	-	0%	102.19	1%	32.17	0%
Other Current Assets (For Investments)	0.00	0%	0.00	0%	0.00	0%	(0.00)	0%	0.02	0%	1.61	0%
<b>Less : Current Liabilities</b>												
Payable for Investments	-	0%	(489.32)	-2%	(47.44)	-1%	-	0%	(68.80)	-1%	(29.74)	0%
Fund Management Charges Payable	(1.61)	0%	(0.78)	0%	(0.19)	0%	(0.13)	0%	(0.49)	0%	(0.86)	0%
Other Current Liabilities (For Investments)	(6.92)	0%	(18.43)	0%	(6.14)	0%	(9.04)	0%	(10.89)	0%	(0.18)	0%
<b>Sub Total (B)</b>	<b>34.77</b>	<b>0%</b>	<b>354.37</b>	<b>2%</b>	<b>(11.19)</b>	<b>0%</b>	<b>42.81</b>	<b>1%</b>	<b>25.32</b>	<b>0%</b>	<b>106.65</b>	<b>0%</b>
<b>Other Investments (&lt;=25%)</b>												
Corporate Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	10,320.56	23%	-	0%	699.34	13%	-	0%	3,078.02	23%	2,445.52	10%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Venture Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Others	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (C)</b>	<b>10,320.56</b>	<b>23%</b>	<b>-</b>	<b>0%</b>	<b>699.34</b>	<b>13%</b>	<b>-</b>	<b>0%</b>	<b>3,078.02</b>	<b>23%</b>	<b>2,445.52</b>	<b>10%</b>
<b>Total (A+B+C)</b>	<b>44,163.11</b>	<b>100%</b>	<b>21,116.23</b>	<b>100%</b>	<b>5,214.51</b>	<b>100%</b>	<b>6,141.92</b>	<b>100%</b>	<b>13,503.61</b>	<b>100%</b>	<b>23,473.65</b>	<b>100%</b>
<b>Fund carried forward (as per LB2)</b>	<b>44,163.11</b>		<b>21,116.23</b>		<b>5,214.51</b>		<b>6,141.92</b>		<b>13,503.61</b>		<b>23,473.65</b>	

## Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of item 13 of FORM ULB2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

## FORM L-27-UNIT LINKED BUSINESS-3A

## FORM 3A

## Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2022

₹ Lakhs

Particulars	ULIF 127 01/12/11 PGROWTH 105	ULIF 128 01/12/11 PSECURE 105	ULIF 132 02/11/12 ERBF 105	ULIF 133 02/11/12 ERSF 105	ULIF 100 01/07/10 LDiscont 105	ULIF 101 01/07/10 PDiscont 105
	Pension Growth Fund	Pension Secure Fund	Easy Retirement Balanced Fund	Easy Retirement Secure Fund	Discontinued Fund - Life	Discontinued Fund - Pension
Opening Balance (Market value)	1,020.62	9,082.85	46,463.04	12,155.22	1,008,383.18	5,617.00
Add: Inflows during the quarter	5.14	29.22	337.80	144.67	43,452.64	466.25
Increase / (Decrease) value of investment (Net)	87.60	141.34	2,214.30	176.68	11,669.62	61.04
Less : Outflow during the quarter	(75.12)	(271.70)	(1,545.44)	(1,121.34)	(101,577.46)	(955.52)
<b>Total Investible Funds (Market value)</b>	<b>1,038.24</b>	<b>8,981.71</b>	<b>47,469.71</b>	<b>11,355.22</b>	<b>961,927.98</b>	<b>5,188.76</b>

Investment of Unit Fund	ULIF 127 01/12/11 PGROWTH 105		ULIF 128 01/12/11 PSECURE 105		ULIF 132 02/11/12 ERBF 105		ULIF 133 02/11/12 ERSF 105		ULIF 100 01/07/10 LDiscont 105		ULIF 101 01/07/10 PDiscont 105	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
<b>Approved Investments (&gt;=75%)</b>												
Central Government Securities	-	0%	3,164.49	35%	11,833.81	25%	4,462.13	39%	276,756.70	29%	-	0%
State Government Securities	-	0%	107.55	1%	159.86	0%	132.13	1%	64,716.19	7%	807.31	16%
Other Approved Securities	-	0%	291.40	3%	1,025.97	2%	319.58	3%	-	0%	-	0%
Corporate Bonds	-	0%	2,299.46	26%	3,855.19	8%	3,612.87	32%	-	0%	-	0%
Infrastructure Bonds	-	0%	2,131.17	24%	3,402.79	7%	1,940.48	17%	-	0%	-	0%
Equity	835.50	80%	-	0%	17,232.07	36%	-	0%	-	0%	-	0%
Money Market Investments	20.60	2%	1,078.38	12%	5,481.64	12%	980.72	9%	619,953.35	64%	4,482.12	86%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Deposit with Banks	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (A)</b>	<b>856.10</b>	<b>82%</b>	<b>9,072.45</b>	<b>101%</b>	<b>42,991.32</b>	<b>91%</b>	<b>11,447.90</b>	<b>101%</b>	<b>961,426.24</b>	<b>100%</b>	<b>5,289.42</b>	<b>102%</b>
<b>Current Assets:</b>												
Accrued Interest	-	0%	241.38	3%	523.29	1%	334.76	3%	6,805.13	1%	2.08	0%
Dividend Receivable	0.00	0%	-	0%	0.06	0%	-	0%	-	0%	-	0%
Bank Balance	0.06	0%	0.39	0%	1.54	0%	0.34	0%	17.46	0%	0.15	0%
Receivable for sale of Investments	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Other Current Assets (For Investments)	0.05	0%	0.00	0%	13.43	0%	0.00	0%	0.00	0%	0.00	0%
<b>Less : Current Liabilities</b>												
Payable for Investments	-	0%	(331.76)	-4%	(561.82)	-1%	(417.54)	-4%	(0.26)	0%	-	0%
Fund Management Charges Payable	(0.04)	0%	(0.33)	0%	(2.39)	0%	(0.45)	0%	(13.26)	0%	(0.07)	0%
Other Current Liabilities (For Investments)	(0.01)	0%	(0.42)	0%	(0.51)	0%	(9.79)	0%	(6,307.32)	-1%	(102.82)	-2%
<b>Sub Total (B)</b>	<b>0.06</b>	<b>0%</b>	<b>(90.74)</b>	<b>-1%</b>	<b>(26.40)</b>	<b>0%</b>	<b>(92.68)</b>	<b>-1%</b>	<b>501.74</b>	<b>0%</b>	<b>(100.66)</b>	<b>-2%</b>
<b>Other Investments (&lt;=25%)</b>												
Corporate Bonds	-	0%	-	0%	425.28	1%	-	0%	-	0%	-	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	182.08	18%	-	0%	4,079.51	9%	-	0%	-	0%	-	0%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Venture Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Others	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (C)</b>	<b>182.08</b>	<b>18%</b>	<b>-</b>	<b>0%</b>	<b>4,504.78</b>	<b>9%</b>	<b>-</b>	<b>0%</b>	<b>-</b>	<b>0%</b>	<b>-</b>	<b>0%</b>
<b>Total (A+B+C)</b>	<b>1,038.24</b>	<b>100%</b>	<b>8,981.71</b>	<b>100%</b>	<b>47,469.71</b>	<b>100%</b>	<b>11,355.22</b>	<b>100%</b>	<b>961,927.98</b>	<b>100%</b>	<b>5,188.76</b>	<b>100%</b>
<b>Fund carried forward (as per LB2)</b>	<b>1,038.24</b>		<b>8,981.71</b>		<b>47,469.71</b>		<b>11,355.22</b>		<b>961,927.98</b>		<b>5,188.76</b>	

## Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of item 13 of FORM ULB2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

## FORM L-27-UNIT LINKED BUSINESS-3A

## FORM 3A

## Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2022

₹ Lakhs

Particulars	ULGF 041 30/04/13 GBalancer2 105	ULGF 042 30/04/13 GGrowth2 105	ULIF 134 19/09/13 LGF 105	ULIF 135 19/09/13 LSF 105	ULIF 136 25/03/13 ERSPPF 105	ULGF 039 30/04/13 GSTDebt3 105
	Group Balanced Fund II	Group Growth Fund II	Life Growth Fund	Life Secure Fund	Easy Retirement SP Balanced Fund	Group Short Term Debt Fund III
Opening Balance (Market value)	258,216.59	13,877.96	92,577.98	276,042.38	2,891.19	11,122.62
Add: Inflows during the quarter	24,703.99	512.52	46.99	702.77	31.22	1,864.15
Increase / (Decrease) value of investment (Net)	6,922.04	837.31	9,258.45	3,207.24	139.60	82.79
Less : Outflow during the quarter	(6,254.00)	(344.40)	(9,602.77)	(7,417.15)	(48.63)	(2,915.20)
<b>Total Investible Funds (Market value)</b>	<b>283,588.62</b>	<b>14,883.39</b>	<b>92,280.65</b>	<b>272,535.24</b>	<b>3,013.38</b>	<b>10,154.36</b>

Investment of Unit Fund	ULGF 041 30/04/13 GBalancer2 105		ULGF 042 30/04/13 GGrowth2 105		ULIF 134 19/09/13 LGF 105		ULIF 135 19/09/13 LSF 105		ULIF 136 25/03/13 ERSPPF 105		ULGF 039 30/04/13 GSTDebt3 105	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
<b>Approved Investments (&gt;=75%)</b>												
Central Government Securities	80,631.31	28%	2,538.96	17%	-	0%	98,982.24	36%	722.23	24%	-	0%
State Government Securities	6,551.04	2%	21.39	0%	-	0%	2,286.59	1%	8.57	0%	-	0%
Other Approved Securities	12,995.73	5%	247.55	2%	-	0%	8,191.49	3%	42.17	1%	-	0%
Corporate Bonds	49,622.87	17%	1,415.34	10%	5.41	0%	73,809.06	27%	309.85	10%	2,087.34	21%
Infrastructure Bonds	32,337.47	11%	680.60	5%	-	0%	39,510.18	14%	159.76	5%	682.14	7%
Equity	31,918.82	11%	6,186.04	42%	69,391.24	75%	-	0%	1,095.32	36%	-	0%
Money Market Investments	55,029.46	19%	1,894.85	13%	1,156.26	1%	45,558.27	17%	444.62	15%	7,381.51	73%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Deposit with Banks	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (A)</b>	<b>269,086.69</b>	<b>95%</b>	<b>12,984.74</b>	<b>87%</b>	<b>70,552.92</b>	<b>76%</b>	<b>268,337.83</b>	<b>98%</b>	<b>2,782.53</b>	<b>92%</b>	<b>10,151.00</b>	<b>100%</b>
<b>Current Assets:</b>												
Accrued Interest	4,615.17	2%	110.01	1%	0.10	0%	5,807.93	2%	32.62	1%	54.56	1%
Dividend Receivable	7.04	0%	1.36	0%	15.67	0%	-	0%	0.00	0%	-	0%
Bank Balance	15.22	0%	0.68	0%	0.63	0%	8.93	0%	0.20	0%	0.27	0%
Receivable for sale of Investments	4,249.18	1%	169.84	1%	1,894.38	2%	3,633.30	1%	-	0%	-	0%
Other Current Assets (For Investments)	1,315.23	0%	1.51	0%	18.75	0%	0.01	0%	0.00	0%	-	0%
<b>Less : Current Liabilities</b>												
Payable for Investments	(5,929.49)	-2%	(211.87)	-1%	(392.74)	0%	(6,350.50)	-2%	(37.45)	-1%	-	0%
Fund Management Charges Payable	(10.03)	0%	(0.53)	0%	(4.63)	0%	(13.82)	0%	(0.13)	0%	(0.36)	0%
Other Current Liabilities (For Investments)	(2.33)	0%	(0.11)	0%	(0.84)	0%	(104.98)	0%	(0.04)	0%	(51.11)	-1%
<b>Sub Total (B)</b>	<b>4,259.99</b>	<b>2%</b>	<b>70.89</b>	<b>0%</b>	<b>1,531.32</b>	<b>2%</b>	<b>2,980.87</b>	<b>1%</b>	<b>(4.80)</b>	<b>0%</b>	<b>3.36</b>	<b>0%</b>
<b>Other Investments (&lt;=25%)</b>												
Corporate Bonds	737.99	0%	-	0%	-	0%	1,216.54	0%	-	0%	-	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	9,503.95	3%	1,827.76	12%	20,196.42	22%	-	0%	235.65	8%	-	0%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Venture Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Others	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (C)</b>	<b>10,241.94</b>	<b>4%</b>	<b>1,827.76</b>	<b>12%</b>	<b>20,196.42</b>	<b>22%</b>	<b>1,216.54</b>	<b>0%</b>	<b>235.65</b>	<b>8%</b>	<b>-</b>	<b>0%</b>
<b>Total (A+B+C)</b>	<b>283,588.62</b>	<b>100%</b>	<b>14,883.39</b>	<b>100%</b>	<b>92,280.65</b>	<b>100%</b>	<b>272,535.24</b>	<b>100%</b>	<b>3,013.38</b>	<b>100%</b>	<b>10,154.36</b>	<b>100%</b>
<b>Fund carried forward (as per LB2)</b>	<b>283,588.62</b>		<b>14,883.39</b>		<b>92,280.65</b>		<b>272,535.24</b>		<b>3,013.38</b>		<b>10,154.36</b>	

## Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of item 13 of FORM ULB2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

## FORM L-27-UNIT LINKED BUSINESS-3A

## FORM 3A

## Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2022

₹ Lakhs

Particulars	ULGF 050 27/08/13 GCGGrowth3 105	ULGF 046 27/08/13 GSTDebt2 105	ULIF 136 11/20/14 MIF 105	ULGF 043 30/04/13 GEquity2 105	ULIF 138 15/02/17 AAABF 105	ULGF 051 03/04/03 GSBLN 105
	Group Capital Guarantee Growth Fund III	Group Short Term Debt Fund II	Maximise India Fund	Group Equity Fund II	Active Asset Allocation Balanced Fund	Group Balanced Fund- Superannuation
Opening Balance (Market value)	10,912.05	172.89	62,512.66	496.77	388,022.21	71,643.26
Add: Inflows during the quarter	139.99	-	2,866.64	25.00	3,737.70	957.01
Increase / (Decrease) value of investment (Net)	465.80	1.56	6,505.69	51.50	22,134.47	1,889.10
Less : Outflow during the quarter	(220.85)	(4.13)	(2,498.36)	(96.20)	(27,211.90)	(2,243.27)
<b>Total Investible Funds (Market value)</b>	<b>11,296.98</b>	<b>170.32</b>	<b>69,386.64</b>	<b>477.07</b>	<b>386,682.48</b>	<b>72,246.10</b>

Investment of Unit Fund	ULGF 050 27/08/13 GCGGrowth3 105		ULGF 046 27/08/13 GSTDebt2 105		ULIF 136 11/20/14 MIF 105		ULGF 043 30/04/13 GEquity2 105		ULIF 138 15/02/17 AAABF 105		ULGF 051 03/04/03 GSBLN 105	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
<b>Approved Investments (&gt;=75%)</b>												
Central Government Securities	2,273.07	20%	-	0%	-	0%	-	0%	84,509.71	22%	23,079.22	32%
State Government Securities	20.70	0%	-	0%	-	0%	-	0%	786.78	0%	425.20	1%
Other Approved Securities	280.19	2%	-	0%	-	0%	-	0%	7,111.69	2%	1,584.27	2%
Corporate Bonds	2,129.53	19%	9.99	6%	-	0%	0.01	0%	38,803.22	10%	16,379.67	23%
Infrastructure Bonds	920.45	8%	9.85	6%	-	0%	-	0%	23,382.05	6%	8,631.85	12%
Equity	3,000.68	27%	-	0%	51,773.31	75%	357.77	75%	147,926.93	38%	8,192.40	11%
Money Market Investments	1,652.79	15%	150.33	88%	1,158.86	2%	12.76	3%	38,564.03	10%	9,897.17	14%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Deposit with Banks	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (A)</b>	<b>10,277.42</b>	<b>91%</b>	<b>170.18</b>	<b>100%</b>	<b>52,932.17</b>	<b>76%</b>	<b>370.55</b>	<b>78%</b>	<b>341,084.40</b>	<b>88%</b>	<b>68,189.79</b>	<b>94%</b>
<b>Current Assets:</b>												
Accrued Interest	182.30	2%	0.10	0%	-	0%	0.00	0%	4,130.45	1%	1,401.35	2%
Dividend Receivable	0.66	0%	-	0%	3.27	0%	0.08	0%	32.99	0%	1.81	0%
Bank Balance	0.56	0%	0.05	0%	0.63	0%	0.06	0%	9.67	0%	2.77	0%
Receivable for sale of Investments	146.83	1%	-	0%	1,057.33	2%	4.25	1%	1,526.28	0%	1,220.94	2%
Other Current Assets (For Investments)	0.01	0%	-	0%	114.78	0%	0.00	0%	253.11	0%	3.50	0%
<b>Less : Current Liabilities</b>												
Payable for Investments	(197.16)	-2%	-	0%	(506.46)	-1%	(2.59)	-1%	(5,207.16)	-1%	(1,513.22)	-2%
Fund Management Charges Payable	(0.45)	0%	(0.01)	0%	(2.52)	0%	(0.02)	0%	(14.20)	0%	(2.57)	0%
Other Current Liabilities (For Investments)	(0.10)	0%	(0.00)	0%	(0.46)	0%	(0.00)	0%	(3.13)	0%	(0.58)	0%
<b>Sub Total (B)</b>	<b>132.65</b>	<b>1%</b>	<b>0.14</b>	<b>0%</b>	<b>666.56</b>	<b>1%</b>	<b>1.78</b>	<b>0%</b>	<b>728.02</b>	<b>0%</b>	<b>1,114.01</b>	<b>2%</b>
<b>Other Investments (&lt;=25%)</b>												
Corporate Bonds	-	0%	-	0%	-	0%	-	0%	1,449.92	0%	501.36	1%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	886.91	8%	-	0%	15,787.91	23%	104.75	22%	43,420.13	11%	2,440.94	3%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Venture Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Others	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (C)</b>	<b>886.91</b>	<b>8%</b>	<b>-</b>	<b>0%</b>	<b>15,787.91</b>	<b>23%</b>	<b>104.75</b>	<b>22%</b>	<b>44,870.05</b>	<b>12%</b>	<b>2,942.30</b>	<b>4%</b>
<b>Total (A+B+C)</b>	<b>11,296.98</b>	<b>100%</b>	<b>170.32</b>	<b>100%</b>	<b>69,386.64</b>	<b>100%</b>	<b>477.07</b>	<b>100%</b>	<b>386,682.48</b>	<b>100%</b>	<b>72,246.10</b>	<b>100%</b>
<b>Fund carried forward (as per LB2)</b>	<b>11,296.98</b>		<b>170.32</b>		<b>69,386.64</b>		<b>477.07</b>		<b>386,682.48</b>		<b>72,246.10</b>	

## Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of item 13 of FORM ULB2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

## FORM L-27-UNIT LINKED BUSINESS-3A

## FORM 3A

## Unit Linked Insurance Business

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Link to item 'C' of Form 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2022

₹ Lakhs

Particulars	ULGF 055 24/02/04 GSCGSTD 105	ULGF 052 03/04/03 GSDBT 105	ULGF 054 30/10/03 GSGF 105	ULGF 053 03/04/03 GSSTD 105	ULIF 139 24/11/17 VEF 105	ULIF 140 24/11/17 SOF 105
	Group Capital Guarantee Short Term Debt Fund- Superannuation	Group Debt Fund- Superannuation	Group Growth Fund- Superannuation	Group Short Term Debt Fund- Superannuation	Value Enhancer Fund	Secure Opportunities Fund
Opening Balance (Market value)	1,032.93	31,283.35	46,860.57	13,065.85	505,440.02	47,351.70
Add: Inflows during the quarter	0.17	856.77	1,091.17	955.65	42,865.36	3,399.37
Increase / (Decrease) value of investment (Net)	9.18	415.44	2,879.67	108.84	61,573.31	526.28
Less : Outflow during the quarter	(15.74)	(1,109.24)	(1,768.88)	(1,169.77)	(4,257.11)	(1,710.05)
<b>Total Investible Funds (Market value)</b>	<b>1,026.54</b>	<b>31,446.33</b>	<b>49,062.53</b>	<b>12,960.56</b>	<b>605,621.58</b>	<b>49,567.31</b>

Investment of Unit Fund	ULGF 055 24/02/04 GSCGSTD 105		ULGF 052 03/04/03 GSDBT 105		ULGF 054 30/10/03 GSGF 105		ULGF 053 03/04/03 GSSTD 105		ULIF 139 24/11/17 VEF 105		ULIF 140 24/11/17 SOF 105	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
<b>Approved Investments (&gt;=75%)</b>												
Central Government Securities	-	0%	10,178.83	32%	7,846.11	16%	-	0%	-	0%	-	0%
State Government Securities	-	0%	552.01	2%	132.75	0%	-	0%	-	0%	421.13	1%
Other Approved Securities	-	0%	990.74	3%	1,035.24	2%	-	0%	-	0%	-	0%
Corporate Bonds	298.55	29%	8,228.68	26%	3,786.28	8%	3,500.21	27%	56.49	0%	17,998.24	36%
Infrastructure Bonds	59.27	6%	7,282.91	23%	2,732.04	6%	899.96	7%	-	0%	12,888.46	26%
Equity	-	0%	-	0%	20,448.90	42%	-	0%	464,451.62	77%	-	0%
Money Market Investments	658.82	64%	3,813.71	12%	6,830.22	14%	8,442.88	65%	10,410.21	2%	14,179.40	29%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Deposit with Banks	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (A)</b>	<b>1,016.64</b>	<b>99%</b>	<b>31,046.89</b>	<b>99%</b>	<b>42,811.54</b>	<b>87%</b>	<b>12,843.05</b>	<b>99%</b>	<b>474,918.32</b>	<b>78%</b>	<b>45,487.23</b>	<b>92%</b>
<b>Current Assets:</b>												
Accrued Interest	9.88	1%	684.71	2%	316.85	1%	115.45	1%	1.05	0%	1,463.07	3%
Dividend Receivable	-	0%	-	0%	4.52	0%	-	0%	63.15	0%	-	0%
Bank Balance	0.06	0%	1.07	0%	2.34	0%	0.06	0%	4.93	0%	6.82	0%
Receivable for sale of Investments	-	0%	436.22	1%	597.58	1%	-	0%	13,467.46	2%	-	0%
Other Current Assets (For Investments)	-	0%	7.50	0%	0.05	0%	2.54	0%	1,936.99	0%	38.30	0%
<b>Less : Current Liabilities</b>												
Payable for Investments	-	0%	(728.69)	-2%	(695.83)	-1%	-	0%	(12,222.67)	-2%	-	0%
Fund Management Charges Payable	(0.03)	0%	(1.12)	0%	(1.73)	0%	(0.46)	0%	(22.33)	0%	(1.83)	0%
Other Current Liabilities (For Investments)	(0.01)	0%	(0.25)	0%	(13.77)	0%	(0.09)	0%	(4.12)	0%	(0.44)	0%
<b>Sub Total (B)</b>	<b>9.90</b>	<b>1%</b>	<b>399.44</b>	<b>1%</b>	<b>210.02</b>	<b>0%</b>	<b>117.51</b>	<b>1%</b>	<b>3,224.46</b>	<b>1%</b>	<b>1,505.91</b>	<b>3%</b>
<b>Other Investments (&lt;=25%)</b>												
Corporate Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	2,574.16	5%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	-	0%	-	0%	6,040.97	12%	-	0%	127,478.80	21%	-	0%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Venture Funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Others	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (C)</b>	<b>-</b>	<b>0%</b>	<b>-</b>	<b>0%</b>	<b>6,040.97</b>	<b>12%</b>	<b>-</b>	<b>0%</b>	<b>127,478.80</b>	<b>21%</b>	<b>2,574.16</b>	<b>5%</b>
<b>Total (A+B+C)</b>	<b>1,026.54</b>	<b>100%</b>	<b>31,446.33</b>	<b>100%</b>	<b>49,062.53</b>	<b>100%</b>	<b>12,960.56</b>	<b>100%</b>	<b>605,621.58</b>	<b>100%</b>	<b>49,567.31</b>	<b>100%</b>
<b>Fund carried forward (as per LB2)</b>	<b>1,026.54</b>		<b>31,446.33</b>		<b>49,062.53</b>		<b>12,960.56</b>		<b>605,621.58</b>		<b>49,567.31</b>	

## Note:

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of item 13 of FORM ULB2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)

Particulars	ULIF 142 04/02/19 FocusFifty 105	ULIF 141 04/02/19 IndiaGrwth 105	ULIF 144 03/06/21 BalanceAdv 105	ULIF 145 03/06/21 SustainEqu 105	ULIF 146 28/06/22 MidCapFund 105	Total of All Funds
	Focus 50 Fund	India Growth Fund	Balanced Advantage Fund	Sustainable Equity Fund	Mid Cap Fund	
Opening Balance (Market value)	252,437.73	177,940.37	76,000.29	33,130.52	-	13,885,101.94
Add: Inflows during the quarter	11,301.84	27,902.85	19,213.31	1,989.17	15,166.16	593,739.34
Increase / (Decrease) value of investment (Net)	21,207.68	17,670.65	5,943.63	3,450.87	212.08	1,068,393.04
Less : Outflow during the quarter	(1,648.44)	(1,732.05)	(719.65)	(292.00)	-	(782,265.17)
<b>Total Investible Funds (Market value)</b>	<b>283,298.81</b>	<b>221,781.82</b>	<b>100,437.58</b>	<b>38,278.56</b>	<b>15,378.24</b>	<b>14,764,969.15</b>

Investment of Unit Fund	ULIF 142 04/02/19 FocusFifty 105		ULIF 141 04/02/19 IndiaGrwth 105		ULIF 144 03/06/21 BalanceAdv 105		ULIF 145 03/06/21 SustainEqu 105		ULIF 146 28/06/22 MidCapFund 105		Total of All Funds	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
<b>Approved Investments (&gt;=75%)</b>												
Central Government Securities	-	0%	-	0%	16,159.00	16%	-	0%	-	0%	1,229,579.60	8%
State Government Securities	-	0%	-	0%	-	0%	-	0%	-	0%	96,065.13	1%
Other Approved Securities	-	0%	-	0%	733.42	1%	-	0%	-	0%	91,147.96	1%
Corporate Bonds	9.31	0%	2.74	0%	2,192.05	2%	-	0%	-	0%	880,762.03	6%
Infrastructure Bonds	-	0%	-	0%	948.57	1%	-	0%	-	0%	450,280.32	3%
Equity	235,080.20	83%	165,138.98	74%	54,227.76	54%	32,078.65	84%	9,225.96	60%	7,878,355.57	53%
Money Market Investments	3,878.31	1%	6,393.59	3%	12,205.05	12%	991.86	3%	2,118.38	14%	1,718,798.17	12%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	0.00	0%
Deposit with Banks	-	0%	-	0%	-	0%	-	0%	-	0%	18,000.00	0%
<b>Sub Total (A)</b>	<b>238,967.82</b>	<b>84%</b>	<b>171,535.31</b>	<b>77%</b>	<b>86,465.85</b>	<b>86%</b>	<b>33,070.51</b>	<b>86%</b>	<b>11,344.34</b>	<b>74%</b>	<b>12,362,988.79</b>	<b>84%</b>
<b>Current Assets:</b>												
Accrued Interest	0.17	0%	0.05	0%	482.60	0%	-	0%	-	0%	77,665.21	1%
Dividend Receivable	-	0%	45.57	0%	3.40	0%	15.94	0%	-	0%	1,321.12	0%
Bank Balance	1.99	0%	3.09	0%	4.83	0%	0.55	0%	1.11	0%	354.79	0%
Receivable for sale of Investments	5,345.40	2%	1,586.73	1%	502.25	1%	485.97	1%	-	0%	84,696.26	1%
Other Current Assets (For Investments)	641.22	0%	1,103.09	0%	0.29	0%	30.40	0%	3,635.85	24%	21,173.95	0%
<b>Less : Current Liabilities</b>												
Payable for Investments	(5,256.45)	-2%	(1,372.71)	-1%	(2,385.41)	-2%	(300.55)	-1%	(1,673.10)	-11%	-138,874.46	-1%
Fund Management Charges Payable	(10.29)	0%	(8.04)	0%	(3.69)	0%	(1.39)	0%	(0.43)	0%	-535.88	0%
Other Current Liabilities (For Investments)	(1.88)	0%	(1.49)	0%	(241.70)	0%	(0.26)	0%	(0.09)	0%	-13,017.06	0%
<b>Sub Total (B)</b>	<b>720.15</b>	<b>0%</b>	<b>1,356.29</b>	<b>1%</b>	<b>(1,637.42)</b>	<b>-2%</b>	<b>230.65</b>	<b>1%</b>	<b>1,963.33</b>	<b>13%</b>	<b>32,783.93</b>	<b>0%</b>
<b>Other Investments (&lt;=25%)</b>												
Corporate Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	18,658.61	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	0.00	0%
Equity	43,610.83	15%	48,890.23	22%	15,609.15	16%	4,977.40	13%	2,070.57	13%	2,350,522.19	16%
Mutual Funds	-	0%	-	0%	-	0%	-	0%	-	0%	0.00	0%
Venture Funds	-	0%	-	0%	-	0%	-	0%	-	0%	15.63	0%
Others	-	0%	-	0%	-	0%	-	0%	-	0%	0.00	0%
<b>Sub Total (C)</b>	<b>43,610.83</b>	<b>15%</b>	<b>48,890.23</b>	<b>22%</b>	<b>15,609.15</b>	<b>16%</b>	<b>4,977.40</b>	<b>13%</b>	<b>2,070.57</b>	<b>13%</b>	<b>2,369,196.43</b>	<b>16%</b>
<b>Total (A+B+C)</b>	<b>283,298.81</b>	<b>100%</b>	<b>221,781.82</b>	<b>100%</b>	<b>100,437.58</b>	<b>100%</b>	<b>38,278.56</b>	<b>100%</b>	<b>15,378.24</b>	<b>100%</b>	<b>14,764,969.15</b>	<b>100%</b>
<b>Fund carried forward (as per LB2)</b>	<b>283,298.81</b>		<b>221,781.82</b>		<b>100,437.58</b>		<b>38,278.56</b>		<b>15,378.24</b>		<b>14,764,969.15</b>	

**Note:**

- The aggregate of all the above Segregated Unit-funds reconciles with item C of Form 3A (Part A), for both Par & Non par business
- Details of item 13 of FORM ULB2 of IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulation, 2016 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2)
- Equity includes Exchange Traded Funds (ETFs)



No	Fund Name	SFIN	Date of Launch	Par/Non Par	Assets Under Management on the above date (₹ Lakhs)	NAV as per LB 2 (₹)	30-Sep-22	30-Jun-22	31-Mar-22	31-Dec-21	30-Sep-21	Return/Yield* (%)	3 Year Rolling CAGR	Highest NAV since inception (₹)
							NAV as on the above date (₹)	Previous Qtr NAV (₹)	2nd Previous Qtr NAV (₹)	3rd Previous Qtr NAV (₹)	4th Previous Qtr NAV (₹)			
1	Bluechip Fund	ULIF 087 24/11/09 LBluChip 105	November 24, 2009	Non Par	368,671.67	31.7058	31.7058	29.0787	32.0916	32.0525	32.5466	-2.58%	14.13%	34.0052
2	Dynamic P/E Fund	ULIF 097 11/01/10 LDynmicPE 105	January 11, 2010	Non Par	51,743.20	26.9368	26.9368	24.9208	26.1674	26.0247	25.8177	4.33%	8.09%	27.6711
3	Easy Retirement Balanced Fund	ULIF 132 02/11/12 ERBF 105	September 24, 2013	Non Par	47,469.71	21.4226	21.4226	20.4510	21.6054	21.8091	21.9608	-2.45%	9.55%	22.3798
4	Easy Retirement Secure Fund	ULIF 133 02/11/12 ERSF 105	September 24, 2013	Non Par	11,355.22	19.4517	19.4517	19.1698	19.5116	19.4776	19.4517	0.00%	4.76%	19.6604
5	Easy Retirement SP Balanced Fund	ULIF 136 25/03/13 ERSPBF 105	April 23, 2014	Non Par	3,013.38	19.9814	19.9814	19.0535	20.0383	20.1907	20.3030	-1.58%	9.42%	20.7083
6	Flexi Balanced Fund	ULIF 031 20/03/07 LFlexiBal1 105	March 20, 2007	Non Par	5,209.85	33.7567	33.7567	31.6719	34.1308	34.6185	34.7277	-2.80%	7.80%	35.4960
7	Flexi Balanced Fund II	ULIF 032 20/03/07 LFlexiBal2 105	March 20, 2007	Non Par	7,856.56	42.2870	42.2870	39.4261	42.3159	42.7822	42.7386	-1.06%	9.48%	43.7340
8	Flexi Balanced Fund III	ULIF 033 20/03/07 LFlexiBal3 105	March 20, 2007	Non Par	381.69	33.6516	33.6516	31.4999	33.9256	34.4235	34.3905	-2.15%	7.81%	35.1896
9	Flexi Balanced Fund IV	ULIF 040 27/08/07 LFlexiBal4 105	August 27, 2007	Non Par	2,361.87	37.2710	37.2710	34.8617	37.2939	37.7086	37.6486	-1.00%	9.44%	38.5163
10	Flexi Growth Fund	ULIF 026 20/03/07 LFlexiGro1 105	March 20, 2007	Non Par	54,016.34	39.9163	39.9163	35.9853	40.4761	41.5167	41.7931	-4.49%	10.27%	43.6082
11	Flexi Growth Fund II	ULIF 027 20/03/07 LFlexiGro2 105	March 20, 2007	Non Par	80,062.64	45.1796	45.1796	40.5964	45.5223	46.5736	46.7506	-3.36%	11.38%	48.7928
12	Flexi Growth Fund III	ULIF 028 20/03/07 LFlexiGro3 105	March 20, 2007	Non Par	5,724.89	40.3975	40.3975	36.3958	40.8732	41.9360	42.1494	-4.16%	10.13%	43.9778
13	Flexi Growth Fund IV	ULIF 038 27/08/07 LFlexiGro4 105	August 27, 2007	Non Par	37,590.40	38.2784	38.2784	34.4498	38.5878	39.5120	39.6612	-3.49%	11.20%	41.4177
14	Group Capital Guarantee Balanced Fund	ULGF 006 03/10/05 GCGBal1 105	October 4, 2005	Non Par	1,054.85	34.6660	34.6660	33.8749	34.8527	34.8982	34.9536	-0.82%	5.48%	35.2103
15	Group Capital Guarantee Balanced Fund II	ULGF 010 21/03/07 GCGBal2 105	March 21, 2007	Non Par	12,710.44	33.1329	33.1329	32.3149	33.3180	33.3243	33.3479	-0.64%	6.13%	33.6787
16	Group Capital Guarantee Balanced Fund III	ULGF 049 27/08/13 GCGBal3 105	December 19, 2013	Non Par	73,040.51	19.4534	19.4534	18.9612	19.5079	19.4843	19.4829	-0.15%	6.27%	19.7463
17	Group Capital Guarantee Debt Fund	ULGF 007 28/10/05 GCGDBt1 105	October 28, 2005	Non Par	415.59	43.6693	43.6693	43.0843	43.9083	43.9390	43.8634	-0.44%	4.54%	44.1930
18	Group Capital Guarantee Debt Fund II	ULGF 011 21/03/07 GCGDBt2 105	March 21, 2007	Non Par	7,843.06	35.2233	35.2233	34.7951	35.3560	35.3113	35.2497	-0.07%	4.74%	35.5770
19	Group Capital Guarantee Debt Fund III	ULGF 048 27/08/13 GCGDBt3 105	December 3, 2013	Non Par	27,779.07	19.3025	19.3025	19.0495	19.3487	19.3282	19.2938	0.05%	4.94%	19.4844
20	Group Capital Guarantee Short Term Debt Fund	ULGF 005 24/02/04 GCGSDbT1 105	February 28, 2004	Non Par	60.63	32.1755	32.1755	31.8320	31.5982	31.3908	31.1996	3.13%	3.44%	32.1755
21	Group Capital Guarantee Short Term Debt Fund II	ULGF 009 16/03/07 GCGSDbT2 105	March 15, 2007	Non Par	47,151.93	27.0032	27.0032	26.8008	26.6489	26.4962	26.3467	2.49%	3.25%	27.0032
22	Group Capital Guarantee Short Term Debt Fund III	ULGF 047 27/08/13 GCGSDbT3 105	October 18, 2013	Non Par	23,577.17	16.0367	16.0367	15.9137	15.8257	15.7319	15.6441	2.51%	3.21%	16.0367
23	Group Capital Guarantee Growth Fund	ULGF 008 11/12/06 GCGGrowth1 105	December 11, 2006	Non Par	676.31	32.4157	32.4157	31.1949	32.7629	32.8897	33.0519	-1.92%	6.97%	33.5349
24	Group Capital Guarantee Growth Fund II	ULGF 012 05/07/07 GCGGrowth2 105	July 13, 2007	Non Par	2,659.63	32.7748	32.7748	31.4689	32.9772	33.0451	33.1662	-1.18%	7.89%	33.6248
25	Group Capital Guarantee Growth Fund III	ULGF 050 27/08/13 GCGGrowth3 105	June 30, 2014	Non Par	11,296.98	18.0574	18.0574	17.3186	18.0602	18.0498	18.0896	-0.18%	8.55%	18.4578
26	Group Equity Fund II	ULGF 043 30/04/13 GEQuity2 105	August 25, 2015	Non Par	477.07	18.6744	18.6744	17.0036	18.7396	18.7943	18.9503	-1.46%	15.48%	19.8487
27	Group Balanced Fund	ULGF 001 03/04/03 GBalancer 105	April 4, 2003	Non Par	83,122.15	55.8847	55.8847	54.5460	56.0414	55.9726	55.9489	-0.11%	6.61%	56.7895
28	Group Balanced Fund II	ULGF 041 30/04/13 GBalancer2 105	February 24, 2014	Non Par	283,588.62	19.4361	19.4361	18.9345	19.4989	19.4767	19.4737	-0.09%	6.30%	19.7387
29	Group Debt Fund	ULGF 002 03/04/03 GDBt 105	April 4, 2003	Non Par	27,941.87	42.5342	42.5342	41.9113	42.7243	42.5983	42.4225	0.26%	5.43%	43.0404
30	Group Debt Fund II	ULGF 040 30/04/13 GDBt2 105	December 2, 2013	Non Par	116,374.25	20.3629	20.3629	20.0818	20.3584	20.2837	20.2357	0.63%	5.47%	20.5581
31	Group Short Term Debt Fund	ULGF 003 03/04/03 GSTDBt 105	April 4, 2003	Non Par	5,156.17	33.6006	33.6006	33.3404	33.1304	32.9270	32.7375	2.64%	3.39%	33.6006
32	Group Short Term Debt Fund II	ULGF 046 27/08/13 GSTDBt2 105	August 14, 2014	Non Par	170.32	14.9636	14.9636	14.8296	14.7132	14.6237	14.5524	2.83%	3.15%	14.9636
33	Group Short Term Debt Fund III	ULGF 039 30/04/13 GSTDBt3 105	May 22, 2014	Non Par	10,154.36	15.5145	15.5145	15.3915	15.2904	15.1987	15.1067	2.70%	3.36%	15.5145
34	Group Leave Encashment Balance Fund	ULGF 013 02/04/08 GLEBal 105	April 2, 2008	Non Par	7,324.54	31.9196	31.9196	31.0749	32.0249	31.9910	31.9544	-0.11%	6.39%	32.4625
35	Group Leave Encashment Income Fund	ULGF 014 02/04/08 GLEIncome 105	March 31, 2008	Non Par	1,263.74	28.4351	28.4351	28.0482	28.6129	28.5737	28.5011	-0.23%	5.06%	28.6934
36	Group Growth Fund	ULGF 004 30/10/03 GCGrowth 105	November 7, 2003	Non Par	8,510.91	95.4509	95.4509	89.8984	95.5408	95.5794	95.9605	-0.53%	11.37%	98.4367
37	Group Growth Fund II	ULGF 042 30/04/13 GCGrowth2 105	February 24, 2014	Non Par	14,883.39	22.2443	22.2443	20.9607	22.2713	22.2831	22.3665	-0.55%	11.22%	22.9288
38	Health Balancer Fund	ULIF 059 15/01/09 HBalancer 105	January 15, 2009	Non Par	4,732.39	39.3243	39.3243	37.6172	39.3300	39.2424	39.2317	0.24%	9.09%	40.2346
39	Health Protector Fund	ULIF 061 15/01/09 HProtect 105	January 15, 2009	Non Par	21,279.08	31.7663	31.7663	31.2561	31.6514	31.5410	31.4236	1.09%	5.68%	32.0732
40	Health Flexi Balanced Fund	ULIF 060 15/01/09 HFlexiBal 105	January 16, 2009	Non Par	6,320.86	45.5655	45.5655	42.5751	45.5655	46.0698	46.0209	-0.99%	9.49%	47.0715
41	Health Flexi Growth Fund	ULIF 057 15/01/09 HFlexiGro 105	January 16, 2009	Non Par	56,510.75	55.4695	55.4695	49.9195	55.9477	57.2627	57.4871	-3.51%	11.10%	59.9884
42	Health Preserver Fund	ULIF 056 15/01/09 HPreserv 105	January 15, 2009	Non Par	769.80	25.7037	25.7037	25.4504	25.2412	25.0489	24.8606	3.39%	4.35%	25.7037
43	Health Multiplier Fund	ULIF 041 15/01/09 HMultip 105	January 15, 2009	Non Par	8,641.93	60.5994	60.5994	55.5666	61.4146	61.3323	62.3471	-2.80%	14.18%	65.1566
44	Income Fund	ULIF 089 24/11/09 Linc 105	November 24, 2009	Non Par	1,014.605.07	26.3479	26.3479	25.9734	26.4312	26.3589	26.2806	0.26%	5.14%	26.5893
45	Invest Shield Fund - Life	ULIF 018 03/01/05 LInvShld 105	January 20, 2005	Non Par	3,377.26	45.4948	45.4948	44.2720	45.6141	45.5986	45.5909	-0.21%	6.65%	46.2258
46	Balancer Fund	ULIF 002 22/10/01 LBalancer1 105	November 19, 2001	Non Par	76,406.67	82.3582	82.3582	79.0722	82.9868	83.0828	83.3587	-1.20%	7.65%	84.5834
47	Balancer Fund II	ULIF 014 17/05/04 LBalancer2 105	May 25, 2004	Non Par	28,310.04	65.5016	65.5016	62.6497	65.5255	65.3738	65.3429	0.24%	9.16%	67.0329
48	Balancer Fund III	ULIF 023 13/03/06 LBalancer3 105	March 14, 2006	Non Par	1,706.54	38.2219	38.2219	36.6480	38.5332	38.5745	38.6956	-1.22%	7.79%	39.2588
49	Balancer Fund IV	ULIF 039 27/08/07 LBalancer4 105	August 27, 2007	Non Par	6,213.21	40.0444	40.0444	38.2946	40.0356	39.9421	39.9375	0.27%	9.51%	40.9579
50	Protector Fund	ULIF 003 22/10/01 LProtect1 105	November 19, 2001	Non Par	40,491.13	46.6592	46.6592	46.5907	46.7802	46.6724	46.5919	0.14%	4.82%	47.1222
51	Protector Fund II	ULIF 016 17/05/04 LProtect2 105	May 20, 2004	Non Par	23,067.91	41.9928	41.9928	41.2836	42.0538	41.7837	41.6594	0.80%	5.97%	42.4824
52	Protector Fund III	ULIF 024 13/03/06 LProtect3 105	March 14, 2006	Non Par	2,361.48	33.6617	33.6617	33.2210	33.8107	33.7456	33.6864	-0.07%	4.72%	33.9909
53	Protector Fund IV	ULIF 041 27/08/07 LProtect4 105	August 27, 2007	Non Par	28,459.22	33.9485	33.9485	33.3929	33.7922	33.6670	33.5419	1.21%	5.94%	34.2518
54	Discontinued Fund - Life	ULIF 100 01/07/10 LDiscont 105	March 16, 2011	Non Par	961,927.98	21.0013	21.0013	20.7565	20.7246	20.5186	20.4068	2.91%	4.08%	21.0019
55	Life Growth Fund	ULIF 134 19/09/13 LGF 105	February 28, 2014	Non Par	92,280.65	23.4872	23.4872	21.3738	23.6695	23.7794	24.0226	-2.23%	14.47%	25.1502
56	Maximiser Fund	ULIF 001 22/10/01 LMaximis1 105	November 19, 2001	Non Par	331,459.76	201.8499	201.8499	182.6177	200.7159	199.4319	203.7690	-0.94%	13.25%	212.6809
57	Maximiser Fund II	ULIF 012 17/05/04 LMaximis2 105	May 20, 2004	Non Par	95,054.12	125.6083	125.6083	113.3531	124.3422	123.2495	125.6698	-0.05%	14.35%	131.8671
58	Maximiser Fund III	ULIF 022 13/03/06 LMaximis3 105	March 14, 2006	Non Par	12,862.99	51.9049	51.9049	46.9564	51.6153	51.2636	52.3943	-0.93%	13.27%	54.6891
59	Maximiser Fund IV	ULIF 037 27/08/07 LMaximis4 105	August 27, 2007	Non Par	1,778.34	44.8545	44.8545	40.5207	44.4502	44.0868	44.9916	-0.30%	14.09%	47.1106
60	Maximiser Fund V	ULIF 114 15/03/11 LMaximis5 105	August 29, 2011	Non Par	4,929.91.20	35.9549	35.9549	32.4533	35.5663	35.2643	35.9482	0.02%	14.37%	37.7396
61	Preserver Fund	ULIF 010 17/05/04 LPreserv1 105	June 28, 2004	Non Par	24,476.33	35.7068	35.7068	35.3655	35.0955	34.8214	34.5562	3.33%	4.10%	35.7068
62	Preserver Fund III	ULIF 021 13/03/06 LPreserv3 105	March 14, 2006	Non Par	1,260.76	31.7544	31.7544	31.4479	31.2239	30.9791	30.7478	3.27%	3.98%	31.7544
63	Preserver Fund IV	ULIF 036 27/08/07 LPreserv4 105	August 27, 2007	Non Par	2,779.53	28.7611	28.7611	28.4818	28.2679	28.0490	27.8381	3.32%	4.08%	28.7611
64	Life Secure Fund	ULIF 135 19/09/13 LSF 105	February 28, 2014	Non Par	272,535.24	1								

No	Fund Name	SFIN	Date of Launch	Par/Non Par	Assets Under Management on the above date (₹ Lakhs)	NAV as per LB 2 (₹)	30-Sep-22	30-Jun-22	31-Mar-22	31-Dec-21	30-Sep-21	Return/Yield* %	3 Year Rolling CAGR	Highest NAV since inception (₹)	
							NAV as on the above date (₹)	Previous Qtr NAV (₹)	2nd Previous Qtr NAV (₹)	3rd Previous Qtr NAV (₹)	4th Previous Qtr NAV (₹)				
69	Multiplier Fund II	ULIF 044 25/02/08 LMulti2 105	February 25, 2008	Non Par	17,716.64	35.9205	35.9205	32.9308	36.3890	36.3896	36.9925	-2.90%	14.08%	38.6661	
70	Multiplier Fund III	ULIF 046 25/02/08 LMulti3 105	February 25, 2008	Non Par	1,037.01	32.1092	32.1092	29.5263	32.7007	32.7615	33.3641	-3.76%	13.15%	34.8589	
71	Multiplier Fund IV	ULIF 047 25/02/08 LMulti4 105	February 25, 2008	Non Par	5,429.89	35.8114	35.8114	32.8476	36.3008	36.2695	36.8683	-2.87%	14.13%	38.5291	
72	Money Market Fund	ULIF 090 24/11/09 LMoneyMkt 105	November 24, 2009	Non Par	341,692.53	23.5942	23.5942	23.5942	23.3533	23.1743	22.9841	22.8019	3.47%	4.22%	23.5942
73	New Invest Shield Balanced Fund	ULIF 025 21/08/06 LInvShldNw 105	August 21, 2006	Non Par	3,347.88	39.8617	39.8617	38.8617	40.0731	40.0239	39.9007	-0.10%	6.75%	40.5256	
74	Opportunities Fund	ULIF 086 24/11/09 LOpport 105	November 24, 2009	Non Par	203,997.31	37.4143	37.4143	33.7935	37.2842	37.6735	37.8152	-1.06%	14.92%	39.6152	
75	Pension Bluechip Fund	ULIF 093 11/01/10 PBluChip 105	January 11, 2010	Non Par	6,599.00	30.8334	30.8334	28.2678	31.2499	31.1952	31.6913	-2.71%	14.28%	33.1169	
76	Discontinued Fund - Pension	ULIF 101 01/07/10 PDiscont 105	June 7, 2013	Non Par	5,188.76	16.9281	16.9281	16.7415	16.6069	16.4501	16.3442	3.57%	4.16%	16.9281	
77	Pension Dynamic P/E Fund	ULIF 098 11/01/10 PDynamicPE 105	January 11, 2010	Non Par	23,473.65	26.2247	26.2247	24.3673	25.5541	25.3988	25.1575	4.24%	7.72%	26.9582	
78	Pension RICH Fund	ULIF 052 17/03/08 PRICH1 105	March 18, 2008	Non Par	46,862.72	42.0356	42.0356	38.0178	42.1151	42.6821	42.9883	-2.22%	13.98%	45.0484	
79	Pension RICH Fund II	ULIF 053 17/03/08 PRICH2 105	March 18, 2008	Non Par	27,818.10	47.0250	47.0250	42.4271	46.8940	47.4135	47.6376	-1.29%	15.03%	49.9490	
80	Pension Flexi Balanced Fund	ULIF 034 20/03/07 PFlexiBal1 105	March 20, 2007	Non Par	3,868.23	35.7389	35.7389	33.5495	36.1847	36.7113	36.8379	-2.98%	9.04%	37.6691	
81	Pension Flexi Balanced Fund II	ULIF 035 20/03/07 PFlexiBal2 105	March 20, 2007	Non Par	4,135.37	43.2263	43.2263	40.3431	43.3662	43.7894	43.7197	-1.13%	10.94%	44.7409	
82	Pension Flexi Growth Fund	ULIF 029 20/03/07 PFlexiGro1 105	March 20, 2007	Non Par	70,000.55	42.3176	42.3176	38.1670	42.8806	43.9899	44.2814	-4.43%	12.49%	46.1873	
83	Pension Flexi Growth Fund II	ULIF 030 20/03/07 PFlexiGro2 105	March 20, 2007	Non Par	54,255.08	49.1585	49.1585	44.2090	49.5947	50.7644	51.0453	-3.70%	13.64%	53.2366	
84	Pension Growth Fund	ULIF 127 01/12/11 PGROWTH 105	January 18, 2013	Non Par	1,038.24	27.0016	27.0016	24.9085	27.3955	27.9128	28.3873	-4.88%	11.63%	29.6681	
85	Pension Income Fund	ULIF 095 11/01/10 PIncome 105	January 11, 2010	Non Par	21,116.23	26.2803	26.2803	25.8856	26.3863	26.2554	26.2550	0.10%	5.51%	26.5900	
86	Pinnacle Fund	ULIF 081 26/10/09 LPinnacle 105	October 26, 2009	Non Par	5.63	21.6817	21.6817	21.9776	21.4975	21.3724	21.2818	2.31%	2.49%	21.6817	
87	Highest NAV Fund B	ULIF 116 15/03/11 LHighNavB 105	March 14, 2011	Non Par	58,028.25	18.9722	18.9722	18.8867	18.8115	18.7438	18.6789	1.57%	2.89%	18.9722	
88	Invest Shield Fund - Pension	ULIF 019 03/01/05 PInvShld 105	January 14, 2005	Non Par	1,813.82	44.3050	44.3050	43.1739	44.5549	44.5746	44.5599	-0.57%	6.32%	45.0480	
89	Pension Balancer Fund	ULIF 005 03/05/02 PBalancer1 105	May 31, 2002	Non Par	24,127.24	69.6707	69.6707	66.8581	70.2772	70.4129	70.6461	-1.38%	8.12%	71.6913	
90	Pension Balancer Fund II	ULIF 015 17/05/04 PBalancer2 105	May 21, 2004	Non Par	25,289.28	60.5736	60.5736	57.9139	60.6323	60.5312	60.5060	0.11%	9.63%	61.9723	
91	Pension Protector Fund	ULIF 006 03/05/02 PProtect1 105	May 31, 2002	Non Par	47,755.02	41.5172	41.5172	40.9385	41.7143	41.6527	41.6090	-0.22%	4.75%	41.9313	
92	Pension Protector Fund II	ULIF 017 17/05/04 PProtect2 105	May 27, 2004	Non Par	20,095.99	40.9894	40.9894	40.3079	41.0397	40.8006	40.6730	0.78%	6.20%	41.4501	
93	Pension Maximiser Fund	ULIF 004 03/05/02 PMaximis1 105	May 31, 2002	Non Par	52,662.34	215.2219	215.2219	196.0518	217.1055	218.3464	220.8664	-2.56%	15.04%	231.2180	
94	Pension Maximiser Fund II	ULIF 013 17/05/04 PMaximis2 105	May 20, 2004	Non Par	86,701.79	138.8177	138.8177	126.1642	139.3945	139.9350	141.2020	-1.69%	16.01%	147.8866	
95	Pension Multi Cap Balanced Fund	ULIF 094 11/01/10 PMcCapBal 105	January 11, 2010	Non Par	5,214.51	32.3363	32.3363	29.4926	28.3663	28.7130	28.7165	-1.32%	9.20%	29.3970	
96	Pension Multi Cap Growth Fund	ULIF 091 11/01/10 PMcCapGro 105	January 11, 2010	Non Par	44,163.11	32.8100	32.8100	32.8100	26.5526	33.0834	33.8392	33.9151	-3.26%	11.51%	35.4420
97	Pension Multiplier Fund	ULIF 043 25/02/08 PMulti1 105	February 25, 2008	Non Par	17,448.61	28.4164	28.4164	26.1075	28.9261	28.9709	29.5222	-3.75%	13.04%	30.8446	
98	Pension Multiplier Fund II	ULIF 045 25/02/08 PMulti2 105	February 25, 2008	Non Par	8,416.60	32.7013	32.7013	29.9851	33.1427	33.1225	33.6627	-2.86%	14.07%	35.1792	
99	Pension Money Market Fund	ULIF 056 11/01/10 PMoneyMkt 105	January 11, 2010	Non Par	6,141.92	23.4323	23.4323	23.2096	23.0291	22.8522	22.6825	3.31%	4.09%	23.4323	
100	Pension Opportunities Fund	ULIF 092 11/01/10 POpport 105	January 11, 2010	Non Par	13,503.61	37.0919	37.0919	33.4969	36.9987	37.3962	37.5537	-1.23%	15.14%	39.3761	
101	Pension Preserver Fund	ULIF 011 17/05/04 PPreserv 105	June 17, 2004	Non Par	13,682.00	35.3030	35.3030	34.9628	34.7134	34.4389	34.1746	3.30%	4.05%	35.3030	
102	Pension Secure Fund	ULIF 128 01/12/11 PSECURE 105	January 18, 2013	Non Par	8,981.71	20.0539	20.0539	19.7476	20.1143	20.0696	20.0543	0.00%	4.91%	20.2635	
103	RICH Fund	ULIF 048 17/03/08 LRICH1 105	March 17, 2008	Non Par	12,673.95	36.6947	36.6947	33.1977	36.7682	37.2589	37.5248	-2.21%	13.93%	39.3234	
104	RICH Fund II	ULIF 049 17/03/08 LRICH2 105	March 17, 2008	Non Par	62,776.91	41.4607	41.4607	37.4090	41.3434	41.8083	42.0186	-1.33%	14.95%	44.0552	
105	RICH Fund III	ULIF 050 17/03/08 LRICH3 105	March 17, 2008	Non Par	2,434.21	36.8259	36.8259	33.3328	36.9140	37.4255	37.7088	-2.34%	13.86%	39.5205	
106	RICH Fund IV	ULIF 051 17/03/08 LRICH4 105	March 17, 2008	Non Par	22,317.05	41.2317	41.2317	37.2301	41.1326	41.5867	41.7953	-1.35%	14.82%	43.8117	
107	Secure Save Builder Fund	ULIF 077 29/05/09 LSSavBuil 105	June 1, 2009	Non Par	133.73	32.2470	32.2470	30.1515	32.4882	32.4179	32.7463	-1.52%	11.73%	33.7755	
108	Secure Save Guarantee Fund	ULIF 076 29/05/09 LSSavGtee 105	May 29, 2009	Non Par	398.81	26.5887	26.5887	25.5269	26.8336	26.8115	26.9318	-1.27%	8.27%	27.3471	
109	Cash Plus Fund	ULIF 008 11/08/03 LCashPlus 105	August 27, 2003	Non Par	9,919.53	38.7573	38.7573	38.1416	38.7085	38.6583	38.6035	0.40%	5.24%	39.1330	
110	Invest Shield Cash Fund	ULIF 020 03/01/05 LInvCash 105	January 6, 2005	Non Par	19,137.78	36.8295	36.8295	36.2342	36.9028	36.8112	36.7355	0.26%	5.03%	37.1979	
111	Secure Plus Fund	ULIF 007 11/08/03 LSecPlus 105	August 22, 2003	Non Par	2,180.31	39.0054	39.0054	37.9871	39.1569	39.1581	39.1597	-0.39%	6.76%	39.6368	
112	Secure Plus Pension Fund	ULIF 009 17/11/03 PSecPlus 105	December 2, 2003	Non Par	1,378.60	35.9645	35.9645	35.0860	36.1141	36.1264	36.1006	-0.38%	6.17%	36.5344	
113	Active Asset Allocation Balanced Fund	ULIF 138 15/02/17 AAABF 105	June 12, 2017	Non Par	386,682.48	14.6794	14.6794	13.8918	14.7130	14.7080	14.7491	-0.47%	10.35%	15.1124	
114	Group Balanced Fund-Superannuation	ULGF 051 03/04/03 GSBLN 105	April 4, 2003	Non Par	72,246.10	55.3208	55.3208	53.9080	55.5486	55.4764	55.4168	-0.17%	6.30%	56.2139	
115	Group Capital Guarantee Short Term Debt Fund-Superannuation	ULGF 055 24/02/04 GSCGSTD 105	February 28, 2004	Non Par	1,026.54	32.3768	32.3768	32.0889	31.8931	31.6847	31.4880	2.82%	3.53%	32.3768	
116	Group Debt Fund-Superannuation	ULGF 052 03/04/03 GSDBT 105	April 4, 2003	Non Par	31,446.33	41.9120	41.9120	41.3615	42.1018	42.0102	41.9213	-0.02%	5.15%	42.3601	
117	Group Growth Fund-Superannuation	ULGF 054 30/10/03 GSGF 105	November 7, 2003	Non Par	49,062.53	94.7215	94.7215	89.2200	94.8580	94.9184	95.3083	-0.62%	11.19%	97.6741	
118	Group Short Term Debt Fund-Superannuation	ULGF 053 03/04/03 GSSTD 105	April 4, 2003	Non Par	12,960.56	33.5267	33.5267	33.2494	33.0599	32.8545	32.6560	2.67%	3.39%	33.5267	
119	Value Enhancer Fund	ULIF 139 24/11/17 VEF 105	July 23, 2018	Non Par	605,621.58	13.7162	13.7162	12.2309	13.7775	14.5422	14.4236	-4.90%	14.55%	15.1250	
120	Secure Opportunities Fund	ULIF 140 24/11/17 SOF 105	July 23, 2018	Non Par	49,567.31	13.0412	13.0412	12.8989	13.0735	13.0046	12.9361	0.81%	5.31%	13.0880	
121	Focus 50 Fund	ULIF 142 04/02/19 FocusFifty 105	March 20, 2019	Non Par	283,298.81	14.0933	14.0933	13.0060	14.4519	14.3169	14.6435	-3.76%	12.66%	15.3418	
122	India Growth Fund	ULIF 141 04/02/19 IndiaGrwth 105	June 17, 2019	Non Par	221,781.82	15.4426	15.4426	14.0765	15.5348	15.6493	15.7341	-1.85%	16.65%	16.4693	
123	Balanced Advantage Fund	ULIF 144 03/06/21 BalanceAdv 105	August 31, 2021	Non Par	100,437.58	9.9773	9.9773	9.2376	10.0618	10.2490	10.2313	-2.48%	NA	10.5746	
124	Sustainable Equity Fund	ULIF 145 03/06/21 SustainEqu 105	October 29, 2021	Non Par	38,278.56	9.4074	9.4074	8.5280	9.5530	9.9199	NA	NA	NA	10.2225	
125	Midcap Fund	ULIF 146 28/06/22 MidCapFund 105	September 23, 2022	Non Par	15,378.24	10.1774	10.1774	NA	NA	NA	NA	NA	NA	10.1774	
<b>Total</b>					<b>14,764,969.14</b>										

Note

- \* NAV reflects the published NAV on the reporting date
- \*\* Absolute return for the period of 1 year
- NA is upto 4 decimal
- NA refers to 'Not Applicable' for funds that have not completed the relevant period under consideration or funds not in existence at relevant date

**PERIODIC DISCLOSURES**

FORM L-29-Detail regarding debt securities

Insurer: ICICI Prudential Life Insurance Company Limited

Date: September 30, 2022

(₹ in Lakhs)

Details regarding debt securities- Non-ULIP								
	Market value				Book value			
	As at September 30, 2022	as % of total for this class	As at September 30, 2021	as % of total for this class	As at September 30, 2022	as % of total for this class	As at September 30, 2021	as % of total for this class
<b>Break down by credit rating</b>								
AAA rated <sup>4</sup>	7,750,875	97.73%	6,754,974	97.38%	8,029,401	97.84%	6,617,412	97.45%
AA or better	164,344	2.07%	167,437	2.41%	161,763	1.97%	159,460	2.35%
Rated below AA but above A (A or better)	15,481	0.20%	14,435	0.21%	15,498	0.19%	13,959	0.21%
Rated below A but above B	-	0.00%	-	0.00%	-	0.00%	-	0.00%
Any other	-	0.00%	-	0.00%	-	0.00%	-	0.00%
<b>Total</b>	<b>7,930,700</b>	<b>100.00%</b>	<b>6,936,846</b>	<b>100.00%</b>	<b>8,206,661</b>	<b>100.00%</b>	<b>6,790,831</b>	<b>100.00%</b>
<b>Break down by residual maturity</b>								
Upto 1 year	671,615	8.47%	373,118	5.38%	666,715	8.12%	371,909	5.48%
more than 1 year and upto 3 years	332,373	4.19%	324,277	4.67%	334,657	4.08%	311,020	4.58%
More than 3 years and up to 7 years	1,085,542	13.69%	709,955	10.23%	1,100,303	13.41%	680,510	10.02%
More than 7 years and up to 10 years	1,521,683	19.19%	1,261,321	18.18%	1,565,874	19.08%	1,218,267	17.94%
More than 10 years and up to 15 years	1,482,795	18.70%	1,385,577	19.97%	1,527,738	18.62%	1,344,349	19.80%
More than 15 years and up to 20 years	292,161	3.68%	271,522	3.91%	303,113	3.69%	267,194	3.93%
Above 20 years	2,544,532	32.08%	2,611,076	37.64%	2,708,261	33.00%	2,597,582	38.25%
<b>Total</b>	<b>7,930,700</b>	<b>100.00%</b>	<b>6,936,846</b>	<b>100.00%</b>	<b>8,206,661</b>	<b>100.00%</b>	<b>6,790,831</b>	<b>100.00%</b>
<b>Breakdown by type of the issuer</b>								
a. Central Government <sup>5</sup>	5,611,429	70.76%	4,993,585	71.99%	5,857,831	71.38%	4,931,932	72.63%
b. State Government	510,922	6.44%	305,182	4.40%	519,325	6.33%	295,418	4.35%
c. Corporate Securities	1,808,349	22.80%	1,638,079	23.61%	1,829,505	22.29%	1,563,481	23.02%
<b>Total</b>	<b>7,930,700</b>	<b>100.00%</b>	<b>6,936,846</b>	<b>100.00%</b>	<b>8,206,661</b>	<b>100.00%</b>	<b>6,790,831</b>	<b>100.00%</b>

**Note**

1. In case of a debt instrument is rated by more than one agency, then the lowest rating will be taken for the purpose of classification.
2. The detail of ULIP and Non-ULIP will be given separately.
3. Market value of the securities will be in accordance with the valuation method specified by the Authority under Accounting/ Investment regulations.
4. All sovereign instruments and AAA equivalent rated instruments are disclosed under this category.
5. Includes T-Bill, investments fully guaranteed by Central Government and Triparty Repo.

**PERIODIC DISCLOSURES**

FORM L-29-Detail regarding debt securities

Insurer: ICICI Prudential Life Insurance Company Limited

Date: September 30, 2022

(₹ in Lakhs)

Details regarding debt securities- ULIP								
	Market value				Book value			
	As at September 30, 2022	as % of total for this class	As at September 30, 2021	as % of total for this class	As at September 30, 2022	as % of total for this class	As at September 30, 2021	as % of total for this class
<b>Break down by credit rating</b>								
AAA rated <sup>4</sup>	4,366,012	96.95%	4,954,912	96.92%	4,366,012	96.95%	4,954,912	96.92%
AA or better	118,621	2.63%	131,381	2.57%	118,621	2.63%	131,381	2.57%
Rated below AA but above A (A or better)	18,659	0.41%	26,087	0.51%	18,659	0.41%	26,087	0.51%
Rated below A but above B	-	0.00%	-	0.00%	-	0.00%	-	0.00%
Any other	-	0.00%	-	0.00%	-	0.00%	-	0.00%
<b>Total</b>	<b>4,503,292</b>	<b>100.00%</b>	<b>5,112,381</b>	<b>100.00%</b>	<b>4,503,292</b>	<b>100.00%</b>	<b>5,112,381</b>	<b>100.00%</b>
<b>Break down by residual maturity</b>								
Upto 1 year	2,097,545	46.58%	1,703,567	33.32%	2,097,545	46.58%	1,703,567	33.32%
more than 1 year and upto 3 years	524,348	11.64%	910,465	17.81%	524,348	11.64%	910,465	17.81%
More than 3 years and up to 7 years	964,480	21.42%	782,488	15.31%	964,480	21.42%	782,488	15.31%
More than 7 years and up to 10 years	770,059	17.10%	1,299,877	25.43%	770,059	17.10%	1,299,877	25.43%
More than 10 years and up to 15 years	105,384	2.34%	382,362	7.48%	105,384	2.34%	382,362	7.48%
More than 15 years and up to 20 years	-	0.00%	-	0.00%	-	0.00%	-	0.00%
Above 20 years	41,476	0.92%	33,623	0.66%	41,476	0.92%	33,623	0.66%
<b>Total</b>	<b>4,503,292</b>	<b>100.00%</b>	<b>5,112,381</b>	<b>100.00%</b>	<b>4,503,292</b>	<b>100.00%</b>	<b>5,112,381</b>	<b>100.00%</b>
<b>Breakdown by type of the issuer</b>								
a. Central Government <sup>5</sup>	2,344,143	52.05%	2,956,312	57.83%	2,344,143	52.05%	2,956,312	57.83%
b. State Government	96,065	2.13%	392,595	7.68%	96,065	2.13%	392,595	7.68%
c. Corporate Securities	2,063,083	45.81%	1,763,474	34.49%	2,063,083	45.81%	1,763,474	34.49%
<b>Total</b>	<b>4,503,292</b>	<b>100.00%</b>	<b>5,112,381</b>	<b>100.00%</b>	<b>4,503,292</b>	<b>100.00%</b>	<b>5,112,381</b>	<b>100.00%</b>

**Note**

1. In case of a debt instrument is rated by more than one agency, then the lowest rating will be taken for the purpose of classification.
2. The detail of ULIP and Non-ULIP will be given separately.
3. Market value of the securities will be in accordance with the valuation method specified by the Authority under Accounting/ Investment regulations.
4. All sovereign instruments and AAA equivalent rated instruments are disclosed under this category.
5. Includes T-Bill, investments fully guaranteed by Central Government and Triparty Repo.

## PART-A Related Party Transactions

Sr.No.	Name of the Related Party	Nature of Relationship with the Company	Description of Transactions / Categories	Consideration paid / received (Rs. in Lakhs)			
				For the Quarter	Upto the Quarter	For the Corresponding Quarter of the Previous Year	Up to the Quarter of the Previous Year
1	ICICI Bank Limited	Holding Company	Premium Income	16,570	36,237	18,755	40,633
2	ICICI Bank Limited	Holding Company	Benefits paid	(1,300)	(5,643)	(11,016)	(28,928)
3	ICICI Bank Limited	Holding Company	Establishment & Other Expenditure	(11,495)	(21,725)	(17,587)	(29,184)
4	ICICI Bank Limited	Holding Company	Purchase of Securities	(40,105)	(49,663)	(29,616)	(29,616)
5	ICICI Bank Limited	Holding Company	Sale of Securities	-	-	6,252	6,252
6	ICICI Bank Limited	Holding Company	Dividend Paid	-	(4,057)	-	(14,752)
7	ICICI Bank Limited	Holding Company	Sale of Fixed Assets	0	2	-	-
8	ICICI Securities Limited	Fellow subsidiary	Premium Income	1	1	1	1
9	ICICI Securities Limited	Fellow subsidiary	Establishment & Other Expenditure	(1,744)	(2,846)	(1,590)	(2,452)
10	ICICI Securities Limited	Fellow subsidiary	Dividend Paid	-	(0)	-	(0)
11	ICICI Venture Funds Management Company Limited	Fellow subsidiary	Premium Income	-	7	8	8
12	ICICI Venture Funds Management Company Limited	Fellow subsidiary	Establishment & Other Expenditure	0	1	0	24
13	ICICI Venture Funds Management Company Limited	Fellow subsidiary	Sale of Fixed Assets	-	2	-	-
14	ICICI Home Finance Company Limited	Fellow subsidiary	Premium Income	4,275	7,132	2,406	3,446
15	ICICI Home Finance Company Limited	Fellow subsidiary	Benefits paid	(198)	(740)	(513)	(1,296)
16	ICICI Home Finance Company Limited	Fellow subsidiary	Establishment & Other Expenditure	(242)	(398)	(142)	(223)
17	ICICI Securities Primary Dealership Limited	Fellow subsidiary	Premium Income	5	5	8	8
18	ICICI Securities Primary Dealership Limited	Fellow subsidiary	Purchase of Securities	(117,800)	(127,456)	(5,536)	(127,554)
19	ICICI Securities Primary Dealership Limited	Fellow subsidiary	Sale of Securities	33,574	39,507	6,230	11,644
20	ICICI Securities Primary Dealership Limited	Fellow subsidiary	Interest Income	58	115	144	286
21	ICICI Investment Management Company Limited	Fellow subsidiary	Premium Income	-	-	-	0
22	ICICI Prudential Asset Management Company Limited	Fellow subsidiary	Premium Income	118	161	243	239
23	ICICI Prudential Asset Management Company Limited	Fellow subsidiary	Benefits paid	(72)	(112)	(116)	(157)
24	ICICI Prudential Pension Funds Management Company Limited	Subsidiary	Premium Income	(2)	2	-	-
25	ICICI Prudential Pension Funds Management Company Limited	Subsidiary	Share capital subscribed	-	-	(2,100)	(2,100)
26	ICICI Prudential Pension Funds Management Company Limited	Subsidiary	Establishment & Other Expenditure	48	95	121	310
27	Prudential Corporation Holdings Limited	Substantial Interest	Establishment & Other Expenditure	(14)	(14)	-	-
28	Prudential Corporation Holdings Limited	Substantial Interest	Dividend Paid	-	(1,746)	-	(6,350)
29	ICICI Prudential Life Insurance Company Limited Employees' Group Gratuity Cum Life Insurance Scheme	Entities over which control is exercised	Premium Income	1,520	1,577	1,010	1,033
30	ICICI Prudential Life Insurance Company Limited Employees' Group Gratuity Cum Life Insurance Scheme	Entities over which control is exercised	Benefits paid	(609)	(998)	(50)	(950)
31	ICICI Prudential Life Insurance Company Limited Employees' Group Gratuity Cum Life Insurance Scheme	Entities over which control is exercised	Establishment & Other Expenditure	579	(920)	410	(913)
32	ICICI Prudential Life Insurance Company Limited Superannuation Scheme	Entities over which control is exercised	Premium Income	36	56	27	54
33	ICICI Prudential Life Insurance Company Limited Superannuation Scheme	Entities over which control is exercised	Benefits paid	(18)	(18)	-	(24)
34	ICICI Prudential Life Insurance Company Limited Superannuation Scheme	Entities over which control is exercised	Establishment & Other Expenditure	(28)	(56)	(28)	(55)
35	ICICI Prudential Life Insurance Company Limited Employees' Provident Fund	Entities over which control is exercised	Establishment & Other Expenditure	(772)	(1,438)	(545)	(1,066)
36	ICICI Foundation for Inclusive Growth	Entities controlled by holding company	Premium Income	2	2	-	(0)
37	ICICI Foundation for Inclusive Growth	Entities controlled by holding company	Establishment & Other Expenditure	(87)	(87)	(83)	(83)
38	Key management personnel	Key management personnel	Premium Income	-	-	-	0
39	Key management personnel	Key management personnel	Establishment & Other Expenditure	(199)	(595)	(153)	(536)
40	Key management personnel	Key management personnel	Dividend Paid	-	(1)	-	(4)
41	Key management personnel	Key management personnel	Employee Stock Options exercised (In no's)	-	-	71,900	116,900
42	Key management personnel	Relatives of key management personnel	Premium Income	-	-	-	1

0 in the above table denotes amount less than Rs 50,000.

**PART-B Related Party Transaction Balances - As at the end of the Quarter September 30,2022**

Sr.No.	Name of the Related Party	Nature of Relationship with the Company	Nature of Outstanding Balances	Whether Payable / Receivable	Amount of Outstanding Balances including Commitments (Rs. in Lakhs)	Whether Secured? If so, Nature of consideration to be provided at the time of settlement	Details of any Guarantees given or received	Balance under Provision for doubtful debts relating to the outstanding balance receivable (Rs. in Lakhs)	Expenses recognised up to the quarter end during the year in respect of bad or doubtful debts due from the related party (Rs. in Lakhs)
1	ICICI Bank Limited	Holding Company	Premium Income	Payable	(4,978)	No	NA	-	-
2	ICICI Bank Limited	Holding Company	Benefits paid	Payable	(1,579)	No	NA	-	-
3	ICICI Bank Limited	Holding Company	Establishment & Other Expenditure	Payable	(3,538)	No	NA	-	-
4	ICICI Bank Limited	Holding Company	Establishment & Other Expenditure	Receivable	4	No	NA	-	-
5	ICICI Bank Limited	Holding Company	Cash and Bank Balances	Receivable	43,111	No	NA	-	-
6	ICICI Bank Limited	Holding Company	Sale of Fixed Assets	Receivable	0	No	NA	-	-
7	ICICI Securities Limited	Fellow subsidiary	Premium Income	Payable	(2)	No	NA	-	-
8	ICICI Securities Limited	Fellow subsidiary	Establishment & Other Expenditure	Payable	(451)	No	NA	-	-
9	ICICI Securities Limited	Fellow subsidiary	Establishment & Other Expenditure	Receivable	6	No	NA	-	-
10	ICICI Venture Funds Management Company Limited	Fellow subsidiary	Premium Income	Payable	(11)	No	NA	-	-
11	ICICI Venture Funds Management Company Limited	Fellow subsidiary	Establishment & Other Expenditure	Receivable	1	No	NA	-	-
12	ICICI Home Finance Company Limited	Fellow subsidiary	Premium Income	Payable	(805)	No	NA	-	-
13	ICICI Home Finance Company Limited	Fellow subsidiary	Benefits paid	Payable	(549)	No	NA	-	-
14	ICICI Home Finance Company Limited	Fellow subsidiary	Establishment & Other Expenditure	Payable	(83)	No	NA	-	-
15	ICICI Securities Primary Dealership Limited	Fellow subsidiary	Premium Income	Payable	(3)	No	NA	-	-
16	ICICI Securities Primary Dealership Limited	Fellow subsidiary	Interest Income	Receivable	100	No	NA	-	-
17	ICICI Securities Primary Dealership Limited	Fellow subsidiary	Outstanding investments	Receivable	2,525	No	NA	-	-
18	ICICI Investment Management Company Limited	Fellow subsidiary	Premium Income	Payable	(0)	No	NA	-	-
19	ICICI Prudential Asset Management Company Limited	Fellow subsidiary	Premium Income	Payable	(467)	No	NA	-	-
20	ICICI Prudential Asset Management Company Limited	Fellow subsidiary	Benefits paid	Payable	(3)	No	NA	-	-
21	ICICI Prudential Pension Funds Management Company Limited	Subsidiary	Premium Income	Payable	(2)	No	NA	-	-
22	ICICI Prudential Pension Funds Management Company Limited	Subsidiary	Establishment & Other Expenditure	Receivable	53	No	NA	-	-
23	Prudential Corporation Holdings Limited	Substantial Interest	Establishment & Other Expenditure	Payable	(94)	No	NA	-	-
24	ICICI Prudential Life Insurance Company Limited Employees' Group Gratuity Cum Life Insurance Scheme	Entities over which control is exercised	Premium Income	Payable	(89)	No	NA	-	-
25	ICICI Prudential Life Insurance Company Limited Employees' Group Gratuity Cum Life Insurance Scheme	Entities over which control is exercised	Benefits paid	Payable	(70)	No	NA	-	-
26	ICICI Prudential Life Insurance Company Limited Employees' Group Gratuity Cum Life Insurance Scheme	Entities over which control is exercised	Establishment & Other Expenditure	Receivable	582	No	NA	-	-
27	ICICI Prudential Life Insurance Company Limited Superannuation Scheme	Entities over which control is exercised	Premium Income	Payable	(9)	No	NA	-	-
28	ICICI Prudential Life Insurance Company Limited Employees' Provident Fund	Entities over which control is exercised	Establishment & Other Expenditure	Payable	(742)	No	NA	-	-
29	ICICI Foundation for Inclusive Growth	Entities controlled by holding company	Premium Income	Payable	(1)	No	NA	-	-
30	Key management personnel	Key management personnel	ESOP Outstanding (In no's)	Payable	2,619,000	No	NA	-	-

\*0 in the above table denotes amount less than Rs 50,000.

## Board of Directors

Sl. No.	Name of the Person	Designation /Role/Function	Details of change in the period if any
1	Mr. M. S. Ramachandran	Chairman (Non-Executive Independent Director)	NIL
2	Mr. Dilip Kamik	Non-Executive Independent Director	NIL
3	Mr. R. K. Noir	Non-Executive Independent Director	NIL
4	Mr. Dileep Choksi	Non-Executive Independent Director	NIL
5	Ms. Vibha Paul Rishi	Non-Executive Independent Director	NIL
6	Mr. Anup Bagchi	Non-Executive Director	NIL
7	Mr. Sandeep Batra	Non-Executive Director	NIL
8	Mr. Benjamin James Bulmer	Non-Executive Director	Mr. Wilfred John Blackburn resigned with effect from July 27, 2022 and Mr. Benjamin James Bulmer was appointed as non-executive (Additional) Director with effect from July 27, 2022
9	Mr. N. S. Kannan	Managing Director & CEO	NIL

## Key Management Persons

Sl. No.	Name of the Person	Designation	Role/Function	Details of change in the period if any
1	Mr. N. S. Kannan	Managing Director & CEO	Managing Director & CEO	Nil
2	Mr. Judhajit Das	Chief Human Resources	Human Resources, Customer Service & Operations, Administration & IT Infrastructure, CSR	Nil
3	Mr. Amit Palta	Chief Distribution Officer	Sales & Distribution, Products, Marketing & e-Commerce	Nil
4	Mr. Satyan Jambunathan	Chief Financial Officer	Finance, Secretarial, Actuarial <sup>1</sup> , Technology and Corporate Communications	Nil
5	Mr. Deepak Kinger	Chief Risk & Compliance Officer	Risk, Compliance, Legal, Taxation and Audit <sup>2</sup>	Nil
6	Mr. Manish Kumar	Chief Investments Officer	Investments	Nil
7	Mr. Souvik Jash	Appointed Actuary	Actuarial	Nil
8	Ms. Sonali Chandak	Company Secretary	Secretarial	Nil

<sup>1</sup> Appointed Actuary reports to the MD & CEO for role of Appointed Actuary<sup>2</sup> Head - Internal Audit reports to the Audit Committee of the Board and Chief Risk & Compliance Officer for day-to-day administration

## Note:

- a) "Key Management Person" as defined under Annexure 4 (Guidelines on appointment and reporting of Key Management Persons) of Corporate Governance Guidelines for Insurers in India 2016  
b) In case of directors, designation to include "Independent Director / Non-executive Director / Executive Director / Managing Director/Chairman"

(See Regulation 4)

Insurance Regulatory and Development Authority of India (Actuarial Report and Abstract) Regulations, 2016

Available Solvency Margin and Solvency Ratio

September 30, 2022

<b>Name of Insurer: ICICI Prudential Life Insurance Company Ltd.</b>	<b>Form Code : 015</b>
<b>Classification : Total Business</b>	<b>Registration Number : 11-127837</b>
<b>Date of Registration : 11/24/2000</b>	<b>Classification Code : BT</b>
	<b>Company Code : 0111</b>

(₹ lakhs)

Item No.	Description	Notes No...	Adjusted Value
(1)	(2)	(3)	(4)
01	Available Assets in Policyholders' Fund	1	2,34,19,581
	Deduct:		
02	Mathematical Reserves	2	2,28,43,455
03	Other Liabilities	3	4,28,027
04	<b>Excess in Policyholders' funds(01-02-03)</b>		<b>1,48,099</b>
05	Available Assets in Shareholders Fund:	4	10,04,862
	Deduct:		
06	Other Liabilities of shareholders' fund	3	7,043
07	<b>Excess in Shareholders' funds(05-06)</b>	-	<b>9,97,819</b>
08	Total ASM (04) + (07)	-	11,45,918
09	Total RSM	-	5,70,970
10	Solvency Ratio (ASM / RSM)	-	200.7%

Notes

- Item No. 01 shall be the amount of the Total Admissible assets for Solvency as mentioned in Form IRDAI-Assets- AA under Policyholders A/C;
- Item No. 02 shall be the amount of Mathematical Reserves as mentioned in Form H;
- Item Nos. 03 and 06 shall be the amount of other liabilities as mentioned in the Balance Sheet;
- Items No. 05 shall be the amount of the Total Admissible assets for Solvency as mentioned in Form IRDAI-Assets- AA under Shareholders A/C;



## FORM L-33-NPAs

Name of Fund: Life fund

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration No.: 105

Statement as on: September 30, 2022

Details Of Non-Performing Assets

Periodicity of Submission: Quarterly

No	Particulars	₹ Lakhs									
		Bonds / Debentures		Loans		Other Debt Instruments		All Other Assets <sup>a</sup>		Total	
		YTD (As on September 30, 2022)	Prev. FY (As on March 31, 2022)	YTD (As on September 30, 2022)	Prev. FY (As on March 31, 2022)	YTD (As on September 30, 2022)	Prev. FY (As on March 31, 2022)	YTD (As on September 30, 2022)	Prev. FY (As on March 31, 2022)	YTD (As on September 30, 2022)	Prev. FY (As on March 31, 2022)
1	Investments Assets (As per form 5)	1,391,199.52	1,304,908.08	109,207.80	93,857.86	478,957.76	407,636.44	5,755,697.49	5,439,742.16	7,735,062.57	7,246,144.55
2	Gross NPA	-	-	-	-	-	-	-	-	-	-
3	% of Gross NPA on Investment Assets (2/1)	-	-	-	-	-	-	-	-	-	-
4	Provision made on NPA	-	-	-	-	-	-	-	-	-	-
5	Provision as a % of NPA (4/2)	-	-	-	-	-	-	-	-	-	-
6	Provision on Standard Assets	-	-	-	-	-	-	-	-	-	-
7	Net Investment Assets (1-4)	1,391,199.52	1,304,908.08	109,207.80	93,857.86	478,957.76	407,636.44	5,755,697.49	5,439,742.16	7,735,062.57	7,246,144.55
8	Net NPA (2-4)	-	-	-	-	-	-	-	-	-	-
9	% of Net NPA to Net Investment Assets (8/7)	-	-	-	-	-	-	-	-	-	-
10	Write off made during the period	-	-	-	-	-	-	-	-	-	-

**Note:**

1. The above statement, in the case of 'Life' Insurers is prepared 'fund-wise' Viz. Life Fund, Pension & Group Fund, ULIP Fund and at Assets Under Management level also.
2. Total Investment Assets reconciles with figures shown in Form 3A / 3B
3. Gross NPA is investments classified as NPA, before any provisions
4. Provision made on the 'Standard Assets' shall be as per Circular issued, as amended from time to time.
5. Net Investment assets is net of 'provisions'
6. Net NPA is gross NPAs less provisions
7. Write off as approved by the Board
8. All other Assets includes Central Government Securities, State Government Securities and Other Approved Securities.

## FORM L-33-NPAs

Name of Fund: Pension fund

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration No.: 105

Statement as on: September 30, 2022

Details Of Non-Performing Assets

Periodicity of Submission: Quarterly

No	Particulars	₹ Lakhs									
		Bonds / Debentures		Loans		Other Debt Instruments		All Other Assets <sup>8</sup>		Total	
		YTD (As on September 30, 2022)	Prev. FY (As on March 31, 2022)	YTD (As on September 30, 2022)	Prev. FY (As on March 31, 2022)	YTD (As on September 30, 2022)	Prev. FY (As on March 31, 2022)	YTD (As on September 30, 2022)	Prev. FY (As on March 31, 2022)	YTD (As on September 30, 2022)	Prev. FY (As on March 31, 2022)
1	Investments Assets (As per form 5)	311,098.22	294,399.88	244.42	154.47	36,864.53	26,573.31	1,087,667.51	940,261.47	1,435,874.68	1,261,389.13
2	Gross NPA	-	-	-	-	-	-	-	-	-	-
3	% of Gross NPA on Investment Assets (2/1)	-	-	-	-	-	-	-	-	-	-
4	Provision made on NPA	-	-	-	-	-	-	-	-	-	-
5	Provision as a % of NPA (4/2)	-	-	-	-	-	-	-	-	-	-
6	Provision on Standard Assets	-	-	-	-	-	-	-	-	-	-
7	Net Investment Assets (1-4)	311,098.22	294,399.88	244.42	154.47	36,864.53	26,573.31	1,087,667.51	940,261.47	1,435,874.68	1,261,389.13
8	Net NPA (2-4)	-	-	-	-	-	-	-	-	-	-
9	% of Net NPA to Net Investment Assets (8/7)	-	-	-	-	-	-	-	-	-	-
10	Write off made during the period	-	-	-	-	-	-	-	-	-	-

**Note:**

- The above statement, in the case of 'Life' Insurers is prepared 'fund-wise' Viz. Life Fund, Pension & Group Fund, ULIP Fund and at Assets Under Management level also.
- Total Investment Assets reconciles with figures shown in Form 3A / 3B
- Gross NPA is investments classified as NPA, before any provisions
- Provision made on the 'Standard Assets' shall be as per Circular issued, as amended from time to time.
- Net Investment assets is net of 'provisions'
- Net NPA is gross NPAs less provisions
- Write off as approved by the Board
- All other Assets includes Central Government Securities, State Government Securities and Other Approved Securities.

## FORM L-33-NPAs

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration No.: 105

Statement as on: September 30, 2022

Details Of Non-Performing Assets

Periodicity of Submission: Quarterly

Name of Fund: Linked fund

No	Particulars	₹ Lakhs									
		Bonds / Debentures		Loans		Other Debt Instruments		All Other Assets <sup>8</sup>		Total	
		YTD (As on September 30, 2022)	Prev. FY (As on March 31, 2022)	YTD (As on September 30, 2022)	Prev. FY (As on March 31, 2022)	YTD (As on September 30, 2022)	Prev. FY (As on March 31, 2022)	YTD (As on September 30, 2022)	Prev. FY (As on March 31, 2022)	YTD (As on September 30, 2022)	Prev. FY (As on March 31, 2022)
1	Investments Assets (As per form 5)	1,356,211.21	1,360,728.04	-	-	1,268,738.92	1,089,093.46	12,140,019.01	12,636,808.71	14,764,969.14	15,086,630.21
2	Gross NPA	-	-	-	-	-	-	-	-	-	-
3	% of Gross NPA on Investment Assets (2/1)	-	-	-	-	-	-	-	-	-	-
4	Provision made on NPA	-	-	-	-	-	-	-	-	-	-
5	Provision as a % of NPA (4/2)	-	-	-	-	-	-	-	-	-	-
6	Provision on Standard Assets	-	-	-	-	-	-	-	-	-	-
7	Net Investment Assets (1-4)	1,356,211.21	1,360,728.04	-	-	1,268,738.92	1,089,093.46	12,140,019.01	12,636,808.71	14,764,969.14	15,086,630.21
8	Net NPA (2-4)	-	-	-	-	-	-	-	-	-	-
9	% of Net NPA to Net Investment Assets (8/7)	-	-	-	-	-	-	-	-	-	-
10	Write off made during the period	-	-	-	-	-	-	-	-	-	-

**Note:**

- The above statement, in the case of 'Life' Insurers is prepared 'fund-wise' Viz. Life Fund, Pension & Group Fund, ULIP Fund and at Assets Under Management level also.
- Total Investment Assets reconciles with figures shown in Form 3A / 3B
- Gross NPA is investments classified as NPA, before any provisions
- Provision made on the 'Standard Assets' shall be as per Circular issued, as amended from time to time.
- Net Investment assets is net of 'provisions'
- Net NPA is gross NPAs less provisions
- Write off as approved by the Board
- All other Assets includes Central Government Securities, State Government Securities and Other Approved Securities.

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) <sup>3</sup>					
			Investment (₹) <sup>1</sup>	Income on Investment (₹)	Gross Yield (%) <sup>1,6</sup>	Net Yield (%) <sup>2,6</sup>	Investment (₹) <sup>1</sup>	Income on Investment (₹)	Gross Yield (%) <sup>1,6</sup>	Net Yield (%) <sup>2,6</sup>	Investment (₹) <sup>1</sup>	Income on Investment (₹)	Gross Yield (%) <sup>1,6</sup>	Net Yield (%) <sup>2,6</sup>		
<b>1</b>	<b>Government Securities</b>															
	Central Government Bonds	CGSB	4,163,071.45	70,883.86	6.93%	6.93%	4,100,269.27	131,222.20	6.48%	6.48%	3,698,701.04	142,122.62	7.81%	7.81%		
	Treasury Bills	CTRB	-	-	-	-	-	-	-	-	1,946.30	35.79	3.70%	3.70%		
<b>2</b>	<b>Government Securities / Other Approved Securities</b>															
	State Government Bonds / Development Loans	SGGB	391,805.24	7,119.00	7.41%	7.41%	377,067.01	13,554.29	7.30%	7.30%	229,057.49	9,471.76	8.42%	8.42%		
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	135,318.48	2,457.04	7.40%	7.40%	135,043.67	4,875.88	7.33%	7.33%	89,248.85	3,183.31	7.24%	7.24%		
<b>3</b>	<b>Investment Subject to Exposure Norms</b>															
	<b>(a) Housing and Loan to State Govt for Housing</b>															
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	326,928.81	5,387.46	6.70%	6.70%	326,378.81	10,629.91	6.60%	6.60%	221,066.01	9,326.00	8.59%	8.59%		
	Long term Bank Bonds Approved Investments- Affordable Housing	HLBH	109,036.64	2,001.21	7.48%	7.48%	109,036.98	3,949.30	7.35%	7.35%	48,358.31	2,412.37	10.20%	10.20%		
	<b>(b) Infrastructure Investments</b>															
	Infrastructure - Other Approved Securities	ISAS	14,653.66	173.55	4.78%	4.78%	14,568.32	343.20	4.75%	4.75%	-	-	-	-		
	Infrastructure - PSU - Equity shares - Quoted	ITPE	3,101.71	147.79	20.28%	20.28%	3,139.21	172.17	11.24%	11.24%	3,287.56	109.09	6.73%	6.73%		
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	50,852.22	1,093.87	8.81%	8.81%	51,045.10	1,608.56	6.38%	6.38%	34,347.11	1,271.59	7.52%	7.52%		
	Infrastructure - PSU - Debentures / Bonds	IPTD	625,238.70	11,654.95	7.60%	7.60%	620,355.59	22,588.94	7.39%	7.39%	643,134.49	26,581.19	8.41%	8.41%		
	Infrastructure - Other Corporate Securities - Debentures / Bonds	ICTD	60,298.27	1,142.04	7.73%	7.73%	51,601.95	1,992.36	7.85%	7.85%	33,256.44	2,219.49	13.75%	13.75%		
	Infrastructure - PSU - Debentures / Bonds	IPFD	21,270.37	439.61	8.45%	8.45%	21,271.39	866.44	8.29%	8.29%	21,287.01	867.02	8.29%	8.29%		
	Infrastructure - Debentures/Bonds/CPs/Loans	IODS	-	-	-	-	-	-	-	-	1,999.66	47.31	9.72%	9.72%		
	<b>(c) Approved Investments</b>															
	PSU - Equity shares - Quoted	EAEQ	6,521.95	125.97	7.89%	7.89%	5,368.50	126.95	4.77%	4.77%	915.87	89.32	20.39%	20.39%		
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	519,601.31	24,633.78	20.17%	20.17%	521,403.38	39,149.38	15.53%	15.53%	465,994.98	77,112.46	35.72%	35.72%		
	Corporate Securities - Preference Shares	EPNQ	-	-	-	-	-	-	-	-	902.41	58.69	13.39%	13.39%		
	Corporate Securities - Debentures	ECOS	174,120.92	3,684.32	8.66%	8.66%	174,457.31	7,388.37	8.57%	8.57%	155,830.87	8,768.02	11.54%	11.54%		
	Investment properties - Immovable	EINP	39,967.85	1,008.17	10.39%	10.39%	38,709.70	2,016.34	10.66%	10.66%	37,437.74	1,529.13	8.31%	8.31%		
	Loans - Policy Loans	ELPL	103,059.28	2,347.83	9.35%	9.35%	99,509.20	4,403.67	9.02%	9.02%	70,941.07	2,681.67	7.68%	7.68%		
	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	78,725.73	1,887.08	9.85%	9.85%	75,673.70	3,586.07	9.67%	9.67%	59,410.32	2,709.68	9.30%	9.30%		
	Deposits - CDs with Scheduled Banks	EDCD	9,416.60	3.60	7.22%	7.22%	9,416.60	3.60	7.22%	7.22%	-	-	-	-		
	Deposits - Repo / Reverse Repo	ECMR	484,793.23	6,251.45	5.21%	5.21%	443,094.40	10,336.15	4.71%	4.71%	318,139.86	5,090.61	3.22%	3.22%		
	Commercial Papers issued by a Company or All India Financial Institutions	ECCP	-	-	-	-	24,997.36	7.93	3.94%	3.94%	-	-	-	-		
	Mutual Funds - (under Insurer's Promoter Group)	EMPG	16,196.15	-	0.00%	0.00%	13,115.25	-	0.00%	0.00%	10,000.50	-	0.00%	0.00%		
	Corporate Securities - Investment in Subsidiaries	ECIS	6,000.00	-	0.00%	0.00%	6,000.00	-	0.00%	0.00%	3,934.43	-	0.00%	0.00%		
	Debt Capital Instruments (DCI-Basel III)	EDCI	24,190.13	380.62	6.39%	6.39%	24,492.67	790.85	6.54%	6.54%	5,052.34	157.72	6.32%	6.32%		
	<b>(d) Other Investments</b>															
	Bonds - PSU - Taxable	OBPT	1,992.06	52.04	10.77%	10.77%	1,990.07	102.26	10.51%	10.51%	1,975.09	101.48	10.51%	10.51%		
	Equity Shares (incl Co-op Societies)	OESH	142,702.79	2,346.10	6.68%	6.68%	140,238.91	6,855.28	9.99%	9.99%	142,319.52	23,737.28	36.02%	36.02%		
	Passively Managed Equity ETF (Promoter Group)	OETP	35,115.77	(88.79)	-1.00%	-1.00%	41,909.11	92.05	0.44%	0.44%	37,276.05	-	0.00%	0.00%		
	Passively Managed Equity ETF (Non Promoter Group)	OETF	11,972.43	-	0.00%	0.00%	11,793.26	-	0.00%	0.00%	13,950.80	-	0.00%	0.00%		
	Debentures	OLDB	-	-	-	-	-	-	-	-	5,788.37	299.45	10.58%	10.58%		
	Securitized Assets (underlying assets Housing Loan / Infrastructure assets)	OPSA	-	-	-	-	-	-	-	-	-	22.92	0.00%	0.00%		
	Equity Shares (PSUs and Unlisted)	OEPU	25,187.64	5,728.66	125.47%	125.47%	26,771.16	6,093.03	50.53%	50.53%	32,230.68	-	0.00%	0.00%		
	Reclassified approved investments - Debt	ORAD	3,508.77	73.21	8.54%	8.54%	3,514.78	144.25	8.35%	8.35%	6,064.56	258.45	8.68%	8.68%		
	SEBI approved Alternate Investment Fund (Category II)	OAFB	192.81	4.59	9.78%	9.78%	193.37	7.54	7.92%	7.92%	226.59	-	0.00%	0.00%		
	Preference Shares	OPSH	3,500.00	-	0.00%	0.00%	3,500.00	280.00	16.59%	16.59%	3,500.00	696.11	43.59%	43.59%		
	Reclassified approved investments - Equity	ORAE	12,250.24	33.88	1.10%	1.10%	12,438.24	48.33	0.78%	0.78%	5,281.42	103.87	3.96%	3.96%		
	<b>Total</b>		<b>7,600,591.19</b>	<b>150,972.90</b>			<b>7,488,364.28</b>	<b>273,185.28</b>			<b>6,402,863.74</b>	<b>321,064.38</b>				

Note: Category of investment (COI) shall be as per Guidelines, as amended from time to time

1 Based on daily simple average of Investments at book value

2 Yield netted for Tax

3 In the previous year column, the figures of the corresponding Year to date of previous financial year have been shown

4 FORM-1 is prepared in respect of each fund. In case of ULIP FORM 1 is prepared at Segregated Fund (SFIN) level and also at consolidated level.

5 YTD Income on investment is reconciled with figures in P&L and Revenue account

6 Signifies annualised yield

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) <sup>3</sup>			
			Investment (₹) <sup>1</sup>	Income on Investment (₹)	Gross Yield (%) <sup>1,6</sup>	Net Yield (%) <sup>2,6</sup>	Investment (₹) <sup>1</sup>	Income on Investment (₹)	Gross Yield (%) <sup>1,6</sup>	Net Yield (%) <sup>2,6</sup>	Investment (₹) <sup>1</sup>	Income on Investment (₹)	Gross Yield (%) <sup>1,6</sup>	Net Yield (%) <sup>2,6</sup>
1	<b>Government Securities</b>													
	Central Government Bonds	CGSB	913,500.12	14,996.38	6.67%	6.67%	888,706.65	29,712.81	6.78%	6.78%	625,596.56	21,263.23	6.89%	6.89%
2	<b>Government Securities / Other Approved Securities</b>													
	State Government Bonds / Development Loans	SGGB	73,749.90	1,355.23	7.49%	7.49%	64,951.33	2,346.84	7.34%	7.34%	18,677.40	742.47	8.09%	8.09%
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	28,720.83	404.21	5.70%	5.70%	26,555.71	840.58	6.41%	6.41%	7,459.50	258.73	7.04%	7.04%
3	<b>Investment Subject to Exposure Norms</b>													
	<b>(a) Housing and Loan to State Govt. for Housing</b>													
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	50,822.04	921.84	7.39%	7.39%	44,062.03	1,573.95	7.25%	7.25%	28,361.16	1,149.96	8.25%	8.25%
	Long term Bank Bonds Approved Investments - Affordable Housing	HLBH	10,638.09	198.34	7.60%	7.60%	10,950.03	397.58	7.37%	7.37%	4,628.62	256.09	11.34%	11.34%
	<b>(b) Infrastructure Investments</b>													
	Infrastructure - PSU - Equity shares - Quoted	ITPE	43.96	0.34	3.08%	3.08%	44.31	0.37	1.68%	1.68%	49.08	1.14	4.68%	4.68%
	Infrastructure - Corporate Securities - Equity shares - Quoted	ITCE	697.88	8.85	5.13%	5.13%	714.53	25.82	7.34%	7.34%	807.72	26.30	6.60%	6.60%
	Infrastructure - PSU - Debentures / Bonds	IPTD	212,221.55	3,879.27	7.45%	7.45%	212,498.31	7,466.27	7.13%	7.13%	209,257.77	7,968.41	7.74%	7.74%
	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	2,595.68	65.93	10.46%	10.46%	2,595.70	131.13	10.33%	10.33%	2,584.79	144.72	11.48%	11.48%
	<b>(c) Approved Investments</b>													
	PSU - Equity shares - Quoted	EAEQ	22.20	1.31	25.47%	25.47%	22.38	1.47	13.51%	13.51%	24.79	2.77	23.50%	23.50%
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	8,307.26	54.93	2.65%	2.65%	8,413.29	271.54	6.54%	6.54%	9,700.94	721.72	15.39%	15.39%
	Debt Capital Instruments (DCI-Basel III)	EDCI	1,471.46	(34.26)	-21.79%	-21.79%	1,471.06	(11.76)	-2.30%	-2.30%	-	-	-	-
	Debt Instruments of REITs - Approved Investments	EDRT	7,502.76	134.94	7.33%	7.33%	7,366.28	262.86	7.24%	7.24%	-	-	-	-
	Corporate Securities - Debentures	ECOS	35,000.86	760.95	8.91%	8.91%	29,945.06	1,191.81	8.10%	8.10%	28,284.09	2,008.88	14.67%	14.67%
	Investment properties - Immovable	EINP	927.59	3,595.43	189968038.31%	189968038.31%	927.59	3,642.10	8403.09%	8403.09%	927.59	81.17	18.21%	18.21%
	Loans - Policy Loans	ELPL	236.89	4.72	8.14%	8.14%	208.50	9.11	8.90%	8.90%	-	-	-	-
	Deposits - Repo / Reverse Repo	ECMR	64,632.33	832.13	5.21%	5.21%	60,079.77	1,407.91	4.73%	4.73%	29,947.67	478.27	3.21%	3.21%
	<b>Total</b>		<b>1,411,091.40</b>	<b>27,180.53</b>			<b>1,359,512.53</b>	<b>49,270.41</b>			<b>966,307.68</b>	<b>35,103.86</b>		

**Note:** Category of investment (COI) shall be as per Guidelines, as amended from time to time  
1 Based on daily simple average of Investments at book value  
2 Yield netted for Tax  
3 In the previous year column, the figures of the corresponding Year to date of previous financial year have been shown  
4 FORM-1 is prepared in respect of each fund. In case of ULIP FORM 1 is prepared at Segregated Fund (SFIN) level and also at consolidated level.  
5 YTD Income on investment is reconciled with figures in P&L and Revenue account  
6 Signifies annualised yield

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) <sup>3</sup>			
			Investment (₹) <sup>1</sup>	Income on Investment (₹)	Gross Yield (%) <sup>1,6</sup>	Net Yield (%) <sup>2,6</sup>	Investment (₹) <sup>1</sup>	Income on Investment (₹)	Gross Yield (%) <sup>1,6</sup>	Net Yield (%) <sup>2,6</sup>	Investment (₹) <sup>1</sup>	Income on Investment (₹)	Gross Yield (%) <sup>1,6</sup>	Net Yield (%) <sup>2,6</sup>
<b>1</b>	<b>Government Securities</b>													
	Central Government Bonds	CGSB	1,326,528.75	22,958.52	7.04%	7.04%	1,433,780.87	2,749.99	0.38%	0.38%	1,894,272.00	62,489.61	6.69%	6.69%
	Treasury Bills	CTRB	494,179.31	5,899.89	4.82%	4.82%	446,670.65	10,134.52	4.58%	4.58%	374,176.80	6,584.22	3.54%	3.54%
<b>2</b>	<b>Government Securities / Other Approved Securities</b>													
	State Government Bonds / Development Loans	SGGB	154,704.37	2,443.27	6.41%	6.41%	180,944.66	2,498.45	2.77%	2.77%	384,861.86	15,319.21	8.10%	8.10%
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	91,865.59	2,341.53	10.50%	10.50%	98,701.75	(1,310.91)	-2.63%	-2.63%	123,401.30	6,444.55	10.69%	10.69%
<b>3</b>	<b>Investment Subject to Exposure Norms</b>													
	<b>(a) Housing and Loan to State Govt. for Housing</b>													
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	273,513.35	5,499.01	8.22%	8.22%	269,195.67	2,213.19	1.65%	1.65%	254,519.45	9,188.86	7.33%	7.33%
	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	142,734.88	1,974.57	5.60%	5.60%	134,836.39	3,467.64	5.20%	5.20%	63,940.27	1,409.00	4.44%	4.44%
	Long term Bank Bonds Approved Investments- Affordable Housing	HLBH	43,948.37	1,162.65	10.91%	10.91%	49,037.80	(281.61)	-1.14%	-1.14%	39,790.06	1,668.65	8.54%	8.54%
	<b>(b) Infrastructure Investments</b>													
	Infrastructure - Other Approved Securities	ISAS	116,119.07	1,328.25	4.62%	4.62%	102,223.53	2,396.71	4.73%	4.73%	43,319.85	889.55	4.14%	4.14%
	Infrastructure - PSU - Equity shares - Quoted	ITPE	91,101.81	8,425.82	42.04%	42.04%	93,846.13	10,681.06	23.99%	23.99%	59,872.96	16,700.85	63.35%	63.35%
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	692,374.11	114,097.30	83.16%	83.16%	679,283.36	41,692.80	12.62%	12.62%	631,393.93	158,929.17	56.49%	56.49%
	Infrastructure - PSU - Debentures / Bonds	IPTD	345,636.02	7,674.94	9.10%	9.10%	361,225.63	(102.45)	-0.06%	-0.06%	608,366.38	23,688.29	7.92%	7.92%
	Infrastructure - PSU - CPs	IPCP	-	-	-	-	-	-	-	-	81,553.59	1,416.08	3.83%	3.83%
	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	98,465.21	1,342.86	5.52%	5.52%	95,453.36	916.11	1.92%	1.92%	76,519.12	3,408.96	9.08%	9.08%
	Infrastructure - Other Corporate Securities - CPs	ICCP	42,349.92	611.91	5.86%	5.86%	31,276.24	834.78	5.39%	5.39%	26,317.40	367.17	3.97%	3.97%
	Infrastructure - Reclassified Approved Investments - Equity	IORE	352.31	(30.25)	-29.97%	-29.97%	486.42	(301.37)	-85.45%	-85.45%	1,128.70	17.45	1545.40%	1545.40%
	<b>(c) Approved Investments</b>													
	PSU - Equity shares - Quoted	EAEQ	340,268.47	20,229.50	25.75%	25.75%	262,662.84	(2,982.26)	-2.25%	-2.25%	101,778.80	28,770.72	64.30%	64.30%
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	6,818,650.79	569,842.16	37.50%	37.50%	6,687,976.13	(3,796.45)	-0.11%	-0.11%	6,689,643.42	1,324,301.30	43.37%	43.37%
	Corporate Securities - Preference Shares	EPNQ	-	-	-	-	-	-	-	-	1,458.56	110.71	15.71%	15.71%
	Corporate Securities - Debentures	ECOS	334,816.09	5,594.62	6.80%	6.80%	321,396.71	2,575.24	1.60%	1.60%	323,519.85	11,028.08	6.91%	6.91%
	Corporate Securities - Debentures / Bonds / CPs / Lonas - Promoter Group	EDPG	2,539.18	32.66	5.20%	5.20%	2,555.98	31.21	2.45%	2.45%	6,281.52	144.03	4.63%	4.63%
	Debt Instruments of REITs - Approved Investments	EDRT	45,044.60	828.52	7.50%	7.50%	44,283.59	321.08	1.45%	1.45%	-	-	-	-
	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	18,000.00	386.73	8.80%	8.80%	18,000.00	762.43	8.63%	8.63%	18,001.55	709.64	8.02%	8.02%
	Deposits - CDs with Scheduled Banks	EDCD	324,018.70	4,070.29	5.08%	5.08%	268,787.80	6,616.93	4.97%	4.97%	105,625.25	2,078.09	3.96%	3.96%
	Deposits - Repo / Reverse Repo	ECMR	449,724.41	5,821.86	5.24%	5.24%	469,052.17	10,752.90	4.62%	4.62%	549,041.01	8,807.44	3.23%	3.23%
	Commercial Papers issued by a Company or All India Financial Institutions	ECPP	20,718.38	224.61	4.37%	4.37%	38,073.16	785.90	4.16%	4.16%	113,774.30	2,289.56	4.05%	4.05%
	Application Money	ECAM	4,449.50	-	0.00%	0.00%	5,385.01	-	-	-	7,970.91	-	-	-
	Net Current Assets (Only in respect of ULIP Business)	ENCA	32,783.93	-	0.00%	0.00%	32,783.93	-	-	-	142,261.76	-	-	-
	Debt Capital Instruments (DCI-Basel III)	EDCI	132,464.93	1,686.71	5.15%	5.15%	132,904.51	(960.05)	-1.44%	-1.44%	55,530.13	2,492.19	9.15%	9.15%
	Passively Managed Equity ETF (Promoter Group)	EETP	1,785.10	9.60	608.88%	608.88%	1,785.10	9.60	0.00%	0.00%	-	-	-	-
	Passively Managed Equity ETF (Non Promoter Group)	EETF	874.82	2.82	223.44%	223.44%	874.82	2.82	0.00%	0.00%	-	-	-	-
	<b>(d) Other Investments</b>													
	Equity Shares (incl Co-op Societies)	OESH	644,579.94	117,584.32	94.41%	94.41%	614,139.44	(8,723.93)	-2.81%	-2.81%	357,152.59	118,680.00	77.22%	77.22%
	Debentures	OLDB	-	-	-	-	-	-	-	-	4,797.18	26.82	5.22%	5.22%
	Equity Shares (PSUs and Unlisted)	OEPD	8,754.82	1,307.21	73.69%	73.69%	5,127.60	1,508.66	67.27%	67.27%	2,276.44	(73.09)	-17.23%	-17.23%
	Passively Managed Equity ETF (Non Promoter Group)	OETF	923,300.02	131,396.46	69.53%	69.53%	881,503.92	64,138.65	15.04%	15.04%	776,406.86	95,250.96	25.96%	25.96%
	SEBI approved Alternate Investment Fund (Category II)	OAFB	16.37	(2.78)	-52.17%	-52.17%	17.78	(1.97)	-20.85%	-20.85%	19.08	0.28	2.92%	2.92%
	Reclassified Approved Investments - Equity (Point 6 under Note for Regulation 4 to 9)	ORAE	356,278.00	45,743.04	61.48%	61.48%	438,145.20	(22,573.74)	-10.01%	-10.01%	387,960.17	55,695.47	30.68%	30.68%
	Passively Managed Equity ETF (Promoter Group)	OETP	328,406.03	45,816.86	67.89%	67.89%	315,176.06	13,365.73	8.64%	8.64%	271,899.09	38,960.09	30.62%	30.62%
	Reclassified Approved Investments - Debt (Point 6 under Note for Regulation 4 to 9)	ORAD	18,768.18	260.73	5.63%	5.63%	18,876.40	303.07	3.23%	3.23%	24,695.37	942.47	7.76%	7.76%
	<b>Total</b>		<b>14,720,115.34</b>	<b>1,126,566.19</b>			<b>14,536,470.61</b>	<b>137,724.74</b>			<b>14,603,527.54</b>	<b>1,998,736.41</b>		

Note: Category of investment (COI) shall be as per Guidelines, as amended from time to time

1 Based on daily simple average of Investments at market value

2 Yield netted for Tax

3 In the previous year column, the figures of the corresponding Year to date of previous financial year have been shown

4 FORM-1 is prepared in respect of each fund. In case of ULIP FORM 1 is prepared at Segregated Fund (SFNI) level and also at consolidated level.

5 YTD Income on investment is reconciled with figures in P&L and Revenue account

6 Signifies annualised yield

## FORM L - 35 - Statement of Down Graded Investments

Part - A

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Name of the Fund: Life Fund

Statement as on: September 30, 2022

Periodicity of Submission: Quarterly

No	Name of the Security	COI	Amount (₹ Lakhs)	Date of Purchase <sup>8</sup>	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
<b>A.</b>	<u>During the Quarter</u> <sup>1</sup>								
	NIL								
<b>B.</b>	<u>As on Date</u> <sup>2</sup>								
1	9.40% IDBI Omni Bonds12-13 Perpetual Tier I Series IV	OBPT	1,994.47	May 6, 2013	ICRA	AA	A+	May 23, 2017 <sup>5</sup>	
2	8.23% Punjab National Bank 2025 (09-Feb-2025)	HLBH	499.65	February 9, 2015	CARE	AAA	AA+	May 24, 2018 <sup>6</sup>	
3	8.70% IDFC First Bank Limited 2025 (23-Jun-2025)	ECOS	11,493.85	June 23, 2015	ICRA	AAA	AA	May 21, 2019 <sup>7</sup>	
4	9.36% IDFC First Bank Limited 2024 (21-Aug-2024)	ECOS	10,997.88	August 21, 2014	ICRA	AAA	AA	May 21, 2019 <sup>7</sup>	
5	8.67% IDFC First Bank Limited 2025 (03-Jan-2025)	ECOS	8,991.07	January 5, 2015	ICRA	AAA	AA	May 21, 2019 <sup>7</sup>	
6	9.60% Tata Motors Limited 2022 (29-Oct-2022)	ORAD	3,503.21	September 15, 2016	ICRA	AA	AA-	August 6, 2019	

**Note:**

- 1 Details of downgraded Investments during the Quarter.
- 2 Investments currently upgraded, listed as downgraded during earlier Quarter are deleted from the Cumulative listing.
- 3 FORM-2 is prepared in respect of each fund. In case of ULIP Form 2 is prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investmet (COI) is as per Guidelines issued by the Authority.
- 5 The security was earlier downgraded from AA to AA- on February 24, 2016. Security was further downgraded from AA- to A+ on February 27, 2017 and to BBB+ on May 23, 2017. Later, on September 28, 2021 the security was upgraded to A+.
- 6 The security was earlier downgraded from AAA to AA+ on February 29, 2016. The security was further downgraded from AA+ to AA on May 24, 2018. Later, on October 08, 2020 the security was upgraded to AA+.
- 7 The security was earlier downgraded from AAA to AA+ on June 28, 2018. The security was further downgraded from AA+ to AA on May 21, 2019.
- 8 In case of multiple purchases, earliest date of purchase is shown.
- 9 Of the above, securities of ₹ 31,482.21 lakhs are held in the funds where investment risk is borne by the policyholders.

## FORM L - 35 - Statement of Down Graded Investments

Part - A

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Statement as on: September 30, 2022

Name of the Fund: Pension Fund

Periodicity of Submission: Quarterly

No	Name of the Security	COI	Amount (₹ Lakhs)	Date of Purchase <sup>6</sup>	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
<b>A.</b>	<b>During the Quarter <sup>1</sup></b>								
	NIL								
<b>B.</b>	<b>As on Date <sup>2</sup></b>								
1	8.70% IDFC First Bank Limited 2025 (20-May-2025)	ECOS	1,998.49	May 20, 2015	ICRA	AAA	AA	May 21, 2019 <sup>5</sup>	
2	9.36% IDFC First Bank Limited 2024 (21-Aug-2024)	ECOS	1,499.71	August 27, 2014	ICRA	AAA	AA	May 21, 2019 <sup>5</sup>	
3	8.70% IDFC First Bank Limited 2025 (23-Jun-2025)	ECOS	999.46	June 23, 2015	ICRA	AAA	AA	May 21, 2019 <sup>5</sup>	
4	7.98% IDFC First Bank Limited 2023 (23-May-2023)	ECOS	999.79	September 26, 2016	ICRA	AAA	AA	May 21, 2019 <sup>5</sup>	

**Note:**

- 1 Details of downgraded Investments during the Quarter.
- 2 Investments currently upgraded, listed as downgraded during earlier Quarter are deleted from the Cumulative listing.
- 3 FORM-2 is prepared in respect of each fund. In case of ULIP Form 2 is prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investment (COI) shall be as per Guidelines issued by the Authority.
- 5 The security was earlier downgraded from AAA to AA+ on June 28, 2018. The security was further downgraded from AA+ to AA on May 21, 2019.
- 6 In case of multiple purchases, earliest date of purchase is shown.



## FORM L - 35 - Statement of Down Graded Investments

Part - A

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Statement as on: September 30, 2022

Name of the Fund: Linked Fund

Periodicity of Submission: Quarterly

No	Name of the Security	COI	Amount (₹ Lakhs)	Date of Purchase <sup>6</sup>	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
<b>A.</b>	<b>During the Quarter <sup>1</sup></b>								
	NIL								
<b>B.</b>	<b>As on Date <sup>2</sup></b>								
1	7.98% IDFC First Bank Limited 2023 (23-May-2023)	ECOS	4,002.85	September 26, 2016	ICRA	AAA	AA	May 21, 2019 <sup>5</sup>	
2	9.60% Tata Motors Limited 2022 (29-Oct-2022)	ORAD	7,520.42	November 5, 2014	ICRA	AA	AA-	August 6, 2019	
3	9.35% Tata Motors Limited 2023 (10-Nov-2023)	ORAD	11,138.19	December 9, 2014	ICRA	AA	AA-	August 6, 2019	

**Note:**

- 1 Details of downgraded Investments during the Quarter.
- 2 Investments currently upgraded, listed as downgraded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 is prepared in respect of each fund. In case of ULIP Form 2 is prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investmet (COI) shall be as per Guidelines issued by the Authority.
- 5 The security was earlier downgraded from AAA to AA+ on June 28, 2018. The security was further downgraded from AA+ to AA on May 21, 2019.
- 6 In case of multiple purchases, earliest date of purchase is shown.

Sl. No	Particulars	For the Quarter - Current Year				For the Quarter - Previous Year				Up to the Quarter - Current Year				Up to the Quarter - Previous Year			
		Premium (Rs. In Lakhs)	No. of Policies	No. of Lives	Sum Insured, Wherever applicable (Rs.Lakhs)	Premium (Rs. In Lakhs)	No. of Policies	No. of Lives	Sum Insured, Wherever applicable (Rs.Lakhs)	Premium (Rs. In Lakhs)	No. of Policies	No. of Lives	Sum Insured, Wherever applicable (Rs.Lakhs)	Premium (Rs. In Lakhs)	No. of Policies	No. of Lives	Sum Insured, Wherever applicable (Rs.Lakhs)
1	First year Premium																
	i Individual Single Premium- (ISP)																
	From 0-10000	77.46	292	-	1,850.86	123.15	273	-	1,590.65	178.39	484	-	3,002.25	203.18	440	-	2,659.42
	From 10,001-25,000	159.76	93	-	1,022.94	220.99	46	-	437.22	408.66	188	-	2,185.82	420.98	74	-	776.37
	From 25001-50,000	286.14	434	-	1,864.09	298.48	406	-	1,547.45	568.93	763	-	3,333.89	492.40	642	-	2,756.08
	From 50,001- 75,000	80.34	75	-	576.60	105.15	91	-	570.48	180.30	139	-	819.42	161.19	139	-	886.58
	From 75,001-100,000	286.06	214	-	1,446.02	392.96	356	-	2,510.45	528.69	421	-	2,592.58	626.32	566	-	4,096.21
	From 1,00,001 -1,25,000	89.21	50	-	520.36	107.46	67	-	567.99	146.15	75	-	665.94	179.04	101	-	1,017.89
	Above Rs. 1,25,000	4,352.99	685	-	17,414.61	9,794.52	1,351	-	43,838.15	9,269.32	1,414	-	34,922.46	15,149.96	2,123	-	66,675.11
	ii Individual Single Premium (ISPA)- Annuity																
	From 0-50000	2,713.35	239	-	345.79	1,643.71	145	-	363.42	3,408.07	530	-	767.26	2,193.66	439	-	784.13
	From 50,001-100,000	326.79	48	-	190.83	352.85	65	-	281.33	659.17	100	-	446.76	799.12	143	-	668.39
	From 1,00,001-150,000	238.38	33	-	201.11	400.96	97	-	333.96	544.98	79	-	474.59	982.13	219	-	846.04
	From 150,001- 2,00,000	347.08	66	-	295.12	482.74	128	-	429.13	825.44	172	-	724.79	784.58	235	-	716.04
	From 2,00,001-250,000	1,163.08	399	-	1,053.69	1,122.50	397	-	1,019.25	2,426.67	881	-	2,271.42	1,887.09	674	-	1,761.76
	From 2,50,001 -3,00,000	1,378.54	428	-	1,351.68	1,404.59	413	-	1,380.38	2,867.63	897	-	2,840.05	2,500.39	747	-	2,440.55
	Above Rs. 3,00,000	57,482.7	4,728	-	57,998.50	73,361.86	5,335	-	74,081.50	109,692.09	9,480	-	112,064.37	125,534.75	8,839	-	127,064.27
	iii Group Single Premium (GSP)																
	From 0-10000	0.53	-	53,802	3,235.70	7.80	-	26,718	9,303.05	1.20	-	102,676.00	3,860.55	5.41	-	347.00	1,290.56
	From 10,001-25,000 (0.01)	-	-	200	(1,227.34)	18.57	-	3,906	19,711.19	5.32	-	767.00	4,531.62	24.40	-	183.00	927.25
	From 25001-50,000	19.97	-	2,542	14,635.99	22.02	-	10,707	46,255.81	34.28	-	3,721.00	25,738.50	51.51	-	1,262.00	1,137.60
	From 50,001- 75,000	26.19	-	3,498	22,119.11	18.45	-	7,226	58,940.09	52.23	-	5,450.00	39,874.32	48.52	-	811.00	814.46
	From 75,001-100,000	31.39	-	2,838	17,942.46	15.42	-	7,830	56,313.48	63.50	-	7,424.00	41,787.56	63.78	-	822.00	584.13
	From 1,00,001 -1,25,000	44.84	-	4,349	36,674.62	31.34	-	10,151	64,112.50	87.57	-	8,169.00	60,858.37	72.21	-	1,156.00	1,409.11
	Above Rs. 1,25,000	211,751.89	-	11,272,044	28,391,476.14	150,634.93	-	10,382,406	37,292,446.62	382,122.77	-	19,884,043.00	59,533,777.34	273,606.94	-	8,054,415.00	7,902,746.04
	iv Group Single Premium- Annuity- GSPA																
	From 0-50000																
	From 50,001-100,000																
	From 1,00,001-150,000																
	From 150,001- 2,00,000																
	From 2,00,001-250,000																
	From 2,50,001 -3,00,000																
	Above Rs. 3,00,000																
	v Individual non Single Premium- INSP																
	From 0-10000	191.41	11,355	-	92,100.31	477.17	14,895	-	311,492.98	410.84	23,475	-	202,637.04	919.12	27,359	-	670,046.31
	From 10,001-25,000	3,789.77	24,215	-	717,721.67	4,210.75	25,810	-	1,193,744.11	6,557.32	41,964	-	1,524,710.65	8,128.83	51,867	-	2,651,145.77
	From 25001-50,000	14,156.03	34,738	-	806,695.35	17,970.41	44,213	-	1,043,575.71	26,045.27	65,633	-	1,594,061.78	29,977.75	76,033	-	2,157,595.49
	From 50,001- 75,000	5,602.31	9,962	-	341,769.56	6,898.02	12,006	-	403,891.02	10,669.65	18,957	-	673,446.28	11,728.97	20,421	-	809,039.64
	From 75,001-100,000	13,396.96	13,995	-	276,830.60	14,939.20	15,448	-	313,838.28	23,805.52	25,536	-	524,223.14	23,811.27	25,070	-	577,590.52
	From 1,00,001 -1,25,000	10,365.71	11,084	-	294,088.52	14,598.78	12,326	-	323,890.45	19,676.77	19,323	-	524,461.56	22,089.70	18,670	-	545,071.06
	Above Rs. 1,25,000	90,305.48	29,608	-	1,221,113.64	96,620.60	33,144	-	1,323,930.85	150,864.07	51,141	-	2,123,684.19	147,074.34	51,477	-	2,167,482.98
	vi Individual non Single Premium- Annuity- INSPA																
	From 0-50000	242.96	574	-	-	-	-	-	-	394.66	942	-	-	-	-	-	-
	From 50,001-100,000	651.10	791	-	-	-	-	-	-	1,018.21	1,230	-	-	-	-	-	-
	From 1,00,001-150,000	287.09	273	-	-	-	-	-	-	414.17	402	-	-	-	-	-	-
	From 150,001- 2,00,000	664.18	356	-	-	-	-	-	-	971.14	514	-	-	-	-	-	-
	From 2,00,001-250,000	220.44	101	-	-	-	-	-	-	295.06	142	-	-	-	-	-	-
	From 2,50,001 -3,00,000	381.39	139	-	-	-	-	-	-	561.42	204	-	-	-	-	-	-
	Above Rs. 3,00,000	4,184.43	493	-	-	-	-	-	-	6,606.06	749	-	-	-	-	-	-



## FORM L-37-BUSINESS ACQUISITION THROUGH DIFFERENT CHANNELS (GROUP)

Name of the Insurer: ICICI PRUDENTIAL LIFE INSURANCE CO. LTD.

Date: September 30, 2022

Sl.No.	Business Acquisition through different channels (Group)						Quarter End: September 2022						
	Channels	For the Quarter - Current Year			For the Quarter - Previous Year			Up to the Quarter - Current Year			Up to the Quarter - Previous Year		
		No. of Schemes	No. of Lives Covered	Premium (Rs. Lakhs)	No. of Schemes	No. of Lives Covered	Premium (Rs. Lakhs)	No. of Schemes	No. of Lives Covered	Premium (Rs. Lakhs)	No. of Schemes	No. of Lives Covered	Premium (Rs. Lakhs)
1	Individual agents	39	9,215	523	29	9,142	306	82	41,871	4,199	88	33,974	659
2	Corporate Agents-Banks	47	723,984	30,219	33	405,471	27,850	95	1,260,751	55,982	71	669,303	43,082
3	Corporate Agents -Others	11	525,911	12,871	7	487,511	9,796	17	869,979	22,607	15	729,228	15,649
4	Brokers	606	613,797	15,153	349	256,190	11,363	1,353	1,381,886	39,035	974	958,957	22,102
5	Micro Agents	-	-	-	-	-	-	-	-	-	-	-	-
6	Direct Business	239	9,198,547	152,442	147	4,120,729	101,252	443	16,013,780	259,461	607	7,914,003	191,856
7	IMF	-	-	-	-	-	-	-	-	-	-	-	-
8	Others (Please Specify)	-	-	-	-	-	-	-	-	-	-	-	-
a	Web Aggregators	-	(1)	(0)	-	103	5	-	(1)	(0)	7	4,960	186
b	Online	-	-	(0)	-	4	2	-	-	0	-	38	4
c	MFI	-	267,820	667	-	108,100	182	-	443,984	1,083	-	138,644	357
	Total	942	11,339,273	211,875	565	5,387,250	150,757	1,990	20,012,250	382,367	1,762	10,449,107	273,893
	Referral Arrangements	-	-	-	-	-	-	-	-	-	-	-	-

## Note:

1. Premium means amount of premium received from business acquired by the source
2. No of Policies stand for no. of policies sold

## FORM L-38 : BUSINESS ACQUISITION THROUGH DIFFERENT CHANNELS (INDIVIDUAL)

Name of the Insurer: ICICI PRUDENTIAL LIFE INSURANCE CO. LTD.

Date: September 30, 2022

Sl.No.	Channels	Business Acquisition through different channels (Individuals)				Quarter End: September 2022			
		For the Quarter - Current Year		For the Quarter - Previous Year		Up to the Quarter - Current Year		Up to the Quarter - Previous Year	
		No. of Policies	Premium (Rs. Lakhs)	No. of Policies	Premium (Rs. Lakhs)	No. of Policies	Premium (Rs. Lakhs)	No. of Policies	Premium (Rs. Lakhs)
1	Individual agents	47,743	67,453	44,389	69,236	79,835	115,624	74,294	106,980
2	Corporate Agents-Banks	55,186	78,560	76,288	110,451	106,804	149,699	129,492	179,076
3	Corporate Agents -Others	7,408	12,363	7,580	10,271	12,759	19,707	12,788	15,752
4	Brokers	15,204	12,922	12,052	9,557	29,250	22,259	17,818	14,843
5	Micro Agents	-	-	-	-	-	-	-	-
6	Direct Business	-	-	-	-	-	-	-	-
	- Online (Through Company Website)	4,267	4,219	5,419	4,703	8,782	8,557	12,798	9,078
	- Others	15,128	36,660	20,220	39,545	27,334	62,357	33,128	65,904
7	IMF	450	960	306	1,159	790	1,377	498	2,175
8	Common Service Centres	-	-	-	-	-	-	-	-
9	Web Aggregators	44	28	758	606	90	159	5,462	1,839
10	Point of Sales	-	-	-	-	-	-	-	-
11	Others (Please Specify)	-	-	-	-	-	-	-	-
	MFI	-	-	-	-	153	0	-	-
	POS	38	255	-	-	38	255	-	-
	Total	145,468	213,421	167,012	245,527	265,835	379,995	286,278	395,645
	Referral Arrangements	-	-	-	-	-	-	-	-

Note:

1. Premium means amount of premium received from business acquired by the source
2. No of Policies stand for no. of policies sold

## FORM L-39-Data on Settlement of Claims (Individual)

Name of the Insurer:ICICI Prudential Life Insurance Co. Ltd.

Quarter End:September 2022

Date: September 30,2022

Ageing of Claims									
Sl.No.	Types of Claims	No. of claims paid						Total No. of claims paid	Total amount of claims paid (Rs. In Lakhs)
		On or before maturity	1 month	1 - 3 months	3 - 6 months	6 months - 1 year	> 1 year		
1	Maturity Claims	16,090	5,359	789	188	98	-	22,524	81,669.03
2	Survival Benefit	10,983	21,317	15,298	237	10	2	47,847	12,156.48
3	Annuities / Pension	19,627	3,077	49,844	534	630	142	73,854	12,665.92
4	Surrender	-	94,468	693	55	7	2	95,225	5,42,756.98
5	Health	-	4,056	19	-	-	-	4,075	3,172.27
6	Other benefits	-	18	2	-	-	-	20	146.51
7	Death Claims	-	3,110	101	15	6	5	3,237	50,323.06

## FORM L-39-Data on Settlement of Claims (Group)

Ageing of Claims									
Sl.No.	Types of Claims	No. of claims paid						Total No. of claims paid	Total amount of claims paid (Rs. In Lakhs)
		On or before maturity	1 month	1 - 3 months	3 - 6 months	6 months - 1 year	> 1 year		
1	Maturity Claims	-	-	-	-	-	-	-	-
2	Survival Benefit	-	-	-	-	-	-	-	-
3	Annuities / Pension	-	-	-	-	-	-	-	-
4	Surrender	-	40,125	102	2	1	-	40,230	82,432.92
5	Health	-	6	1	-	-	-	7	40.63
6	Other benefits	-	-	-	-	-	-	-	-
7	Death Claims	-	55,890	495	179	41	11	56,616	49,624.53

## FORM L-39-Data on Settlement of Claims (Individual)

Name of the Insurer:ICICI Prudential Life Insurance Co. Ltd.

Half Year End:September 2022

Date: September 30,2022

Ageing of Claims									
Sl.No.	Types of Claims	No. of claims paid						Total No. of claims paid	Total amount of claims paid (Rs. In Lakhs)
		On or before maturity	1 month	1 - 3 months	3 - 6 months	6 months - 1 year	> 1 year		
1	Maturity Claims	29,896	9,026	3,199	925	218	24	43,288	1,53,728.87
2	Survival Benefit	19,383	36,378	29,828	789	108	14	86,500	21,891.66
3	Annuities / Pension	37,967	6,284	95,843	1,428	961	348	1,42,831	23,932.26
4	Surrender	-	1,62,603	2,649	334	59	5	1,65,650	9,35,914.62
5	Health	-	8,123	52	-	-	-	8,175	6,410.63
6	Other benefits	-	43	5	1	-	-	49	360.97
7	Death Claims	-	6,241	182	21	8	3	6,455	90,745.14

## FORM L-39-Data on Settlement of Claims (Group)

Ageing of Claims									
Sl.No.	Types of Claims	No. of claims paid						Total No. of claims paid	Total amount of claims paid (Rs. In Lakhs)
		On or before maturity	1 month	1 - 3 months	3 - 6 months	6 months - 1 year	> 1 year		
1	Maturity Claims	-	-	-	-	-	-	-	-
2	Survival Benefit	-	-	-	-	-	-	-	-
3	Annuities / Pension	-	-	-	-	-	-	-	-
4	Surrender	-	62,860	243	18	1	-	63,122	1,07,366.62
5	Health	-	12	1	-	-	-	13	122.36
6	Other benefits	-	-	-	-	-	-	-	7.70
7	Death Claims	-	1,03,679	929	232	106	9	1,04,955	85,919.37

## FORM L-40 Quarterly Claims Data for Life

Name of the Insurer: ICICI Prudential Life Insurance Co. Ltd.

Date: September 30,2022 Quarter End:September 2022

## Death Claims

No. of claims only

Sl. No.	Claims Experience	Individual	Group
1	Claims O/S at the beginning of the period	48	7,973
2	Claims Intimated / Booked during the period	3,379	53,838
(a)	Less than 3 years from the date of acceptance of risk	1,224	53,534
(b)	Greater than 3 years from the date of acceptance of risk	2,155	304
3	Claims Paid during the period	3,237	56,616
4	Claims Repudiated during the period	145	128
5	Claims Rejected	-	26
6	Unclaimed	5	15
7	Claims O/S at End of the period	40	5,026
	Outstanding Claims:-		
	Less than 3months	13	3,793
	3 months and less than 6 months	5	428
	6 months and less than 1 year	9	498
	1year and above	13	307

## Individual Claims

No. of claims only

Sl. No.	Claims Experience	Maturity	Survival Benefit	Annuities/ Pension	Surrender	Health	Other Benefits
1	Claims O/S at the beginning of the period	2,281	996	10,319	2,602	738	10
2	Claims Booked during the period	22,478	49,294	74,837	95,328	4,177	20
3	Claims Paid during the period	22,524	47,847	73,854	95,225	4,075	20
4	Unclaimed	1,375	149	1,888	247	-	-
5	Claims O/S at End of the period	860	2,294	9,414	2,458	840	10
	Outstanding Claims (Individual)						
	Less than 3months	585	2,164	2,214	2,331	778	9
	3 months and less than 6 months	263	53	2,243	127	47	-
	6 months and less than 1 year	8	50	1,661	-	13	-
	1year and above	4	27	3,296	-	2	1



## FORM L-40 Quarterly Claims Data for Life

Name of the Insurer:ICICI Prudential Life Insurance Co. Ltd.

Date: September 30,2022 Half Year End:September 2022

## Death Claims

## No. of claims only

Sl. No.	Claims Experience	Individual	Group
1	Claims O/S at the beginning of the period	80	5,090
2	Claims Intimated / Booked during the period	6,781	1,05,299
(a)	Less than 3 years from the date of acceptance of risk	2,303	1,04,769
(b)	Greater than 3 years from the date of acceptance of risk	4,478	530
3	Claims Paid during the period	6,455	1,04,955
4	Claims Repudiated during the period	346	297
5	Claims Rejected	-	45
6	Unclaimed	20	66
7	Claims O/S at End of the period	40	5,026
	Outstanding Claims:-		
	Less than 3months	13	3,793
	3 months and less than 6 months	5	428
	6 months and less than 1 year	9	498
	1year and above	13	307

## Individual Claims

## No. of claims only

Sl. No.	Claims Experience	Maturity	Survival Benefit	Annuities/ Pension	Surrender	Health	Other Benefits
1	Claims O/S at the beginning of the period	3,991	3,235	8,800	4,105	886	8
2	Claims Booked during the period	41,798	85,757	1,46,491	1,64,363	8,129	51
3	Claims Paid during the period	43,288	86,500	1,42,831	1,65,650	8,175	49
4	Unclaimed	1,641	198	3,046	360	-	-
5	Claims O/S at End of the period	860	2,294	9,414	2,458	840	10
	Outstanding Claims (Individual)						
	Less than 3months	585	2,164	2,214	2,331	778	9
	3 months and less than 6 months	263	53	2,243	127	47	-
	6 months and less than 1 year	8	50	1,661	-	13	-
	1year and above	4	27	3,296	-	2	1

PERIODIC DISCLOSURES								
Form L41 GRIEVANCE DISPOSAL								
ICICI Prudential Life Insurance Co Ltd						Date: September 30, 2022		
GRIEVANCE DISPOSAL FOR THE YEAR ENDING: September 2022								
Sr No	Particulars	Opening Balance at the beginning of the year	Additions YTD September 2022 (net of duplicate complaints)	Complaints resolved / settled upto the quarter during the financial year			Complaints Pending at the end of the quarter	Total Complaints registered up to the quarter during the financial year
				Fully Accepted	Partially Accepted	Rejected		
1	Complaints made by customers							
a	Death Claims	1	181	16	6	155	5	181
b	Policy Servicing	0	67	22	6	39	0	67
c	Proposal Processing	0	52	29	1	22	0	52
d	Survival Claims	1	201	67	13	122	0	201
e	ULIP Related	0	10	2	0	8	0	10
f	Unfair Business Practices	2	727	192	12	524	1	727
g	Others	1	532	200	32	300	1	532
	Total Number of Complaints	5	1770	528	70	1170	7	1770

2	Total No. of Policies upto corresponding period of previous	288040
3	Total No. of Claims upto corresponding period of previous	254959
4	Total No. of Policies during current year	267825
5	Total No. of Claims during current year	239635
6	Total No. of Policy Complaints (current year) per 10000	50
7	Total No. of Claim Complaints (current year) per 10000	18

8	Duration wise Pending Status	Complaints made by customers		Complaints made by		Total	
		Number	Percentage to Pending complaints	Number	Percentage to Pending complaints	Number	Percentage to Pending complaints
a)	Up to 15 days	6	86%	0	0	6	86%
b)	15 - 30 days	1	14%	0	0	1	14%
c)	30 - 90 days	0	0%	0	0	0	0%
d)	90 days & Beyond	0	0%	0	0	0	0%
	Total Number of Complaints	7	100%	0	0	7	100%

PERIODIC DISCLOSURES								
Form L41 GRIEVANCE DISPOSAL								
ICICI Prudential Life Insurance Company Limited						Date: September 30, 2022		
GRIEVANCE DISPOSAL FOR THE QUARTER ENDING: September 2022								
Sr No	Particulars	Opening Balance at the beginning of the	Additions during the quarter (net of	Complaints resolved / settled upto the quarter during the financial year			Complaints Pending at the end of the quarter	Total Complaints registered up to
				Fully Accepted	Partially Accepted	Rejected		
1	Complaints made by customers							
a	Death Claims	0	84	9	4	66	5	181
b	Policy Servicing	0	32	9	3	20	0	67
c	Proposal Processing	0	27	14	0	13	0	52
d	Survival Claims	2	91	33	10	50	0	201
e	ULIP Related	0	8	1	0	7	0	10
f	Unfair Business Practices	1	356	93	5	258	1	727
g	Others	3	232	89	18	127	1	532
	Total Number of Complaints	6	830	248	40	541	7	1770

2	Total No. of Policies upto corresponding period of previous	288040
3	Total No. of Claims upto corresponding period of previous	254959
4	Total No. of Policies during current year	267825
5	Total No. of Claims during current year	239635
6	Total No. of Policy Complaints (current year) per 10000	50
7	Total No. of Claim Complaints (current year) per 10000	18

8	Duration wise Pending Status	Complaints made by customers		Complaints made by		Total	
		Number	Percentage to Pending complaints	Number	Percentage to Pending complaints	Number	Percentage to Pending complaints
a)	Up to 15 days	6	86%	0	0	6	86%
b)	15 - 30 days	1	14%	0	0	1	14%
c)	30 - 90 days	0	0%	0	0	0	0%
d)	90 days & Beyond	0	0%	0	0	0	0%
	Total Number of Complaints	7	100%	0	0	7	100%

## L-42- Valuation Basis (Life Insurance) as at end September 30, 2022

Name of the insurer :- ICICI Prudential Life Insurance Co. Ltd

### 1. Data

Policy data is extracted from policy administration systems and checks are carried out to ensure completeness and accuracy of data.

### 2. Treatment of valuation parameters

The liability valuation calculations have been carried out using an actuarial software. Assumptions for each plan are updated in the model. Specific characteristics of each policy such as age at entry, sum assured, term, etc. are either directly obtained from data or calculated within the model.

### 3. Valuation method –Methods adopted in the determination of mathematical reserves

In general the method of valuation is the gross premium valuation. The reserve held represents the net present value of benefits and expenses less premiums. The reserves are calculated on a per policy basis. Any negative reserves are zeroised, so that a policy is not treated as an asset. The minimum value of reserves is the higher of guaranteed surrender value, non guaranteed surrender value, and zero.

The following sections contain specific details about reserving for different lines of business.

#### Non-participating business

Contract status	Category	Applicability	Method
In-force	Individual products	Base plan	Higher of the gross premium valuation (GPV) reserve and surrender value (if applicable) and zero
		Riders	Higher of GPV reserve and unearned premium reserve (UPR) on a policy basis
	Group products – non-participating	All group risk products, except group term with duration less than or equal to one year, and group fund based savings product	Higher of GPV reserve and Surrender value (if applicable) or zero
		Group term with duration less than or equal to one year	Unearned premium reserve
		Group savings product (non-variable)	Policy account value is held as reserve

Contract status	Category	Applicability	Method
		Group variable life and pension	Policy account value is held in addition to general fund reserve
Reduced paid up	Individual products	Base plan	Higher of GPV reserve or surrender value on reduced benefit with no future premiums payable
Lapse reserve	Individual products	Base plan	Reserve for expenses till the end of the revival period
Lapsed/reduced paid up, in respect of those that are expected to revive	Individual products	Reinstatement reserve	<p>Reinstatement rate is applied to the difference between (A) &amp; (B) where,</p> <p>(A) = the reserve assuming contract is In force and  (B) = the revival premiums minus commissions payable plus the paid-up/lapsed reserve,</p> <p>Subject to a floor of zero.</p>

### Participating business

Contract status	Category	Applicability	Method
In-force	Individual products	Base plan	Higher of the GPV reserve and surrender value (if applicable) and zero, with allowance for future bonus and associated tax and transfers to shareholders
		Riders	Higher of GPV reserve and UPR on a policy basis
	Group products – participating	Base plan	Higher of the GPV reserve with allowance for future bonus and associated tax and transfers to shareholders and face value of liability
Reduced paid up (all)	Individual products	Base plan	Higher of GPV reserve or surrender value on reduced benefit with no future premiums payable
Lapse reserve (all)	Individual products	Base plan	Reserve for expenses till the end of the maximum revival period

Contract status	Category	Applicability	Method
Lapsed or reduced paid up, in respect of those that are expected to revive	Individual products	Reinstatement reserve	Reinstatement rate is applied to the difference between (A) & (B) where,  (A) = the reserve assuming contract is In force and (B) = the revival premiums minus commissions payable plus the paid-up/lapsed reserve,  Subject to a floor of zero.

### Unit linked business

Contract status	Category	Applicability	Method
In-force premium paying/ premium holiday	Unit reserves	Unit fund	The unit reserve is the number of units held by the policyholder multiplied by the NAV at the valuation date.
	Non-unit reserves – except for group linked	Life cover, rider benefits and adequacy of charges to cover expenses	For base policy and associated mortality benefit we take the higher of the unearned risk benefit charges and all the projected cash flows.  We allow for zeroisation under all contracts at a policy level, so that credit is taken for future positive cash flows only to the extent that they offset subsequent negative cash flows. For riders a higher of GPV reserve and UPR on a policy basis
Lapsed	Unit reserves	Unit fund	Paid up/surrender value to the credit of the policyholders
		Reinstatement reserve	A reinstatement rate is applied to the difference between the full unit value and the paid up/surrender value. We adjust this reserve for death benefits payable prior to revival or foreclosure.

Contract status	Category	Applicability	Method
	Non-unit reserves	Adequacy of charges to cover expenses during the maximum revival period.  Reinstatement reserve	Projected cash flows as for in-force contracts allowing for zeroisation. The cash flows do not include cost of insurance charges and claims outgo.  For pre-September 2010 policies Reinstatement rate is applied to the difference between the reserve assuming contract is in force and the charges on outstanding premiums and non-unit reserve.  For post-September 2010 policies the reinstatement reserve is calculated by allowing for refund of surrender penalty, levy of back charges, release of lapsed non-unit reserve and setting up of in-force non-unit reserve, all multiplied by probability of revival, subject to a floor of zero.

#### Unit Linked business (continued)

Contract status	Category	Applicability	Method
Group linked	Non-unit reserves		No reserves are held on account of expenses as charges are currently higher than expenses and expected to remain so.  In case of mortality benefits, unexpired risk premium is held.

#### 4. Bonus rates for participating policies

The current year declared reversionary and terminal bonuses and historical reversionary bonus rates given in Annexure I.

##### 4.1. Policyholders' reasonable expectations (PRE)

Due consideration is given to the reasonable expectations of policyholders when making a distribution of surplus. "Reasonable" is not explicitly defined in the regulations and is left to the interpretation of the Appointed Actuary. Our interpretation of "Reasonable" refers to a well-informed, financially literate policyholder.

The main drivers of PRE are currently our point of sale material, the bonus rates declared last year and past communication with policyholders.

##### 4.2. Taxation and shareholder transfers

The gross premium reserve (GPV) includes reserve for transfer and tax. Tax is provided on surplus emerging under participating products. For the current valuation, we have assumed that the participating pension business is tax exempt. However, our

interpretation of PRE would be that future bonuses would in any case reflect the taxes charged to the fund. As a result, the reserves would not be changed even if taxes came to be charged.

#### **5. Valuation assumptions**

Valuation parameters are set prudently and include margin for adverse deviation (MAD) as required under APS7 issued by Institute of Actuaries of India.

The range (minimum to maximum) of parameters used for valuation for individual and group business as at 30<sup>th</sup> September 2022 are given in Annexure II and Annexure III respectively.

#### **6. Reserves for incurred but not reported (IBNR) claims**

IBNR reserves are required for claims which may have been incurred at the valuation date but which have not been reported to the company. IBNR reserve is held for all group and retail protection products.

## Annexure I

### Retail Participating Life

#### Historical Bonus Rates

Financial year	Products	Compound reversionary
FY 2021-22	Save 'n' Protect Series I and II Save 'n' Protect Mass	2.00%
FY 2020-21		2.00%
FY 2019-20		2.00%
FY 2018-19		2.00%
FY 2017-18		2.00%
FY 2016-17		2.00%
FY 2015-16		2.25%
FY 2014-15		2.50%
FY 2013-14		2.25%
FY 2012-13		2.25%
FY 2011-12		2.25%
FY 2010-11		2.50%
FY 2009-10		2.50%
FY 2008-09		2.25%
FY 2007-08		3.00%
FY 2006-07		3.25%
FY 2021-22		Cashbak Series I and II
FY 2020-21	2.25%	
FY 2019-20	2.25%	
FY 2018-19	2.00%	
FY 2017-18	2.00%	
FY 2016-17	2.00%	
FY 2015-16	2.25%	
FY 2014-15	2.50%	
FY 2013-14	2.25%	
FY 2012-13	2.25%	
FY 2011-12	2.25%	
FY 2010-11	2.50%	
FY 2009-10	2.50%	
FY 2008-09	2.25%	
FY 2007-08	3.00%	
FY 2006-07	3.25%	
FY 2021-22	Smartkid Series I and II	
FY 2020-21		2.75%
FY 2019-20		2.50%
FY 2018-19		2.25%
FY 2017-18		2.00%
FY 2016-17		2.00%
FY 2015-16		2.25%
FY 2014-15		2.50%
FY 2013-14		2.25%
FY 2012-13		2.25%
FY 2011-12		2.25%



FY 2010-11	2.50%
FY 2009-10	2.50%
FY 2008-09	2.25%
FY 2007-08	3.00%
FY 2006-07	3.25%

Financial year	Products	Compound reversionary
FY 2021-22	Cash Advantage	4.50%
FY 2020-21		4.50%
FY 2019-20		4.50%
FY 2018-19		4.75%
FY 2017-18		4.75%
FY 2016 -17		4.75%
FY 2015 -16		5.00%
FY 2014-15		5.25%
FY 2013-14		5.25%
FY 2021-22		Saving Suraksha LP
FY 2020-21	2.75%	
FY 2019-20	3.00%	
FY 2018-19	3.00%	
FY 2017-18	3.00%	
FY 2016 -17	3.00%	
FY 2015 -16	3.25%	
FY 2014-15	3.50%	
FY 2013-14	3.50%	
FY 2021-22	Saving Suraksha RP	1.50%
FY 2020-21		1.50%
FY 2019-20		1.75%
FY 2018-19		1.75%
FY 2017-18		1.75%
FY 2016-17		1.75%
FY 2015-16		2.00%
FY 2014-15		2.25%
FY 2013-14	2.25%	
FY 2021-22	Future Perfect	2.50%
FY 2020-21		2.25%
FY 2019-20		2.25%
FY 2018-19		2.25%
FY 2017-18		2.25%
FY 2016-17		2.00%
FY 2021-22	Anmol Bachat II	1.25%
FY 2020-21		1.25%
FY 2019-20		1.25%
FY 2018-19		1.25%
FY 2017-18		1.25%
FY 2016-17	1.25%	

Financial year	Products	Simple Reversionary Bonus
FY 2021-22	Whole Life	Premium Payment Term Up to 15 years 3.25% 16 to 20 years 3.65% 21 to 25 years 4.45% 26 years and above 4.85%
FY 2020-21		Premium Payment Term Up to 15 years 3.25% 16 to 20 years 3.65% 21 to 25 years 4.45% 26 years and above 4.85%
FY 2019-20		Premium Payment Term Up to 15 years 3.00% 16 to 20 years 3.40% 21 to 25 years 4.20% 26 years and above 4.60%
FY 2018-19		Premium Payment Term Up to 15 years 3.00% 16 to 20 years 3.40% 21 to 25 years 4.20% 26 years and above 4.60%
FY 2017-18		Premium Payment Term Up to 15 years 3.00% 16 to 20 years 3.40% 21 to 25 years 4.20% 26 years and above 4.60%
FY 2016-17		Premium Payment Term Up to 15 years 3.00% 16 to 20 years 3.40% 21 to 25 years 4.20% 26 years and above 4.60%
FY 2015-16		Premium Payment Term Up to 15 years 3.25% 16 to 20 years 3.65% 21 to 25 years 4.45% 26 years and above 4.85%
FY 2010-11 till FY2014-15		Premium Payment Term Up to 15 years 3.50% 16 to 20 years 3.90% 21 to 25 years 4.70% 26 years and above 5.10%
FY 2021-22		Future Secure
FY 2020-21	Policy term: up to 15 years 3.35% 16 years and above 3.75%	

FY 2019-20		Policy term: up to 15 years            3.10% 16 years and above    3.50%
FY 2018-19		Policy term: up to 15 years            3.10% 16 years and above    3.50%
FY 2017-18		Policy term: up to 15 years            3.10% 16 years and above    3.50%
FY 2016-17		Policy term: up to 15 years            3.10% 16 years and above    3.50%
FY 2015-16		Policy term: up to 15 years            3.35% 16 years and above    3.75%
FY 2010-11 till FY2014-15		Policy term: up to 15 years            3.60% 16 years and above    4.00%
FY 2021-22	Lakshya LifeLong Plan	2%
FY 2020-21		1%
FY 2019-20		1%

The table below shows bonus rates for Lakshya Wealth Plan for FY2019-20, FY2020-21 and FY2021-22.

Term/PPT (years)	5	7	10	12
12	3.00%	2.30%	NA	NA
15	3.40%	2.80%	2.10%	1.70%
20	4.70%	4.00%	3.20%	2.90%
25	5.90%	5.10%	4.40%	4.10%
30	7.20%	6.40%	5.60%	5.30%

## Retail Participating Pension

Products	Financial Year	Bonus Rates
Forever Life Regular Premium Series I and II	FY2021-22	3.50%
	FY 2020-21	3.50%
	FY 2019-20	3.25%
	FY 2018-19	3.00%
	FY 2017- 18	2.75%
	FY 2016- 17	2.75%
	FY 2015- 16	2.75%
	FY 2014-15	3.00%
	FY 2013-14	2.75%
	FY 2012-13	2.75%
	FY 2011-12	2.75%
	FY 2010-11	3.00%
	FY 2009-10	2.75%
	FY 2008-09	2.50%
	FY 2007-08	3.00%
FY 2006-07	3.25%	

Special bonuses were declared in FY2010 of the following rates:

Financial Year	Retail Participating Life	Retail Participating Pension
2010-11	1.50%	1.25%

**Interim bonus rates (as a percentage of sum assured, vested guaranteed additions and vested reversionary bonus) for the current year:**

The interim bonus rates for the current year are same as reversionary bonus rates for FY 2021-22 given above.

**Terminal bonus rates (as a percentage of sum assured) for the current year (Participating life):**

<b>Product</b>	<b>UIN</b>	<b>Term (in years)</b>	<b>Terminal Bonus Rate</b>
Save 'n' Protect Series I	105N004V01	10	10%
Save 'n' Protect Series I	105N004V01	11 to 15	30%
Save 'n' Protect Series I	105N004V01	16 to 18	35%
Save 'n' Protect Series I	105N004V01	19	45%
Save 'n' Protect Series I	105N004V01	20	45%
Save 'n' Protect Series I	105N004V01	21 and above	55%
Save 'n' Protect Series II	105N004V02	10	20%
Save 'n' Protect Series II	105N004V02	11	25%
Save 'n' Protect Series II	105N004V02	12	25%
Save 'n' Protect Series II	105N004V02	13	30%
Save 'n' Protect Series II	105N004V02	14	35%
Save 'n' Protect Series II	105N004V02	15	35%
Save 'n' Protect Series II	105N004V02	16	40%
Save 'n' Protect Series II	105N004V02	17	45%
Save 'n' Protect Series II	105N004V02	18	50%
Save 'n' Protect Series II	105N004V02	19 & above	55%
Smartkid Series I	105N014V01	20 & above	75%
Smartkid Series II	105N014V02	10	20%
Smartkid Series II	105N014V02	11	20%
Smartkid Series II	105N014V02	12	25%
Smartkid Series II	105N014V02	13	30%
Smartkid Series II	105N014V02	14	35%
Smartkid Series II	105N014V02	15	40%
Smartkid Series II	105N014V02	16	45%
Smartkid Series II	105N014V02	17	50%
Smartkid Series II	105N014V02	18	60%
Smartkid Series II	105N014V02	19 & above	65%
Cashbak Series I	105N005V01	20	50%
Cashbak Series II	105N005V02	15	25%
Cashbak Series II	105N005V02	20	45%
Future Secure	105N117V01	11 & above	45%
Whole Life	105N116V01	10 & above	45%

**Terminal bonus rates (as a percentage of sum assured) for the current year (Participating pension):**

<b>Product</b>	<b>UIN</b>	<b>Term (in years)</b>	<b>Terminal Bonus Rate</b>
Forever Life Regular Premium Series I	105N001V01	20 and above	55%
Forever Life Regular Premium Series II	105N001V02	11	20%
Forever Life Regular Premium Series II	105N001V02	12	25%
Forever Life Regular Premium Series II	105N001V02	13 & 14	30%
Forever Life Regular Premium Series II	105N001V02	15	40%
Forever Life Regular Premium Series II	105N001V02	16	45%
Forever Life Regular Premium Series II	105N001V02	17	50%
Forever Life Regular Premium Series II	105N001V02	18 & above	55%

**Group Business**

**Historical Bonus rates :**

<b>Financial year</b>	<b>Bonus rate for group participating life products</b>	<b>Bonus rate for group participating pension products</b>
FY 2021-22	6.50%	7.25%
FY 2020-21	6.75%	7.75%
FY 2019-20	7.00%	8.00%
FY 2018-19	6.50%	7.50%
FY 2017-18	6.75%	7.75%
FY 2016-17	7.25%	8.25%
FY 2015-16	7.50%	8.50%
FY 2014-15	8.50%	8.50%
FY 2013-14	9.00%	9.00%
FY 2012-13	9.10%	9.10%
FY 2011-12	9.85%	9.85%
FY 2010-11	7.50%	8.50%

Special bonuses were declared in FY2010 of the following amounts

<b>Financial Year</b>	<b>Group Participating Life</b>	<b>Group Participating Pension</b>
2010-11	3.50%	2.50%

**Interim bonus rates for the current financial year:**

<b>Product</b>	<b>Bonus rate</b>
Group Gratuity Suraksha	6.25%
Group Leave Encashment Suraksha	6.25%
Group Superannuation Suraksha	7.00%

Name of the insurer :- ICICI Prudential Life Insurance Co. Ltd.

INDIVIDUAL BUSINESS

Range (Minimum to Maximum) of parameters used for valuation																		
Type	Category of business	Interest Rate		Mortality Rate <sup>1</sup>		Morbidity Rate		Fixed Expenses <sup>2</sup>		Variable Expenses <sup>3</sup>		Inflation Rate		Withdrawal rates <sup>4</sup>		Future Bonus Rates (Assumption)		
		As at 30th September 2022	As at 30th September 2021	As at 30th September 2022	As at 30th September 2021	As at 30th September 2022	As at 30th September 2021	As at 30th September 2022	As at 30th September 2021	As at 30th September 2022	As at 30th September 2021	As at 30th September 2022	As at 30th September 2021	As at 30th September 2022	As at 30th September 2021	As at 30th September 2022	As at 30th September 2021	
Par	Non-Linked -VIP																	
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Non-Linked -Others																	
	Life																	
	General Annuity	4.52% - 5.08%	4.29% - 4.84%	102.5% - 283.25%	102.5% - 200%	NA	NA	70 - 525	65 - 500	1.15%	0.95%	4.59%	4.30%	NA	NA	0.35% - 6.45%	0.60% - 6.45%	
	Pension																	
	Health																	
	Linked -VIP																	
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
Linked -Others																		
Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
Non-Par	Non-Linked -VIP																	
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Non-Linked -Others																	
	Life	3.67% - 5.74%	3.77% - 5.67%	25% - 434.4%	52.5% - 536.3%	Morbidity rates used are based on experience investigation results, expressed as a % of CIBT 93 table or on risk rates provided by reinsurers.	Morbidity rates used are based on CIBT 93 table, adjusted for expected experience, or on risk rates provided by reinsurers.	70 - 525	65 - 500	1.75%	2.00%	4.59%	4.30%	20% - 100% MAD on best estimate assumption for lapses	20% - 93% MAD on best estimate assumption for lapses			
	General Annuity	5.55% - 6.30%	5.58% - 5.64%	30% - 57.5%	30% - 60%	NA	NA	525	500	0% - 1.75%	0.00%	4.59%	4.30%	NA	NA			
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Health	5.54%	5.68%	42.5% - 72.5%	42.5% - 72.5%	Morbidity rates used are based on experience investigation results, expressed as a % of CIBT 93 table or on risk rates provided by reinsurers.	Morbidity rates used are based on CIBT 93 table, adjusted for expected experience, or on risk rates provided by reinsurers.	170	160	1.75%	2.00%	4.59%	4.30%	NA	NA			
	Linked -VIP																	
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
Linked -Others																		
Life	5.72%	5.76%	80% - 100%	62.5% - 197.5%	NA	NA	525	500	0.90%	0.70%	4.59%	4.30%	NA	NA				
General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
Pension	5.72%	5.76%	80% - 100%	62.5% - 197.5%	NA	NA	525	500	0.90%	0.70%	4.59%	4.30%	NA	NA				
Health	5.72%	5.76%	30% - 50%	12.5% - 65%	Morbidity rates used are based on experience investigation results, expressed as a % of CIBT 93 table or on risk rates provided by reinsurers.	Morbidity rates used are based on CIBT 93 table, adjusted for expected experience, or on risk rates provided by reinsurers.	525	500	0.90%	0.70%	4.59%	4.30%	NA	NA				

NOT APPLICABLE

<sup>1</sup> For Annuity, expressed as a % of Indian Individual Annuitant's Mortality 12-15 tables. For other lines of business, expressed as a % of IALM 12-14 tables.

<sup>2</sup> Fixed per policy expenses

<sup>3</sup> Premium related expenses

<sup>4</sup> Restricted to Lapse; 100% persistency is assumed where NA is mentioned.



Name of the insurer :- ICICI Prudential Life Insurance Co. Ltd.

GROUP BUSINESS

I.

Range (Minimum to Maximum) of parameters used for valuation

Type	Category of business	Interest Rate		Mortality Rate <sup>1</sup>		Morbidity Rate		Fixed Expenses <sup>2</sup>		Variable Expenses <sup>3</sup>		Inflation Rate		Withdrawal rates <sup>4</sup>		Future Bonus Rates (Assumption)	
		As at 30th September 2022	As at 30th September 2021	As at 30th September 2022	As at 30th September 2021	As at 30th September 2022	As at 30th September 2021	As at 30th September 2022	As at 30th September 2021	As at 30th September 2022	As at 30th September 2021	As at 30th September 2022	As at 30th September 2021	As at 30th September 2022	As at 30th September 2021	As at 30th September 2022	As at 30th September 2021
Par	<b>Non-Linked -VIP</b>																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	<b>Non-Linked -Others</b>																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	<b>Linked -VIP</b>																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	<b>Linked-Others</b>																
Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
Non-Par	<b>Non-Linked -VIP</b>																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	<b>Non-Linked -Others</b>																
	Life	4.62% - 5.65%	4.42% - 5.68%	61% - 673.75%	61% - 693%	Morbidity rates used are based on experience investigation results, expressed as a % of CIBT 93 table or on risk rates provided by reinsurers.	Morbidity rates used are based on CIBT 93 table, adjusted for expected experience, or on risk rates provided by reinsurers.	170	160	2.40%	2.40%	4.59%	4.30%	NA	NA	NA	NA
	General Annuity	5.55% - 6.24%	5.58%	30% - 57.5%	30% - 60%	NA	NA	525	500	0.00%	0.00%	4.59%	4.30%	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	<b>Linked -VIP</b>																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	<b>Linked-Others</b>																
Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	

NOT APPLICABLE

<sup>1</sup> For Annuity, expressed as a % of Indian Individual Annuitant's Mortality 12-15 tables. For other lines of business, expressed as a % of IALM 12-14 tables.

Reserving for Group term one year renewable product done on an unearned premium basis.

<sup>2</sup> Fixed per policy expenses

<sup>3</sup> Premium related expenses

<sup>4</sup> Restricted to Lapse; 100% persistency is assumed where NA is mentioned.

Form L 43 Voting Activity Disclosure under Stewardship Code

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

For the quarter ending: September 30, 2022

Date: September 30, 2022

Meeting Date	Investee Company Name	Type of Meeting (AGM/EGM)	Proposal of Management or Shareholder	Description of the proposal	Management Recommendation	Vote For/Against /Abstain	Reason supporting the vote decision
16-Jul-22	HDFC Bank Ltd.	AGM	MANAGEMENT	Adoption of consolidated financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
16-Jul-22	HDFC Bank Ltd.	AGM	MANAGEMENT	Adoption of standalone financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
16-Jul-22	HDFC Bank Ltd.	AGM	MANAGEMENT	Appoint Price Waterhouse as joint statutory auditors for three years till FY2025 and fix their remuneration	FOR	FOR	Appointment of Price Waterhouse is in line with statutory requirements. The proposed remuneration is reasonable compared to the size and scale of the company's operations.
16-Jul-22	HDFC Bank Ltd.	AGM	MANAGEMENT	Approve final dividend of ₹ 15.5 per share (face value ₹ 1)	FOR	FOR	Total dividend payout ratio will be 23.3%. We support the resolution.
16-Jul-22	HDFC Bank Ltd.	AGM	MANAGEMENT	Approve the reappointment Ms. Renu Karnad (DIN 00008064) as Non-Executive Director, nominee of Housing Development Finance Corporation Limited, liable to retire by rotation, for five years from 3 September, 2022 and fix her remuneration	FOR	FOR	Ms. Renu Karnad's reappointment is in line with statutory requirements. The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business.
16-Jul-22	HDFC Bank Ltd.	AGM	MANAGEMENT	Ratify the additional audit fees to statutory auditors, MSKA & Associates and M M Nissim Co. LLP for FY2022	FOR	FOR	The audit fees are reasonable given the size and scale of operations of the bank.
16-Jul-22	HDFC Bank Ltd.	AGM	MANAGEMENT	Reappoint Ms. Renu Karnad (DIN 00008064), as Director liable to retire by rotation	FOR	FOR	Ms. Renu Karnad's reappointment is in line with statutory requirements.
16-Jul-22	HDFC Bank Ltd.	AGM	MANAGEMENT	To issue debt securities up to ₹ 500.0 bn on private placement basis	FOR	FOR	The resolution is in line with statutory requirements.
19-Jul-22	Wipro Ltd.	AGM	MANAGEMENT	Adoption of standalone and consolidated financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting
19-Jul-22	Wipro Ltd.	AGM	MANAGEMENT	Confirm aggregate interim dividend of ₹ 6.0 per equity share (face value ₹2) as final dividend for FY2022	FOR	FOR	Total dividend payout ratio is 24.4% of the standalone PAT. We support the resolution.
19-Jul-22	Wipro Ltd.	AGM	MANAGEMENT	Reappoint Azim H. Premji (DIN: 00234280) as a Non-Executive Non-Independent Director, liable to retire by rotation	FOR	FOR	Azim H. Premji's reappointment is in line with statutory requirements.
19-Jul-22	Wipro Ltd.	AGM	MANAGEMENT	Reappoint Deloitte Haskins & Sells LLP as statutory auditors for five years from the 2022 AGM and fix their remuneration	FOR	FOR	Reappointment of Deloitte Haskins & Sells LLP is in line with statutory requirements. The proposed remuneration is reasonable compared to the size and scale of the company's operations.
20-Jul-22	ITC Ltd.	AGM	MANAGEMENT	Adoption of standalone and consolidated financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
20-Jul-22	ITC Ltd.	AGM	MANAGEMENT	Appoint Supratim Dutta (DIN: 01804345) as Whole Time Director from 22 July 2022 for three years or an earlier date to conform with the retirement policy and fix his remuneration	FOR	FOR	Supratim Dutta's appointment is in line with the statutory requirements. The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business.
20-Jul-22	ITC Ltd.	AGM	MANAGEMENT	Approve FY2023 remuneration aggregating ₹ 34 mn to SRBC & Co. LLP as statutory auditors for FY2023	FOR	FOR	The proposed remuneration is reasonable compared to the size and scale of the company's operations.

Meeting Date	Investee Company Name	Type of Meeting (AGM/EGM)	Proposal of Management or Shareholder	Description of the proposal	Management Recommendation	Vote For/Against /Abstain	Reason supporting the vote decision
20-Jul-22	ITC Ltd.	AGM	MANAGEMENT	Approve related party transactions with British American Tobacco (GLP) Limited, United Kingdom ('BAT GLP') upto ₹ 20.0 bn for FY2023	FOR	FOR	The resolution is in line with the statutory requirements.
20-Jul-22	ITC Ltd.	AGM	MANAGEMENT	Approve variation in remuneration terms of Sanjiv Puri, Chairperson and Managing Director, and Nakul Anand and Sumant Bhargavan, Whole-time Directors from 1 October 2022 till the remainder of their tenures	FOR	FOR	The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business.
20-Jul-22	ITC Ltd.	AGM	MANAGEMENT	Confirm interim dividend of ₹ 5.25 per share and declare final dividend of ₹ 6.25 per share (face value ₹ 1.0 each) for FY2022	FOR	FOR	The dividend payout ratio is 94.1% of standalone PAT. We support the resolution.
20-Jul-22	ITC Ltd.	AGM	MANAGEMENT	Ratify remuneration of ₹ 450,000 (plus reimbursement of actual expenses) for ABK & Associates, cost auditors for 'Wood Pulp' and 'Paper and Paperboard' products of the company for FY2023	FOR	FOR	The proposed remuneration is reasonable compared to the size and scale of the company's operations.
20-Jul-22	ITC Ltd.	AGM	MANAGEMENT	Ratify remuneration of ₹ 650,000 (plus reimbursement of actual expenses) for S. Mahadevan & Co., cost auditors for all products other than the 'Wood Pulp' and 'Paper and Paperboard' products of the company for FY2023	FOR	FOR	The proposed remuneration is comparable to the size and complexity of the business.
20-Jul-22	ITC Ltd.	AGM	MANAGEMENT	Reappoint David Robert Simpson (DIN: 07717430) as Director, liable to retire by rotation	FOR	FOR	David Robert Simpson's reappointment is in line with the statutory requirements.
20-Jul-22	ITC Ltd.	AGM	MANAGEMENT	Reappoint Nakul Anand (DIN: 00022279) as Director, liable to retire by rotation	FOR	FOR	Nakul Anand's reappointment is in line with the statutory requirements.
21-Jul-22	PVR Ltd.	AGM	MANAGEMENT	Adoption of standalone and consolidated financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
21-Jul-22	PVR Ltd.	AGM	MANAGEMENT	Appoint S. R. Batliboi & Co. LLP. as statutory auditors for five years from the FY2022 AGM till the conclusion of the 2027 AGM and fix their remuneration	FOR	FOR	Appointment of S. R. Batliboi & Co. LLP is in line with statutory requirements.
21-Jul-22	PVR Ltd.	AGM	MANAGEMENT	Approve payment of onetime Special Incentive of ₹40.0 mn to Sanjeev Kumar, Joint Managing Director, for FY2022	FOR	ABSTAIN	As per IIAS, a) commission is 'pay-at-risk' and should be paid only if the company generates profits; b) Aggregate promoter remuneration – at fixed pay levels – is already relatively high; c) PVR's performance is yet to reach pre-pandemic levels.  The company has managed its operations well during the challenging Covid period. Despite that the JMD was not paid commission during this period. With the normalization of operations now post Covid-led disruptions the company is expected to be profitable again going ahead in line with its history. We believe the stated resolution does not go against the statutory requirement and hence we abstain from voting.
21-Jul-22	PVR Ltd.	AGM	MANAGEMENT	Approve payment of onetime Special Incentive of ₹ 60.0 mn to Ajay Bijli, Chairperson and Managing Director, for FY2022	FOR	ABSTAIN	As per IIAS, a) commission is 'pay-at-risk' and should be paid only if the company generates profits; b) Aggregate promoter remuneration – at fixed pay levels – is already relatively high; c) PVR's performance is yet to reach pre-pandemic levels.  The company has managed its operations well during the challenging Covid period. Despite that the CMD was not paid commission during this period. With the normalization of operations now post Covid-led disruptions the company is expected to be profitable again going ahead in line with its history. We believe the stated resolution does not go against the statutory requirement and hence we abstain from voting.

Meeting Date	Investee Company Name	Type of Meeting (AGM/EGM)	Proposal of Management or Shareholder	Description of the proposal	Management Recommendation	Vote For/Against /Abstain	Reason supporting the vote decision
21-Jul-22	PVR Ltd.	AGM	MANAGEMENT	Approve payment of remuneration of ₹ 1.8 mn to Deepa Misra Harris (DIN: 00064912), Independent Director, for FY2022	FOR	FOR	The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business.
21-Jul-22	PVR Ltd.	AGM	MANAGEMENT	Approve payment of remuneration of ₹1.8 mn to Ms. Pallavi Shardul Shroff (DIN: 00013580), Independent Director, for FY2022	FOR	FOR	The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business.
21-Jul-22	PVR Ltd.	AGM	MANAGEMENT	Approve payment of remuneration of ₹ 2.0 mn to Vikram Bakshi (DIN: 00189930), Independent Director, for FY2022	FOR	FOR	The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business.
21-Jul-22	PVR Ltd.	AGM	MANAGEMENT	Approve payment of remuneration of ₹ 2.4 mn to Sanjai Vohra (DIN: 00700879), Independent Director, for FY2022	FOR	FOR	The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business.
21-Jul-22	PVR Ltd.	AGM	MANAGEMENT	Approve payment of remuneration of ₹44.3 mn to Sanjeev Kumar, Joint Managing Director, for FY2022	FOR	FOR	The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business.
21-Jul-22	PVR Ltd.	AGM	MANAGEMENT	Approve payment of remuneration of ₹64.2 mn to Ajay Bijli, Chairperson and Managing Director, for FY2022	FOR	FOR	The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business.
21-Jul-22	PVR Ltd.	AGM	MANAGEMENT	Approve payment of remuneration of USD 35,000 (₹2.7 mn) to Gregory Adam Foster (DIN: 08926167), Independent Director, for FY2022	FOR	FOR	The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business.
21-Jul-22	PVR Ltd.	AGM	MANAGEMENT	Reappoint Ms. Renuka Ramnath (DIN: 00147182) as a Non-Executive Non-Independent Director, liable to retire by rotation	FOR	FOR	Ms. Renuka Ramnath's reappointment is in line with statutory requirements.
21-Jul-22	SRF Ltd.	AGM	MANAGEMENT	Adoption of standalone and consolidated financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
21-Jul-22	SRF Ltd.	AGM	MANAGEMENT	Appoint Raj Kumar Jain (DIN:01741527) as Independent Director for five years from 9 May 2022	FOR	FOR	Raj Kumar Jain's appointment is in line with statutory requirements.
21-Jul-22	SRF Ltd.	AGM	MANAGEMENT	Approve aggregate remuneration of ₹ 825,000 to cost auditors H Tara & Co and Sanjay Gupta & Associates for FY2023	FOR	FOR	The proposed remuneration is reasonable compared to the size and scale of the company's operations.
21-Jul-22	SRF Ltd.	AGM	MANAGEMENT	Approve private placement of non-convertible debentures of up to ₹ 15.0 bn	FOR	FOR	The resolution is line with the statutory requirements.
21-Jul-22	SRF Ltd.	AGM	MANAGEMENT	Reappoint Ashish Bharat Ram (DIN: 00671567) as Director liable to retire by rotation	FOR	FOR	Ashish Bharat Ram's reappointment is in line with statutory requirements.
21-Jul-22	SRF Ltd.	AGM	MANAGEMENT	Redesignate Ashish Bharat Ram (DIN: 00671567) as Chairperson and Managing Director from 1 April 2022 for the remainder of his tenure till 22 May 2025	FOR	FOR	Ashish Bharat Ram's redesignation is in line with statutory requirements.
21-Jul-22	SRF Ltd.	AGM	MANAGEMENT	Redesignate Kartik Bharat Ram (DIN: 00008557), as Joint Managing Director from 1 April 2022 for the remainder of his tenure till 31 May 2026	FOR	FOR	Kartik Bharat Ram's redesignation is in line with statutory requirements
21-Jul-22	SRF Ltd.	AGM	MANAGEMENT	Redesignate Vellayan Subbiah (DIN: 01138759) as Non-Executive Non-Independent Director, from 10 May 2022, liable to retire by rotation	FOR	FOR	Vellayan Subbiah's redesignation is in line with statutory requirements.
22-Jul-22	Crompton Greaves Consumer Electricals Ltd.	AGM	MANAGEMENT	Adoption of standalone and consolidated financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
22-Jul-22	Crompton Greaves Consumer Electricals Ltd.	AGM	MANAGEMENT	Approve payment of commission to Non-Executive Directors up to 1.0% of the net profits from FY2023	FOR	ABSTAIN	As per the proxy advisor, the resolution is in-line with market practices and in line with statutory regulations. However, it believes that the resolution is effectively valid in perpetuity as the company has not defined a tenure for payment of commission.  We believe that the stated resolution does not go against the statutory requirements and hence we Abstain from voting.

Meeting Date	Investee Company Name	Type of Meeting (AGM/EGM)	Proposal of Management or Shareholder	Description of the proposal	Management Recommendation	Vote For/Against /Abstain	Reason supporting the vote decision
22-Jul-22	Crompton Greaves Consumer Electricals Ltd.	AGM	MANAGEMENT	Declare final dividend of ₹ 2.5 per equity share (face value of ₹ 2.0) for FY2022	FOR	FOR	The dividend payout ratio is 26.7% of standalone PAT for FY2022. We support the resolution.
22-Jul-22	Crompton Greaves Consumer Electricals Ltd.	AGM	MANAGEMENT	Ratify remuneration of ₹ 600,000 for Ashwin Solanki & Associates as cost auditors for FY2023	FOR	FOR	The proposed remuneration is reasonable compared to the size and scale of the company's operations.
22-Jul-22	Crompton Greaves Consumer Electricals Ltd.	AGM	MANAGEMENT	Reappoint Promeeet Ghosh (DIN: 05307658) as Non-Executive Non-Independent Director, liable to retire by rotation	FOR	FOR	Promeeet Ghosh's reappointment is in line with statutory requirements.
22-Jul-22	Crompton Greaves Consumer Electricals Ltd.	AGM	MANAGEMENT	Reclassify MacRitchie Investments Pte. Ltd. and Seletar Investments Pte Ltd. (Temasek investment vehicles) to public shareholder category from promoter group category	FOR	FOR	The resolution is in line with the statutory requirements.
26-Jul-22	Titan Company Ltd.	AGM	MANAGEMENT	Adoption of consolidated financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
26-Jul-22	Titan Company Ltd.	AGM	MANAGEMENT	Adoption of standalone financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
26-Jul-22	Titan Company Ltd.	AGM	MANAGEMENT	Appoint Ms. Jayashree Muralidharan (DIN: 03048710), as Non-Executive Non-Independent Director, liable to retire by rotation (nominee of TIDCO), from 11 August 2021	FOR	FOR	Ms. Jayashree Muralidharan's appointment meets statutory requirements.
26-Jul-22	Titan Company Ltd.	AGM	MANAGEMENT	Appoint Saranyan Krishnan (DIN: 03439632) as Non-Executive Non-Independent Director, liable to retire by rotation (nominee of TIDCO), from 10 December 2021,	FOR	FOR	Saranyan Krishnan's appointment meets statutory requirements.
26-Jul-22	Titan Company Ltd.	AGM	MANAGEMENT	Approve change in place of keeping registers, returns and other documents	FOR	FOR	The resolution is in line with the statutory requirements.
26-Jul-22	Titan Company Ltd.	AGM	MANAGEMENT	Approve final dividend of ₹ 7.5 per share of face value ₹1.0 each	FOR	FOR	The total dividend payout ratio is 30.6% and we support the resolution
26-Jul-22	Titan Company Ltd.	AGM	MANAGEMENT	Authorize the board to appoint branch auditors	FOR	FOR	The resolution is in line with the statutory requirements.
26-Jul-22	Titan Company Ltd.	AGM	MANAGEMENT	Reappoint B S R & Co. LLP, Chartered Accountants, as statutory auditors for five years and authorize the board and audit committee to fix their remuneration	FOR	FOR	Reappointment of B S R & Co. LLP is in line with statutory requirements. The proposed remuneration is reasonable compared to the size and scale of the company's operations.
26-Jul-22	Titan Company Ltd.	AGM	MANAGEMENT	Reappoint Noel Naval Tata (DIN: 00024713) as Non-Executive Non-Independent Director, liable to retire by rotation	FOR	FOR	Noel Naval Tata's reappointment is in line with statutory requirements.
27-Jul-22	Redington (India) Ltd.	AGM	MANAGEMENT	Adoption of consolidated financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
27-Jul-22	Redington (India) Ltd.	AGM	MANAGEMENT	Adoption of standalone financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
27-Jul-22	Redington (India) Ltd.	AGM	MANAGEMENT	Appoint Deloitte Haskins and Sells LLP as statutory auditors for five years from the conclusion of the FY2022 AGM till the conclusion of the FY2027 AGM and fix their remuneration	FOR	FOR	Appointment of Deloitte Haskins & Sells LLP is in line with statutory requirements. The proposed remuneration is reasonable compared to the size and scale of the company's operations.
27-Jul-22	Redington (India) Ltd.	AGM	MANAGEMENT	Appoint Deloitte & Touche LLP, Singapore as auditors for the Singapore branch office for FY2023	FOR	FOR	Appointment of Deloitte & Touche LLP, Singapore is in line with statutory requirements.

Meeting Date	Investee Company Name	Type of Meeting (AGM/EGM)	Proposal of Management or Shareholder	Description of the proposal	Management Recommendation	Vote For/Against /Abstain	Reason supporting the vote decision
27-Jul-22	Redington (India) Ltd.	AGM	MANAGEMENT	Approve change of name of the company to "Redington Limited" from "Redington (India) Limited" and consequent amendment to Memorandum and Articles of Association	FOR	FOR	The resolution is in line with the statutory requirements.
27-Jul-22	Redington (India) Ltd.	AGM	MANAGEMENT	Declare final dividend of ₹ 6.6 per equity share of face value of ₹ 2.0 per share for FY2022	FOR	FOR	The total dividend payout ratio is 55.2% of standalone PAT and 39.2% of consolidated PAT. We support the resolution.
27-Jul-22	Redington (India) Ltd.	AGM	MANAGEMENT	Reappoint Ms. Chen Yi Ju (DIN: 08031113) as Director, liable to retire by rotation	FOR	FOR	Ms. Chen Yi Ju reappointment is in line with with statutory requirements.
27-Jul-22	Bajaj Finance Ltd.	AGM	MANAGEMENT	Adoption of standalone and consolidated financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
27-Jul-22	Bajaj Finance Ltd.	AGM	MANAGEMENT	Appoint Ms. Radhika Haribhakti (DIN: 02409519) as Independent Director for five years from 1 May 2022	FOR	FOR	Ms. Radhika Haribhakti's appointment is in line with statutory requirements
27-Jul-22	Bajaj Finance Ltd.	AGM	MANAGEMENT	Approve issue of non-convertible debentures through private placement	FOR	FOR	The resolution is in line with the statutory requirements.
27-Jul-22	Bajaj Finance Ltd.	AGM	MANAGEMENT	Approve payment of commission to Non-Executive Directors upto 1% of the net profits in case of profits and inadequacy of profits for five years from FY2023	FOR	FOR	The proposed commission is in line with peers and reasonable compared to the size and complexity of business.
27-Jul-22	Bajaj Finance Ltd.	AGM	MANAGEMENT	Declare dividend of ₹ 20.0 per equity share (face value ₹ 2) for FY2022	FOR	FOR	The total dividend payout ratio is 19.0% of the standalone PAT. We support this resolution.
27-Jul-22	Bajaj Finance Ltd.	AGM	MANAGEMENT	Reappoint Deloitte Haskins & Sells as joint statutory auditors for two years and fix their remuneration	FOR	FOR	Reappointment of Deloitte Haskins & Sells LLP is in line with statutory requirements. The proposed remuneration is reasonable compared to the size and scale of the company's operations.
27-Jul-22	Bajaj Finance Ltd.	AGM	MANAGEMENT	Reappoint G. M. Kapadia & Co. as joint statutory auditors for two years and fix their remuneration	FOR	FOR	Reappointment of G.M. Kapadia & Co. is in line with statutory requirements.
27-Jul-22	Bajaj Finance Ltd.	AGM	MANAGEMENT	Reappoint Rajeev Jain (DIN: 01550158) as Director, liable to retire by rotation	FOR	FOR	Rajeev Jain's reappointment is in line with statutory requirements.
27-Jul-22	Blue Dart Express Ltd.	AGM	MANAGEMENT	Adoption of standalone and consolidated financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
27-Jul-22	Blue Dart Express Ltd.	AGM	MANAGEMENT	Appoint Deloitte Haskins & Sells LLP as statutory auditors for five years from 2022 AGM and fix their remuneration	FOR	FOR	Deloitte Haskins & Sells LLP's appointment as statutory auditor is in line with statutory requirements.
27-Jul-22	Blue Dart Express Ltd.	AGM	MANAGEMENT	Appoint Ms. Padmini Khare Kaicker (DIN: 00296388) as Independent Director for five years from 28 July 2022	FOR	ABSTAIN	IIAS notes that given their full-time responsibilities, regulations allow whole time directors of listed companies to be independent directors in maximum of 3 listed companies. IIAS believes that Ms. Padmini's responsibilities as the Managing Partner of B. K. Khare & Co are equivalent to a whole-time directorship. Therefore, the high number of directorships on listed companies are not in keeping with the spirit of the regulation.  We believe that the stated resolution doesn't go against the statutory requirements and hence we abstain from voting.
27-Jul-22	Blue Dart Express Ltd.	AGM	MANAGEMENT	Appoint Prakash Apte (DIN: 00196106) as Independent Director for five years from 28 July 2022	FOR	FOR	Prakash Apte's appointment is in line with statutory requirements.
27-Jul-22	Blue Dart Express Ltd.	AGM	MANAGEMENT	Approve additional incentive of ₹ 0.6 mn, to Balfour Manuel (DIN: 8416666), Managing Director, in addition to approved FY2022 incentive ₹ 12.9 mn, for 31 December 2021	FOR	FOR	The proposed incentive is commensurate with the size of the company and in line with that of remuneration paid to peers

Meeting Date	Investee Company Name	Type of Meeting (AGM/EGM)	Proposal of Management or Shareholder	Description of the proposal	Management Recommendation	Vote For/Against /Abstain	Reason supporting the vote decision
27-Jul-22	Blue Dart Express Ltd.	AGM	MANAGEMENT	Approve revision in remuneration of Balfour Manuel (DIN: 08416666), Managing Director for the period 1 April 2022 upto 15 May 2022	FOR	FOR	The proposed remuneration is commensurate with the size of the company and in line with that of remuneration paid to peers
27-Jul-22	Blue Dart Express Ltd.	AGM	MANAGEMENT	Confirm interim dividend of ₹ 25.0 per equity share and approve final dividend of ₹ 35.0 per equity share (face value of ₹ 10.0) for FY2022	FOR	FOR	The total dividend payout ratio is 37.9%. We support this resolution.
27-Jul-22	Blue Dart Express Ltd.	AGM	MANAGEMENT	Reappoint Balfour Manuel (DIN: 08416666) as Managing Director for five years from 16 May 2022 and fix his remuneration	FOR	FOR	Balfour Manuel's reappointment is in line with statutory requirements. The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business.
27-Jul-22	Blue Dart Express Ltd.	AGM	MANAGEMENT	Reappoint Ms. Tulsi Nowlakhia Mirchandaney (DIN: 01842520) as Non-Executive Non-Independent Director, liable to retire by rotation	FOR	FOR	Tulsi Nowlakhia Mirchandaney's reappointment is in line with statutory requirements.
29-Jul-22	Dr. Reddy'S Laboratories Ltd.	AGM	MANAGEMENT	Adoption of standalone and consolidated financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
29-Jul-22	Dr. Reddy'S Laboratories Ltd.	AGM	MANAGEMENT	Approve remuneration of ₹ 700,000 to be paid to Sagar & Associates, cost auditor for FY2023	FOR	FOR	The remuneration to be paid to the cost auditor is reasonable compared to the size and scale of operations.
29-Jul-22	Dr. Reddy'S Laboratories Ltd.	AGM	MANAGEMENT	Declare dividend of ₹ 30 per equity share of ₹ 5 each	FOR	FOR	The dividend payout ratio is 30.8%. We support the resolution.
29-Jul-22	Dr. Reddy'S Laboratories Ltd.	AGM	MANAGEMENT	Reappoint K Satish Reddy (DIN: 00129701) as Director, liable to retire by rotation	FOR	FOR	K Satish Reddy's reappointment is in line with statutory requirements.
29-Jul-22	Dr. Reddy'S Laboratories Ltd.	AGM	MANAGEMENT	Reappoint K. Satish Reddy (DIN: 00129701) as Executive Chairperson for five years from 1 October 2022 and fix his remuneration	FOR	FOR	K Satish Reddy's appointment is in line with statutory requirements. The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business.
29-Jul-22	Torrent Pharmaceuticals Ltd.	AGM	MANAGEMENT	Adoption of standalone and consolidated financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
29-Jul-22	Torrent Pharmaceuticals Ltd.	AGM	MANAGEMENT	Confirm interim dividend of ₹ 25.0 and approve final dividend of ₹ 23.0 per share of face value ₹ 5.0 each for FY2022	FOR	FOR	The dividend payout ratio is 82% (FY2021: 52.1%). We support the resolution.
29-Jul-22	Torrent Pharmaceuticals Ltd.	AGM	MANAGEMENT	Issue of equity shares or equity-linked securities aggregating upto ₹ 50 bn through QIP or private placement	FOR	FOR	The resolution is in line with statutory requirements.
29-Jul-22	Torrent Pharmaceuticals Ltd.	AGM	MANAGEMENT	Ratify remuneration of ₹ 0.88 mn for Kirit Mehta & Co. as cost auditors for FY2023	FOR	FOR	The proposed remuneration is reasonable compared to the size and scale of the company's operations.
29-Jul-22	Torrent Pharmaceuticals Ltd.	AGM	MANAGEMENT	Reappoint BSR & Co. LLP as statutory auditors for five years from the 2022 AGM and fix their remuneration	FOR	FOR	Reappointment of BSR & Co. LLP is in line with statutory requirements. The proposed remuneration is reasonable compared to the size and scale of the company's operations.
29-Jul-22	Torrent Pharmaceuticals Ltd.	AGM	MANAGEMENT	Reappoint Jinesh Shah (DIN: 00406498) as Director, liable to retire by rotation	FOR	FOR	Jinesh Shah's reappointment is in line with the statutory requirements.
29-Jul-22	Axis Bank Ltd.	AGM	MANAGEMENT	Adoption of standalone and consolidated financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
29-Jul-22	Axis Bank Ltd.	AGM	MANAGEMENT	Appoint Manoj Kohli (DIN: 00162071) as Independent Director for four years from 17 June 2022	FOR	FOR	Manoj Kohli's appointment is in line with statutory requirements.
29-Jul-22	Axis Bank Ltd.	AGM	MANAGEMENT	Approve borrowing/raising funds in Indian Currency/Foreign Currency by issue of debt instruments including but not limited to bonds and non-convertible debentures for an amount upto Rs 350 bn	FOR	FOR	The resolution is in line with the statutory requirements.

Meeting Date	Investee Company Name	Type of Meeting (AGM/EGM)	Proposal of Management or Shareholder	Description of the proposal	Management Recommendation	Vote For/Against /Abstain	Reason supporting the vote decision
29-Jul-22	Axis Bank Ltd.	AGM	MANAGEMENT	Approve dividend of ₹ 1.0 of face value Rs 2.0 per equity share	FOR	FOR	Total dividend payout ratio will be 2.4%. We support this resolution.
29-Jul-22	Axis Bank Ltd.	AGM	MANAGEMENT	Approve material related party transactions for acceptance of deposits in current / savings account or any other similar accounts permitted to be opened under applicable laws from the 2022 AGM to the 2023 AGM	FOR	FOR	The resolution is in line with the statutory requirements.
29-Jul-22	Axis Bank Ltd.	AGM	MANAGEMENT	Approve material related party transactions for fund based or non-fund-based credit facilities including consequential interest / fees from the 2022 AGM to the 2023 AGM	FOR	FOR	The resolution is in line with the statutory requirements.
29-Jul-22	Axis Bank Ltd.	AGM	MANAGEMENT	Approve material related party transactions for issue of securities of the bank to related parties, payment of interest and redemption amount from the 2022 AGM to the 2023 AGM	FOR	FOR	The resolution is in line with the statutory requirements.
29-Jul-22	Axis Bank Ltd.	AGM	MANAGEMENT	Approve material related party transactions for money market instruments / term borrowing / term lending (including repo / reverse repo) from the 2022 AGM to the 2023 AGM	FOR	FOR	The resolution is in line with the statutory requirements.
29-Jul-22	Axis Bank Ltd.	AGM	MANAGEMENT	Approve material related party transactions for receipt of fees / commission for distribution of insurance products and other related business from the 2022 AGM to the 2023 AGM	FOR	FOR	The resolution is in line with the statutory requirements.
29-Jul-22	Axis Bank Ltd.	AGM	MANAGEMENT	Approve material related party transactions for sale of securities (of related or other unrelated parties) to related parties from the 2022 AGM to the 2023 AGM	FOR	FOR	The resolution is in line with the statutory requirements.
29-Jul-22	Axis Bank Ltd.	AGM	MANAGEMENT	Approve material related party transactions for subscription of securities issued by the related parties and / or purchase of securities (of related or other unrelated parties) from related parties from the 2022 AGM to the 2023 AGM	FOR	FOR	The resolution is in line with the statutory requirements.
29-Jul-22	Axis Bank Ltd.	AGM	MANAGEMENT	Approve material related party transactions pertaining to forex and derivative contracts from the 2022 AGM to the 2023 AGM	FOR	FOR	The resolution is in line with the statutory requirements.
29-Jul-22	Axis Bank Ltd.	AGM	MANAGEMENT	Increase borrowing limits from ₹ 2.0 tn to ₹ 2.5 tn	FOR	FOR	The resolution is in line with the statutory requirements.
29-Jul-22	Axis Bank Ltd.	AGM	MANAGEMENT	Reappoint Girish Paranjpe (DIN: 02172725) as Independent Director for four years from 2 November 2022	FOR	FOR	Girish Paranjpe's reappointment is in line with statutory requirements.
29-Jul-22	Axis Bank Ltd.	AGM	MANAGEMENT	Reappoint T. C. Suseel Kumar (DIN 06453310) as nominee of LIC, non-executive director liable to retire by rotation	FOR	FOR	T. C. Suseel Kumar's reappointment is in line with statutory requirements.
2-Aug-22	Amber Enterprises India Ltd.	AGM	MANAGEMENT	Adoption of standalone and consolidated financial statements together with the reports of the Board of Directors and the auditors for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
2-Aug-22	Amber Enterprises India Ltd.	AGM	MANAGEMENT	Appoint Arvind Uppal (DIN:00104992) as Independent Director for two years from 13 May 2022	FOR	FOR	Arvind Uppal's appointment is in line with statutory requirements.
2-Aug-22	Amber Enterprises India Ltd.	AGM	MANAGEMENT	Appoint S R Batliboi & Co LLP as statutory auditors for a period of five years from FY2022 and fix their remuneration	FOR	FOR	Reappointment of S R Batliboi & Co LLP is in line with statutory requirements. The proposed remuneration is reasonable compared to the size and scale of the company's operations.



Meeting Date	Investee Company Name	Type of Meeting (AGM/EGM)	Proposal of Management or Shareholder	Description of the proposal	Management Recommendation	Vote For/Against /Abstain	Reason supporting the vote decision
2-Aug-22	Amber Enterprises India Ltd.	AGM	MANAGEMENT	Approve grant of loan upto Rs 500 mn per annum each to AmberPR Technoplast India Private Limited (AmberPR, 73% subsidiary) and Pravartaka Tooling Services Private Limited (Pravartaka, 60% subsidiary) under Section 185 of the Companies Act, 2013	FOR	ABSTAIN	As per the company: 1) This is an enabling resolution. The pre-approval shall enable it to provide loans in need of urgency and avoid any business losses due to lead time for statutory approval requirements for fund raising 2) Support will be extended to the subsidiary companies only for its business purpose 3) Interest charged on such loan will be at a rate higher of a) the rate of prevailing yield of 1 year, 3 years, 5 years or 10 years Government security closest to the tenor of the loan or b) Amber's rate of borrowing.  In view of the above response received from the company we abstain from voting.
2-Aug-22	Amber Enterprises India Ltd.	AGM	MANAGEMENT	Issue of equity or debt securities upto ₹ 5.0 bn	FOR	FOR	The resolution is in line with the statutory requirements.
2-Aug-22	Amber Enterprises India Ltd.	AGM	MANAGEMENT	Ratify remuneration of ₹ 45,000 to K. G. Goyal & Associates as cost auditors for FY2023	FOR	FOR	The proposed remuneration is reasonable compared to the size and scale of the company's operations.
2-Aug-22	Amber Enterprises India Ltd.	AGM	MANAGEMENT	Reappoint Daljit Singh (DIN: 02023964) as Managing Director, for five years from 24 August 2022 and fix his remuneration	FOR	FOR	Daljit Singh's reappointment is in line with statutory requirements. The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business.
2-Aug-22	Amber Enterprises India Ltd.	AGM	MANAGEMENT	Reappoint Jasbir Singh (DIN: 00259632) as Director, liable to retire by rotation	FOR	FOR	Jasbir Singh's reappointment is in line with statutory requirements.
3-Aug-22	Bosch Ltd.	AGM	MANAGEMENT	Adoption of consolidated financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
3-Aug-22	Bosch Ltd.	AGM	MANAGEMENT	Adoption of standalone financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
3-Aug-22	Bosch Ltd.	AGM	MANAGEMENT	Appoint Karsten Mueller (DIN: 08998443) as Whole-time Director for three years from 1 July 2022 and fix his remuneration	FOR	FOR	Karsten Mueller's reappointment is in line with statutory requirements. The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business.
3-Aug-22	Bosch Ltd.	AGM	MANAGEMENT	Appoint Ms. Filiz Albrecht (DIN: 0009607767) as Non-Executive Non-Independent Director from 1 July 2022, liable to retire by rotation	FOR	FOR	Ms. Filiz Albrecht's appointment is in line with statutory requirements
3-Aug-22	Bosch Ltd.	AGM	MANAGEMENT	Appoint Ms. Padmini Khare (DIN:00296388) as an Independent Director for five years from 19 May 2022	FOR	ABSTAIN	IIAS notes that given their full-time responsibilities, regulations allow whole time directors of listed companies to be independent directors in maximum of 3 listed companies. IIAS believes that Ms. Padmini's responsibilities as the Managing Partner of B. K. Khare & Co are equivalent to a whole-time directorship. Therefore, the high number of directorships on listed companies are not in keeping with the spirit of the regulation.  We believe that the stated resolution doesn't go against the statutory requirements and hence we abstain from voting.
3-Aug-22	Bosch Ltd.	AGM	MANAGEMENT	Appoint S.R. Batliboi & Associates LLP as statutory auditors for five years from the 2022 AGM and fix their remuneration	FOR	FOR	S.R.Batliboi & Associates LLP's appointment as statutory auditor is in line with statutory requirements. The proposed remuneration is reasonable compared to the size and scale of the company's operations.
3-Aug-22	Bosch Ltd.	AGM	MANAGEMENT	Approve remuneration of ₹ 700,000 payable to Rao, Murthy & Associates as cost auditors for FY2023	FOR	FOR	The proposed remuneration is reasonable compared to the size and scale of the company's operations.
3-Aug-22	Bosch Ltd.	AGM	MANAGEMENT	Declare final dividend of ₹ 210.0 per equity share of face value ₹ 10.0 each	FOR	FOR	The dividend payout ratio for FY2022 is 50.9%. We support this resolution.

Meeting Date	Investee Company Name	Type of Meeting (AGM/EGM)	Proposal of Management or Shareholder	Description of the proposal	Management Recommendation	Vote For/Against /Abstain	Reason supporting the vote decision
3-Aug-22	Bosch Ltd.	AGM	MANAGEMENT	Reappoint Ms. Hema Ravichandar (DIN: 00032929) as an Independent Director for five years from 2 September 2022	FOR	ABSTAIN	IIAS notes that given their full-time responsibilities, regulations allow whole time directors of listed companies to be independent directors in maximum of 3 listed companies. IIAS believes that Ms. Hema Ravichandar's responsibilities as an independent HR consultant are equivalent to a whole-time directorship. Therefore, the high number of directorships on listed companies are not in keeping with the spirit of the regulation.  We believe that the stated resolution doesn't go against the statutory requirements and hence we abstain from voting.
3-Aug-22	Bosch Ltd.	AGM	MANAGEMENT	Reappoint Sandeep N (DIN: 08264554) as Director, liable to retire by rotation	FOR	FOR	Sandeep N's reappointment is in line with statutory requirements.
4-Aug-22	Larsen & Toubro Ltd.	AGM	MANAGEMENT	Adoption of standalone and consolidated financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
4-Aug-22	Larsen & Toubro Ltd.	AGM	MANAGEMENT	Approve material related party transactions upto higher of ₹ 23.0 bn or US\$ 300 mn with L&T Modular Fabrication Yard LLC from the FY2022 AGM till the FY2023 AGM or fifteen months, whichever is earlier	FOR	FOR	The resolution is in line with the statutory requirements.
4-Aug-22	Larsen & Toubro Ltd.	AGM	MANAGEMENT	Approve material related party transactions upto higher of ₹ 60.0 bn or US\$ 800 mn with Larsen Toubro Arabia LLC, L&T Modular Fabrication Yard LLC, Larsen & Toubro Electromech LLC, Larsen & Toubro Heavy Engineering LLC and Larsen & Toubro Kuwait General Contracting Co WLL from the FY2022 AGM till the FY2023 AGM or fifteen months, whichever is earlier	FOR	FOR	The resolution is in line with the statutory requirements.
4-Aug-22	Larsen & Toubro Ltd.	AGM	MANAGEMENT	Approve material related party transactions upto ₹ 20.0 bn with L&T Special Steels and Heavy Forgings Private Limited from the FY2022 AGM till the FY2023 AGM or fifteen months, whichever is earlier	FOR	FOR	The resolution is in line with the statutory requirements.
4-Aug-22	Larsen & Toubro Ltd.	AGM	MANAGEMENT	Approve material related party transactions upto ₹ 26.0 bn with L&T-MHI Power Turbine Generators Private Limited (LMTG) from the FY2022 AGM till the FY2023 AGM or fifteen months, whichever is earlier	FOR	FOR	The resolution is in line with the statutory requirements.
4-Aug-22	Larsen & Toubro Ltd.	AGM	MANAGEMENT	Approve material related party transactions upto ₹ 65.0 bn with L&T-MHI Power Boilers Private Limited (LMB) from the FY2022 AGM till the FY2023 AGM or fifteen months, whichever is earlier	FOR	FOR	The resolution is in line with the statutory requirements.
4-Aug-22	Larsen & Toubro Ltd.	AGM	MANAGEMENT	Declare final dividend of ₹ 22.0 per equity share of face value of ₹ 2.0 per share for FY2022	FOR	FOR	The dividend payout ratio is 39.2%. We support the resolution
4-Aug-22	Larsen & Toubro Ltd.	AGM	MANAGEMENT	Issue securities of upto ₹ 45.0 bn or US\$ 600 mn, whichever is higher, through Qualified Institutional Placement	FOR	FOR	The resolution is in line with the statutory requirements.
4-Aug-22	Larsen & Toubro Ltd.	AGM	MANAGEMENT	Ratify remuneration payable of ₹ 1.7 mn to R. Nanabhoy & Co. as cost auditors for FY2023	FOR	FOR	The proposed remuneration is reasonable compared to the size and scale of the company's operations.
4-Aug-22	Larsen & Toubro Ltd.	AGM	MANAGEMENT	Reappoint S.N. Subrahmanyam (DIN: 02255382) as Managing Director and Chief Executive Officer for five years from 1 July 2022 and fix his remuneration	FOR	FOR	S.N. Subrahmanyam's reappointment is in line with statutory requirements. The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business.
4-Aug-22	Larsen & Toubro Ltd.	AGM	MANAGEMENT	Reappoint Subramanian Sarma (DIN: 00554221) as Director, liable to retire by rotation	FOR	FOR	Subramanian Sarma's reappointment is in line with statutory requirements.
4-Aug-22	Larsen & Toubro Ltd.	AGM	MANAGEMENT	Reappoint S.V. Desai (DIN: 07648203) as Director, liable to retire by rotation	FOR	FOR	S.V. Desai's reappointment is in line with statutory requirements.
4-Aug-22	Larsen & Toubro Ltd.	AGM	MANAGEMENT	Reappoint T. Madhava Das (DIN: 07648203) as Director, liable to retire by rotation	FOR	FOR	T. Madhava Das's reappointment is in line with statutory requirements.

Meeting Date	Investee Company Name	Type of Meeting (AGM/EGM)	Proposal of Management or Shareholder	Description of the proposal	Management Recommendation	Vote For/Against /Abstain	Reason supporting the vote decision
5-Aug-22	IDFC First Bank Ltd.	AGM	MANAGEMENT	Adoption of consolidated financial statements for year ending 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
5-Aug-22	IDFC First Bank Ltd.	AGM	MANAGEMENT	Adoption of standalone financial statements for year ending 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
5-Aug-22	IDFC First Bank Ltd.	AGM	MANAGEMENT	Reappoint Ajay Sondhi (DIN: 01657614), as Non-Executive Non-Independent Director	FOR	FOR	Ajay Sondhi's reappointment is in line with statutory requirements.
5-Aug-22	IDFC First Bank Ltd.	AGM	MANAGEMENT	Reappoint Kalyaniwalla & Mistry LLP as joint statutory auditors for three years and set aggregate annual audit fees at ₹ 25.0 mn to be divided between the joint auditors depending upon the scope of work	FOR	FOR	Reappointment of Kalyaniwalla & Mistry LLP is in line with statutory requirements.
5-Aug-22	IDFC First Bank Ltd.	AGM	MANAGEMENT	Reappoint Sanjeeb Chaudhuri (DIN: 03594427) as Independent Director for second term of four years from 10 May 2023	FOR	FOR	Sanjeeb Chaudhuri's reappointment is in line with statutory requirements.
5-Aug-22	IDFC First Bank Ltd.	AGM	MANAGEMENT	To approve offer and issue of debt securities on a private placement basis upto a limit of ₹ 30 bn	FOR	FOR	The resolution is in line with the statutory requirements
5-Aug-22	Mahindra & Mahindra Ltd.	AGM	MANAGEMENT	Adoption of audited consolidated financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
5-Aug-22	Mahindra & Mahindra Ltd.	AGM	MANAGEMENT	Adoption of audited standalone financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
5-Aug-22	Mahindra & Mahindra Ltd.	AGM	MANAGEMENT	Approve material related party transactions between Mahindra USA Inc (wholly owned subsidiary) and Mahindra Finance USA LLC upto ₹ 80.0 bn per annum for five years from FY2023	FOR	FOR	The resolution is in line with the statutory requirements.
5-Aug-22	Mahindra & Mahindra Ltd.	AGM	MANAGEMENT	Approve material related party transactions with certain subsidiary / associate companies for five years from FY2023	FOR	FOR	The resolution is in line with the statutory requirements.
5-Aug-22	Mahindra & Mahindra Ltd.	AGM	MANAGEMENT	Approve payment of remuneration to Anand Mahindra as Non-Executive Chairperson for FY2023 in excess of 50% of remuneration paid to all non-executive directors	FOR	FOR	The proposed commission is in line with peers and reasonable compared to the size and complexity of business.
5-Aug-22	Mahindra & Mahindra Ltd.	AGM	MANAGEMENT	Approve remuneration of ₹ 900,000 to D C Dave & Co. as cost auditors for FY2023	FOR	FOR	The proposed remuneration is reasonable compared to the size and scale of the company's operations.
5-Aug-22	Mahindra & Mahindra Ltd.	AGM	MANAGEMENT	Declare dividend of ₹ 11.55 per share of face value ₹ 5.0 each	FOR	FOR	The dividend payout ratio for FY2022 is 29.1% . We support this resolution
5-Aug-22	Mahindra & Mahindra Ltd.	AGM	MANAGEMENT	Reappoint Anish Shah (DIN: 02719429) as Director, liable to retire by rotation	FOR	FOR	Anish Shah's reappointment is in line with statutory requirements.
5-Aug-22	Mahindra & Mahindra Ltd.	AGM	MANAGEMENT	Reappoint B S R & Co. LLP as statutory auditors for five years from the conclusion of the 2022 AGM and fix their remuneration	FOR	FOR	Reappointment of B S R & Co. LLP is in line with statutory requirements. The proposed remuneration is reasonable compared to the size and scale of the company's operations.
5-Aug-22	Mahindra & Mahindra Ltd.	AGM	MANAGEMENT	Reappoint Rajesh Jejurikar (DIN: 00046823) as Director, liable to retire by rotation	FOR	FOR	Rajesh Jejurikar's reappointment is in line with statutory requirements.

Meeting Date	Investee Company Name	Type of Meeting (AGM/EGM)	Proposal of Management or Shareholder	Description of the proposal	Management Recommendation	Vote For/Against /Abstain	Reason supporting the vote decision
9-Aug-22	United Spirits Ltd.	AGM	MANAGEMENT	Adoption of standalone and consolidated financial statements for the year ended 31March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
9-Aug-22	United Spirits Ltd.	AGM	MANAGEMENT	Approve payment of commission to Non-Executive Directors for three years from 1 April 2022; upto 1% of the net profits or Rs 40.0 mn, whichever is less, and upto ₹ 40.0 mn in the event of no profits/inadequate profits	FOR	FOR	The proposed commission is in line with peers and reasonable compared to the size and complexity of business.
9-Aug-22	United Spirits Ltd.	AGM	MANAGEMENT	Approve sale of 32 brands for a consideration of Rs 8.3 bn and grant of franchise of 11 brands for five years to Inbrev Beverages Pvt Ltd with the option to convert the franchise agreement into an arrangement with perpetual right to use or outright acquisition of the brands	FOR	ABSTAIN	IIAS has noted that in the case of franchised brands the absolute royalty amount cannot be accurately determined and hence it is unable to arrive at the actual consideration for the sale/franchise arrangement. Further, based on the consideration disclosed, the valuation of the divestment portfolio is lower than the industry peers The company has not disclosed the valuation report in the public domain.  The company has stated that they have followed a thorough process over 18 months while selecting the final bidder, an unrelated third party. The company has also obtained a fairness opinion from a leading global investment bank as a good governance practice and presented it to the audit committee and the board of directors (comprising a majorly independent directors) which approved it. The royalty under the fixed term franchise agreement is similar to other arrangements by USL that are currently in force.  We believe that sale of popular brands transaction is in line with company's long term strategy to premiumize the portfolio and exit non-core part of portfolio to deliver long term profitable growth.  In view of the above we abstain from voting.
9-Aug-22	United Spirits Ltd.	AGM	MANAGEMENT	Reappoint Randall Ingber (DIN: 07529943) as a Non-Executive Non-Independent Director, liable to retire by rotation	FOR	FOR	Randall Ingber's reappointment is in line with statutory requirements.
12-Aug-22	Bharti Airtel Ltd.	AGM	MANAGEMENT	Adoption of standalone and consolidated financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
12-Aug-22	Bharti Airtel Ltd.	AGM	MANAGEMENT	Appoint Pradeep Kumar Sinha (DIN: 00145126) as an Independent Director for five years from 18 May 2022	FOR	FOR	Pradeep Kumar Sinha's appointment is in line with statutory requirements.
12-Aug-22	Bharti Airtel Ltd.	AGM	MANAGEMENT	Appoint Shyamal Mukherjee (DIN: 03024803) as an Independent Director for five years from 18 May 2022	FOR	FOR	Shyamal Mukherjee's appointment is in line with statutory requirements.
12-Aug-22	Bharti Airtel Ltd.	AGM	MANAGEMENT	Approval for the Trust route for administering the Scheme 2005	FOR	ABSTAIN	In view of observations of IIAS and the justifications provided by the company as per resolution 10 we abstain from voting.
12-Aug-22	Bharti Airtel Ltd.	AGM	MANAGEMENT	Approve provision of money by the company for purchase of its own shares by the Trust for the benefit of Employees under the Scheme 2005	FOR	ABSTAIN	In view of observations of IIAS and the justifications provided by the company as per resolution 10 we abstain from voting.
12-Aug-22	Bharti Airtel Ltd.	AGM	MANAGEMENT	Approve remuneration payable to Gopal Vittal (DIN: 02291778), Managing Director and CEO for three years from 1 April 2022 to 31 March 2025 as minimum remuneration	FOR	FOR	The total remuneration proposed is reasonable compared to the size and complexity of his responsibilities.

Meeting Date	Investee Company Name	Type of Meeting (AGM/EGM)	Proposal of Management or Shareholder	Description of the proposal	Management Recommendation	Vote For/Against /Abstain	Reason supporting the vote decision
12-Aug-22	Bharti Airtel Ltd.	AGM	MANAGEMENT	Declare final dividend of ₹ 3.0 per fully paid-up equity shares of face value of ₹ 5.0 each and final dividend at pro-rata bases of ₹ 0.75 per partly paid-up equity shares of FV ₹ 5.0 each with paid-up value of ₹ 1.25 per share for FY2022	FOR	FOR	We support the resolution.
12-Aug-22	Bharti Airtel Ltd.	AGM	MANAGEMENT	Ratify remuneration of ₹ 1,250,000 for Sanjay Gupta & Associates as cost auditors for FY2023	FOR	FOR	The total remuneration proposed is reasonable compared to the size and scale of the company's operations.
12-Aug-22	Bharti Airtel Ltd.	AGM	MANAGEMENT	Reappoint Deloitte Haskins & Sells LLP as statutory auditors for five years from the 2022 AGM and fix their remuneration	FOR	FOR	The reappointment is in line with statutory requirements. The proposed remuneration is comparable to the audit fees paid in previous years
12-Aug-22	Bharti Airtel Ltd.	AGM	MANAGEMENT	Reappoint Gopal Vittal (DIN: 02291778) as Managing Director and CEO for five years from 1 February 2023, liable to retire by rotation	FOR	FOR	Gopal Vittal's appointment is in line with statutory requirements.
12-Aug-22	Bharti Airtel Ltd.	AGM	MANAGEMENT	Reappoint Ms. Chua Sock Koong (DIN: 00047851) as a Non-Executive Non-Independent Director, liable to retire by rotation	FOR	FOR	Ms. Chua Sock Koong's reappointment is in line with statutory requirements.
12-Aug-22	Bharti Airtel Ltd.	AGM	MANAGEMENT	To approve increase in the number of stock options under The Employee Stock Option Scheme 2005 (Scheme 2005) by 27.4 mn options	FOR	ABSTAIN	As per IAS the company has not given clarity on the breakup of time based and performance based grants. It does not support time based vesting of options granted at deep discount.  As per the company a) there is no change in terms & conditions of existing scheme, b) it follows a robust and structured framework for the grant c) there is a cap on grant of options, d) ESOPs are integral part of overall compensation package and e) the grant is broad based f) it is aligned with company's digital journey.  In view of observations of IAS and the justifications provided by the company we abstain from voting.
12-Aug-22	Bharti Airtel Ltd.(Partly Paidup)	AGM	MANAGEMENT	Adoption of standalone and consolidated financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
12-Aug-22	Bharti Airtel Ltd.(Partly Paidup)	AGM	MANAGEMENT	Appoint Pradeep Kumar Sinha (DIN: 00145126) as an Independent Director for five years from 18 May 2022	FOR	FOR	Pradeep Kumar Sinha's appointment is in line with statutory requirements.
12-Aug-22	Bharti Airtel Ltd.(Partly Paidup)	AGM	MANAGEMENT	Appoint Shyamal Mukherjee (DIN: 03024803) as an Independent Director for five years from 18 May 2022	FOR	FOR	Shyamal Mukherjee's appointment is in line with statutory requirements.
12-Aug-22	Bharti Airtel Ltd.(Partly Paidup)	AGM	MANAGEMENT	Approval for the Trust route for administering the Scheme 2005	FOR	ABSTAIN	In view of observations of IAS and the justifications provided by the company as per resolution 10 we abstain from voting.
12-Aug-22	Bharti Airtel Ltd.(Partly Paidup)	AGM	MANAGEMENT	Approve provision of money by the company for purchase of its own shares by the Trust for the benefit of Employees under the Scheme 2005	FOR	ABSTAIN	In view of observations of IAS and the justifications provided by the company as per resolution 10 we abstain from voting.
12-Aug-22	Bharti Airtel Ltd.(Partly Paidup)	AGM	MANAGEMENT	Approve remuneration payable to Gopal Vittal (DIN: 02291778), Managing Director and CEO for three years from 1 April 2022 to 31 March 2025 as minimum remuneration	FOR	FOR	The total remuneration proposed is reasonable compared to the size and complexity of his responsibilities.
12-Aug-22	Bharti Airtel Ltd.(Partly Paidup)	AGM	MANAGEMENT	Declare final dividend of ₹ 3.0 per fully paid-up equity shares of face value of ₹ 5.0 each and final dividend at pro-rata bases of ₹ 0.75 per partly paid-up equity shares of FV ₹ 5.0 each with paid-up value of ₹ 1.25 per share for FY2022	FOR	FOR	We support the resolution.
12-Aug-22	Bharti Airtel Ltd.(Partly Paidup)	AGM	MANAGEMENT	Ratify remuneration of ₹ 1,250,000 for Sanjay Gupta & Associates as cost auditors for FY2023	FOR	FOR	The total remuneration proposed is reasonable compared to the size and scale of the company's operations.

Meeting Date	Investee Company Name	Type of Meeting (AGM/EGM)	Proposal of Management or Shareholder	Description of the proposal	Management Recommendation	Vote For/Against /Abstain	Reason supporting the vote decision
12-Aug-22	Bharti Airtel Ltd.(Partly Paidup)	AGM	MANAGEMENT	Reappoint Deloitte Haskins & Sells LLP as statutory auditors for five years from the 2022 AGM and fix their remuneration	FOR	FOR	The reappointment is in line with statutory requirements. The proposed remuneration is comparable to the audit fees paid in previous years
12-Aug-22	Bharti Airtel Ltd.(Partly Paidup)	AGM	MANAGEMENT	Reappoint Gopal Vittal (DIN: 02291778) as Managing Director and CEO for five years from 1 February 2023, liable to retire by rotation	FOR	FOR	Gopal Vittal's appointment is in line with statutory requirements.
12-Aug-22	Bharti Airtel Ltd.(Partly Paidup)	AGM	MANAGEMENT	Reappoint Ms. Chua Sock Koong (DIN: 00047851) as a Non-Executive Non-Independent Director, liable to retire by rotation	FOR	FOR	Ms. Chua Sock Koong's reappointment is in line with statutory requirements.
12-Aug-22	Bharti Airtel Ltd.(Partly Paidup)	AGM	MANAGEMENT	To approve increase in the number of stock options under The Employee Stock Option Scheme 2005 (Scheme 2005) by 27.4 mn options	FOR	ABSTAIN	As per IAS the company has not given clarity on the breakup of time based and performance based grants. It does not support time based vesting of options granted at deep discount.  As per the company a) there is no change in terms & conditions of existing scheme, b) it follows a robust and structured framework for the grant c) there is a cap on grant of options, d) ESOPs are integral part of overall compensation package and e) the grant is broad based f) it is aligned with company's digital journey.  In view of observations of IAS and the justifications provided by the company we abstain from voting.
16-Aug-22	HCL Technologies Ltd.	AGM	MANAGEMENT	Adoption of standalone and consolidated financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
16-Aug-22	HCL Technologies Ltd.	AGM	MANAGEMENT	Reappoint Ms. Roshni Nadar Malhotra (DIN: 02346621) as a Non-Executive Non-Independent Director, liable to retire by rotation	FOR	FOR	Ms Roshni Nadar Malhotra's reappointment is in line with statutory requirements.
17-Aug-22	Avenue Supermarts Ltd.	AGM	MANAGEMENT	Adoption of standalone and consolidated financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
17-Aug-22	Avenue Supermarts Ltd.	AGM	MANAGEMENT	Approve material related party transactions for investing in Avenue E-Commerce Ltd (AEL): a 99.85% subsidiary upto ₹ 3.5 bn from the conclusion of the FY2022 AGM till the FY2023 AGM	FOR	FOR	The proposed resolution is in line with the statutory requirements
17-Aug-22	Avenue Supermarts Ltd.	AGM	MANAGEMENT	Approve material related party transactions for leasing the company's premises to Avenue E-Commerce Ltd (AEL): a 99.85% subsidiary upto 0.6 bn per annum for three years from FY2023	FOR	FOR	The proposed resolution is in line with the statutory requirements
17-Aug-22	Avenue Supermarts Ltd.	AGM	MANAGEMENT	Approve material related party transactions with Avenue E-Commerce Ltd: a 99.85% subsidiary for sharing of management and business support services upto ₹ 0.13 bn per annum for a five-year term from FY2023	FOR	FOR	The proposed resolution is in line with the statutory requirements
17-Aug-22	Avenue Supermarts Ltd.	AGM	MANAGEMENT	Approve material related party transactions with Avenue E-Commerce Ltd (AEL): a 99.85% subsidiary for sharing of turnover generated from the Company's premises by AEL upto 0.2 bn per annum for three years from FY2023	FOR	FOR	The proposed resolution is in line with the statutory requirements
17-Aug-22	Avenue Supermarts Ltd.	AGM	MANAGEMENT	Approve material related party transactions with Avenue E-Commerce Ltd (AEL): a 99.85% subsidiary for the sale/purchase of goods, materials and assets upto ₹ 29.0 bn from the conclusion of the FY2022 AGM till the FY2023 AGM	FOR	FOR	The proposed resolution is in line with the statutory requirements

Meeting Date	Investee Company Name	Type of Meeting (AGM/EGM)	Proposal of Management or Shareholder	Description of the proposal	Management Recommendation	Vote For/Against /Abstain	Reason supporting the vote decision
17-Aug-22	Avenue Supermarts Ltd.	AGM	MANAGEMENT	Reappoint Ms. Kalpana Unadkat (DIN: 02490816) as an Independent Director for a second five-year term from 30 July 2023	FOR	ABSTAIN	IIAS believes there is a conflict of interest in this resolution. The company in its response to IIAS has said that it engaged services of Khaitan & Co. for obtaining legal assistance (including Court appearance) on an urgent taxation matter from Mr. Abhishek Rastogi - Partner, Khaitan & Co. at a total professional fee of ₹ 7,00,000/- of which ₹ 2,30,000/- has been paid in FY2022. Ms. Kalpana Unadkat had no role or influence in this assignment, and the services sought from Mr Abhishek Rastogi were purely professional in nature as he was considered as an expert in the said subject.  In view of observations of IIAS and the justifications provided by the company we abstain from voting.
17-Aug-22	Avenue Supermarts Ltd.	AGM	MANAGEMENT	Reappoint Ms. Manjri Chandak (DIN: 03503615) as Non-Executive Non-Independent Director, liable to retire by rotation	FOR	FOR	Ms. Manjri Chandak's reappointment is in line with statutory requirements.
17-Aug-22	Avenue Supermarts Ltd.	AGM	MANAGEMENT	Reappoint SRBC & Co. LLP as statutory auditors for five years from the 2022 AGM and authorise the board to fix their remuneration	FOR	FOR	Appointment of SRBC & Co. LLP is in line with statutory requirements.
17-Aug-22	Ultratech Cement Ltd.	AGM	MANAGEMENT	Adoption of standalone and consolidated financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
17-Aug-22	Ultratech Cement Ltd.	AGM	MANAGEMENT	Approval for the Trust route for administering the UltraTech Cement Limited Employee Stock options and Performance Stock Unit Scheme 2022	FOR	FOR	The resolution is in line with the statutory requirements.
17-Aug-22	Ultratech Cement Ltd.	AGM	MANAGEMENT	Approve aggregate remuneration of ₹ 3.65 mn payable to D C Dave & Co and N D Birla & Co as cost auditors for FY2023	FOR	FOR	The proposed remuneration is reasonable compared to the size and scale of the company's operations.
17-Aug-22	Ultratech Cement Ltd.	AGM	MANAGEMENT	Approve extension of UltraTech Cement Limited Employee Stock options and Performance Stock Unit Scheme 2022 to group companies, including holding, subsidiary, and associate companies	FOR	FOR	The resolution is in line with the statutory requirements.
17-Aug-22	Ultratech Cement Ltd.	AGM	MANAGEMENT	Approve UltraTech Cement Limited Employee Stock Option and Performance Stock Unit Scheme 2022	FOR	FOR	The resolution is in line with the statutory requirements.
17-Aug-22	Ultratech Cement Ltd.	AGM	MANAGEMENT	Declare final dividend of ₹ 38.0 per equity share (face value ₹ 2.0) for FY2022	FOR	FOR	The total dividend ratio is 20.0%. We support the resolution.
17-Aug-22	Ultratech Cement Ltd.	AGM	MANAGEMENT	Reappoint Kailash Chandra Jhanwar (DIN: 01743559) as Managing Director for two years from 1 January 2023 and fix his remuneration	FOR	FOR	Kailash Chandra Jhanwar's reappointment is in line with statutory requirements. The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business.
17-Aug-22	Ultratech Cement Ltd.	AGM	MANAGEMENT	Reappoint Krishna Kishore Maheshwari (DIN: 00017572) as Non-Executive Non-Independent Director, liable to retire by rotation	FOR	FOR	Krishna Kishore Maheshwari's reappointment is in line with statutory requirements.
19-Aug-22	Mahindra & Mahindra Ltd.	NCM	MANAGEMENT	Approve merger of Mahindra Electric Mobility Limited (MEML) into Mahindra & Mahindra Limited (M&M)	FOR	FOR	The resolution is in line with the statutory requirements.
19-Aug-22	Indusind Bank Ltd.	AGM	MANAGEMENT	Adoption of standalone and consolidated financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
19-Aug-22	Indusind Bank Ltd.	AGM	MANAGEMENT	Appoint MSKA & Associates as Joint Statutory Auditors for three years from the conclusion of FY2022 AGM and fix aggregate remuneration for both joint auditors at ₹ 27 mn	FOR	FOR	Appointment of MSKA & Associates as Joint Statutory Auditors is in line with statutory requirements. The proposed remuneration is reasonable compared to the size and scale of the bank.
19-Aug-22	Indusind Bank Ltd.	AGM	MANAGEMENT	Appoint Pradeep Udhas (DIN: 02207112) as Independent Director for four years from 9 June 2022	FOR	FOR	Pradeep Udhas's appointment is in line with statutory requirements.

Meeting Date	Investee Company Name	Type of Meeting (AGM/EGM)	Proposal of Management or Shareholder	Description of the proposal	Management Recommendation	Vote For/Against /Abstain	Reason supporting the vote decision
19-Aug-22	Indusind Bank Ltd.	AGM	MANAGEMENT	Approve issuance of debt securities up to ₹ 200.0 bn on a private placement basis	FOR	FOR	The debt issuance is in line with the statutory requirements.
19-Aug-22	Indusind Bank Ltd.	AGM	MANAGEMENT	Declare final dividend of ₹ 8.5 per equity share (face value: ₹ 10.0) for FY2022	FOR	FOR	The total dividend payout ratio is 14.3%. We support this resolution.
19-Aug-22	Indusind Bank Ltd.	AGM	MANAGEMENT	Reappoint Akila Krishnakumar (DIN: 06629992) as Independent Director for four years from 10 August 2022	FOR	FOR	Akila Krishnakumar's reappointment is in line with statutory requirements.
19-Aug-22	Indusind Bank Ltd.	AGM	MANAGEMENT	Reappoint Sumant Kathpalia (DIN: 01054434) as Director liable to retire by rotation	FOR	FOR	Sumant Kathpalia's reappointment is in line with statutory requirements.
23-Aug-22	Hindalco Industries Ltd.	AGM	MANAGEMENT	Adoption of standalone and consolidated financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
23-Aug-22	Hindalco Industries Ltd.	AGM	MANAGEMENT	Approve extension of Hindalco Industries Limited Employee Stock Option and Performance Stock Unit Scheme 2022 to group companies, including holding, subsidiary, and associate companies	FOR	FOR	The resolution is in line with the statutory requirements.
23-Aug-22	Hindalco Industries Ltd.	AGM	MANAGEMENT	Approve final dividend of ₹ 4.0 per equity share (face value Re. 1.0) for FY2022	FOR	FOR	The dividend payout ratio for FY2022 is 59.9%.We support this resolution.
23-Aug-22	Hindalco Industries Ltd.	AGM	MANAGEMENT	Approve Hindalco Industries Limited Employee Stock Option and Performance Stock Unit Scheme 2022	FOR	FOR	The resolution is in line with the statutory requirements.
23-Aug-22	Hindalco Industries Ltd.	AGM	MANAGEMENT	Approve trust route for administering the Hindalco Industries Limited Employee Stock options and Performance Stock Unit Scheme 2022, approve secondary acquisition of shares by trust and grant financial assistance to trust for acquiring equity shares	FOR	FOR	The resolution is in line with the statutory requirements.
23-Aug-22	Hindalco Industries Ltd.	AGM	MANAGEMENT	Ratify remuneration of ₹ 1.8 mn payable to R. Nanabhoy & Co, as cost auditor for FY2023	FOR	FOR	The proposed remuneration is reasonable compared to the size and scale of the company's operations.
23-Aug-22	Hindalco Industries Ltd.	AGM	MANAGEMENT	Reappoint Kumar Mangalam Birla (DIN:00012813) as Non-Executive Non-Independent Director, liable to retire by rotation	FOR	FOR	Kumara Mangalam Birla's reappointment is in line with statutory requirements.
23-Aug-22	Hindalco Industries Ltd.	AGM	MANAGEMENT	Reappoint Praveen K Maheshwari (DIN: 01743559) as Whole Time Director for one year from 28 May 2022 and fix his remuneration	FOR	FOR	Praveen Kumar Maheshwari's reappointment is in line with statutory requirements. The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business.
23-Aug-22	Hindalco Industries Ltd.	AGM	MANAGEMENT	Reappoint Price Waterhouse & Co Chartered Accountants LLP as statutory auditors for five years from the 2022 AGM and fix their remuneration	FOR	FOR	Price Waterhouse & Co Chartered Accountants LLP's appointment as statutory auditor is in line with statutory requirements. The proposed remuneration is reasonable compared to the size and scale of the company's operations.
26-Aug-22	INTERGLOBE AVIATION LTD.	AGM	MANAGEMENT	Adoption standalone and consolidated financial statements for the year ended 31 March 2022 with the reports of the Board of Directors and the Auditors thereon	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
26-Aug-22	INTERGLOBE AVIATION LTD.	AGM	MANAGEMENT	Appoint Birender Singh Dhanoa (DIN: 08851613) as an Independent Director for five years from 27 May 2022	FOR	FOR	Birender Singh Dhanoa's appointment is in line with statutory requirements.



Meeting Date	Investee Company Name	Type of Meeting (AGM/EGM)	Proposal of Management or Shareholder	Description of the proposal	Management Recommendation	Vote For/Against /Abstain	Reason supporting the vote decision
26-Aug-22	INTERGLOBE AVIATION LTD.	AGM	MANAGEMENT	Appoint Meleveetil Damodaran (DIN:02106990), as Non-Independent Non-Executive Director from 16 July 2022, liable to retire by rotation	FOR	ABSTAIN	As per a proxy advisor Meleveetil Damodaran has failed to discharge his duties in a fair and impartial manner and therefore they do not support his coming back on the board, even if he is reclassified as a Non-Executive Director.  As per the Company, Mr. Damodaran's appointment as a Director would add great value to the Board and it will be beneficial to the Company. With respect to Settlement order Company's offer to settle was on the basis that the Company 'neither admits nor denies' the violations alleged in the Show Cause Notice. These adjudication proceedings were then concluded. Further, there was no material financial impact on the Company due to the Settlement Order.  In view of observations of IAS and the clarifications provided by the company we abstain from voting.
26-Aug-22	INTERGLOBE AVIATION LTD.	AGM	MANAGEMENT	Appoint Vikram Singh Mehta (DIN: 00041197) as an Independent Director for five years from 27 May 2022	FOR	FOR	Vikram Singh Mehta's appointment is in line with statutory requirements.
26-Aug-22	INTERGLOBE AVIATION LTD.	AGM	MANAGEMENT	Reappoint Anil Parashar (DIN: 00055377) as Director, liable to retire by rotation	FOR	FOR	Anil Parashar's reappointment is in line with statutory requirements.
26-Aug-22	Cipla Ltd.	AGM	MANAGEMENT	Adoption of consolidated financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
26-Aug-22	Cipla Ltd.	AGM	MANAGEMENT	Adoption of standalone financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
26-Aug-22	Cipla Ltd.	AGM	MANAGEMENT	Appoint Dr Mandar Purushottam Vaidya (DIN: 09690327) as Independent Director for five years from 29 July 2022	FOR	FOR	Dr. Mandar Purushottam Vaidya's appointment is in line with statutory requirements.
26-Aug-22	Cipla Ltd.	AGM	MANAGEMENT	Authorise grant of share-based benefits from one or more subsidiaries to Umang Vohra (DIN: 02296740) as Managing Director and Global CEO	FOR	FOR	The proposed resolution is in line with the statutory requirements
26-Aug-22	Cipla Ltd.	AGM	MANAGEMENT	Declare final dividend of ₹ 5.0 per equity share (face value ₹ 2.0) for FY2022	FOR	FOR	The dividend payout ratio is 13.6% of standalone profits. We support the resolution.
26-Aug-22	Cipla Ltd.	AGM	MANAGEMENT	Ratify remuneration of ₹ 1,175,000 payable to D.H. Zaveri, as cost auditors for FY2023	FOR	FOR	The total remuneration proposed to be paid to the cost auditors in FY2023 is reasonable compared to the size and scale of operations.
26-Aug-22	Cipla Ltd.	AGM	MANAGEMENT	Reappoint Ms. Samina Hamied (DIN: 00027923) as Director, liable to retire by rotation	FOR	FOR	Ms. Samina Hamied's reappointment is in line with statutory requirements.
27-Aug-22	Kotak Mahindra Bank Ltd.	AGM	MANAGEMENT	Adoption of consolidated financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
27-Aug-22	Kotak Mahindra Bank Ltd.	AGM	MANAGEMENT	Adoption of standalone financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
27-Aug-22	Kotak Mahindra Bank Ltd.	AGM	MANAGEMENT	Appoint KKC & Associates LLP as one of the joint statutory auditors for three years from the 2022 AGM	FOR	FOR	Appointment of KKC & Associates LLP is in line with statutory requirements

Meeting Date	Investee Company Name	Type of Meeting (AGM/EGM)	Proposal of Management or Shareholder	Description of the proposal	Management Recommendation	Vote For/Against /Abstain	Reason supporting the vote decision
27-Aug-22	Kotak Mahindra Bank Ltd.	AGM	MANAGEMENT	Appoint Ms. Shanti Ekambaram (DIN: 00004889) as a Director and Whole-time Director for three years from 1 November 2022 or date of RBI approval whichever is later and fix her remuneration	FOR	FOR	Shanti Ekambaram's appointment is in line with statutory requirements. The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business.
27-Aug-22	Kotak Mahindra Bank Ltd.	AGM	MANAGEMENT	Approve additional fees of Rs 2.0 mn to joint statutory auditors, Walker Chandio & Co LLP and Price Waterhouse LLP for increase in effort intensity for FY2022	FOR	FOR	The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business.
27-Aug-22	Kotak Mahindra Bank Ltd.	AGM	MANAGEMENT	Approve FY2023 statutory audit fee at Rs 35.0 mn for both joint auditors - KKC & Associates LLP and Price Waterhouse LLP	FOR	FOR	The proposed remuneration is reasonable compared to the size and scale of the company's operations.
27-Aug-22	Kotak Mahindra Bank Ltd.	AGM	MANAGEMENT	Approve material related party transactions with Infina Finance Pvt. Ltd. till the AGM of 2023	FOR	FOR	The resolution is in line with the statutory requirements.
27-Aug-22	Kotak Mahindra Bank Ltd.	AGM	MANAGEMENT	Approve material related party transactions with Promoter, MD & CEO Uday S. Kotak till the AGM of 2023	FOR	FOR	The resolution is in line with the statutory requirements.
27-Aug-22	Kotak Mahindra Bank Ltd.	AGM	MANAGEMENT	Confirm payment of interim dividend at 8.1% on preference shares of face value Rs 5.0 for FY2022	FOR	FOR	We support the resolution.
27-Aug-22	Kotak Mahindra Bank Ltd.	AGM	MANAGEMENT	Declare dividend of Rs 1.10 per share on equity shares of face value Rs 5.0 per share	FOR	FOR	The dividend payout ratio is 2.5%. We support the resolution.
27-Aug-22	Kotak Mahindra Bank Ltd.	AGM	MANAGEMENT	Reappoint Gaurang Shah (DIN: 00016660) as Director liable to retire by rotation to hold office till 31 October 2022	FOR	FOR	Gaurang Shah's reappointment is in line with statutory requirements
27-Aug-22	Kotak Mahindra Bank Ltd.	AGM	MANAGEMENT	Reappoint KVS Manian (DIN: 00031794) as Director liable to retire by rotation	FOR	FOR	KVS Manian's reappointment is in line with statutory requirements
27-Aug-22	Kotak Mahindra Bank Ltd.	AGM	MANAGEMENT	Reappoint KVS Manian (DIN: 00031794) as Whole-time Director for three years from 1 November 2022 or date of RBI approval whichever is later and fix his remuneration	FOR	FOR	KVS Manian's reappointment is in line with statutory requirements. The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business.
29-Aug-22	SBI Life Insurance Company Ltd.	AGM	MANAGEMENT	Adoption of financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
29-Aug-22	SBI Life Insurance Company Ltd.	AGM	MANAGEMENT	Appoint Swaminathan Janakiraman (DIN: 08516241) as a Nominee Director, not liable to retire by rotation from 20 July 2022	FOR	ABSTAIN	We believe that the resolution doesn't go against statutory requirements and hence we abstain from voting.
29-Aug-22	SBI Life Insurance Company Ltd.	AGM	MANAGEMENT	Approve alteration of Articles of Association (AoA)	FOR	FOR	The resolution is in line with the statutory requirements.
29-Aug-22	SBI Life Insurance Company Ltd.	AGM	MANAGEMENT	Approve remuneration aggregating ₹ 9.3 mn (plus out of pocket expenses) to statutory auditors, to be appointed by the Comptroller and Auditor General of India for FY2023	FOR	FOR	The proposed remuneration is reasonable compared to the size and scale of the company's operations.
29-Aug-22	SBI Life Insurance Company Ltd.	AGM	MANAGEMENT	Approve revision in remuneration of Mahesh Kumar Sharma (DIN: 08740737), Managing Director and Chief Executive Officer, from 1 April 2022	FOR	FOR	The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business.
29-Aug-22	SBI Life Insurance Company Ltd.	AGM	MANAGEMENT	Confirm interim dividend of ₹ 2.0 per equity share as final dividend (face value ₹ 10.0) for FY2022	FOR	FOR	Payout ratio is 20.0% of the standalone PAT. We support the resolution.
29-Aug-22	Reliance Industries Ltd.	AGM	MANAGEMENT	Adoption of consolidated financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.

Meeting Date	Investee Company Name	Type of Meeting (AGM/EGM)	Proposal of Management or Shareholder	Description of the proposal	Management Recommendation	Vote For/Against /Abstain	Reason supporting the vote decision
29-Aug-22	Reliance Industries Ltd.	AGM	MANAGEMENT	Adoption of standalone financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
29-Aug-22	Reliance Industries Ltd.	AGM	MANAGEMENT	Alter the Objects Clause of the Memorandum of Association (MoA)	FOR	FOR	The resolution is in line with the statutory requirements.
29-Aug-22	Reliance Industries Ltd.	AGM	MANAGEMENT	Appoint Deloitte Haskins & Sells LLP and Chaturvedi & Shah LLP as statutory auditors for five years from the 2022 AGM and fix their remuneration	FOR	ABSTAIN	As per the company: a) the auditors have completed mandatory cooling-off period of 5 years b) the material subsidiaries have separate governance structure and have investments from global companies with some having board representation c) the audit committee of every company independently recommends appointment of directors We believe the stated resolution does not go against statutory requirements and hence we to abstain from voting.
29-Aug-22	Reliance Industries Ltd.	AGM	MANAGEMENT	Appoint K. V. Chowdary (DIN: 08485334) as an Independent Director for five years from 21 July 2022 to 20 July 2027	FOR	FOR	KV Chowdary's appointment is in line with statutory requirements.
29-Aug-22	Reliance Industries Ltd.	AGM	MANAGEMENT	Approve material related party transactions between Reliance Industries Limited and its joint ventures, subsidiaries, step down subsidiaries, and promoter group companies	FOR	FOR	The resolution is in line with the statutory requirements.
29-Aug-22	Reliance Industries Ltd.	AGM	MANAGEMENT	Approve material related transactions between subsidiaries of Reliance Industries Limited	FOR	FOR	The resolution is in line with the statutory requirements.
29-Aug-22	Reliance Industries Ltd.	AGM	MANAGEMENT	Declare dividend of ₹ 8.0 per equity share of face value ₹ 10.0 each	FOR	FOR	The dividend payout ratio is 13.8%. We support the resolution
29-Aug-22	Reliance Industries Ltd.	AGM	MANAGEMENT	Ratify payment of aggregate remuneration of ₹ 7.7 mn to cost auditors for FY2023	FOR	FOR	The proposed remuneration is reasonable compared to the size and scale of the company's operations.
29-Aug-22	Reliance Industries Ltd.	AGM	MANAGEMENT	Reappoint Hital Meswani (DIN: 00001623) as Director, liable to retire by rotation	FOR	FOR	Hital Meswani's reappointment is in line with statutory requirements.
29-Aug-22	Reliance Industries Ltd.	AGM	MANAGEMENT	Reappoint Ms. Nita Ambani (DIN: 03115198) as Director, liable to retire by rotation	FOR	FOR	Nita Ambani's reappointment is in line with statutory requirements.
29-Aug-22	Reliance Industries Ltd.	AGM	MANAGEMENT	Reappoint Nikhil Meswani (DIN: 00001620) as Whole-time Director designated as an Executive Director for five years from 1 July 2023 and fix his remuneration	FOR	FOR	Nikhil Meswani's reappointment is in line with statutory requirements. The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business.
29-Aug-22	Sun Pharmaceutical Inds. Ltd.	AGM	MANAGEMENT	Adoption of standalone and consolidated financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
29-Aug-22	Sun Pharmaceutical Inds. Ltd.	AGM	MANAGEMENT	Approve material related party transactions between subsidiaries - Taro Pharmaceuticals USA Inc and Taro Pharmaceuticals Inc., Canada upto ₹ 20.0 bn per annum for two years till 31 March 2024	FOR	FOR	The proposed resolution is in line with the statutory requirements.
29-Aug-22	Sun Pharmaceutical Inds. Ltd.	AGM	MANAGEMENT	Approve payment of commission of ₹ 4.0 mn each to three Independent Directors for FY2022	FOR	FOR	The proposed commission is in line with peers and reasonable compared to the size and complexity of business.
29-Aug-22	Sun Pharmaceutical Inds. Ltd.	AGM	MANAGEMENT	Approve remuneration of ₹ 2,782,500 payable to K D & Co., cost auditor for FY2023	FOR	FOR	The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business.
29-Aug-22	Sun Pharmaceutical Inds. Ltd.	AGM	MANAGEMENT	Confirm interim dividend of ₹ 7.0 per share and declare final dividend of ₹ 3.0 per equity share (face value Re. 1.0)	FOR	FOR	We support the resolution.
29-Aug-22	Sun Pharmaceutical Inds. Ltd.	AGM	MANAGEMENT	Not fill casual vacancy caused by retirement of Israel Makov (DIN: 05299764) as Non-Executive Non-Independent Director	FOR	FOR	The proposed resolution is in line with the statutory requirements.

Meeting Date	Investee Company Name	Type of Meeting (AGM/EGM)	Proposal of Management or Shareholder	Description of the proposal	Management Recommendation	Vote For/Against /Abstain	Reason supporting the vote decision
29-Aug-22	Sun Pharmaceutical Inds. Ltd.	AGM	MANAGEMENT	Reappoint Dilip S. Shanghvi (DIN: 00005588) as Managing Director for five years from 1 April 2023 and fix his remuneration as minimum remuneration	FOR	FOR	The proposed resolution is in line with the statutory requirements.
29-Aug-22	Sun Pharmaceutical Inds. Ltd.	AGM	MANAGEMENT	Reappoint Gautam Doshi (DIN: 00004612) as an Independent Director for a second term of five years till 24 May 2028 and approve his continuation after attaining 75 years of age	FOR	ABSTAIN	We believe that the stated resolution does not go against the statutory requirements and hence we abstain from voting.
29-Aug-22	Sun Pharmaceutical Inds. Ltd.	AGM	MANAGEMENT	Reappoint Sailesh T. Desai (DIN: 00005443) as Director liable to retire by rotation	FOR	FOR	Mr. Sailesh T. Desai's reappointment is in line with statutory requirements.
29-Aug-22	Sun Pharmaceutical Inds. Ltd.	AGM	MANAGEMENT	Reappoint SRBC & Co. LLP as statutory auditors for five years from the 2022 AGM and fix their remuneration.	FOR	FOR	Appointment of SRBC & Co. LLP is in line with statutory requirements.
29-Aug-22	Samvardhana Motherson International Limited	AGM	MANAGEMENT	Adoption of standalone and consolidated financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
29-Aug-22	Samvardhana Motherson International Limited	AGM	MANAGEMENT	Approve final dividend of ₹ 0.65 per equity share of face value Re. 1.0 each for FY2022	FOR	FOR	The total dividend payout ratio is 37.0% of standalone PAT and 58% of consolidated PAT. We support the resolution.
29-Aug-22	Samvardhana Motherson International Limited	AGM	MANAGEMENT	Approve loans, guarantees or security or investment in securities of ₹ 1.0 bn to body corporates or persons over and above the amount of ₹ 350.10 bn as on 31 March 2022 under section 186 of the Companies Act, 2013	FOR	FOR	The resolution is in line with statutory requirements.
29-Aug-22	Samvardhana Motherson International Limited	AGM	MANAGEMENT	Approve related party transactions between Samvardhana Motherson International Limited (SAMIL) and Motherson Sumi Wiring India Limited (MSWIL) from the conclusion of FY2022 AGM to the FY2023 AGM or 15 months whichever is earlier	FOR	FOR	The resolution is in line with statutory requirements.
29-Aug-22	Samvardhana Motherson International Limited	AGM	MANAGEMENT	Approve related party transactions between Samvardhana Motherson International Limited (SAMIL) with SEI Thailand Electric Co., Ltd (SEI Thai) from the FY2022 AGM upto the FY2023 AGM or 15 months whichever is earlier	FOR	FOR	The resolution is in line with statutory requirements.
29-Aug-22	Samvardhana Motherson International Limited	AGM	MANAGEMENT	Approve remuneration of ₹ 0.41 mn to M.R. Vyas and Associates as cost auditors for FY2023	FOR	FOR	The remuneration to cost auditor is reasonable compared to the size and scale of operations.
29-Aug-22	Samvardhana Motherson International Limited	AGM	MANAGEMENT	Reappoint Laksh Vaaman Sehgal (DIN: 00048584) as Non-Executive Non-Independent Director, liable to retire by rotation	FOR	FOR	Laksh Vaaman Sehgal's reappointment is in line with statutory requirements.
29-Aug-22	Samvardhana Motherson International Limited	AGM	MANAGEMENT	Reappoint S. R. Batliboi & Co. LLP as statutory auditors for five years from the 2022 AGM and fix their remuneration	FOR	FOR	Reappointment of S. R. Batliboi & Co. LLP is in line with statutory requirements. The proposed remuneration is reasonable compared to the size and scale of the company's operations.
29-Aug-22	HDFC Life Insurance Company Ltd.	POSTAL BALLOT	MANAGEMENT	Approve HDFC Life Employee Stock Option Scheme – 2022	FOR	FOR	The resolution is in line with the statutory requirements.
29-Aug-22	HDFC Life Insurance Company Ltd.	POSTAL BALLOT	MANAGEMENT	Extend HDFC Life Employee Stock Option Scheme – 2022 to employees of subsidiary companies	FOR	FOR	The resolution is in line with the statutory requirements.
29-Aug-22	HDFC Life Insurance Company Ltd.	POSTAL BALLOT	MANAGEMENT	To approve preferential issue of 35.8 mn equity shares at Rs 558.7 each aggregating to Rs 20 bn to promoters Housing Development Finance Corporation Limited	FOR	FOR	The resolution is in line with the statutory requirements.
30-Aug-22	NTPC Ltd.	AGM	MANAGEMENT	Adoption of standalone and consolidated financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.

Meeting Date	Investee Company Name	Type of Meeting (AGM/EGM)	Proposal of Management or Shareholder	Description of the proposal	Management Recommendation	Vote For/Against /Abstain	Reason supporting the vote decision
30-Aug-22	NTPC Ltd.	AGM	MANAGEMENT	Appoint Jaikummar Srinivasan (DIN: 01220828) from 21 July 2022 as Director (Finance), liable to retire by rotation, on the terms and conditions determined by the Government of India	FOR	FOR	Jaikummar Srinivasan's reappointment is in line with statutory requirements.
30-Aug-22	NTPC Ltd.	AGM	MANAGEMENT	Appoint Jitendra Jayantilal Tanna (DIN: 09403346) as Independent Director for three years from 30 November 2021	FOR	FOR	Jitendra Jayantilal Tanna's reappointment is in line with statutory requirements.
30-Aug-22	NTPC Ltd.	AGM	MANAGEMENT	Appoint Ms. Sangitha Varier (DIN: 09402812) as Independent Director for three years from 7 December 2021	FOR	ABSTAIN	We believe that the resolution doesn't go against statutory requirements and hence we abstain from voting
30-Aug-22	NTPC Ltd.	AGM	MANAGEMENT	Appoint Piyush Surendrapal Singh (DIN: 07492389) from 31 May 2022 as Government Nominee Director, liable to retire by rotation	FOR	ABSTAIN	We believe that the resolution doesn't go against statutory requirements and hence we abstain from voting
30-Aug-22	NTPC Ltd.	AGM	MANAGEMENT	Appoint Vidyadhar Vaishampayan (DIN: 02667949) as Independent Director for three years from 30 November 2021	FOR	FOR	Vidyadhar Vaishampayan's reappointment is in line with statutory requirements.
30-Aug-22	NTPC Ltd.	AGM	MANAGEMENT	Appoint Vivek Gupta (DIN: 08794502) as Independent Director for three years from 30 November 2021	FOR	ABSTAIN	We believe that the resolution doesn't go against statutory requirements and hence we abstain from voting
30-Aug-22	NTPC Ltd.	AGM	MANAGEMENT	Approve private placement of non-convertible debentures/bonds aggregating ₹ 120.0 bn in tranches not exceeding 12	FOR	FOR	The resolution is in line with the statutory requirements.
30-Aug-22	NTPC Ltd.	AGM	MANAGEMENT	Authorize the board to fix remuneration of statutory auditors appointed by the Comptroller and Auditor General of India for FY2023	FOR	FOR	The proposed remuneration is reasonable compared to the size and scale of the company's operations.
30-Aug-22	NTPC Ltd.	AGM	MANAGEMENT	Confirm interim dividend of ₹ 4.0 per equity share and declare final dividend of ₹ 3.0 per equity share of face value of ₹ 10.0 per share for FY2022	FOR	FOR	The dividend payout ratio is 42.1% of standalone PAT. We support the resolution.
30-Aug-22	NTPC Ltd.	AGM	MANAGEMENT	Ratify remuneration of ₹ 4,699,000 payable to cost auditors for FY2023	FOR	FOR	The proposed remuneration is reasonable compared to the size and scale of the company's operations.
30-Aug-22	NTPC Ltd.	AGM	MANAGEMENT	Reappoint Ramesh Babu V. (DIN: 08736805) as Director, liable to retire by rotation	FOR	FOR	Ramesh Babu V's reappointment is in line with statutory requirements.
30-Aug-22	Narayana Hrudayalaya Ltd.	AGM	MANAGEMENT	Adoption of standalone and consolidated financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting
30-Aug-22	Narayana Hrudayalaya Ltd.	AGM	MANAGEMENT	Approve revision in Dr. Devi Prasad Shetty's (DIN: 00252187) remuneration as Executive Chairperson from 1 April 2022 till the end of his tenure on 28 August 2023 or till further revised	FOR	ABSTAIN	As per proxy advisor the rationale for another mid-term revision in remuneration is unclear. As per the company the remuneration was arrived by conducting a market benchmarking study through E&Y in 2022 for the remuneration. Further, the company has performed better and on the track of full recovery after covid impact.  In view of proxy advisor's observations and justifications provided by the company, we abstain from voting.
30-Aug-22	Narayana Hrudayalaya Ltd.	AGM	MANAGEMENT	Approve revision in Viren Shetty's (DIN:02144586) remuneration as COO and Vice-Chairperson from 1 April 2022 till the end of his tenure on 28 August 2023 or till further revised	FOR	FOR	The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business.
30-Aug-22	Narayana Hrudayalaya Ltd.	AGM	MANAGEMENT	Approve revision of Dr. Vivek Shetty as a Consultant Surgeon, an office or place of profit effective from 01 April 2022	FOR	ABSTAIN	Proxy advisor has raised concerns on proposed increase remuneration. As per the company, Dr. Vivek Shetty plays a role in the Collegium for Oncology speciality. As collective target has been defined for Oncology Collegium, his performance is linked to such targets as part of his variable pay. Accordingly, a revised remuneration limit is proposed considering the potential increase in his variable earnings and role he plays in oncology collegium. In view of proxy advisor's observations and company's comment, we abstain from voting

Meeting Date	Investee Company Name	Type of Meeting (AGM/EGM)	Proposal of Management or Shareholder	Description of the proposal	Management Recommendation	Vote For/Against /Abstain	Reason supporting the vote decision
30-Aug-22	Narayana Hrudayalaya Ltd.	AGM	MANAGEMENT	Declare dividend of ₹ 1.0 (face value of ₹ 10.0) for FY2022	FOR	FOR	The dividend payout ratio is 15.3% of standalone PAT. We support the resolution
30-Aug-22	Narayana Hrudayalaya Ltd.	AGM	MANAGEMENT	Increase the limit of intercorporate transactions to ₹ 35 bn from ₹ 20 bn under Section 186 of Companies Act, 2013	FOR	ABSTAIN	As per the company the current limit approved by the Shareholders is ₹20 bn, thereby the unutilised limit  Section 186 of Companies Act, 2013 being about ~₹5 bn only. Considering that the company would be required to make further investments and extend security and guarantees in the normal course of business to existing subsidiaries and also considering organic and inorganic growth that the company would consider pursuing, the limit needs to be enhanced. In view of the above we abstain from voting.
30-Aug-22	Narayana Hrudayalaya Ltd.	AGM	MANAGEMENT	Issuance of non-convertible debentures/other debt securities up to ₹ 2.0 bn on private placement basis	FOR	FOR	The resolution is in line with the statutory requirements.
30-Aug-22	Narayana Hrudayalaya Ltd.	AGM	MANAGEMENT	Ratify remuneration of ₹ 330,000 payable to PSV & Associates as cost auditors for FY2023	FOR	FOR	The proposed remuneration is reasonable compared to the size and scale of the company's operations.
30-Aug-22	Narayana Hrudayalaya Ltd.	AGM	MANAGEMENT	Reappoint Deloitte Haskins & Sells LLP as statutory auditors for five years from the 2022 AGM and fix their remuneration	FOR	FOR	Reappointment of Deloitte Haskins & Sells LLP is in line with statutory requirements. The proposed remuneration is reasonable compared to the size and scale of the company's operations.
30-Aug-22	Narayana Hrudayalaya Ltd.	AGM	MANAGEMENT	Reappoint Dr. Devi Prasad Shetty (DIN:00252187) as Director, liable to retire by rotation	FOR	FOR	Dr Devi Prasad Shetty's reappointment is in line with statutory requirements.
30-Aug-22	Narayana Hrudayalaya Ltd.	AGM	MANAGEMENT	Revise remuneration of Dr. Varun Shetty as a Consultant Surgeon, in office of profit, effective from 1 April 2022	FOR	ABSTAIN	Proxy advisor has raised concerns on proposed increase remuneration. As per the company the revision in remuneration proposed is mainly on the variable pay due to the common policy on variable pay applicable to cardiac surgeons in the company's flagship healthcare facility. In view observations of proxy advisor and justifications provided by the company, we abstain from voting.
31-Aug-22	Maruti Suzuki India Ltd.	AGM	MANAGEMENT	Adoption of standalone and consolidated financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
31-Aug-22	Maruti Suzuki India Ltd.	AGM	MANAGEMENT	Approve payment of commission to non-executive directors upto 1% of profits or up to ₹70.0 mn whichever is lower from FY2023 onwards	FOR	ABSTAIN	We believe that the stated resolution does not go against the statutory requirements and hence we abstain from voting.
31-Aug-22	Maruti Suzuki India Ltd.	AGM	MANAGEMENT	Approve related party transactions with Bharat Seats Limited (Bharat Seats): An associate entity for the purchase of goods aggregating ₹ 11.0 bn per annum for three years from FY2023	FOR	FOR	The resolution is in line with the statutory requirements.
31-Aug-22	Maruti Suzuki India Ltd.	AGM	MANAGEMENT	Approve related party transactions with FMI Automotive Components Private Limited (FMI): Associate company for the purchase of goods for an aggregate value of ₹ 23.0 bn per annum for three years from FY2023	FOR	FOR	The resolution is in line with the statutory requirements.
31-Aug-22	Maruti Suzuki India Ltd.	AGM	MANAGEMENT	Approve related party transactions with Jay Bharat Maruti Limited (JBML) for purchase of goods for an aggregate value of ₹ 17.0 bn per annum for three years from FY2023	FOR	FOR	The resolution is in line with the statutory requirements.
31-Aug-22	Maruti Suzuki India Ltd.	AGM	MANAGEMENT	Approve related party transactions with Krishna Maruti Limited (Krishna Maruti): associate company for the purchase of goods for an aggregate value of ₹ 25.0 bn per annum for three years from FY2023	FOR	FOR	The resolution is in line with the statutory requirements.
31-Aug-22	Maruti Suzuki India Ltd.	AGM	MANAGEMENT	Approve related party transactions with Magyar Suzuki Corporation Ltd.: fellow subsidiary for sale of goods aggregating ₹ 15.0 bn per annum for three years from FY2023	FOR	FOR	The resolution is in line with the statutory requirements.

Meeting Date	Investee Company Name	Type of Meeting (AGM/EGM)	Proposal of Management or Shareholder	Description of the proposal	Management Recommendation	Vote For/Against /Abstain	Reason supporting the vote decision
31-Aug-22	Maruti Suzuki India Ltd.	AGM	MANAGEMENT	Approve related party transactions with SKH Metals Limited (SKH) for the purchase of goods aggregating ₹ 11.0bn per annum for three years from FY2023	FOR	FOR	The resolution is in line with the statutory requirements.
31-Aug-22	Maruti Suzuki India Ltd.	AGM	MANAGEMENT	Approve related party transactions with Suzuki Motor Corporation: Holding Company for purchase of goods for an aggregate value of ₹ 33.0 bn per annum for three years from FY2023	FOR	FOR	The resolution is in line with the statutory requirements.
31-Aug-22	Maruti Suzuki India Ltd.	AGM	MANAGEMENT	Approve related party transactions with Suzuki Motor Corporation: Holding Company for sale of goods for an aggregate value of ₹ 200.0 bn per annum for three years from FY2023	FOR	FOR	The resolution is in line with the statutory requirements.
31-Aug-22	Maruti Suzuki India Ltd.	AGM	MANAGEMENT	Approve related party transactions with Suzuki Motorcycle India Private Limited (Suzuki Motorcycles): a fellow subsidiary for the sale of goods aggregating ₹ 18.0 bn per annum for three years from FY2023	FOR	FOR	The resolution is in line with the statutory requirements.
31-Aug-22	Maruti Suzuki India Ltd.	AGM	MANAGEMENT	Approve related party transactions with TDS Lithium-Ion Battery Gujarat Private Limited (TDS Gujarat): a fellow subsidiary for the purchase of goods for an aggregate value of ₹ 25.0 bn per annum for three years from FY2023	FOR	FOR	The resolution is in line with the statutory requirements.
31-Aug-22	Maruti Suzuki India Ltd.	AGM	MANAGEMENT	Declare final dividend of ₹ 60 per share (face value ₹ 5.0) for FY2022	FOR	FOR	The dividend payout ratio is 48.1%. We support the resolution.
31-Aug-22	Maruti Suzuki India Ltd.	AGM	MANAGEMENT	Ratify remuneration of ₹ 265,000 payable to R. J. Goel & Co. as cost auditors for FY2023	FOR	FOR	The proposed remuneration is reasonable compared to the size and scale of the company's operations.
31-Aug-22	Maruti Suzuki India Ltd.	AGM	MANAGEMENT	Reappoint Hisashi Takeuchi (DIN: 07806180) as Director, liable to retire by rotation	FOR	FOR	Hisashi Takeuchi's reappointment is in line with statutory requirements.
31-Aug-22	Maruti Suzuki India Ltd.	AGM	MANAGEMENT	Reappoint Kenichiro Toyofuku (DIN: 08619076) as Director, liable to retire by rotation	FOR	FOR	Kenichiro Toyofuku's reappointment is in line with statutory requirements.
31-Aug-22	Maruti Suzuki India Ltd.	AGM	MANAGEMENT	Reappoint Kenichiro Toyofuku (DIN: 08619076) as Whole Time Director designated as Director Corporate Planning for three years w.e.f. 5 December 2022 and fix his remuneration	FOR	FOR	Kenichiro Toyofuku's reappointment is in line with statutory requirements. The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business.
31-Aug-22	Maruti Suzuki India Ltd.	AGM	MANAGEMENT	Reappoint Shigetoshi Torii (DIN: 06437336) as Director, liable to retire by rotation	FOR	FOR	Shigetoshi Torii's reappointment is in line with statutory requirements.
2-Sep-22	Sapphire Foods India Ltd.	AGM	MANAGEMENT	Adoption of standalone and consolidated financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
2-Sep-22	Sapphire Foods India Ltd.	AGM	MANAGEMENT	Extend Sapphire Foods Employee Stock Option Scheme 2019 – Scheme III A – Management other than CEO (Scheme III A) read with Sapphire Foods Employee Stock Option Plan 2017 (ESOP 2017) to employees of subsidiary companies	FOR	ABSTAIN	The company has clarified through exchange filing that the terms relating to the ESOP pool, exercise price, dilution, etc. remains unchanged and shall be read in conjunction with the letter dated March 29, 2022. The exercise price of options will not be lower than ₹ 1,180 per share which is the IPO price. In view of the clarifications provided by the company we abstain from voting.
2-Sep-22	Sapphire Foods India Ltd.	AGM	MANAGEMENT	Extend Sapphire Foods Employee Stock Option Scheme 2019 – Scheme III – Management other than CEO ('Scheme III') read with Sapphire Foods Employee Stock Option Plan 2017 (ESOP 2017) to employees of subsidiary companies	FOR	ABSTAIN	The company has clarified through exchange filing that the terms relating to the ESOP pool, exercise price, dilution, etc. remains unchanged and shall be read in conjunction with the letter dated March 29, 2022. Which implies that the exercise price of options will not be lower than ₹ 1,180 per share which is the IPO price. In view of the clarifications provided by the company we abstain from voting.
2-Sep-22	Sapphire Foods India Ltd.	AGM	MANAGEMENT	Reappoint Kabir Thakur (DIN: 08422362) as Non-Executive Non-Independent Nominee Director, liable to retire by rotation	FOR	FOR	Mr. Kabir Thakur's reappointment is in line with statutory requirements.

Meeting Date	Investee Company Name	Type of Meeting (AGM/EGM)	Proposal of Management or Shareholder	Description of the proposal	Management Recommendation	Vote For/Against /Abstain	Reason supporting the vote decision
2-Sep-22	Sapphire Foods India Ltd.	AGM	MANAGEMENT	Reappoint Vinod Nambiar (DIN: 07290613) as Non-Executive Non-Independent Nominee Director, liable to retire by rotation	FOR	FOR	Mr. Vinod Nambiar's reappointment is in line with statutory requirements.
3-Sep-22	PI Industries Ltd.	AGM	MANAGEMENT	Adoption of standalone and consolidated financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
3-Sep-22	PI Industries Ltd.	AGM	MANAGEMENT	Appoint Ms. Pia Singh (DIN: 00067233) as Independent Director for five years from 3 September 2022	FOR	FOR	Ms. Pia Singh's appointment is in line with statutory requirements.
3-Sep-22	PI Industries Ltd.	AGM	MANAGEMENT	Appoint Shobhinder Duggal (DIN: 00039580) as Independent Director for five years from 3 September 2022	FOR	FOR	Shobhinder Duggal's appointment is in line with statutory requirements.
3-Sep-22	PI Industries Ltd.	AGM	MANAGEMENT	Approve continuation of Arvind Singhal (DIN: 00092425) as Non-Executive Non-Independent Director after he attains 75 years of age on 29 November 2022	FOR	FOR	Arvind Singhal's continuation is in line with statutory requirements.
3-Sep-22	PI Industries Ltd.	AGM	MANAGEMENT	Approve payment of commission aggregating ₹ 18 mn to Narayan K. Seshadri (DIN: 00053563) in FY2022 as Independent Director and Chairperson, which exceeds 50% of the total remuneration paid to all non-executive directors	FOR	FOR	The resolution is in line with the statutory requirements.
3-Sep-22	PI Industries Ltd.	AGM	MANAGEMENT	Approve remuneration of ₹ 330,000 to K.G. Goyal & Co., as cost auditor for FY2023	FOR	FOR	The proposed remuneration is reasonable compared to the size and scale of the company's operations.
3-Sep-22	PI Industries Ltd.	AGM	MANAGEMENT	Confirm interim dividend of ₹ 3.0 per share and declare a final dividend of ₹ 3.0 per share (face value: Re. 1.0 per share) for FY2022	FOR	FOR	Total dividend payout ratio is 11.1% of the standalone PAT. We support the resolution.
3-Sep-22	PI Industries Ltd.	AGM	MANAGEMENT	Reappoint Mayank Singhal (DIN: 00006651) as Vice Chairperson and Managing Director for three years from 1 October 2022 and fix his remuneration as minimum remuneration in excess of regulatory limits	FOR	FOR	Mayank Singhal's reappointment is in line with statutory requirements. The proposed remuneration is commensurate with the size and complexity of the business.
3-Sep-22	PI Industries Ltd.	AGM	MANAGEMENT	Reappoint Price Waterhouse Chartered Accountants LLP as statutory auditors for five years from the 2022 AGM and fix their remuneration	FOR	FOR	Reappointment of Price Waterhouse Chartered Accountants LLP is in line with statutory requirements. The proposed remuneration is reasonable compared to the size and scale of the company's operations.
3-Sep-22	PI Industries Ltd.	AGM	MANAGEMENT	Reappoint Rajnish Sarna (DIN: 06429468) as Director, liable to retire by rotation	FOR	FOR	Rajnish Sarna's reappointment is in line with statutory requirements.
3-Sep-22	PI Industries Ltd.	AGM	MANAGEMENT	Reappoint Rajnish Sarna, (DIN: 06429468) as Joint Managing Director for three years from 7 November 2022 and fix his remuneration as minimum remuneration	FOR	FOR	Rajnish Sarna's reappointment is in line with statutory requirements. The proposed remuneration is commensurate with the size and complexity of the business.
3-Sep-22	PI Industries Ltd.	AGM	MANAGEMENT	Redesignate Narayan K. Seshadri (DIN: 00053563) as Non-Executive Non-Independent Director from 6 September 2022, liable to retire by rotation	FOR	FOR	Narayan Seshadri's redesignation is in line with statutory requirements.
5-Sep-22	Aditya Birla Fashion and Retail Ltd.	AGM	MANAGEMENT	Adoption of standalone and consolidated financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
5-Sep-22	Aditya Birla Fashion and Retail Ltd.	AGM	MANAGEMENT	Reappoint Kumar Mangalam Birla (DIN:00012813) as Non-Executive Non-Independent Director, liable to retire by rotation	FOR	FOR	Mr. Kumar Mangalam Birla's reappointment is in line with the statutory requirements.
5-Sep-22	Aditya Birla Fashion and Retail Ltd.	AGM	MANAGEMENT	Reappoint Ms. Sangeeta Pendurkar (DIN:03321646) as Director, liable to retire by rotation	FOR	FOR	Ms. Sangeeta Pendurkar's reappointment is in line with the statutory requirements.



Meeting Date	Investee Company Name	Type of Meeting (AGM/EGM)	Proposal of Management or Shareholder	Description of the proposal	Management Recommendation	Vote For/Against /Abstain	Reason supporting the vote decision
8-Sep-22	Torrent Pharmaceuticals Ltd.	POSTAL BALLOT	MANAGEMENT	Appoint Aman Mehta (DIN: 08174906) as Whole-time Director for five years from 1 August 2022 and fix his remuneration	FOR	ABSTAIN	Aman Mehta is the son of the Executive Chairperson (Samir Mehta) and has experience working with Torrent Pharmaceuticals and Torrent Power limited. He has worked as the Chief Marketing Officer of Torrent Pharmaceuticals.  We believe that the stated resolution does not go against the statutory requirements and hence we Abstain from voting.
8-Sep-22	Torrent Pharmaceuticals Ltd.	POSTAL BALLOT	MANAGEMENT	Appoint Manish Choksi (DIN: 00026496) as an Independent Director for five years from 29 July 2022	FOR	FOR	Manish Choksi's appointment is in line with statutory requirements.
14-Sep-22	Tata Steel Ltd.	POSTAL BALLOT	MANAGEMENT	Approve financial material related party transactions with Tata Metaliks Limited (TML) aggregating to ₹ 8.0 bn in FY2023	FOR	FOR	The resolution is in line with the statutory requirements.
14-Sep-22	Tata Steel Ltd.	POSTAL BALLOT	MANAGEMENT	Approve material related party transaction for subscribing to equity shares of Neelachal Ispat Nigam Limited (NINL) aggregating upto ₹ 6.0 bn within two years of receiving shareholder approval	FOR	FOR	The resolution is in line with the statutory requirements.
14-Sep-22	Tata Steel Ltd.	POSTAL BALLOT	MANAGEMENT	Approve material related party transactions between TS Global Procurement Company Pte. Ltd. (wholly owned subsidiary) and Neelachal Ispat Nigam Limited (indirect subsidiary company) aggregating upto ₹ 15.0 bn for FY2023	FOR	FOR	The resolution is in line with the statutory requirements.
14-Sep-22	Tata Steel Ltd.	POSTAL BALLOT	MANAGEMENT	Approve operational material related party transactions with Neelachal Ispat Nigam Limited (NINL) aggregating to ₹ 28.56 bn for FY2023	FOR	FOR	The resolution is in line with the statutory requirements.
15-Sep-22	Westlife Development Ltd.	AGM	MANAGEMENT	Adoption of standalone and consolidated financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
15-Sep-22	Westlife Development Ltd.	AGM	MANAGEMENT	Appoint Akshay Jatia (DIN:07004280) as Whole Time Director for five years from 16 May 2022	FOR	ABSTAIN	The company has clarified through an email exchange with us that they are yet to finalize Mr. Akshay Jatia's remuneration for FY2023 and it is under process. Management personnel had taken a sharp voluntary pay cut in FY2021 on account of the impact of the pandemic and the remuneration policies are commensurate with industry standards. As per the company, Akshay has played an instrumental role in shaping the business over the past decade, more specifically on the long-term strategy, delivery, technology and innovation fronts. In view of the proxy advisor's observations and the clarifications provided by the company we abstain from voting.
15-Sep-22	Westlife Development Ltd.	AGM	MANAGEMENT	Appoint S R B C & Co LLP as statutory auditors for five years from the 2022 AGM and fix their remuneration	FOR	FOR	Appointment of S R B C & Co LLP is in line with statutory requirements.
15-Sep-22	Westlife Development Ltd.	AGM	MANAGEMENT	Change company name to Westlife Foodworld Limited and consequently alter the charter documents	FOR	FOR	The resolution is in line with the statutory requirements
15-Sep-22	Westlife Development Ltd.	AGM	MANAGEMENT	Continuation of P.R. Barpande (DIN:00016214) as Independent Director, on attaining seventy-five years of age	FOR	FOR	P. R. Barpande's continuation as an independent director is in line with statutory requirements.
15-Sep-22	Westlife Development Ltd.	AGM	MANAGEMENT	Reappoint Banwari Lal Jatia (DIN:00016823) as Non-Executive Non-Independent Director, liable to retire by rotation	FOR	FOR	Mr. Banwari Lal Jatia's reappointment is in line with statutory requirements.
21-Sep-22	RBL Bank Ltd.	AGM	MANAGEMENT	Adoption of consolidated financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.

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21-Sep-22	RBL Bank Ltd.	AGM	MANAGEMENT	Adoption of standalone financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
21-Sep-22	RBL Bank Ltd.	AGM	MANAGEMENT	Appoint G.M. Kapadia & Co as joint statutory auditors for three years and authorize the board to fix remuneration	FOR	FOR	Appointment of G.M. Kapadia & Co as joint statutory auditors is in line with the statutory requirements. The proposed remuneration is commensurate with the size and scale of operations.
21-Sep-22	RBL Bank Ltd.	AGM	MANAGEMENT	Appoint Gopal Jain (DIN: 00032308) as non-executive, non-independent director liable to retire by rotation from 22 August 2022	FOR	FOR	Gopal Jain's appointment is in line with statutory requirements.
21-Sep-22	RBL Bank Ltd.	AGM	MANAGEMENT	Appoint R. Subramaniakumar (DIN: 07825083) as MD & CEO for three years from 23 June 2022 and fix his remuneration	FOR	FOR	R. Subramaniakumar's appointment as MD and CEO is in line with the statutory requirements. The proposed remuneration is comparable to industry peers, and it is commensurate with the size and performance of the business and complexities of his role.
21-Sep-22	RBL Bank Ltd.	AGM	MANAGEMENT	Appoint R Subramaniakumar (DIN: 07825083) Director liable to retire by rotation from 23 June 2022	FOR	FOR	R Subramaniakumar's appointment is in line with statutory requirements.
21-Sep-22	RBL Bank Ltd.	AGM	MANAGEMENT	Appoint Sivakumar Gopalan (DIN: 07537575) as Independent Director for five years from 22 August 2022	FOR	FOR	Dr. Sivakumar Gopalan's appointment is in line with statutory requirements.
21-Sep-22	RBL Bank Ltd.	AGM	MANAGEMENT	Increase the number of stock options to be granted under Employees Stock Option Plan 2018 and amendment to the terms of ESOP 2018	FOR	FOR	The resolution is in line with the statutory requirements and we support the resolution.
21-Sep-22	RBL Bank Ltd.	AGM	MANAGEMENT	Increase the number of stock options to be granted under Employees Stock Option Plan 2018 to employees of subsidiaries and amendment to the terms of ESOP 2018	FOR	FOR	The resolution is in line with the statutory requirements and we support the resolution.
21-Sep-22	RBL Bank Ltd.	AGM	MANAGEMENT	Issue Long Term Bonds/Non-Convertible Debentures aggregating up to ₹ 30.0 bn on private placement basis	FOR	FOR	The resolution is in line with the statutory requirements.
21-Sep-22	RBL Bank Ltd.	AGM	MANAGEMENT	Not to fill in the casual vacancy cause by the retirement of Vijay Mahajan (DIN: 00038794) who does not offer himself for reappointment	FOR	FOR	The resolution is in line with the statutory requirements and we support the resolution
21-Sep-22	Spandana Sphoorty Financial Ltd.	AGM	MANAGEMENT	Adoption of standalone and consolidated financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
21-Sep-22	Spandana Sphoorty Financial Ltd.	AGM	MANAGEMENT	Appoint Animesh Chauhan (DIN: 02060457) as Independent Director for five years from 4 August 2022 to 3 August 2027 and fix his annual remuneration at ₹ 2.0 mn	FOR	FOR	Animesh Chauhan's appointment is in line with statutory requirements.
21-Sep-22	Spandana Sphoorty Financial Ltd.	AGM	MANAGEMENT	Appoint Neeraj Swaroop (DIN: 00061170) as Non-Executive Non-Independent Director, liable to retire by rotation, to fill the vacancy caused by the retirement of Amit Sobti (DIN: 07795874)	FOR	FOR	Neeraj Swaroop's appointment is in line with statutory requirements.
21-Sep-22	Spandana Sphoorty Financial Ltd.	AGM	MANAGEMENT	Issue Non-convertible Debentures (NCDs) upto ₹ 40 bn on private placement basis	FOR	FOR	The resolution is in line with the statutory requirements
21-Sep-22	Spandana Sphoorty Financial Ltd.	AGM	MANAGEMENT	Reappoint Abanti Mitra (DIN: 02305893) as an Independent Director for five years from 4 May 2022 and fix her annual remuneration at ₹ 2.0 mn	FOR	ABSTAIN	As per the company, Abanti is in a strong position to continue serving as a true independent director on the board of the company, uphold the highest standards of corporate governance, and serve the interests of minority shareholders Her continuation as an independent director and chairman of the board will also provide significant comfort to lenders, rating agencies and regulators We believe the stated resolution does not go against statutory requirements hence we abstain from voting.

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21-Sep-22	Spandana Sphoorty Financial Ltd.	AGM	MANAGEMENT	Reappoint Sunish Sharma (DIN: 00274432) as Non-Executive Non-Independent Director, liable to retire by rotation	FOR	FOR	Mr. Sunish Sharma's reappointment is in line with statutory requirements.
21-Sep-22	Spandana Sphoorty Financial Ltd.	AGM	MANAGEMENT	Reappoint Walker Chandio & Co. LLP as statutory auditors for two years from the FY2022 AGM and authorize the board to fix their remuneration	FOR	FOR	Appointment of Walker Chandio & Co. LLP is in line with statutory requirements.
22-Sep-22	Qess Corp Ltd.	AGM	MANAGEMENT	Adoption of consolidated financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
22-Sep-22	Qess Corp Ltd.	AGM	MANAGEMENT	Adoption of standalone financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
22-Sep-22	Qess Corp Ltd.	AGM	MANAGEMENT	Reappoint Ajit Abraham Isaac (DIN: 00087168) as Director, liable to retire by rotation	FOR	FOR	Ajit Abraham Isaac's reappointment is in line with statutory requirements.
23-Sep-22	Samvardhana Motherson International Limited	POSTAL BALLOT	MANAGEMENT	Approve issue of bonus shares in the ratio of one bonus share for every two held (ratio of 1:2)	FOR	FOR	The resolution is in line with the statutory requirements.
28-Sep-22	ABB India Ltd.	POSTAL BALLOT	MANAGEMENT	Reappoint V K Viswanathan (DIN: 01782934) as Independent Director for two years from 13 November 2022	FOR	FOR	V K Viswanathan's reappointment is in line with statutory requirements.
29-Sep-22	INTERGLOBE AVIATION LTD.	POSTAL BALLOT	MANAGEMENT	Approve remuneration to Ronojoy Dutta (DIN: 08676730), CEO and Whole Time Director from 1 April 2022 to 30 September 2022 as minimum remuneration	FOR	FOR	The proposed remuneration is reasonable given the size and complexity of the business.
29-Sep-22	Varroc Engineering Ltd.	AGM	MANAGEMENT	Adoption of consolidated financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
29-Sep-22	Varroc Engineering Ltd.	AGM	MANAGEMENT	Adoption of standalone financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
29-Sep-22	Varroc Engineering Ltd.	AGM	MANAGEMENT	Appoint Dhruv Jain (DIN: 09710448) as Non-Executive Director, liable to retire by rotation from 1 September 2022	FOR	ABSTAIN	As per the Company, Dhruv Jain has been in the Company for more than 3 years He has been instrumental in setting up the backward integration in Romania necessary for the lighting business. We believe that the stated resolution doesn't go against the statutory requirements and hence we abstain from voting.
29-Sep-22	Varroc Engineering Ltd.	AGM	MANAGEMENT	Approve issuance of non-convertible debentures on a private placement basis up to ₹ 5.0 bn	FOR	FOR	The proposed resolution is in line with statutory requirements
29-Sep-22	Varroc Engineering Ltd.	AGM	MANAGEMENT	Approve payment of commission to Non-Executive Directors upto 1% of the net profits in case of profits and inadequacy of profits upto an aggregate of ₹ 15.0 mn for five years from FY2023	FOR	FOR	The proposed commission is in line with peers and reasonable compared to the size and complexity of business.
29-Sep-22	Varroc Engineering Ltd.	AGM	MANAGEMENT	Approve remuneration of Rohit Prakash, Whole-time Director, from 29 April 2022 to 28 April 2025 as minimum remuneration	FOR	FOR	The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business.
29-Sep-22	Varroc Engineering Ltd.	AGM	MANAGEMENT	Approve remuneration of ₹ 400,000 to S. R. Bhargave & Co. as cost auditors for FY2023	FOR	FOR	The proposed remuneration is reasonable compared to the size and scale of the company's operations.

Meeting Date	Investee Company Name	Type of Meeting (AGM/EGM)	Proposal of Management or Shareholder	Description of the proposal	Management Recommendation	Vote For/Against /Abstain	Reason supporting the vote decision
29-Sep-22	Varroc Engineering Ltd.	AGM	MANAGEMENT	Reappoint Arjun Jain as Whole-time Director for three years from 7 August 2023 and fix his remuneration as minimum remuneration	FOR	ABSTAIN	The Company has stated that Arjun Jain is heading the Electronics and Electrical Business in India for more than 5 years Under his leadership the Company has also developed products related to Electric Vehicles and won big orders from big incumbent OEM We believe that the stated resolution doesn't go against the statutory requirements and hence we abstain from voting.
29-Sep-22	Varroc Engineering Ltd.	AGM	MANAGEMENT	Reappoint Rohit Prakash (DIN: 02425849) as Director, liable to retire by rotation	FOR	FOR	Rohit Prakash's reappointment is in line with statutory requirements.
29-Sep-22	Varroc Engineering Ltd.	AGM	MANAGEMENT	Reappoint Tarang Jain as Chairperson and Managing Director for three years from 6 February 2023 and fix his remuneration as minimum remuneration	FOR	ABSTAIN	The Company has stated that the overall remuneration (including from other subsidiary) of Mr. Tarang Jain is reported in the Annual Report under related party transactions (annual report). Moreover Nomination and Remuneration committee which is headed by Independent directors to determine the variable pay.  We believe that the stated resolution doesn't go against the statutory requirements and hence we abstain from voting.
29-Sep-22	Varroc Engineering Ltd.	AGM	MANAGEMENT	Reappoint Vinish Kathuria (DIN: 01951771) as an Independent Director for five years from 6 February 2023	FOR	FOR	Vinish Kathuria's reappointment is in line with statutory requirements.
30-Sep-22	Jindal Steel & Power Ltd.	AGM	MANAGEMENT	Adoption of standalone and consolidated financial statements for the year ended 31 March 2022	FOR	ABSTAIN	We believe that a comprehensive review of the financials of a company is a critical exercise which often requires first-hand information and proper due diligence. We do not comment on resolutions for adoption of financial statements, given the limited time between receipt of the annual report and the shareholder meeting.
30-Sep-22	Jindal Steel & Power Ltd.	AGM	MANAGEMENT	Appoint Bimlendra Jha (DIN:02170280) as Director liable to retire by rotation from 14 August 2022	FOR	FOR	Bimlendra Jha's appointment is in line with statutory requirements
30-Sep-22	Jindal Steel & Power Ltd.	AGM	MANAGEMENT	Appoint Bimlendra Jha (DIN:02170280) as Managing Director for five years from 14 August 2022 and fix his remuneration	FOR	FOR	Bimlendra Jha's appointment is in line with statutory requirements. The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business.
30-Sep-22	Jindal Steel & Power Ltd.	AGM	MANAGEMENT	Appoint Ramkumar Ramaswamy (DIN:09675055) as Director liable to retire by rotation from 15 July 2022	FOR	FOR	Ramkumar Ramaswamy's appointment is in line with statutory requirements
30-Sep-22	Jindal Steel & Power Ltd.	AGM	MANAGEMENT	Appoint Ramkumar Ramaswamy (DIN:09675055) as Whole-time Director for three years from 15 July 2022 and fix his remuneration	FOR	FOR	Ramkumar Ramaswamy's appointment is in line with statutory requirements. The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business.
30-Sep-22	Jindal Steel & Power Ltd.	AGM	MANAGEMENT	Appoint Rohit Kumar (DIN: 01059459) as an Independent Director for five years from 20 September 2022	FOR	FOR	Rohit Kumar's appointment is in line with statutory requirements
30-Sep-22	Jindal Steel & Power Ltd.	AGM	MANAGEMENT	Appoint Sunil Kumar Agrawal (DIN: 00424408) as Director liable to retire by rotation from 15 July 2022	FOR	FOR	Sunil Kumar Agrawal's appointment is in line with statutory requirements
30-Sep-22	Jindal Steel & Power Ltd.	AGM	MANAGEMENT	Appoint Sunil Kumar Agrawal (DIN: 00424408) as Whole-time Director for three years from 15 July 2022 and fix his remuneration	FOR	FOR	Sunil Kumar Agrawal's appointment is in line with statutory requirements. The proposed remuneration is in line with peers and reasonable compared to the size and complexity of business.
30-Sep-22	Jindal Steel & Power Ltd.	AGM	MANAGEMENT	Approve alteration of the liability clause of the Memorandum of Association	FOR	FOR	The resolution is in line with the statutory requirements.
30-Sep-22	Jindal Steel & Power Ltd.	AGM	MANAGEMENT	Approve amendment to clause III (A) relating to the company's Objects in the Memorandum of Association	FOR	FOR	The resolution is in line with the statutory requirements.
30-Sep-22	Jindal Steel & Power Ltd.	AGM	MANAGEMENT	Approve amendment to clause III (B) of the Memorandum of Association	FOR	FOR	The resolution is in line with the statutory requirements.
30-Sep-22	Jindal Steel & Power Ltd.	AGM	MANAGEMENT	Approve amendment to clause III (C) of the Memorandum of Association	FOR	FOR	The resolution is in line with the statutory requirements.
30-Sep-22	Jindal Steel & Power Ltd.	AGM	MANAGEMENT	Approve creation of charge on the assets of the company upto ₹ 500.0 bn for loans taken by the company, its subsidiaries, associates and joint ventures	FOR	ABSTAIN	The Company has stated that the approval is being sought for enabling the Company to avail better terms & conditions for potential loan facilities by such subsidiary (ies), joint venture or associate companies, to enable efficient capital decisions.  We believe that the stated resolution doesn't go against the statutory requirements and hence we abstain from voting.

Meeting Date	Investee Company Name	Type of Meeting (AGM/EGM)	Proposal of Management or Shareholder	Description of the proposal	Management Recommendation	Vote For/Against /Abstain	Reason supporting the vote decision
30-Sep-22	Jindal Steel & Power Ltd.	AGM	MANAGEMENT	Approve payment of remuneration to Non-Executive Directors upto Rs 5.0 mn per director per financial year, including for FY2022	FOR	ABSTAIN	The Company has stated that pay to its non-executive and Independent Directors a remuneration upto Rs 5.0 mn per director per financial year is in line with the size and complexities of the business. We believe that the stated resolution doesn't go against the statutory requirements and hence we abstain from voting.
30-Sep-22	Jindal Steel & Power Ltd.	AGM	MANAGEMENT	Approve related party transactions with AL-General Metals FZE for ₹ 35.0 bn for FY2023	FOR	ABSTAIN	The Company has stated that AGMF is referred to as a related party, as an abundant precaution, as AGMF is a subsidiary of a corporate structure which might have certain undefined beneficial interest of certain individuals in the promoter group. The related party transactions with AGMF are at arm's length price. In view of the comments provided by the Company we abstain from voting.
30-Sep-22	Jindal Steel & Power Ltd.	AGM	MANAGEMENT	Approve related party transactions with Jindal Saw Ltd. for ₹ 22.7 bn for FY2023	FOR	FOR	The resolution is in line with the statutory requirements.
30-Sep-22	Jindal Steel & Power Ltd.	AGM	MANAGEMENT	Approve related party transactions with JSPL Mozambique Minerals LDA for ₹ 37.5 bn for FY2023	FOR	FOR	The resolution is in line with the statutory requirements.
30-Sep-22	Jindal Steel & Power Ltd.	AGM	MANAGEMENT	Approve related party transactions with JSW International Tradecorp Pte Ltd. for ₹ 35.0 bn for FY2023	FOR	FOR	The resolution is in line with the statutory requirements.
30-Sep-22	Jindal Steel & Power Ltd.	AGM	MANAGEMENT	Approve related party transactions with Nalwa Steel and Power Ltd for ₹ 34.35 bn for FY2023	FOR	ABSTAIN	The Company has stated that it often utilises entities such as Nalwa for rolling of its semi-finished products. Further, such capacity utilisation at an arm's length price would enable JSPL to optimise its market share in the steel market. In view of the comments provided by the Company we abstain from voting.
30-Sep-22	Jindal Steel & Power Ltd.	AGM	MANAGEMENT	Approve remuneration of ₹ 850,000 to Ramanath Iyer & Co., as cost auditor for FY2023	FOR	FOR	The proposed remuneration is reasonable compared to the size and scale of the company's operations.
30-Sep-22	Jindal Steel & Power Ltd.	AGM	MANAGEMENT	Confirm interim dividend of Rs 1.0 per share of face value Rs 10.0 each for FY2022	FOR	FOR	The total dividend payout ratio for FY2022 is 3.7%. We support this resolution.
30-Sep-22	Jindal Steel & Power Ltd.	AGM	MANAGEMENT	Declare final dividend of Rs 2.0 per share of face value Rs 10.0 each for FY2022	FOR	FOR	The total dividend payout ratio for FY2022 is 3.7%. We support this resolution.
30-Sep-22	Jindal Steel & Power Ltd.	AGM	MANAGEMENT	Reappoint D.K. Saraogi (DIN: 06426609 as Director, liable to retire by rotation	FOR	FOR	D.K. Saraogi's reappointment is in line with statutory requirements.

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Sl. No.	Information	Number
1	No. of offices at the beginning of the year	470*
2	No. of branches approved during the year	0
3	No. of branches opened during the year	Out of approvals of previous year
4		Out of approvals of this year
5	No. of branches closed during the year	0
6	No of branches at the end of the year	470
7	No. of branches approved but not opened	0
8	No. of rural branches	5
9	No. of urban branches	465 <sup>#</sup>
10	No. of Directors:-	No. of Directors:-
	(a) Independent Director	(a) Independent Director - 5 (Mr. M S Ramachandran, Mr. R K Nair, Mr. Dilip Karnik, Mr. Dileep Choksi, Ms. Vibha Paul Rishi)
	(b) Executive Director	(b) Executive Director - 1 (Mr. N.S.Kannan)
	(c) Non-executive Director	(c) Non-executive Director - 8 (Mr. M S Ramachandran, Mr. R K Nair, Mr. Dilip Karnik, Mr. Dileep Choksi, Ms. Vibha Paul Rishi, Mr. Sandeep Batra, Mr. Anup Bagchi, Mr. Benjamin James Bulmer)
	(d) Women Director	(d) Woman Director -1 (Ms. Vibha Paul Rishi)
(e) Whole time director	(e) Whole time director -1 (Mr. N.S. Kannan)	
11	No. of Employees:-	
	(a) On-roll	17,727
	(b) Off-roll	0
	(c) Total	17,727
12	No. of Insurance Agents and Intermediaries:-	
	(a) Individual Agents	2,03,248
	(b) Corporate Agents-Banks	28
	(c) Corporate Agents-Others	86
	(d) Insurance Brokers	376
	(e) Web Aggregators	16
	(f) Insurance Marketing Firm	50
	(g) Micro Agents	2
	(h) Point of Sales persons (DIRECT)	0
(i) Other as allowed by IRDAI (To be specified)	0	

Employees and Insurance Agents and Intermediaries -Movement

Particulars	Employees	Insurance Agents and Intermediaries
Number at the beginning of the quarter	16,592	1,99,457
Recruitments during the quarter	4,163	15,512
Attrition during the quarter	3,028	11,163
Number at the end of the quarter	17,727	2,03,806

\*Disclaimer: 470 is the count of local offices in India. It does not include 1 Representative office which the Company operates in Dubai.

<sup>#</sup>Includes both Urban and Semi-Urban branches